

NUMIS NOMINEES (CLIENT) LIMITED
(formerly NUMIS PRIVATE EQUITY LIMITED)
COMPANY NUMBER 3218624
ANNUAL REPORT
FOR THE YEAR ENDED 30 SEPTEMBER 2011



NUMIS NOMINEES (CLIENT) LIMITED
(Registered Number 3218624)
ANNUAL REPORT
YEAR ENDED 30 SEPTEMBER 2011

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NUMIS NOMINEES (CLIENT) LIMITED
DIRECTORS, OFFICERS AND REGISTERED OFFICE

DIRECTORS

O A Hemsley
S Denyer
M D Pennicott

COMPANY SECRETARY

S Denyer

REGISTERED OFFICE

10 Paternoster Square
London
EC4M 7LT

**NUMIS NOMINEES (CLIENT) LIMITED
DIRECTORS' REPORT**

The directors present their annual report on the affairs of the company, together with the financial statements for the year ended 30 September 2011

PRINCIPAL ACTIVITY

The principal activity of the company is to act as a nominee company to Numis Securities Limited, its immediate parent undertaking

CHANGE OF NAME

The change of name from Numis Private Equity Limited to Numis Nominees (Client) Limited took effect from 8 January 2011

DIRECTORS

The directors who served during the year are shown below

O A Hemsley
S Denyer
M D Pennicott

No directors had any interest in the shares of the company. As at 30 September 2011 there are no unexercised options over the shares of the ultimate parent undertaking, Numis Corporation Plc, held by the directors. The interests in ordinary shares of Numis Corporation Plc of those directors who are also directors of Numis Corporation Plc are disclosed in the Group financial statements. The interests in ordinary shares of Numis Corporation Plc of the remaining directors are shown below.

M D Pennicott's beneficial interest in the ordinary shares of the ultimate parent undertaking, Numis Corporation Plc, totals 25,000 shares (2010: 25,000 shares)

AUDIT

For the year ending 30 September 2011 the company was entitled to exemption from audit under section 480 of the Companies Act 2006 relating to dormant companies

BY ORDER OF THE BOARD

S Denyer
Secretary
10 Paternoster Square
London
EC4M 7LT



20 December 2011

NUMIS NOMINEES (CLIENT) LIMITED
STATEMENT OF DIRECTORS' RESPONSIBILITIES

The directors are responsible for preparing the Directors' Report and the financial statements in accordance with applicable law and regulations

Company law requires the directors to prepare financial statements for each financial year. Under that law the directors have elected to prepare the financial statements in accordance with International Financial Reporting Standards (IFRSs) as adopted by the European Union. Under company law the directors must not approve the financial statements unless they are satisfied that they give a true and fair view of the state of affairs of the company and of the profit or loss of the company for that period. In preparing these financial statements, the directors are required to

- 1 select suitable accounting policies and then apply them consistently,
- 2 make judgments and estimates that are reasonable and prudent,
- 3 state whether applicable International Financial Reporting Standards (IFRSs) as adopted by the European Union have been followed, subject to any material departures disclosed and explained in the financial statements,
- 4 prepare the financial statements on the going concern basis unless it is inappropriate to presume that the Company will continue in business.

The directors are responsible for keeping adequate accounting records that are sufficient to show and explain the company's transactions and disclose with reasonable accuracy at any time the financial position of the company and enable them to ensure that the financial statements comply with the Companies Act 2006. They are also responsible for safeguarding the assets of the company and hence for taking reasonable steps for the prevention and detection of fraud and other irregularities.

The directors are responsible for the maintenance and integrity of the company's website. Legislation in the United Kingdom governing the preparation and dissemination of financial statements may differ from legislation in other jurisdictions.

The members have not required the company to obtain an audit of its accounts for the year in question in accordance with section 476 of the Companies Act 2006.

NUMIS NOMINEES (CLIENT) LIMITED
BALANCE SHEET
30 SEPTEMBER 2011

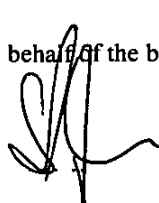
	Notes	2011 £	2010 £
CURRENT ASSETS			
Receivables	3	1,000	1,000
		<u> </u>	<u> </u>
EQUITY			
Share capital	4	1,000	1,000
		<u> </u>	<u> </u>
EQUITY ATTRIBUTABLE TO EQUITY HOLDERS OF THE COMPANY		1,000	1,000
		<u> </u>	<u> </u>

For the year ending 30 September 2011 the company was entitled to exemption from audit under section 480 of the Companies Act 2006 relating to dormant companies

The directors acknowledge their responsibilities for complying with the requirements of the Companies Act 2006 with respect to accounting records and the preparation of accounts

The members have not required the company to obtain an audit of its accounts for the year in question in accordance with section 476 of the Companies Act 2006

Signed on behalf of the board on 20 December 2011


S Denyer
Numis Nominees (Client) Limited
Registered Number 3218624

. Director

The accompanying notes are an integral part of this balance sheet

NUMIS NOMINEES (CLIENT) LIMITED
NOTES TO THE FINANCIAL STATEMENTS
YEAR ENDED 30 SEPTEMBER 2011

1 ACCOUNTING POLICIES

Accounting convention

The Company's financial statements have been prepared in accordance with International Financial Reporting Standards (IFRS) as adopted by the European Union (EU) and in accordance with IFRIC interpretations and the Companies Act 2006 applicable to companies reporting under IFRS

Cash flow statement

The company had no cash or cash equivalent balances as at 30 September 2009, 30 September 2010, or 30 September 2011. Similarly there were no movements in cash or cash equivalents during the year ended 30 September 2010 or 30 September 2011. Hence no cash flow statement is presented

2 INCOME STATEMENT

The company receives no income and any expenses are paid and borne by Numis Securities Limited, a fellow subsidiary undertaking. Accordingly, no profit and loss account is presented

3 RECEIVABLES	2011	2010
	£	£
The following items are included as receivables		
Amount due from group undertaking	1,000	1,000
	<u> </u>	<u> </u>

4 SHARE CAPITAL	2011	2010
	£	£
Authorised		
1,000 ordinary shares of £1 each	1,000	1,000
	<u> </u>	<u> </u>
Issued and fully paid		
1,000 ordinary shares of £1 each	1,000	1,000
	<u> </u>	<u> </u>

5 ULTIMATE PARENT COMPANY AND CONTROLLING PARTY

The immediate parent undertaking is Numis Corporation Plc, which is registered in England and Wales

There is no ultimate controlling party within the group

The results of Numis Nominees (Client) Limited are consolidated into the accounts of Numis Corporation Plc. The accounts of Numis Corporation Plc are available to the general public from Companies House, Crown Way, Mandy, Cardiff