

RP04

Second filing of a document previously delivered



Companies House

✓ What this form is for

You can only use this form to file a second filing of a document delivered under the Companies Act 2006 on or after 1 October 2009 that held inaccuracies.

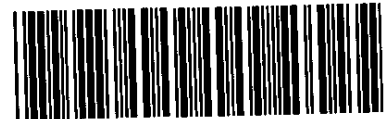
A second filing of a document must only be filed where it is providing corrected information that has been properly delivered but inaccuracies still appear on the register.

✗ What this form is NOT for

You cannot use this form to file a second filing of a document delivered under the Companies Act 1985 or the Companies (Northern Ireland) Order 1986 regardless of whether it was properly delivered.

A second filing of a document cannot be filed where it is providing information that was originally properly delivered. Form RP04 cannot be used in these circumstances.

For further information, please refer to our guidance at www.gov.uk/companieshouse



AC6Y6YTV
A07 03/07/2023 #75
COMPANIES HOUSE

MONDAY

1 Company details

Company number	z	c	1	8				
Company name in full	Standard Chartered Bank							

→ Filling in this form

Please complete in typescript or in bold black capitals.

All fields are mandatory unless specified or indicated by *

2 Applicable documents

This form **only** applies to the following forms:

- AP01 Appointment of director
- AP02 Appointment of corporate director
- AP03 Appointment of secretary
- AP04 Appointment of corporate secretary
- CH01 Change of director's details
- CH02 Change of corporate director's details
- CH03 Change of secretary's details
- CH04 Change of corporate secretary's details
- TM01 Termination of appointment of director
- TM02 Termination of appointment of secretary
- SH01 Return of allotment of shares
- AR01 Annual Return
- CS01 Confirmation statement (Parts 1-4 only)
- PSC01 Notice of individual person with significant control (PSC)
- PSC02 Notice of relevant legal entity (RLE) with significant control
- PSC03 Notice of other registrable person (ORP) with significant control
- PSC04 Change of details of individual person with significant control (PSC)
- PSC05 Change of details of relevant legal entity (RLE) with significant control
- PSC06 Change of details of other registrable person (ORP) with significant control
- PSC07 Notice of ceasing to be a person with significant control (PSC), relevant legal entity (RLE), or other registrable person (ORP)
- PSC08 Notification of PSC statements
- PSC09 Update to PSC statements

RP04

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3		Description of the original document	
Document type ^①	Confirmation Statement (CS01)		① Description of the original document Please enter the document type (e.g. a Return of allotment of shares—SH01) and any distinguishing information if more than one document of that type was filed on the same day.
Date of registration of the original document	<div> <div>^d2</div> <div>^d0</div> <div>^m0</div> <div>^m1</div> <div>^y2</div> <div>^y0</div> <div>^y2</div> <div>^y2</div> </div>		

4	Section 243 or 790ZF Exemption ^② If you are applying for, or have been granted, exemption under section 243 or 790ZF of the Companies Act 2006 and the document(s) you are updating contain(s) your usual residential address, please post this form along with the updated document(s) to the address below: The Registrar of Companies, PO BOX 4082, Cardiff, CF14 3WE.	② If you are currently in the process of applying for or have been granted a Section 243 or 790ZF exemption, you may wish to check that you have not entered your usual residential address as the service address in the accompanying form (e.g. AP01 or CH01).
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Presenter information

You do not have to give any contact information, but if you do it will help Companies House if there is a query on the form. The contact information you give will be visible to searchers of the public record.

Contact name	Vanessa Fan
Company name	Standard Chartered PLC
Address	1 Basinghall Avenue
Post town	
County/Region	
Postcode	E C 2 V 5 D D
Country	United Kingdom
DX	
Telephone	



Checklist

We may return forms completed incorrectly or with information missing.

Please make sure you have remembered the following:

- ☐ The company name and number match the information held on the public Register.
- ☐ You can only use this form to file a second filing of a document delivered to the Registrar of Companies under the Companies Act 2006 on or after 1 October 2009 that held inaccuracies.
- ☐ If you are updating a document where you have previously paid a fee, do not send a fee along with this form.
- ☐ You have enclosed the second filed document(s).
- ☐ If the company to which this document relates has signed up to the PROOF (PROtected Online Filing) scheme, you must also deliver with this form, and the second filed document(s), a PR03 form 'Consent for paper filing.'



Important information

Please note that all information on this form will appear on the public record.



