

CS01

Confirmation statement



299586-40



Go online to file this information
www.gov.uk/companieshouse

A fee may be payable with this form
Please see 'How to pay' on the last page.

- ✓ **What this form is for**
You may use this form to confirm that the company has filed up to date. You must file a confirmation statement at least once every year.

- ✗ **What this form is NOT for**
You cannot use this form to file changes to the company or people with significant control (PSC), registered office address or single alternative inspection address (SAIL) information.



A12 *ABEFAAA9* 11/10/2022 #75
COMPANIES HOUSE

Before you start

You can check your company details for free on our online service:

<https://beta.companieshouse.gov.uk>

Change to your company information

If you need to make any changes to:

- **Part 1** Principal business activities or standard industrial classification (SIC)
- **Part 2** Statement of capital
- **Part 3** Trading status of shares and exemption from keeping a register of people with significant control (PSC)
- **Part 4** Shareholder information

Use the additional parts of this form to do this.

Other changes

If you need to make any changes to:

- registered office address
- single alternative inspection address (SAIL) and company records
- officer appointments
- information about people with significant control

You must do this separately before or at the same time as this confirmation statement.

1 Company details

Company number 05563206
Company name in full EVOLVIENT CAPITAL LIMITED

→ **Filling in this form**
Please complete in typescript or in bold black capitals.

2 Confirmation date

Please give the confirmation statement date. You must deliver this form within 14 days of this date. Please check your company records for the date of your confirmation period.

Confirmation date 1 d 0 d 1 m 1 m 0 y 2 y 0 y 2 y 2

① **Check when your confirmation statement is due**
To check your confirmation statement date:
<https://beta.companieshouse.gov.uk>

You can make a statement at any time during the confirmation period. This will change your next confirmation date.

3 Confirmation statement

I confirm that all information required to be delivered by the company pursuant to section 853A(1)(a) of the Companies Act 2006 in relation to the confirmation period ending on the confirmation date above either has been delivered or is being delivered with this statement.

Signature

Signature

X

X

This form may be signed by:
Director ②, Secretary, Person authorised ③, Charity commission receiver and manager, CIC manager, Judicial factor.

② **Societas Europaea**
If the form is being filed on behalf of a Societas Europaea (SE) please delete 'director' and insert details of which organ of the SE the person signing has membership.

③ **Person authorised**
Under either section 270 or 274 of the Companies Act 2006.

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Part 2

Statement of capital change

Complete this part in full if there has been any change to your share capital or prescribed particulars since the last statement of capital was delivered.

✓ **This part must be sent at the same time as your confirmation statement.**

✗ Not required for companies without share capital.

For further information, please refer to our guidance at www.gov.uk/companieshouse

You must complete both sections B1 and B2.

B1

Share capital

Complete the table(s) below to show the issued share capital.

Complete a separate table for each currency (if appropriate). For example, add pound sterling in 'Currency table A' and Euros in 'Currency table B'.

Continuation pages
Use a statement of capital continuation page if necessary.

Currency Complete a separate table for each currency	Class of shares E.g. Ordinary/Preference etc.	Number of shares	Aggregate nominal value (£, €, \$, etc) Number of shares issued multiplied by nominal value	Total aggregate amount unpaid, if any (£, €, \$, etc) Including both the nominal value and any share premium
---------------------------------------------------------	--------------------------------------------------	------------------	---------------------------------------------------------------------------------------------------	-----------------------------------------------------------------------------------------------------------------

Currency table A

GBP	Ordinary	20,353,500	£203,535.00	
Totals		20,353,500	£203,535.00	£29,170.00

Currency table B

Totals				

Currency table C

Totals				

Totals (including continuation pages)

Total number of shares	Total aggregate nominal value ❶	Total aggregate amount unpaid ❶
20,353,500	£203,535.00	£29,170.00

❶ Please list total aggregate values in different currencies separately. For example: £100 + €100 + \$10 etc.

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B2

Prescribed particulars

Please give the prescribed particulars of rights attached to each class of share shown in the 'share capital' tables in **Section B1**.

