



Companies House

for the record

Please complete in typescript,
or in bold black capitals.

CHFP000

N/M

363a

AMENDED

Annual Return

Company Number 3127076

Company Name in full RAYMOND JAMES FINANCIAL INTERNATIONAL LIMITED

Date of this return

The information in this return is made up to

Day Month Year

1 6 / 1 1 / 2 0 0 4

Date of next return

If you wish to make your next return
to a date earlier than the anniversary
of this return please show the date here.
Companies House will then send a form
at the appropriate time.

Day Month Year

/ / / / /

Registered Office

Show here the address at the date of
this return.

NEPTUNE HOUSE - TRITON COURT

14 FINSBURY SQUARE

Any change of
registered office
must be notified
on form 287.

Post town LONDON

County / Region

UK Postcode

E C 2 A 1 B R

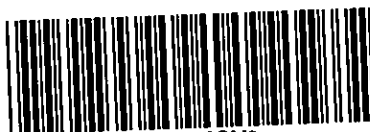
Principal business activities

Show trade classification code number(s)
for the principal activity or activities.

6712

If the code number cannot be determined,
give a brief description of principal activity.

TUESDAY



A23

AP12J9SM

12/05/2009

COMPANIES HOUSE

83

When you have completed and signed the form please send it to the
Registrar of Companies at:

Companies House, Crown Way, Cardiff, CF14 3UZ

DX 33050 Cardiff

for companies registered in England and Wales

or

Companies House, 37 Castle Terrace, Edinburgh, EH1 2EB

DX 235 Edinburgh

for companies registered in Scotland

or LP - 4 Edinburgh 2

Register of members

If the register of members is not kept at the registered office, state here where it is kept.

AT REGISTERED OFFICE

Post town

County / Region

UK Postcode

Register of Debenture holders

If there is a register of debenture holders, or a duplicate of any such register or part of it, which is not kept at the registered office, state here where it is kept.

AT REGISTERED OFFICE

Post town

County / Region

UK Postcode

Company type

Public limited company

Private company limited by shares

Private company limited by guarantee without share capital

Private company limited by shares exempt under section 30

Private company limited by guarantee exempt under section 30

Private unlimited company with share capital

Private unlimited company without share capital

☐☒☐☐☐☐☐

Please tick the appropriate box

Company Secretary

* Voluntary details.

(Please photocopy this area to provide details of joint secretaries).

Name

* Style / Title

MR

Forename(s)

JOHN

Surname

CRITCHLOW

Address **

1501 75TH CIRLCE NE

** Tick this box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985 otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address.

☐

ST. PETERSBURG, FLORIDA 33716

Post town

ST. PETERSBURG, FLORIDA 33702

County / Region

UK Postcode

Country

USA

If a partnership give the names and addresses of the partners or the name of the partnership and office address.

Directors

Details of new directors must be notified on form 288a

Please list directors in alphabetical order.

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

**** Tick this box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985 otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address.**

Name	* Style / Title	MR									
		Day	Month	Year							
	Date of birth	2	7	/	0	7	/	1	9	4	1
	Forename(s)	THOMAS STANLEY									
	Surname	FRANKE									
Address **		4907 NEW PROVIDENCE AVENUE									
	Post town	TAMPA									
	County / Region	FLORIDA 33629					UK Postcode	L L L L L L L L			
	Country	USA					Nationality	AMERICAN			
Business occupation	MANAGEMENT IN FINANCIAL SERVICES										

* Voluntary details.

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

**** Tick this box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985 otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address.**

Name	* Style / Title	MR									
		Day	Month	Year							
	Date of birth	2	4	/	1	2	/	1	9	3	7
	Forename(s)	ROBERT FLETCHER									
	Surname	SHUCK III									
Address **		7991 - 11TH AVENUE SOUTH									
	Post town	ST. PETERSBURG									
	County / Region	FLORIDA 33707					UK Postcode	L L L L L L L L			
	Country	USA					Nationality	AMERICAN			
Business occupation	MANAGEMENT IN FINANCIAL SERVICES										

Issued share capital

Enter details of all the shares in issue at the date of this return.

Class (e.g. Ordinary/Preference)	Number of shares issued	Aggregate Nominal Value (i.e. Number of shares issued multiplied by nominal value per share, or total amount of stock)
ORDINARY	4226	£211,300
Totals	4226	£211,300

List of past and present shareholders

(Use attached schedule where appropriate)

A full list is required if one was not included with either of the last two returns.

There were no changes in the period

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on paper in another format

A list of changes is enclosed


☐☐

A full list of shareholders is enclosed

☒☐**Certificate**

I certify that the information given in this return is true to the best of my knowledge and belief.

Signed



Date

30/04/2009

† Please delete as appropriate.

† ~~a director~~/secretary

When you have signed the return send it with the fee to the Registrar of Companies. Cheques should be made payable to **Companies House**.

This return includes

2

(enter number)

continuation sheets.

You do not have to give any contact information in the box opposite but if you do, it will help Companies House to contact you if there is a query on the form. The contact information that you give will be visible to searchers of the public record.

Tel

DX number DX exchange

Directors

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

****** Tick this box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985 otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address.

Name	* Style / Title	MR		
		Day	Month	Year
Date of birth		1	2	04 / 19 / 61
Forename(s)		PAUL		
Surname		STEINHAUSER		
Address **	<input type="checkbox"/>	1940 ILLINOIS NE		
Post town		ST. PETERSBURG		
County / Region		FLORIDA 33703	UK Postcode	
Country		USA	Nationality	AMERICAN
Business occupation		MANAGING DIRECTOR		

* Voluntary details.

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

****** Tick this box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985 otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address.

Name	* Style / Title	MR		
		Day	Month	Year
Date of birth		1	2	06 / 19 / 59
Forename(s)		JEFFREY		
Surname		TROCIN		
Address **	<input type="checkbox"/>	1439 WETHERINGTON WAY		
Post town		PALM HARBOR		
County / Region		FLORIDA 34683	UK Postcode	
Country		USA	Nationality	AMERICAN
Business occupation		EXECUTIVE VICE PRESIDENT		



List of past and present shareholders Schedule to form 363a

CHFP000

Company Number 03127076

Company Name in full RAYMOND JAMES FINANCIAL INTERNATIONAL LIMITED

- Changes to shareholders particulars or details of the amount of stock or shares transferred must be completed each year
- You must provide a "full list" of all the company shareholders on:
 - The company's first annual return following incorporation;
 - Every third annual return after a full list has been provided
- List the company shareholders in alphabetical order or provide an index
- List joint shareholders consecutively

Shareholders' details	Class and number of shares or amount of stock held	Shares or amount of stock transferred (if appropriate)	
		Class and number of shares or amount of stock transferred	Date of registration of transfer
Name <i>Raymond James International Holdings, Inc.</i> Address 880 CARILLON PARKWAY ST. PETERSBURG, FLORIDA 33716 UK Postcode	ORDINARY - 4226		
Name Address UK Postcode			
Name Address UK Postcode			