



**Companies House**

— for the record —

Company Name

**ALLIANCE TRUST SAVINGS  
LIMITED**

Company Type

**Private Company Limited By  
Shares**

Company Number

**SC98767**

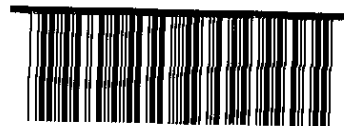
Information extracted from

Companies House records on

**9th February 2002**

## 363s Annual Return

- > Please check the details printed in blue on this statement.
- > If any details are wrong, strike them through and write the correct details in the "Amended details" column.
- > Please use black pen and write in capitals.



SCT SVT3P91I 0969  
COMPANIES HOUSE 12/03/02

### Section 1: Company details

Ref: SC98767/03/10

	Current details	Amended details																
> Registered Office Address <i>If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>	<b>Po Box 164, MEADOW House 64 Reform Street Dundee DD1 9YP</b>	Address _____ _____ _____ UK Postcode    _ _ _ _ _																
> Register of Members <i>If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>	<b>Address where the Register is held  At Registered Office</b>	Address _____ _____ _____ UK Postcode    _ _ _ _ _																
> Register of Debenture Holders <i>If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>	<b>Not Applicable</b>	Address _____ _____ _____ UK Postcode    _ _ _ _ _																
> Principal Business Activities <i>If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>	<table border="1"> <thead> <tr> <th>SIC Code</th> <th>Description</th> </tr> </thead> <tbody> <tr> <td><b>6523</b></td> <td><b>Other financial intermediation</b></td> </tr> </tbody> </table>	SIC Code	Description	<b>6523</b>	<b>Other financial intermediation</b>	<table border="1"> <thead> <tr> <th>SIC CODE</th> <th>Description</th> </tr> </thead> <tbody> <tr> <td>_____</td> <td>_____</td> </tr> <tr> <td>_____</td> <td>_____</td> </tr> <tr> <td>_____</td> <td>_____</td> </tr> <tr> <td>_____</td> <td>_____</td> </tr> <tr> <td>_____</td> <td>_____</td> </tr> </tbody> </table>	SIC CODE	Description	_____	_____	_____	_____	_____	_____	_____	_____	_____	_____
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> <i>Please enter additional principal activity code(s) in "Amended details" column. See notes for guidance for list of activity codes.</i>		_____ _____ _____																

## Section 2: Details of Officers of the Company

	Current details	Amended details
<b>&gt; Company Secretary</b> <i>If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>  <i>Particulars of a new Company Secretary must be notified on form 288.</i>	<b>Name</b> <b>Ian Lester GODDARD</b>  <b>Address</b> <b>84 West Road</b> <b>Newport On Tay</b> <b>Fife</b> <b>DD6 8HP</b>	<b>Name</b>  <b>Address</b>    <b>UK Postcode</b> _ _ _ _ _ <b>Date of change</b> _ _ / _ _ / _ _ _ _ <b>Date Ian Lester GODDARD</b> <b>ceased to be secretary (if applicable)</b> _ _ / _ _ / _ _ _ _
<b>&gt; Director</b> <i>If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>  <i>Particulars of a new Director must be notified on form 288.</i>	<b>Name</b> <b>William BERRY</b>  <b>Address</b> <del>Tayfield House</del> <b>Newport On Tay</b> <b>Fife</b> <b>DD6 8HA</b>  <b>Date of birth</b> 26/09/1939  <b>Nationality</b> British  <b>Occupation</b> Solicitor	<b>Name</b>  <b>Address</b> <u>TAYFIELD HOUSE</u>   <b>UK Postcode</b> _ _ _ _ _ <b>Date of birth</b> _ _ / _ _ / _ _ _ _ <b>Nationality</b> _____ <b>Occupation</b> _____ <b>Date of change</b> _ _ / _ _ / _ _ _ _ <b>Date William BERRY ceased to be</b> <b>director (if applicable)</b> _ _ / _ _ / _ _ _ _
<b>&gt; Director</b> <i>If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>  <i>Particulars of a new Director must be notified on form 288.</i>	<b>Name</b> <b>Kevin Philip DANN</b>  <b>Address</b> <b>22 Beechtree Place</b> <b>Auchterarder</b> <b>Perthshire</b> <b>Scotland</b> <b>PH3 1JQ</b>  <b>Date of birth</b> 12/04/1962  <b>Nationality</b> British  <b>Occupation</b> Director	<b>Name</b>  <b>Address</b>    <b>UK Postcode</b> _ _ _ _ _ <b>Date of birth</b> _ _ / _ _ / _ _ _ _ <b>Nationality</b> _____ <b>Occupation</b> _____ <b>Date of change</b> _ _ / _ _ / _ _ _ _ <b>Date Kevin Philip DANN ceased to be</b> <b>director (if applicable)</b> _ _ / _ _ / _ _ _ _