Class of share	Ordinary
Prescribed particulars	The shares have attached to them full voting, dividend and capital distribution (including on winding up) rights; they do not confer any rights of redemption.
Class of share	
Prescribed particulars	
Class of share	
Prescribed particulars	

Prescribed particulars of rights attached to shares

The particulars are:

- a. particulars of any voting rights, including rights that arise only in certain circumstances;
- b. particulars of any rights, as respects dividends, to participate in a distribution;
- c. particulars of any rights, as respects capital, to participate in a distribution (including on winding up); and
- d. whether the shares are to be redeemed or are liable to be redeemed at the option of the company or the shareholder.

A separate table must be used for each class of share.

Please use a prescribed particulars continuation page if necessary.

Part 3

Trading status of shares and exemption from keeping a register of people with significant control (PSC)

Use this Part to tell us of the trading status of shares and information about exemption from keeping a PSC register (if this is the first time you're giving this information or if any of this information has changed since you last gave it).

☒ This part must be sent
at the same time as your
confirmation statement.

☒ Do not send if none of this
information has changed.

For further information, please
refer to our guidance at
www.gov.uk/companieshouse

C1

Company's shares admitted to trading on a market ^①

Were any shares admitted to trading on a market during the confirmation period? Please tick the appropriate box below:

- ☒ **No** go to **Part 4 section D1** 'Non traded shareholders'
- ☐ **Yes** go to **Section C2** 'DTR5'

^① A market is one established under the rules of a UK recognised investment exchange or any other UK or EU regulated market. The current UK recognised investment exchanges and regulated markets can be found at:
www.fsa.gov.uk/register/exchanges.

C2

Exemption from providing shareholder information (DTR5) ^②

Throughout the confirmation period, was the company a traded company and an issuer to which DTR5 applies? Please tick the appropriate box below:

- ☐ **Yes** go to **Section C3**
- ☐ **No** go to **Section C3**

^② Please review and complete this section if you have answered 'Yes' to section C1 'Company's shares admitted to trading on a market'.

DTR5

DTR5 refers to the Vote Holder and Issuer Notification Rules contained in Chapter 5 of the Disclosure and Transparency Rules source book issued by the Financial Conduct Authority.

C3

Exemption from keeping a people with significant control (PSC) register ^③

Is the company exempt or has it ever been exempt from keeping a PSC register:

- **Yes** continue (Tick only one box).
- **No** go to **Part 4 section D2** 'Shareholder information for certain traded companies'.

Please tick the appropriate statement:

- ☐ The company is exempt from the requirement to obtain information and keep a register of its PSC because the company has voting shares admitted to trading on a regulated market in the United Kingdom.
- ☐ The company is exempt from the requirement to obtain information and keep a register of its PSC because the company has voting shares admitted to trading on an EU regulated market.
- ☐ The company is exempt from the requirement to obtain information and keep a register of its PSC because the company has voting shares admitted to trading on a market listed in Schedule 1 of the Register of People with Significant Control Regulations 2016.
- ☐ The exemption from keeping a PSC register (Part 21A) no longer applies. ^④

^③ Exemption from keeping a PSC register

In accordance with Part 21A of the Companies Act 2006.

^④ Exemption from keeping a PSC register no longer applies.

If you need to provide PSC information complete and return the appropriate forms.

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Part 4

Shareholder information change

Only use this Part to tell us of a change to shareholder information since the company last delivered this information.

☒ If completed this Part must be sent at the same time as your confirmation statement.

☒ Not required for companies without share capital or DTR5 companies.

For further information, please refer to our guidance at www.gov.uk/companieshouse

D1

Shareholder information for a non-traded company 0

How is the list of shareholders enclosed. Please tick the appropriate box below:

☒ The list of shareholders is enclosed on paper.

☐ The list of shareholders is enclosed in another format.

Further shareholders

Please use a Shareholder information (for a non-traded company) continuation page if necessary.

Show any information that has changed for each person.

