



Companies House

for the record

Please complete in typescript,  
or in bold black capitals.

CHFP000

N/A

363a

AMENDED  
**Annual Return**

Company Number 3127076

Company Name in full RAYMOND JAMES FINANCIAL INTERNATIONAL LIMITED

**Date of this return**

The information in this return is made up to

Day Month Year  
1 6 / 1 1 / 2 0 0 3

**Date of next return**

If you wish to make your next return  
to a date earlier than the anniversary  
of this return please show the date here.  
Companies House will then send a form  
at the appropriate time.

Day Month Year  
      /       /         

**Registered Office**

Show here the address at the date of  
this return.

NEPTUNE HOUSE - TRITON COURT

14 FINSBURY SQUARE

Any change of  
registered office  
must be notified  
on form 287.

Post town

LONDON

County / Region

UK Postcode

E C 2 A    1 B R

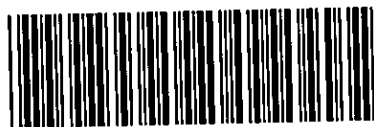
**Principal business activities**

Show trade classification code number(s)  
for the principal activity or activities.

6712

If the code number cannot be determined,  
give a brief description of principal activity.

TUESDAY



A23

\*AP12F9SI\*

12/05/2009

87

COMPANIES HOUSE

When you have completed and signed the form please send it to the  
Registrar of Companies at:

**Companies House, Crown Way, Cardiff, CF14 3UZ**

**DX 33050 Cardiff**

for companies registered in England and Wales

or

**Companies House, 37 Castle Terrace, Edinburgh, EH1 2EB**

**DX 235 Edinburgh**

for companies registered in Scotland

or LP - 4 Edinburgh 2

## Register of members

If the register of members is not kept at the registered office, state here where it is kept.

AT REGISTERED OFFICE

Post town

County / Region

UK Postcode

## Register of Debenture holders

If there is a register of debenture holders, or a duplicate of any such register or part of it, which is not kept at the registered office, state here where it is kept.

AT REGISTERED OFFICE

Post town

County / Region

UK Postcode

## Company type

Public limited company

Private company limited by shares

Private company limited by guarantee without share capital

Private company limited by shares exempt under section 30

Private company limited by guarantee exempt under section 30

Private unlimited company with share capital

Private unlimited company without share capital

☐☒☐☐☐☐☐

Please tick the appropriate box

## Company Secretary

\* Voluntary details.

(Please photocopy this area to provide details of joint secretaries).

Name

\* Style / Title

MR

Forename(s)

JOHN

Surname

CRITCHLOW

Address \*\*

42 BRAEMAR AVENUE

\*\* Tick this box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985 otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address.

☐

Post town

LONDON

County / Region

UK Postcode

S W 1 9 8 A Z

Country

UNITED KINGDOM

If a partnership give the names and addresses of the partners or the name of the partnership and office address.

Details of a new company secretary must be notified on form 288a.

## Directors

Details of new directors must be notified on form 288a

Please list directors in alphabetical order.

**Directors** In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

†† Tick this box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985 otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address.

Name	* Style / Title	MR		
	Day	Month	Year	
Date of birth	2 7 / 0 7 / 1 9 4 1			
Forename(s)	THOMAS STANLEY			
Surname	FRANKE			
Address ††	4907 NEW PROVIDENCE AVENUE			
Post town	TAMPA			
County / Region	FLORIDA 33629	UK Postcode		
Country	USA	Nationality	AMERICAN	
Business occupation	MANAGEMENT IN FINANCIAL SERVICES			

\* Voluntary details.

**Directors** In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

†† Tick this box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985 otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address.

Name	* Style / Title	MR		
	Day	Month	Year	
Date of birth	2 4 / 1 2 / 1 9 3 7			
Forename(s)	ROBERT FLETCHER			
Surname	SHUCK III			
Address ††	7991 - 11TH AVENUE SOUTH			
Post town	ST PETERSBURG			
County / Region	FLORIDA 33707	UK Postcode		
Country	USA	Nationality	AMERICAN	
Business occupation	MANAGEMENT IN FINANCIAL SERVICES			

**Issued share capital**

Enter details of all the shares in issue at the date of this return.

Class (e.g. Ordinary/Preference)	Number of shares issued	Aggregate Nominal Value (i.e. Number of shares issued multiplied by nominal value per share, or total amount of stock)
ORDINARY	1000	£50,000
Totals	1000	£50,000

**List of past and present shareholders**

(Use attached schedule where appropriate)

A full list is required if one was not included with either of the last two returns.

There were no changes in the period



on paper      in another format

A list of changes is enclosed




A full list of shareholders is enclosed

**Certificate**

I certify that the information given in this return is true to the best of my knowledge and belief.

Signed



Date

30/04/2009

† Please delete as appropriate.

† a ~~director~~/secretary

When you have signed the return send it with the fee to the Registrar of Companies. Cheques should be made payable to **Companies House**.

This return includes  continuation sheets.

(enter number)

You do not have to give any contact information in the box opposite but if you do, it will help Companies House to contact you if there is a query on the form. The contact information that you give will be visible to searchers of the public record.

\_\_\_\_\_  
\_\_\_\_\_

\_\_\_\_\_ Tel \_\_\_\_\_

DX number \_\_\_\_\_ DX exchange \_\_\_\_\_

## Directors

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a

**Directors** In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

**\*\* Tick this box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985 otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address.**

Name	* Style / Title	MR								
	Day	Month	Year							
Date of birth	1	2	/	0	4	/	1	9	6	1
Forename(s)	PAUL									
Surname	STEINHAUSER									
Address **	1940 ILLINOIS AVENUE NE									
Post town	ST PETERSBURG									
County / Region	FLORIDA 33703					UK Postcode				
Country	USA					Nationality	AMERICAN			
Business occupation	MANAGING DIRECTOR									

\* Voluntary details.

**Directors** In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

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Name	* Style / Title	MR								
	Day	Month	Year							
Date of birth	1	2	/	0	6	/	1	9	5	9
Forename(s)	JEFFREY									
Surname	TROCIN									
Address **	1439 WETHERINGTON WAY									
Post town	PALM HARBOR									
County / Region	FLORIDA 34683					UK Postcode				
Country	USA					Nationality	AMERICAN			
Business occupation	EXECUTIVE VICE PRESIDENT									



## List of past and present shareholders Schedule to form 363a

CHFP000

Company Number 03127076

Company Name In full RAYMOND JAMES FINANCIAL INTERNATIONAL LIMITED

- Changes to shareholders particulars or details of the amount of stock or shares transferred must be completed each year
- You must provide a "full list" of all the company shareholders on:
  - The company's first annual return following incorporation;
  - Every third annual return after a full list has been provided
- List the company shareholders in alphabetical order or provide an index
- List joint shareholders consecutively

Shareholders' details	Class and number of shares or amount of stock held	Shares or amount of stock transferred (if appropriate)	
		Class and number of shares or amount of stock transferred	Date of registration of transfer
Name <b>RAYMOND JAMES</b> <b>INTERNATIONAL HOLDINGS, INC.</b> Address 880 CARILLON PARKWAY ST. PETERSBURG, FLORIDA 33716 USA UK Postcode	ORDINARY - 1000		
Name Address UK Postcode			
Name Address UK Postcode			