	Current details	Amended details
<p><b>&gt; Director</b></p> <p>If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.</p> <p>Particulars of a new Director must be notified on form 288.</p>	<p><b>Name</b> William Henderson JACK</p> <p><b>Address</b> Dalmore Ardchoille Park Perth Scotland PH2 7TL</p> <p><b>Date of birth</b> 04/12/1944</p> <p><b>Nationality</b> British</p> <p><b>Occupation</b> Company Director</p>	<p><b>Name</b></p> <p><b>Address</b></p> <p><b>UK Postcode</b>    /    /   </p> <p><b>Date of birth</b>    /    /   </p> <p><b>Nationality</b>   </p> <p><b>Occupation</b>   </p> <p><b>Date of change</b>    /    /   </p> <p><b>Date</b> William Henderson JACK ceased to be director (if applicable)    /    /   </p>
<p><b>&gt; Director</b></p> <p>If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.</p> <p>Particulars of a new Director must be notified on form 288.</p>	<p><b>Name</b> Bruce William McLaren JOHNSTON</p> <p><b>Address</b> Westray 1 Douglas Road Blairgowrie Perthshire PH10 6TR</p> <p><b>Date of birth</b> 07/02/1939</p> <p><b>Nationality</b> British</p> <p><b>Occupation</b> Company Director</p>	<p><b>Name</b></p> <p><b>Address</b></p> <p><b>UK Postcode</b>    /    /   </p> <p><b>Date of birth</b>    /    /   </p> <p><b>Nationality</b>   </p> <p><b>Occupation</b>   </p> <p><b>Date of change</b>    /    /   </p> <p><b>Date</b> Bruce William McLaren JOHNSTON ceased to be director (if applicable)    /    /   </p>
<p><b>&gt; Director</b></p> <p>If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.</p> <p>Particulars of a new Director must be notified on form 288.</p>	<p><b>Name</b> Lesley Mary Samuel KNOX</p> <p><b>Address</b> 23 Merton Lane London N6 6NB</p> <p><b>Date of birth</b> 19/09/1953</p> <p><b>Nationality</b> British</p> <p><b>Occupation</b> Merchant Banker</p>	<p><b>Name</b></p> <p><b>Address</b></p> <p><b>UK Postcode</b>    /    /   </p> <p><b>Date of birth</b>    /    /   </p> <p><b>Nationality</b>   </p> <p><b>Occupation</b>   </p> <p><b>Date of change</b>    /    /   </p> <p><b>Date</b> Lesley Mary Samuel KNOX ceased to be director (if applicable)    /    /   </p>

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	Current details	Amended details
<b>&gt; Director</b> <i>If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>	<b>Name</b> Alan Michael Walker YOUNG	<b>Name</b> _____
	<b>Address</b> Ardenlea Coupar Angus Road Rosemount Blairgowrie PH10 6JY	<b>Address</b> _____ _____ _____
<i>Particulars of a new Director must be notified on form 288.</i>	<b>Date of birth</b> 09/09/1946	<b>UK Postcode</b> _ _ _ _ _ <b>Date of birth</b> _ _ / _ _ / _ _ _ _ <b>Nationality</b> _____
	<b>Nationality</b> British	<b>Occupation</b> <u>INVESTMENT MANAGER</u>
	<b>Occupation</b> <del>Invest Manager</del>	<b>Date of change</b> _ _ / _ _ / _ _ _ _ <b>Date</b> Alan Michael Walker YOUNG ceased to be director (if applicable) _ _ / _ _ / _ _ _ _