Please list the company shareholders in alphabetical order. Joint shareholders should be listed consecutively.

Shareholder's Name (Address not required)	Class of share	Shares held at confirmation date	Shares transferred (if appropriate)	
		Number of shares	Number of shares	Date of registration of transfer
Please find enclosed shareholder list	Ordinary	20,353,500		/ /
				/ /
				/ /
				/ /
				/ /
				/ /
				/ /
				/ /

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**Presenter information**

You do not have to give any contact information, but if you do it will help Companies House if there is a query on the form. The contact information you give will be visible to searchers of the public record.

Contact name

Company name 6th Floor

Address 60 Gracechurch Street

Post town London

County/Region

Postcode E C 3 V O H R

Country United Kingdom

DX

Telephone

**Checklist**

We may return forms completed incorrectly or with information missing.

Please make sure you have remembered the following:

- ☐ The company name and number match the information held on the public Register.
- ☐ You have checked the company information that we hold.
- ☐ You have shown any relevant changes made to your information on the additional parts to this form or filed the appropriate form before or at the same time as this confirmation statement.
- ☐ You have signed the form.
- ☐ You have enclosed the correct fee if appropriate.

**How to pay**

You must include a £40 fee with the first Confirmation Statement you file each year. Further Confirmation Statements made in the same year don't require a fee.

Make cheques or postal orders payable to 'Companies House.'

**Important information**

All information on this form will appear on the public record.

**Where to send**

You may return this form to any Companies House address, however for expediency we advise you to return it to the appropriate address below:

For companies registered in England and Wales:
The Registrar of Companies, Companies House,
Crown Way, Cardiff, Wales, CF14 3UZ.
DX 33050 Cardiff.

For companies registered in Scotland:
The Registrar of Companies, Companies House,
Fourth floor, Edinburgh Quay 2,
139 Fountainbridge, Edinburgh, Scotland, EH3 9FF.
DX ED235 Edinburgh 1
or LP - 4 Edinburgh 2 (Legal Post).

For companies registered in Northern Ireland:
The Registrar of Companies, Companies House,
Second Floor, The Linenhall, 32-38 Linenhall Street,
Belfast, Northern Ireland, BT2 8BG.
DX 481 N.R. Belfast 1.

**Further information**

For further information, please see the guidance notes on the website at www.gov.uk/companieshouse or email enquiries@companieshouse.gov.uk

This form is available in an alternative format. Please visit the forms page on the website at www.gov.uk/companieshouse

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Company Code: H014

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EVOLVIENT CAPITAL LIMITED

R U N P A R A M E T E R S .

Totals Report Filename [] H0142801_TOT.REP

R e p o r t F o r m a t S e l e c t i o n

Format:

- 1 - Name, Address & Holdings, all accounts
- 2 - Name, Address & Holdings, accounts with 5% and over
- 3 - Name & Holdings, all accounts

Option 3

D a t a S e l e c t i o n .

