



Companies House

— for the record —

Company Name

RAYMOND JAMES FINANCIAL
INTERNATIONAL LIMITED

363s Annual Return

Company Type

Private Company Limited By
Shares

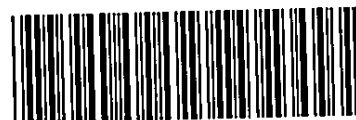
Company Number

3127076

Information extracted from
Companies House records on
22nd October 2005

- > Please check the details printed in blue on this statement
- > If any details are wrong, strike them through and write the correct details in the "Amended details" column
- > Please use black pen and write in capital letters

SATURDAY



A10 *A62D10P9* 637
14/04/2007
COMPANIES HOUSE

Section 1: Company details

Ref 3127076/03/10

| | Current details | Amended details | | | | | | | | | | | | | | | | | | |
|--|--|--|-------------|------|------------------------------------|---|----------|-------------|--|--|--|--|--|--|--|--|--|--|--|--|
| > Registered Office Address <i>If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column</i> | Bishopsgate Court 4-12 Norton Folgate London E1 6DB | Address _____ _____ _____ UK Postcode _ _ _ _ _ | | | | | | | | | | | | | | | | | | |
| > Register of Members <i>If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column</i> | Address where the Register is held At Registered Office | Address _____ _____ _____ UK Postcode _ _ _ _ _ | | | | | | | | | | | | | | | | | | |
| > Register of Debenture Holders <i>If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column</i> | Not Applicable | Address _____ _____ _____ UK Postcode _ _ _ _ _ | | | | | | | | | | | | | | | | | | |
| > Principal Business Activities <i>If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column</i> | <table border="1"> <thead> <tr> <th>SIC Code</th> <th>Description</th> </tr> </thead> <tbody> <tr> <td>6712</td> <td>Security broking & fund management</td> </tr> </tbody> </table> | SIC Code | Description | 6712 | Security broking & fund management | <table border="1"> <thead> <tr> <th>SIC CODE</th> <th>Description</th> </tr> </thead> <tbody> <tr><td> </td><td> </td></tr> <tr><td> </td><td> </td></tr> <tr><td> </td><td> </td></tr> <tr><td> </td><td> </td></tr> <tr><td> </td><td> </td></tr> <tr><td> </td><td> </td></tr> </tbody> </table> | SIC CODE | Description | | | | | | | | | | | | |
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| 6712 | Security broking & fund management | | | | | | | | | | | | | | | | | | | |
| SIC CODE | Description | | | | | | | | | | | | | | | | | | | |
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| > Please enter additional principal activity code(s) in "Amended details" column <i>See notes for guidance for list of activity codes</i> | | | | | | | | | | | | | | | | | | | | |

Company Number - 3127076

Section 2: Details of Officers of the Company

312

If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column

| | Current details | Amended details |
|---|---|--|
| <p>> Company Secretary If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column</p> <p>Particulars of a new Company Secretary must be notified on form 288a</p> | <p>Name John CRITCHLOW</p> <p>Address 42 Braemar Avenue London SW19 8AZ</p> | <p>Name</p> <p><input type="checkbox"/> Tick this box if this address is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985</p> <p>Address 1501 75TH CIRCLE NE ST PETERSBURG FLORIDA U.S.A.</p> <p>UK Postcode _ _ _ _ _</p> <p>Date of change _ _ / _ _ / _ _ _ _</p> <p>Date John CRITCHLOW ceased to be secretary (if applicable) _ _ / _ _ / _ _ _ _</p> |
| <p>> Director If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column</p> <p>Particulars of a new Director must be notified on form 288a</p> | <p>Name Thomas Stanley FRANKE</p> <p>Address 4907 New Providence Avenue Tampa Florida America 33629</p> <p>Date of birth 27/07/1941</p> <p>Nationality American</p> <p>Occupation Management In The Financial Se</p> | <p>Name</p> <p><input type="checkbox"/> Tick this box if this address is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985</p> <p>Address</p> <p>UK Postcode _ _ _ _ _</p> <p>Date of birth _ _ / _ _ / _ _ _ _</p> <p>Nationality _ _ _ _ _</p> <p>Occupation _ _ _ _ _</p> <p>Date of change _ _ / _ _ / _ _ _ _</p> <p>Date Thomas Stanley FRANKE ceased to be director (if applicable) _ _ / _ _ / _ _ _ _</p> |

Particulars of a new Director
must be notified on form
288a

Amended details

Name _____

☐ Tick this box if this address is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985

Address
13606 EAGLES WALK DRIVE
CLEARWATER, FL 33762
USA

UK Postcode L L L L L L L

Date of birth / /

Nationality _____

Occupation _____

Date of change / /

Date Robert Fletcher SHUCK III ceased
to be director (if applicable)

1 2 / 1 2 / 1 2 1 2 1

If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column

Name _____

☐ Tick this box if this address is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985

Address
1948 HAWAII AVENUE NE
ST PETERSBURG, FL 33703
USA

UK Postcode L L - L L L L

Date of birth / /

Nationality _____

Occupation _____

Date of change _ _ / _ _ / _ _ _ _

Date Paul STEINHAUSER ceased to be director (if applicable)