Where to send

You may return this form to any Companies House address, however for expediency we advise you to return it to the appropriate address below:

For companies registered in England and Wales:

The Registrar of Companies, Companies House,
Crown Way, Cardiff, Wales, CF14 3UZ.
DX 33050 Cardiff.

For companies registered in Scotland:

The Registrar of Companies, Companies House,
Fourth floor, Edinburgh Quay 2,
139 Fountainbridge, Edinburgh, Scotland, EH3 9FF.
DX ED235 Edinburgh 1
or LP - 4 Edinburgh 2 (Legal Post).

For companies registered in Northern Ireland:

The Registrar of Companies, Companies House,
Second Floor, The Linenhall, 32-38 Linenhall Street,
Belfast, Northern Ireland, BT2 8BG.
DX 481 N.R. Belfast 1.

Section 243 or 790ZF exemption

If you are applying for or have been granted a section 243 or 790ZF exemption, please post this whole form to the different postal address below:

The Registrar of Companies, PO Box 4082,
Cardiff, CF14 3WE.



Further information

For further information, please see the guidance notes on the website at www.gov.uk/companieshouse or email enquiries@companieshouse.gov.uk

This form is available in an alternative format. Please visit the forms page on the website at www.gov.uk/companieshouse

CS01- additional information page

Confirmation statement

Part 2

Statement of capital change

Complete this part in full if there has been any change to your share capital or prescribed particulars since the last statement of capital was delivered.

☒ This part must be sent at the same time as your confirmation statement.

☐ Not required for companies without share capital.

For further information, please refer to our guidance at www.gov.uk/companieshouse

You must complete both sections B1 and B2.

B1

Share capital

Complete the table(s) below to show the issued share capital.

Complete a separate table for each currency (if appropriate). For example, add pound sterling in 'Currency table A' and Euros in 'Currency table B'.

Continuation pages
Use a statement of capital continuation page if necessary.

Currency	Class of shares	Number of shares	Aggregate nominal value (£, €, \$, etc)	Total aggregate amount unpaid, if any (£, €, \$, etc)
Complete a separate table for each currency	E.g. Ordinary/Preference etc.		Number of shares issued multiplied by nominal value	Including both the nominal value and any share premium
Currency table A				
USD	Non-Cumulative Irredeemable Preference	2,400,000	US\$24,000.00	
USD	Non-Cumulative Redeemable Preference	15,000	US\$75,000.00	
USD	Ordinary	20,596,529,642	US\$20,596,529,642.00	
Totals		20,598,944,642	US\$20,596,628,642.00	US\$0.00

Currency table B				
Totals				

Currency table C				
Totals				

Totals (including continuation pages)

Total number of shares	Total aggregate nominal value ❶	Total aggregate amount unpaid ❶
20,598,944,642	US\$20,596,628,642.00	US\$0.00

❶ Please list total aggregate values in different currencies separately. For example: £100 + €100 + \$10 etc.

CS01- additional information page
Confirmation statement

B2

Prescribed particulars

Please give the prescribed particulars of rights attached to each class of share shown in the 'share capital' tables in Section B1.

Class of share	Non-Cumulative Irredeemable Preference
Prescribed particulars	See attached schedule
Class of share	Non-Cumulative Redeemable Preference
Prescribed particulars	Holders of Preference Shares will only be entitled to vote at general meetings of the Company where (1) the rights of holders of the Preference Shares may be varied or abrogated, or (2) the most recently payable dividend on the Preference Shares has not been paid in full
Class of share	Ordinary
Prescribed particulars	Please continuation sheet No 2 & 3

Prescribed particulars of rights attached to shares

The particulars are:

- particulars of any voting rights, including rights that arise only in certain circumstances;
- particulars of any rights, as respects dividends, to participate in a distribution;
- particulars of any rights, as respects capital, to participate in a distribution (including on winding up); and
- whether the shares are to be redeemed or are liable to be redeemed at the option of the company or the shareholder.

A separate table must be used for each class of share.

Please use a prescribed particulars continuation page if necessary.