Sortkey Start
End

List individual transactions Y

Earliest Transaction Date 02-OCT-2021

Latest Transaction Date 01-OCT-2022

Class

01 ORD 1P

Class

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EVOLVIENT CAPITAL LIMITED

Registered Number 5563206 Annual return for Period : 02-OCT-2021 TO 01-OCT-2022

Shareholder Name	Class	Holding	Movement	Date
SUZIE ANDREWS	ORD 1P	72		
MRS MOLLIE EILEEN ASHBY	ORD 1P	2,070		
AURORA NOMINEES LIMITED 2288700 ACCT	ORD 1P	9,999		
THE BANK OF NEW YORK (NOMINEES) LIMITED	ORD 1P	8,306	2,500	29-JUN-22
THE BANK OF NEW YORK (NOMINEES) LIMITED RZB ACCT	ORD 1P	10,000		
BARCLAYS DIRECT INVESTING NOMINEES LIMITED CLIENT1 ACCT	ORD 1P	NIL	-7,582	08-FEB-22
BECKY BISHOP	ORD 1P	72		
JULIET BRAUNSTEIN	ORD 1P	2,553		
BREWIN NOMINEES LIMITED NOM ACCT	ORD 1P	2,325,585		
KATHRYN CARR	ORD 1P	72		
MR SHANE KEVIN CLARKE	ORD 1P	325		
MR & MRS MATTHEW & KIM CONNOLLY	ORD 1P	1,000		
CHRISTOPHER CONVEY	ORD 1P	27,110		
DARTINGTON PORTFOLIO NOMINEES LIMITED DPN ACCT	ORD 1P	NIL	-41,820	08-FEB-22
DARTINGTON PORTFOLIO NOMINEES LIMITED ISA ACCT	ORD 1P	NIL	-30,000	08-FEB-22
MR EDWARD JOHN DAVY	ORD 1P	38		
MANPREET DEEGAN	ORD 1P	NIL	+3,617	22-FEB-22
MR GARETH BOYD DENTON	ORD 1P	16		
DNB BANK ASA DNBASAC ACCT	ORD 1P	25		
LUCIEN DULAK	ORD 1P	1,217		
LAURA EATON	ORD 1P	4,940		
MR MICHAEL THOMAS EDEN	ORD 1P	1,400		
SEVANNA EDWARDS	ORD 1P	2,553		
EMBARK INVESTMENT SERVICES NOMINEES LIMITED GRO ACCT	ORD 1P	45,283		

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EVOLVIENT CAPITAL LIMITED

Registered Number 5563206 Annual return for Period : 02-OCT-2021 TO 01-OCT-2022

Shareholder Name	Class	Holding	Movement	Date
EUROCLEAR NOMINEES LIMITED EOC01 ACCT	ORD 1P	NIL	-2,500	29-JUN-22
EVOLVIENT CAPITAL LIMITED	ORD 1P	47,289	9,894,110	04-NOV-21
			7,582	08-FEB-22
			14,045	08-FEB-22
			41,820	08-FEB-22
			96,205	08-FEB-22
			13,184	08-FEB-22
			14,924	08-FEB-22
			1,670	08-FEB-22
			604	08-FEB-22
			41,500	08-FEB-22
			1,217	08-FEB-22
			750	08-FEB-22
			2,553	08-FEB-22
			7,500	08-FEB-22
			3,000	08-FEB-22
			3,558	08-FEB-22
			14,492	08-FEB-22
			602	08-FEB-22
			11,700	08-FEB-22
			5,000	08-FEB-22
			22,504	08-FEB-22
			30,000	08-FEB-22
			3,617	22-FEB-22
			-106,250	22-MAR-22
			-70,214	22-MAR-22
			431	13-APR-22
			14,714	10-AUG-22
			17,013	10-AUG-22
MR MATTHEW FINNEGAN	ORD 1P	NIL	-22,504	08-FEB-22
MATTHEW FRANKE	ORD 1P	2,766		
MRS JANET FRICKER	ORD 1P	NIL	-11,700	08-FEB-22
SARAH GOODING	ORD 1P	1,217		
EMMA HALLS	ORD 1P	1,217		
LUCY HAMBLIN	ORD 1P	2,128		