	Current details	Amended details
<b>&gt; Issued Share Capital</b> <i>This table shows the total number of shares that have been issued by your company and their Nominal Value. If any of the details are wrong, please fill in the correct details.</i>	<b>Class of share</b> <b>Ordinary</b> <b>Nominal value of each share</b> <b>£1.00</b> <b>Number of shares issued</b> <b>10,000,000</b> <b>Aggregate Nominal Value of issued shares</b> <b>£10,000,000.00</b>	<b>Class of share</b>  <b>Nominal value of each share</b>  <b>Number of shares issued</b>  <b>Aggregate Nominal Value of issued shares</b>  
<b>&gt; Total shares issued and value</b> <i>If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>	<b>Total number of shares issued</b> <b>10,000,000</b> <b>Total Nominal value of shares issued</b> <b>£10,000,000.00</b>	<b>Total number of shares issued</b>  <b>Total Nominal value of shares issued</b>  
<b>&gt; At the date of this Annual Return, if the company has altered or changed its share capital in any way or allotted new shares, please refer to the guidance notes for details of the appropriate form that should be sent with this Annual Return. Annual return guidance notes are available on the Companies House web site at <a href="http://www.companieshouse.gov.uk">www.companieshouse.gov.uk</a> or by ringing 0870 3333636.</b>		

**Section 4: Details of Shareholders**

- > The details we hold on your company's shareholders and their shareholdings are printed below. These are based on your last Annual Return.
- > If any details have changed, or if any shares have been transferred, please fill in the details in the "Amended details" or "Shares transferred" column.
- > Please give details of any other shareholders in Section 5.

Current details	Amended details	Shares transferred									
<p>&gt; Shareholder Name <b>ALLIANCE TRUST (FINANCE) LTD</b></p> <p>Address Meadow House 64 Reform Street Dundee DD1 1TJ</p> <p>Shares held Class Ordinary Number <del>25000</del></p>	<p>Name _____</p> <p>Address _____ _____ _____</p> <p>UK Postcode    _ _ _ _ _</p> <p>Shares held Class _____ Number <u>NIL</u></p>	<p>Shares transferred by <b>ALLIANCE TRUST (FINANCE) LTD</b></p> <table border="1"> <thead> <tr> <th>Class</th> <th>Number</th> <th>Date of transfer</th> </tr> </thead> <tbody> <tr> <td><u>ORDINARY</u></td> <td><u>18,750</u></td> <td><u>24/03/1999</u></td> </tr> <tr> <td><u>ORDINARY</u></td> <td><u>6,250</u></td> <td><u>24/03/1999</u></td> </tr> </tbody> </table>	Class	Number	Date of transfer	<u>ORDINARY</u>	<u>18,750</u>	<u>24/03/1999</u>	<u>ORDINARY</u>	<u>6,250</u>	<u>24/03/1999</u>
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<u>ORDINARY</u>	<u>6,250</u>	<u>24/03/1999</u>									
<p>&gt; Shareholder Name <del>ALLIANCE TRUST PLC</del></p> <p>Address Meadow House 64 Reform Street Dundee DD1 1TJ</p> <p>Shares held Class Ordinary Number <del>7481250</del></p>	<p>Name <u>THE ALLIANCE TRUST PLC</u></p> <p>Address _____ _____ _____</p> <p>UK Postcode    _ _ _ _ _</p> <p>Shares held Class _____ Number <u>7,500,000</u></p>	<p>Shares transferred by <b>ALLIANCE TRUST PLC</b></p> <table border="1"> <thead> <tr> <th>Class</th> <th>Number</th> <th>Date of transfer</th> </tr> </thead> <tbody> <tr> <td>_____</td> <td>_____</td> <td>__/__/____</td> </tr> <tr> <td>_____</td> <td>_____</td> <td>__/__/____</td> </tr> </tbody> </table>	Class	Number	Date of transfer	_____	_____	__/__/____	_____	_____	__/__/____
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_____	_____	__/__/____									
_____	_____	__/__/____									
<p>&gt; Shareholder Name <del>SECOND ALLIANCE TRUST PLC</del></p> <p>Address Meadow House 64 Reform Street Dundee DD1 1TJ</p> <p>Shares held Class Ordinary Number <del>2493750</del></p>	<p>Name <u>THE SECOND ALLIANCE TRUST PLC</u></p> <p>Address _____ _____ _____</p> <p>UK Postcode    _ _ _ _ _</p> <p>Shares held Class _____ Number <u>2,500,000</u></p>	<p>Shares transferred by <b>SECOND ALLIANCE TRUST PLC</b></p> <table border="1"> <thead> <tr> <th>Class</th> <th>Number</th> <th>Date of transfer</th> </tr> </thead> <tbody> <tr> <td>_____</td> <td>_____</td> <td>__/__/____</td> </tr> <tr> <td>_____</td> <td>_____</td> <td>__/__/____</td> </tr> </tbody> </table>	Class	Number	Date of transfer	_____	_____	__/__/____	_____	_____	__/__/____
Class	Number	Date of transfer									
_____	_____	__/__/____									
_____	_____	__/__/____									

