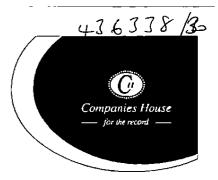
Company Name

### **INTERACTIVE BROKERS (U.K.)** LIMITED

# 363s Annual Return



Company Type

> Please check the details printed in the "Current details" column

Private Company Limited by Shares > If any details are wrong, strike them thro in the "Amended details" column

> Please complete in black ink and use of

Section 1: Company details

15/04/2008 **COMPANIES HOUSE** 

Company Number 3958476 Information extracted from Companies House records on 3rd April 2008

Ref 3958476/09/28	Current details	Amended details
Registered Office Address If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column	One Carey Lane Fifth Floor London EC2V 8AE	Address  UK Postcode
> Register of Members If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column	Address where the Register is held  At Registered Office	Address  UK Postcode
> Register of Debenture Holders If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column	Not Applicable	Address  UK Postcode
> Principal Business Activities If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column	SIC Code Description  6712 Security broking & fund management	SIC CODE Description
> Please enter additional principal activity code(s) in "Amended details" column See notes for guidance for list of activity codes		

Company Number - 3958476

**Section 2: Details of Officers of the Company** 

		Current details	Amended details
	Company Secretary If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column	Name Paul J BRODY  Address 64 Kelley Green New Canaan Ct 06840 Usa	Tick this box if this address is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985  Address
	Particulars of a new Company Secretary must be notified on form 288a		UK Postcode / / Date of change / / / Date Paul J BRODY ceased to be secretary (if applicable)
>	Director  If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column	Name Yograj AGGARWAL  Address 23 Newberries Avenue Radlett Hertfordshire WD7 7EJ	Name  Tick this box if this address is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985  Address
	Particulars of a new Director must be notified on form 288a	Date of birth 23/02/1957  Nationality British  Occupation Compliance Director	UK Postcode  Date of birth  Nationality  Occupation  Date of change / /  Date Yograj AGGARWAL ceased to be director (if applicable)

	Section 2: Details of Officers of the Cor Current details	Amended details
> Director If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column  Particulars of a new Director must be notified on form 288a	Name Jonathan CHAIT  Address Grafenauweg 3 6300 Zug Switzerland  Date of birth 15/03/1961  Nationality Usa Citizen  Occupation Director	Name  Tick this box if this address is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985  Address  UK Postcode  Date of birth  Nationality  Occupation  Date of change
> Director If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column	Name Gerald PEREZ  Address Vestry Court 5 Monck Street London SW1P 2BW	Date Jonathan CHAIT ceased to be director (if applicable)  Name  Tick this box if this address is a service address for the beneficiary of a Confidentiality Order granted under section 723E of the Companies Act 1985  Address
Particulars of a new Director must be notified on form 288a	Date of birth 13/10/1963  Nationality Us Citizen  Occupation Managing Director	UK Postcode  Date of birth  Nationality  Occupation  Date of change / /  Date Gerald PEREZ ceased to be director (if applicable)

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Company Number - 3958476		
-	Current details	Amended details 👵 🔒 👊
> Director  If any of the details for this person are wrong, strike	Name Thomas PETERFFY	Name
them through and fill in the correct details in the "Amended details" column	Address 4 Sound Shore Drive- Greenwich Ct- Usa 06830	Tick this box if this address is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985  Address  25 Conyers Farm Drive  Green wich
	Date of birth 30/09/1944	
	Nationality American	UK Postcode
Particulars of a new Direct must be notified on form	Occupation Chairman	Nationality
288a		Occupation
		Date of change / /
		Date Thomas PETERFFY ceased to be

director (if applicable)

\_\_/ \_\_/

		Issued share capital detai	s
>	Please fill in the	Class of Share	Number of shares issued
	details of total share capital by class (eg	Ordinary A	700
	ordinary, preference etc) that has been issued to the company's shareholders		Aggregate Nominal Value of issued shares
		Class of Share  Ordinary B	Number of shares issued  125,000  Aggregate Nominal Value of issued shares  £ 125,000.00
		Class of Share	Number of shares issued
			Aggregate Nominal Value of issued shares
		Class of Share	Number of shares issued
			Aggregate Nominal Value of issued shares
>	> Please fill in the to number of issued shares and their t nominal value		Number of shares issued  125,700  Aggregate Nominal Value of issued shares  € 125,000.00  £ 700,00
List	of past and present	t members (Tick appropriate box)	
>	Please complete the required information on the attached schedules or in another format	☐ A list of chang	o changes during the period les is enclosed embers is enclosed
	agreed by Companies House	The last full i	ist of members was received on: 28/03/2007
>	A full list of shareholder	s is required with the first and every habetical order or provide an index	nsferred to be <b>completed each year</b> thırd Annual Return thereafter

## Section 4: Details of New Shareholders and Transfers (A) (ii)

- > Please fill in details of any persons or corporate bodies who have become shareholders since the last annual return
- > Please fill in details of any persons or corporate bodies that have transferred shares since the last annual return
- > Please use Section 4B to give details of any persons or corporate bodies who have ceased to be shareholders since the last annual return or, in the case of a first return, since the incorporation of the company
- > Please copy this page if there is not enough space to enter all the company's current shareholders

Shareholders details	Class and number of shares or amount of stock held	Class and number of shares or amount of stock transferred (If appropriate)	
Name  IBG UC  Address  2 Pickwick Plaza  Greenwich  CT06830, USt  UK Postcode	Ordinary A 700 Ordinary B 125,000		
Name Address UK Postcode			
Name Address UK Postcode			
Name Address UK Postcode			

#### Section 4B: Details of Former Shareholders

- > Please fill in details of any persons or corporate bodies who have ceased to be shareholders at the date of this return. Also, please give the dates that their shares were transferred
- > Please copy this page if there is not enough space to enter all the company's former shareholders

	Former shareholders details	Class and number of shares or amount of stock transferred	Date of registration of transfer
Name			
Address	•		
	UK Postcode		
Name			
Address			
			}
L	UK Postcode		
Name	UK Postcode L L L L L L L		
Address			
L			
L			
Name	UK Postcode		
Address			
L			
·			
Name	UK Postcode		
L			
Address			
<u> </u>	UK Postcode		

Postcode

## 363s Annual Return Declaration

- > When you have checked all the sections of this form, please complete this page and sign the declaration below
- > If you want to change the made up date of this annual return, please complete 2 below

	produ	o complete			
1.	Declaration			·	
_	I confirm that the details in this annual return are correct as at the made-up-or (shown at 2 below). I enclose the filing fee of £30.				
	Signature (Director +	<del>(ecretary)</del>		Date 11,04,2008  This date must not be earlier than the return date at 2 below	e
	Complete this page then s declaration to the address			Annual Return and the	
2.	Date of this return				
V	This AR is made up to  If you are making this return up to an earlier date, please give the date here				
		<u> </u>	/	/	
		Note The for	rm must be	delivered to CH within 28 days of this date	•
3.	Date of next return				
	☐ If you wish to change your next return to a date earlier than 28th March 2009 please give the new date here				
		L L	/	/	
4	Where to send this f	orm			
abla	Please return this form to				
	Registrar of Companies Companies House Crown Way Cardiff CF14 3UZ	OR		For members of the Hays Docume Exchange service DX 33050 Cardiff	nt
	Have you enclosed the fi reverse of the cheque?	ling fee wit	h the con	npany number written on the	
C	ontact Address				
Cor info	i do not have to give any co npanies House to contact y rmation that you give will be ntact Name	ou if there is	a query e earchers	on the form The contact	
	lress			oer <i>if applicable</i>	
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