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Company Number - 3127076

Section 3: Share Capital

3127

| | Current details | Amended details |
|---|---|--|
| > Issued Share Capital <i>This table shows the total number of shares that have been issued by your company and their Nominal Value. If any of the details are wrong, please fill in the correct details</i> | Class of share Ordinary Nominal value of each share £50.00 Number of shares issued 1,000 Aggregate Nominal Value of issued shares £50,000.00 | Class of share Nominal value of each share Number of shares issued <u>9021</u> Aggregate Nominal Value of issued shares <u>£ 451,050.00</u> |
| > Total shares issued and value <i>If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column</i> | Total number of shares issued 1,000 Total Nominal value of shares issued £50,000.00 | Total number of shares issued <u>9021</u> Total Nominal value of shares issued <u>£ 451,050.00</u> |
| > At the date of this Annual Return, if the company has altered or changed its share capital in any way or allotted new shares, please refer to the guidance notes for details of the appropriate form that should be sent with this Annual Return. Annual return guidance notes are available on the Companies House web site at www.companieshouse.gov.uk or by ringing 0870 3333636 | | |

3127076

Section 4: Details of Shareholders

- > The details we hold on your company's shareholders and their shareholdings are printed below. These are based on your last Annual Return
- > If any details have changed, or if any shares have been transferred, please fill in the details in the "Amended details" or "Shares transferred" column
- > Please give details of any other shareholders in Section 5

| Current details | | Amended details | | Shares transferred | | |
|--|-----------------------|------------------------------------|---------------|---|---------------|-------------------------|
| > Shareholder Name RAYMOND JAMES FINANCIAL INC | | Name <hr/> | | Shares transferred by RAYMOND JAMES FINANCIAL INC. | | |
| Address 880 Canilon Parkway Po Box 12749 St Petersburg Florida United States Of America | | Address <hr/> | | | | |
| | | <hr/> | | | | |
| | | UK Postcode _ _ _ _ _ | | | | |
| Shares held Class Ordinary | Number 1000 | Shares held Class | Number | Class | Number | Date of transfer |
| | | | | | | _ _ / _ _ / _ _ _ _ |
| | | | | | | _ _ / _ _ / _ _ _ _ |

Company Number - 3127076

Section 5: Details of Other Shareholders

- > Please fill in details of any persons or corporate bodies who are ~~not~~ the company at the date of this return, but whose details are not printed in Section 4
- > Also, provide the details of any persons who became but have ceased to be shareholders of the company since the date of the last annual return
- > For jointly held shares please list those joint shareholders consecutively on the form. If a joint shareholder also holds shares in their own right, list that holding separately
- > Please copy this page if there is not enough space to enter all the company's other shareholders

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Companies House
for the record

| Shareholders details | Class and number of shares or amount of stock held | Class and number of shares or amount of stock transferred (If appropriate) | Date of registration of transfer (If appropriate) |
|---|--|--|---|
| Name <u>RAYMOND JAMES</u> <u>INTERNATIONAL HOLDINGS, INC.</u> Address <u>880 CARILLON PARKWAY</u> <u>ST PETERSBURG, FL. 33716</u> <u>USA</u> UK Postcode _ _ _ _ _ | 8021 ORDINARY | N/A | N/A |
| Name _____ Address _____ _____ _____ UK Postcode _ _ _ _ _ | | | |
| Name _____ Address _____ _____ _____ UK Postcode _ _ _ _ _ | | | |
| Name _____ Address _____ _____ _____ UK Postcode _ _ _ _ _ | | | |

363s Annual Return Declaration

- > When you have checked all the sections of this form, please complete this page and sign the declaration below
- > If you want to change the made up date of this annual return, please complete 2 below

1. Declaration

☒ I confirm that the details in this annual return are correct as at the made-up-date (shown at 2 below) I enclose the filing fee of £30

Signature

[Handwritten Signature]
(Director / Secretary)

Date

12 / 04 / 2007

This date must not be earlier than the return date at 2 below

What to do now

Complete this page then send the whole of the Annual Return and the declaration to the address shown at 4 below

2. Date of this return

☐ This AR is made up to 16/11/2005

If you are making this return up to an earlier date, please give the date here

__ / __ / ____

Note The form must be delivered to CH within 28 days of this date

3. Date of next return

☐ If you wish to change your next return to a date earlier than 16th November 2006 please give the new date here

__ / __ / ____

4. Where to send this form

☐ Please return this form to

Registrar of Companies
Companies House
Crown Way
Cardiff CF14 3UZ

OR

For members of the Hays Document
Exchange service
DX 33050 Cardiff

Have you enclosed the filing fee with the company number written on the reverse of the cheque?

Contact Address

You do not have to give any contact information below, but if you do, it will help Companies House to contact you if there is a query on the form. The contact information that you give will be visible to searchers of the public record

Contact Name

Telephone number inc code

Address

DX number if applicable

DX exchange

Postcode