**Section 5: Details of Other Shareholders**

- > Please fill in details of any persons or corporate bodies who are shareholders of the company at the date of this return, but whose details are not printed in Section 4.
- > Also, provide the details of any persons who became but have ceased to be shareholders of the company since the date of the last annual return.
- > For jointly held shares please list those joint shareholders consecutively on the form. If a joint shareholder also holds shares in their own right, list that holding separately.
- > Please copy this page if there is not enough space to enter all the company's other shareholders.

Shareholders details		Class and number of shares or amount of stock held	Class and number of shares or amount of stock transferred (If appropriate)	Date of registration of transfer (If appropriate)
Name				
Address				
UK Postcode	_ _ _ _ _			
Name				
Address				
UK Postcode	_ _ _ _ _			
Name				
Address				
UK Postcode	_ _ _ _ _			
Name				
Address				
UK Postcode	_ _ _ _ _			





Companies House

— for the record —

**363s Annual Return Declaration**

- > When you have checked all the sections of this form, please complete this page and sign the declaration below.
- > If you want to change the made up date of this annual return, please complete 2 below.

**1. Declaration**

- ☒ I confirm that the details in this annual return are correct as at the made-up-date (shown at 2 below). I enclose the filing fee of £15.

Signature

(Director / Secretary)

Date

04 / 03 / 2002

*This date must not be earlier than the return date at 2 below*

**What to do now**

Complete this page then send the whole of the Annual Return and the declaration to the address shown at 4 below.

**2. Date of this return**

- ☒ This AR is made up to 1/3/2002
- If you are making this return up to an earlier date, please give the date here

\_\_ / \_\_ / \_\_\_\_

*Note: The form must be delivered to CH within 28 days of this date*

**3. Date of next return**

- ☐ If you wish to change your next return to a date earlier than **1st March 2003** please give the new date here:

\_\_ / \_\_ / \_\_\_\_

**4. Where to send this form**

- ☒ Please return this form to:  
Registrar of Companies  
Companies House  
37 Castle Terrace  
Edinburgh EH1 2EB

**Have you enclosed the filing fee with the company number written on the reverse of the cheque?**

Cheque



Postal Order



Cheque / Postal Order

Number

026401

*(Please complete as appropriate)*

**Contact Address**

Please give the name and address of the person who should be contacted if there are any queries about this form.

Contact Name

MR IAN GODDARD

Telephone number inc code

01382 201700

Address

MEADOW HOUSE

DX number if applicable

\_\_\_\_\_

64 REFORM STREET

DX exchange

DUNDEE

Postcode

DD1 1JS