

363a

001148/15

Please complete in typescript,
or in bold black capitals.

Annual Return

CHFP001

Company Number 3765319

Company Name in full SEI Investments (Europe) Ltd

Date of this return

The information in this return is made up to

Day Month Year

13 10 / 10 14 / 12 10 10 13

Date of next return

If you wish to make your next return
to a date earlier than the anniversary
of this return please show the date here.
Companies House will then send a form
at the appropriate time.

Day Month Year

 / /

Registered Office

Show here the address at the date of
this return.

4th Floor, The Economist Buildings

25 St. James's Street

Any change of
registered office
must be notified
on form 287.

Post town

London

County / Region

UK Postcode

SE W 1 A 1 H A

Principal business activities

Show trade classification code number(s)
for the principal activity or activities.

6712

If the code number cannot be determined,
give a brief description of principal activity.



A16
COMPANIES HOUSE

A1LZ0LCS

0193
29/05/03

When you have completed and signed the form please send it to the
Registrar of Companies at:

Companies House, Crown Way, Cardiff, CF14 3UZ DX 33050 Cardiff
for companies registered in England and Wales

or

Companies House, 37 Castle Terrace, Edinburgh, EH1 2EB
for companies registered in Scotland

DX 235 Edinburgh
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Register of members

If the register of members is not kept at the registered office, state here where it is kept.

Post town

County / Region

UK Postcode

Register of Debenture holders

If there is a register of debenture holders, or a duplicate of any such register or part of it, which is not kept at the registered office, state here where it is kept.

Post town

County / Region

UK Postcode

Company type

Public limited company

Private company limited by shares

Private company limited by guarantee without share capital

Private company limited by shares exempt under section 30

Private company limited by guarantee exempt under section 30

Private unlimited company with share capital

Private unlimited company without share capital

<input type="checkbox"/>
<input checked="" type="checkbox"/>
<input type="checkbox"/>
<input type="checkbox"/>
<input type="checkbox"/>
<input type="checkbox"/>
<input type="checkbox"/>

Please tick the appropriate box

Company Secretary

Details of a new company secretary must be notified on form 288a.

(Please photocopy this area to provide details of joint secretaries).

Name

* Style / Title

Forename(s)

Surname

Address

If a partnership give the names and addresses of the partners or the name of the partnership and office address.

Usual residential address must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.

Post town

County / Region

Country

UK Postcode

Directors

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a

Name * Style / Title _____

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

Date of birth Day Month Year
14/02/1966

Forename(s) Todd

Surname Cipperman

Address 708 Mancill Road

Usual residential

address must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.

Post town Wayne

County / Region Pennsylvania PA 19087 UK Postcode _____

Country USA Nationality American

Business occupation Attorney

* Voluntary details.

Name * Style / Title Mr

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

Date of birth Day Month Year
21/10/1956

Forename(s) Patrick William Wynn

Surname Disney

Address 10 Pembroke Square

Usual residential

address must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.

Post town London

County / Region _____ UK Postcode W8 16 PA

Country _____ Nationality British

Business occupation Director

Issued share capital
Enter details of all the shares in issue
at the date of this return.

Class
(e.g. Ordinary/Preference)

**Number of
shares issued**

**Aggregate
Nominal Value**
(i.e. Number of shares issued
multiplied by nominal value per
share, or total amount of stock)

Ordinary	13800000 7300000	£13800000 £7300000
Totals	13800000 7300000	£13800000 £7300000

List of past and present shareholders
(Use attached schedule where appropriate)
A full list is required if one was not
included with either of the last two
returns.

There were no changes in the period

☐

on paper in another format

A list of changes is enclosed

☐
☐

A full list of shareholders is enclosed

☒
☐

Certificate

I certify that the information given in this return is true to the best of my
knowledge and belief.

Signed

Greg Jones

Date

13/02/2003

† Please delete as appropriate

† a director / ~~XXXXXX~~

When you have signed the return send it
with the fee to the Registrar of Companies.
Cheques should be made payable to
Companies House.

This return includes

0

continuation sheets.

(enter number)

Please give the name, address,
telephone number, and if available,
a DX number and Exchange, for
the person Companies House should
contact if there is any query.

Jordan Company Secretaries Limited

21 St Thomas Street

Bristol BS1 6JS

Tel Ref: (N32824)SS(1899)

DX number DX 78161

DX exchange BRISTOL

Directors

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a

Name * Style / Title _____
Day Month Year

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

Date of birth 3 0 / 0 5 / 1 9 5 7

Forename(s) Carl Anthony

Surname Guarino

Address 15 Oak Glen Drive

Usual residential address

must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.

Post town Malvern

County / Region Pennsylvania PA 19355

UK Postcode _____

Country USA

Nationality American

Business occupation Director

* Voluntary details.

Name * Style / Title Mr
Day Month Year

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

Date of birth 1 1 7 / 0 8 / 1 0 4 6

Forename(s) Robert A

Surname Nesher

Address 8 South Street

Usual residential address

must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.

PO Box 2781

Post town Kennebunkport

County / Region ME04046

UK Postcode _____

Country USA

Nationality American

Business occupation Business Executive

Directors

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a

Name * Style / Title _____

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

Date of birth Day Month Year

17/09/1961

Forename(s) Joseph Paul

Surname Ujobai

Address 15 Hans Place

Usual residential address must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.

Post town London

County / Region UK Postcode SW1X 0EU

Country Nationality American

Business occupation Managing Director

* Voluntary details.

Name * Style / Title _____

Day Month Year

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

Date of birth

Forename(s)

Surname

Address

Usual residential address must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.

Post town

County / Region UK Postcode

Country Nationality

Business occupation

List of past and present shareholders Schedule to form 363a

Company Number 13765319

Company Name in full SEI Investments (Europe) Ltd

- ↗ Changes to shareholders particulars or details of the amount of stock or shares transferred must be completed each year
- ↗ You must provide a "full list" of all the company shareholders on:
- The company's first annual return following incorporation;
 - Every third annual return after a full list has been provided
- ↗ List the company shareholders in alphabetical order or provide an index
- ↗ List joint shareholders consecutively

	Class and number of shares or amount of stock held	Shares or amount of stock transferred	
		Class and number of shares or amount of stock transferred	Date of registration of transfer
Name <u>SEI - Global Investments Corp.</u> <u>One Freedom Valley Drive</u> <u>Oaks</u> <u>Pennsylvania 19456</u> <u>USA</u>	Ordinary 7300000 13,800,000		
Name UK Postcode			
Name Address UK Postcode			