



CHWP000

This form should be completed in black.

**Return by an overseas company subject to branch registration,
for alteration of company particulars**

(Pursuant to Schedule 21A, paragraph 7(1) of the Companies Act 1985)

Company number

FC024762

Corporate name

GUINNESS ATKINSON ASSET MANAGEMENT, LLC

(See note 1 on Page 3) Branch
name**Particulars of change****1. Change of name**

Note: If the company has changed its corporate name in its country of origin, give details here together with the date the change was registered or otherwise made.

Old corporate GUINNESS ATKINSON ASSET MANAGEMENT,
LLCNew corporate GUINNESS ATKINSON ASSET MANAGEMENT,

Date of change

Day Month Year
0 1 0 1 2 0 0 6 INCLIMITED BY SHARES *Ed* NOTE
ADD
"INC"**2. Change in legal form**
(Give details of change)

Note: If the company has changed its legal form either by its own decision or by a change in its parent law, give details here.

changed from a Delaware limited liability company to a Delaware

corporation LIMITED BY SHARES *Ed*

Date of change

Day Month Year
0 1 0 1 2 0 0 6**3. Change to accounting requirements**

Period for which the company is required to prepare accounts by parent law, in substitution for a period previously notified, has been changed to:

(dates) _____ to _____

Period allowed for the preparation and public disclosure of accounts for the above period

A16
COMPANIES HOUSE 28/02/2006A10
COMPANIES HOUSE 10/02/2006A48
COMPANIES HOUSE 222
26/01/2006

Company Particulars**NOTE.**

The changes to items 3 - 7 only are not required to be notified by companies incorporated in an EC member state or if the changes are already disclosed in the constitutional documents which have been filed with the Registrar.

4. Principal address

On * 01/01/2006
address in its parent state to

the company changed its principal

(give new principal
place of business)

21550 Oxnard Street, Suite 750, Woodland Hills, California CA91367

* Insert date of change

5. Objects

On *
those

the company changed its objects to

(state new objects)

+ delete as applicable

6. Capital

On *

the company increased / decreased its

issued share capital from _____ to _____

(state new issued share
capital (incl. currency))

7. Governing law

List any change in the law
under which the company was
incorporated (e.g. a new Act)
include the date of the change).

Date

When completed, this form should be signed overleaf and returned to :-

For branches registered in England and Wales

For branches registered in Scotland

The Registrar of Companies
Companies House
Crown Way
Cardiff
CF14 3UZ

The Registrar of Companies
Companies House
37 Castle Terrace
Edinburgh
EH1 2EB

DX 235 Edinburgh
or LP - 4 Edinburgh 2

1. The first part of the document is a list of the names of the persons who were present at the meeting.

2. The second part of the document is a list of the names of the persons who were absent from the meeting.

3. The third part of the document is a list of the names of the persons who were present at the meeting.

4. The fourth part of the document is a list of the names of the persons who were present at the meeting.

5. The fifth part of the document is a list of the names of the persons who were present at the meeting.

6. The sixth part of the document is a list of the names of the persons who were present at the meeting.

7. The seventh part of the document is a list of the names of the persons who were present at the meeting.

8. The eighth part of the document is a list of the names of the persons who were present at the meeting.

9. The ninth part of the document is a list of the names of the persons who were present at the meeting.

10. The tenth part of the document is a list of the names of the persons who were present at the meeting.

11. The eleventh part of the document is a list of the names of the persons who were present at the meeting.

12. The twelfth part of the document is a list of the names of the persons who were present at the meeting.

13. The thirteenth part of the document is a list of the names of the persons who were present at the meeting.

14. The fourteenth part of the document is a list of the names of the persons who were present at the meeting.

15. The fifteenth part of the document is a list of the names of the persons who were present at the meeting.

16. The sixteenth part of the document is a list of the names of the persons who were present at the meeting.

17. The seventeenth part of the document is a list of the names of the persons who were present at the meeting.

18. The eighteenth part of the document is a list of the names of the persons who were present at the meeting.

19. The nineteenth part of the document is a list of the names of the persons who were present at the meeting.

20. The twentieth part of the document is a list of the names of the persons who were present at the meeting.

21. The twenty-first part of the document is a list of the names of the persons who were present at the meeting.

22. The twenty-second part of the document is a list of the names of the persons who were present at the meeting.

Form BR 3

You do not have to give any contact information in the box opposite but if you do, it will help Companies House to contact you if there is a query on the form. The contact information that you give will be visible to searchers of the public record.

* BRANCH ADDRESS *

Name TIM GUINNESS / EDMUND HARRISS

Address 14 QUEEN ANNE'S GATE

LONDON SW1A 9AA

Tel. No 020 7222 7978

* Delete as applicable

This return is delivered in respect of all the branches listed below, registered at * Cardiff / Edinburgh.

This notice must be delivered to the Registrar within 21 days of the notice of the alteration being received in Great Britain in due course of post (if despatched with due diligence)

Signed

(* Director / Secretary / Permanent representative)

Edmund Harriss
DIRECTOR

Date

22 01 2006

27.2.06

Registration number	Branch name
BR007242	

NOTE:- A return must be delivered in respect of any alteration to the company particulars by each branch of an overseas company. If, however, a company has more than one branch in THE SAME PART of Great Britain, it may deliver only one form in respect of all those branches, provided

FILE COPY



**CERTIFICATE OF REGISTRATION
OF A CHANGE OF NAME OF AN
OVERSEA COMPANY**

Branch Registration

Company No. FC024762

Branch No. BR007242

The Registrar of Companies for England and Wales hereby certifies that
GUINNESS ATKINSON ASSET MANAGEMENT, LLC

has this day registered a change of name to

GUINNESS ATKINSON ASSET MANAGEMENT, INC.

Given at Companies House, Cardiff, the 6th March 2006



THE OFFICIAL SEAL OF THE
REGISTRAR OF COMPANIES



Companies House

— for the record —