CS01- continuation page
Confirmation statement

B2

Prescribed particulars

	Please give the prescribed particulars of rights attached to shares for each class of share shown in the statement of capital share tables in Section B1.	Prescribed particulars of rights attached to shares
Class of share	Non-Cumulative Irredeemable Preference	
Prescribed particulars 1	The holders of the Preference Shares shall, by virtue of and in respect of their holders of the Preference Shares, have the right to receive notice of, attend, speak and vote at a General Meeting of the Company only if a resolution is to be proposed abrogating, varying or modifying any of the rights or privileges of the holders of the Preference Shares (in which case they shall only be entitled to vote on such resolution) Except in the circumstances set out above, the holders of the Preference Shares shall not have the right to receive notice of, attend speak or vote at any General Meetings of the Company (Please see continuation sheet No 1)	<p>The particulars are:</p> <ul style="list-style-type: none"> a. particulars of any voting rights, including rights that arise only in certain circumstances; b. particulars of any rights, as respects dividends, to participate in a distribution; c. particulars of any rights, as respects capital, to participate in a distribution (including on winding up); and d. whether the shares are to be redeemed or are liable to be redeemed at the option of the company or the shareholder. <p>A separate table must be used for each class of share.</p>

CS01- continuation page
Confirmation statement

B2	Prescribed particulars																							
	Please give the prescribed particulars of rights attached to shares for each class of share shown in the statement of capital share tables in Section B1.																							
Class of share	Ordinary																							
Prescribed particulars	<p>Subject to any special rights or restrictions as to voting attached to any shares by or in accordance with the Charters and these presents on a show of hands every member, who (being an individual) is present in person or (being a corporation) is present by a representative or proxy not being himself a member, shall have one vote. Subject as aforesaid on a poll a member holding shares or stock of less than the nominal amount of US\$25 shall not have any vote, but every other member who is present in person or by proxy shall have votes in accordance with the following scale:</p> <table border="0"> <thead> <tr> <th>Nominal amount of Shares or Stock held of Votes</th> <th>Number</th> </tr> </thead> <tbody> <tr> <td>US\$25 or more but less than US\$50</td> <td>1 vote</td> </tr> <tr> <td>US\$50 or more but less than US\$100</td> <td>2 votes</td> </tr> <tr> <td>US\$100 or more but less than US\$250</td> <td>3 votes</td> </tr> <tr> <td>US\$250 or more but less than US\$375</td> <td>4 votes</td> </tr> <tr> <td>US\$375 or more but less than US\$500</td> <td>5 votes</td> </tr> <tr> <td>US\$500 or more but less than US\$750</td> <td>6 votes</td> </tr> <tr> <td>US\$750 or more but less than US\$1,000</td> <td>7 votes</td> </tr> <tr> <td>US\$1,000 or more but less than US\$1,250</td> <td>8 votes</td> </tr> <tr> <td>US\$1,250 or more but less than US\$1,500</td> <td>9 votes</td> </tr> <tr> <td>US\$1,500 or more</td> <td>10 votes</td> </tr> </tbody> </table> <p>In the case of joint holders of a share the vote of the senior who tenders a vote, whether in person or by proxy, shall be accepted to the exclusion of the votes of the other joint holders and for this purpose seniority shall be determined by the order in which the names stand in the register of members.</p>	Nominal amount of Shares or Stock held of Votes	Number	US\$25 or more but less than US\$50	1 vote	US\$50 or more but less than US\$100	2 votes	US\$100 or more but less than US\$250	3 votes	US\$250 or more but less than US\$375	4 votes	US\$375 or more but less than US\$500	5 votes	US\$500 or more but less than US\$750	6 votes	US\$750 or more but less than US\$1,000	7 votes	US\$1,000 or more but less than US\$1,250	8 votes	US\$1,250 or more but less than US\$1,500	9 votes	US\$1,500 or more	10 votes	<p>Prescribed particulars of rights attached to shares</p> <p>The particulars are:</p> <ul style="list-style-type: none"> a. particulars of any voting rights, including rights that arise only in certain circumstances; b. particulars of any rights, as respects dividends, to participate in a distribution; c. particulars of any rights, as respects capital, to participate in a distribution (including on winding up); and d. whether the shares are to be redeemed or are liable to be redeemed at the option of the company or the shareholder. <p>A separate table must be used for each class of share.</p>
Nominal amount of Shares or Stock held of Votes	Number																							
US\$25 or more but less than US\$50	1 vote																							
US\$50 or more but less than US\$100	2 votes																							
US\$100 or more but less than US\$250	3 votes																							
US\$250 or more but less than US\$375	4 votes																							
US\$375 or more but less than US\$500	5 votes																							
US\$500 or more but less than US\$750	6 votes																							
US\$750 or more but less than US\$1,000	7 votes																							
US\$1,000 or more but less than US\$1,250	8 votes																							
US\$1,250 or more but less than US\$1,500	9 votes																							
US\$1,500 or more	10 votes																							

CS01- continuation page
Confirmation statement

82

Prescribed particulars

Please give the prescribed particulars of rights attached to shares for each class of share shown in the statement of capital share tables in Section 81.

