



Confirmation Statement

Company Name: **E*TRADE SECURITIES LIMITED**

Company Number: **SC103238**



X5XVNU5N

Received for filing in Electronic Format on the: **11/01/2017**

Company Name: **E*TRADE SECURITIES LIMITED**

Company Number: **SC103238**

Confirmation **29/12/2016**

Statement date:

Statement of Capital (Share Capital)

Class of Shares:	ORDINARY	Number allotted	6109432
Currency:	GBP	Aggregate nominal value:	6109432

Prescribed particulars

SHAREHOLDERS OF ORDINARY SHARES HAVE EQUAL RIGHTS IN VOTING, DIVIDENDS DISTRIBUTION AND CAPITAL DISTRIBUTION (INCLUDING ON WINDING UP).

Statement of Capital (Totals)

Currency:	GBP	Total number of shares:	6109432
		Total aggregate nominal value:	6109432
		Total aggregate amount unpaid:	0

Persons with Significant Control (PSC)

PSC notifications

Notification Details

Date that person became **06/04/2016**
registrable:

Name: **MICHELLE ELLINGSON**

Service Address: **905 HIGHLAND POINTE DRIVE
SUITE 150
ROSEVILLE
CALIFORNIA
USA
95678**

Country/State Usually
Resident: **USA**

Date of Birth: ****/03/1971**

Nationality: **AMERICAN**

Nature of control

The person has the right to exercise, or actually exercises, significant influence or control over the company.

Notification Details

Date that person became **06/04/2016**
registrable:

Name: **PAUL BRANDOW**

Service Address: **1271 AVENUE OF THE AMERICAS
NEW YORK
NEW YORK
USA
10020**

Country/State Usually
Resident: **USA**

Date of Birth: ****/05/1947**

Nationality: **AMERICAN**

Nature of control

The person has the right to exercise, or actually exercises, significant influence or control over the company.

Notification Details

Date of becoming a registrable RLE: **06/04/2016**

Name: **E*TRADE UK (HOLDINGS) LIMITED**

Registered or Principal Office Address: **NEW BROAD STREET HOUSE 35 NEW BROAD STREET
LONDON
UNITED KINGDOM
EC2V 1NH**

Legal Form: **PRIVATE COMPANY LIMITED BY SHARES**

Governing Law: **THE COMPANIES ACT 2006**

Register: **UNITED KINGDOM COMPANIES HOUSE**

Country/state of register: **UNITED KINGDOM**

Registration Number: **3565369**

Nature of control

The relevant legal entity has the right, directly or indirectly, to appoint or remove a majority of the board of directors of the company.

The relevant legal entity holds, directly or indirectly, 75% or more of the voting rights in the company.

The relevant legal entity holds, directly or indirectly, 75% or more of the shares in the company.

Confirmation Statement

I confirm that all information required to be delivered by the company to the registrar in relation to the confirmation period concerned either has been delivered or is being delivered at the same time as the confirmation statement

Authorisation

Authenticated

This form was authorised by one of the following:

Director, Secretary, Person Authorised, Charity Commission Receiver and Manager, CIC Manager,
Judicial Factor