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EVOLVIENT CAPITAL LIMITED

Registered Number 5563206 Annual return for Period : 02-OCT-2021 TO 01-OCT-2022

Shareholder Name	Class	Holding	Movement	Date
HARGREAVES LANSDOWN (NOMINEES) LIMITED VRA ACCT	ORD 1P	67,943		
HARGREAVES LANSDOWN (NOMINEES) LIMITED JISA ACCT	ORD 1P	2,170		
HARGREAVES LANSDOWN (NOMINEES) LIMITED LISA ACCT	ORD 1P	232		
HARGREAVES LANSDOWN (NOMINEES) LIMITED GSIPP ACCT	ORD 1P	810		
HARGREAVES LANSDOWN (NOMINEES) LIMITED HLNOM ACCT	ORD 1P	28,170	-96,205 -431	08-FEB-22 13-APR-22
HARGREAVES LANSDOWN (NOMINEES) LIMITED VRADOWN ACCT	ORD 1P	17,936		
MR RICHARD HARRIES	ORD 1P	72		
MRS LAURA HART	ORD 1P	1,217		
GINA HEARD	ORD 1P	1,217		
HSBC GLOBAL CUSTODY NOMINEE (UK) LIMITED 676112 ACCT	ORD 1P	5		
HSDL NOMINEES LIMITED	ORD 1P	4,057	-13,184	08-FEB-22
HSDL NOMINEES LIMITED IWEB ACCT	ORD 1P	4,602		
HSDL NOMINEES LIMITED SBUILD ACCT	ORD 1P	272		
VINCE HUMPHRIES	ORD 1P	2,447		
JOHN HUNTER	ORD 1P	1,237,014	70,214	22-MAR-22
INTERACTIVE INVESTOR SERVICES NOMINEES LIMITED SMKTISAS ACCT	ORD 1P	NIL	-14,714	10-AUG-22
INTERACTIVE INVESTOR SERVICES NOMINEES LIMITED SMKTNOMS ACCT	ORD 1P	9,083	-17,013	10-AUG-22
INTERACTIVE INVESTOR SERVICES NOMINEES LIMITED TDWHSIPP ACCT	ORD 1P	739		
MR SOHEIL SOHI-ISOLANI	ORD 1P	10		
JAMES BREARLEY CREST NOMINEES LIMITED WALPOLE ACCT	ORD 1P	NIL	-602	08-FEB-22
JAMES CAPEL (NOMINEES) LIMITED PC ACCT	ORD 1P	2,000		
LAWSHARE NOMINEES LIMITED ISA ACCT	ORD 1P	800	-604	08-FEB-22
LAWSHARE NOMINEES LIMITED SIPP ACCT	ORD 1P	6,884	-14,924	08-FEB-22

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EVOLVIENT CAPITAL LIMITED

Registered Number 5563206

Annual return for Period : 02-OCT-2021 TO 01-OCT-2022

Shareholder Name	Class	Holding	Movement	Date
LAWSHARE NOMINEES LIMITED DEALING ACCT	ORD 1P	144	-1,670	08-FEB-22
RICHARD LESSER	ORD 1P	72		
JOHANNE LITTLE	ORD 1P	NIL	-5,000	08-FEB-22
AHMAD MAHMOOD	ORD 1P	80,801		
AHMAD MAHMOOD	ORD 1P	3,035		
LOUISE MARCROFT	ORD 1P	72		
CHARLES MARSHALL + ALISON MARSHALL	ORD 1P	1,548,495	1,548,495	23-MAY-22
ADAM MATTHEWS	ORD 1P	2,753		
CHRISTOPHER MCCANN	ORD 1P	8,679		
NADIA DI MEO	ORD 1P	72		
ISABEL MOGLIANI	ORD 1P	NIL	-750	08-FEB-22
BECKY MORGAN	ORD 1P	34,389		
ISABEL MORGAN	ORD 1P	NIL	-2,553	08-FEB-22
MPM CONNECT LIMITED	ORD 1P	NIL	-9,894,110	04-NOV-21
CAROLINE MURRAY	ORD 1P	3,013		
RAJESHRI NAYAK	ORD 1P	3,910		
MR CHRISTOPHER NEWSTEAD	ORD 1P	25,000		
MR PATRICK ROBERT OREILLY	ORD 1P	NIL	-3,558	08-FEB-22
MR DYLAN ELWYN OWEN	ORD 1P	388		
MR ROBERT PARKER	ORD 1P	173		
PERSHING NOMINEES LIMITED PERNY ACCT	ORD 1P	11,580		
PERSHING NOMINEES LIMITED SHCLT ACCT	ORD 1P	4,427,413	-1,548,495 -350,000	23-MAY-22 12-SEP-22