Class of share

Ordinary

Prescribed particulars
a

A member of unsound mind, or in respect of whom an order has been made by any Court having jurisdiction in lunacy, may vote, whether on a show of hands or on a poll, by his committee, receiver, curator bonis or other person in the nature of a committee, receiver or curator bonis appointed by such Court, and such committee, receiver, curator bonis or other person may on a poll vote by proxy, provided that such evidence as the Court may require of the authority of the person claiming to vote shall have been deposited at the office not less than forty-eight hours before the time appointed for holding the meeting or adjourned meeting at which such person claims to vote.

No member shall be entitled to vote at any general meeting if any call or other sum presently payable by him in respect of shares in the Company is a month or upwards in arrear.

On a poll votes may be given either personally or by proxy.

The instrument appointing a proxy shall be in writing under the hand of the appointor or of his attorney duly authorised in writing, or if the appointor is a corporation either under the common seal or under the hand of an officer or attorney so authorised.

A proxy need not be a member of the Company.

The instrument appointing a proxy and the power of attorney or other authority (if any) under which it is signed, or a notarially certified copy of such power or authority, shall be deposited at the office not less than forty-eight hours before the time appointed for holding the meeting or adjourned meeting at which the person named in the instrument proposes to vote, and in default the instrument of proxy shall not be treated as valid. No instrument appointing a proxy shall be valid after expiration of twelve months from the date named in it as the date of its execution.

Prescribed particulars of rights attached to shares

The particulars are:

- a. particulars of any voting rights, including rights that arise only in certain circumstances;
- b. particulars of any rights, as respects dividends, to participate in a distribution;
- c. particulars of any rights, as respects capital, to participate in a distribution (including on winding up); and
- d. whether the shares are to be redeemed or are liable to be redeemed at the option of the company or the shareholder.

A separate table must be used for each class of share.

CS01- additional information page

Confirmation statement

Part 4 Shareholder information change

Only use this Part to tell us of a change to shareholder information since the company last delivered this information.

✓ If completed this Part must be sent at the same time as your confirmation statement.

✗ Not required for companies without share capital or DTR5 companies.

For further information, please refer to our guidance at www.gov.uk/companieshouse

D1

Shareholder information for a non-traded company

How is the list of shareholders enclosed. Please tick the appropriate box below:

☒ The list of shareholders is enclosed on paper.

☐ The list of shareholders is enclosed in another format.

Show any information that has changed for each person.

Please list the company shareholders in alphabetical order. Joint shareholders should be listed consecutively.

➊ Further shareholders
Please use a Shareholder information (for a non-traded company) continuation page if necessary.

Shareholder's Name (Address not required)	Class of share	Shares held at confirmation date	Shares transferred (if appropriate)	
		Number of shares	Number of shares	Date of registration of transfer
Standard Chartered Holdings Limited	Non-Cumulative Irredeemable Preference	2,400,000		/ /
				/ /
				/ /
				/ /
				/ /
				/ /
				/ /
				/ /

CS01- continuation page

Confirmation statement

D1

Shareholder information for a non-traded company

Show any information that has changed for each person.

Please list the company shareholders in alphabetical order. Joint shareholders should be listed consecutively.

Shareholder's Name (Address not required)	Class of share	Shares held at confirmation date	Shares transferred (if appropriate)	
		Number of shares	Number of shares	Date of registration of transfer
Standard Chartered PLC	Non-Cumulative Redeemable Preference	15,000		/ /
				/ /
				/ /
				/ /
				/ /
				/ /
				/ /
				/ /
				/ /
				/ /
				/ /
				/ /

CS01- continuation page
Confirmation statement

D1

Shareholder information for a non-traded company

Show any information that has changed for each person.

Please list the company shareholders in alphabetical order. Joint shareholders should be listed consecutively.

Shareholder's Name (Address not required)	Class of share	Shares held at confirmation date	Shares transferred (if appropriate)	
		Number of shares	Number of shares	Date of registration of transfer
Standard Chartered Holdings Limited	Ordinary	20,596,529,642		/ /
				/ /
				/ /
				/ /
				/ /
				/ /
				/ /
				/ /
				/ /
				/ /
				/ /