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EVOLVIENT CAPITAL LIMITED

Registered Number 5563206 Annual return for Period : 02-OCT-2021 TO 01-OCT-2022

Shareholder Name	Class	Holding	Movement	Date
MR MARTYN PHILLIPS	ORD 1P	18,850		
MANORIT RAKHRA	ORD 1P	13,238		
MANORIT RAKHRA	ORD 1P	29,129		
MR DAVID WILLIAM REES	ORD 1P	300		
PHIL REES	ORD 1P	72		
MR PHILIP JOHN REES	ORD 1P	350		
RAAD RIZK	ORD 1P	47,116		
CLARE DALLAS-ROSS	ORD 1P	NIL	-41,500	08-FEB-22
CHRISTOPHER RUSSELL	ORD 1P	92,456		
BILLAL SAHEEB	ORD 1P	2,234		
WILLIAM SCRIMSHAW	ORD 1P	72		
CHRISTOPHER SEVENOAKS	ORD 1P	72		
MS SIMKI SHAH	ORD 1P	1,145		
SMITH & WILLIAMSON NOMINEES LIMITED	ORD 1P	2,463,946		
JESSICA SMITH	ORD 1P	800		
ADAM SOLOMONS	ORD 1P	14,000		
DENIS SPEARMAN	ORD 1P	72		
EDWARD NASH STEER	ORD 1P	NIL	-1,217	08-FEB-22
HAYLEY STILL	ORD 1P	27,596		
HAYLEY STILL	ORD 1P	4,242		
MICHAEL THOMAS SWAIN	ORD 1P	149,501		
IAN TEMPLE	ORD 1P	1,868,450	106,250	22-MAR-22
IAN TEMPLE + CLARE TEMPLE	ORD 1P	350,000	350,000	12-SEP-22

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Shareholder Name	Class	Holding	Movement	Date
MRS DAWN TILLOTSON	ORD 1P	NIL	-3,000	08-FEB-22
VESTRA NOMINEES LIMITED CORE ACCT	ORD 1P	3,748,726	292,105	23-MAY-22
VESTRA NOMINEES LIMITED SIPP ACCT	ORD 1P	300,000	-292,105	23-MAY-22
VIDACOS NOMINEES LIMITED FGN ACCT	ORD 1P	3,800		
VIDACOS NOMINEES LIMITED BFCM ACCT	ORD 1P	1,000		
VIDACOS NOMINEES LIMITED BHUV ACCT	ORD 1P	4,800		
VIDACOS NOMINEES LIMITED 15772 ACCT	ORD 1P	112		
VIDACOS NOMINEES LIMITED COMZ3 ACCT	ORD 1P	19,000		
VIDACOS NOMINEES LIMITED CLRUX ACCT	ORD 1P	1,010,272		
VIDACOS NOMINEES LIMITED CLRUX2 ACCT	ORD 1P	1,620		
VIDACOS NOMINEES LIMITED IGUKCLT ACCT	ORD 1P	11,903		
VIDACOS NOMINEES LIMITED KBCCLINT ACCT	ORD 1P	720		
PAUL WAKEFIELD	ORD 1P	2,128		
MR JOHN WALKER + MRS JUDITH MARGARET WALKER	ORD 1P	1,235		
MR JOHN WALKER + MRS JUDITH MARGARET WALKER	ORD 1P	3,600		
TIM WATSON	ORD 1P	15,000		
ABIGAIL WAUDBY	ORD 1P	NIL	-14,045	08-FEB-22
W B NOMINEES LIMITED	ORD 1P	1,000		
W H TILDESLEY INVESTMENTS LIMITED	ORD 1P	NIL	-14,492	08-FEB-22
WINTERFLOOD CLIENT NOMINEES LIMITED GROSS ACCT	ORD 1P	110,021		
DR KEITH YOUNG	ORD 1P	NIL	-7,500	08-FEB-22

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Registered Number 5563206 Annual Return for Period : 02-OCT-2021 to 01-OCT-2022

Total Number of Shareholder Accounts printed: 121

Summary By Class:

Class	Name	Holding	Holders
01	ORD 1P	20,353,500	101