

101587/150

363a

Please complete in typescript, or in bold black capitals

Annual Return

CHFP000

Company number

2285918

Company name in full

NUMIS SECURITIES LIMITED

Date of this return

The information in this return is made up to

Day Month Year
3 0 9 1 2 0 0 8

Date of next return

If you wish to make your next return to a date earlier than the anniversary of this return please show that date here Companies House will then send a form at the appropriate time

Day Month Year
3 0 9 1 2 0 0 9

Registered Office

Show here the address at the date of this return

10 PATERNOSTER SQUARE

Any change of registered office must be notified on form 287

Post town

LONDON

County/Region

UK Postcode

E C 4 M 7 L T

Principal business activities

Show trade classification code number(s) for the principal activity or activities

6523

If the code number cannot be determined, give a brief description of principal activity

WEDNESDAY



COMPANIES HOUSE

When you have completed and signed the form please send it to the Registrar of Companies at
Companies House, Crown Way, Cardiff, CF14 3UZ
for companies registered in England or Wales or
Companies House, 37 Castle Terrace, Edinburgh, EH1 2EB
For companies registered in Scotland

DX 33050 Cardiff

DX ED235 Edinburgh 1
or LP-4 Edinburgh 2

Register of members

If the register of members is not kept at the registered office, state here where it is kept

10 PATERNOSTER SQUARE

Post town

LONDON

County/Region

UK Postcode

E C 4 M 7 L T**Register of Debenture holders**

If there is a register of debenture holders, or a duplicate of any such register or part of it, which is not kept at the registered office, state here where it is kept

Post town

County/Region

UK Postcode

Company type

Public limited company

Private company limited by shares

Private company limited by guarantee without share capital

Private company limited by shares exempt under section 30

Private company limited by guarantee exempt under section 30

Private unlimited company with share capital

Private unlimited company without share capital

<input type="checkbox"/>
<input checked="" type="checkbox"/>
<input type="checkbox"/>
<input type="checkbox"/>
<input type="checkbox"/>
<input type="checkbox"/>
<input type="checkbox"/>

Please tick the appropriate box

Company Secretary

Details of a new company secretary must be notified on form 288a

* Voluntary details
(Please photocopy this area to provide details of joint secretaries)

Name

*Style/Title

MR

Forename(s)

WILLIAM EDWARD JAMES

Surname

TRENT

Address ††

57 ROSSLYN HILLHAMPSTEAD

Post town

LONDON

County/Region

UK Postcode

N W 3 L S U Q

Country

ENGLAND

†† Tick the box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985. Otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address

☐

If a partnership, give the names and addresses of the partners or the name of the partnership and office address

Directors

Please list the directors in alphabetical order

Details of new directors must be notified on form 288a

* Voluntary details

In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name

Name *Style/Title

MR

Day

Month

Year

Date of birth

2 7 1 0 9 1 1 9 6 2

Forename(s)

OLIVER ALEXANDER

Surname

HEMSLEY

Address ††

URLESS FARM

☐

CORSWORE

Post town

DORCHESTER

County/Region

DORSET

UK Postcode

D T 2 L O N P

Country

ENGLAND

Nationality

BRITISH

Business occupation

STOCKBROKER

†† Tick the box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985. Otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address

Directors

Please list the directors in alphabetical order

Details of new directors must be notified on form 288a

* Voluntary details

In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name

Name *Style/Title

MR

Day

Month

Year

Date of birth

1 6 1 0 7 1 1 9 5 9

Forename(s)

ANDREW LENTON

Surname

HEATH

Address ††

FLAT 1, 15 COURTFIELD GARDENS

☐

Post town

LONDON

County/Region

UK Postcode

S W 5 L O P D

Country

ENGLAND

Nationality

BRITISH

Business occupation

STOCKBROKER

†† Tick the box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985. Otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address

Issue share capital

Enter details of all the shares in issue at the date of this return

Class (e.g.
Ordinary/Preference)

Number of shares
issued

Aggregate Nominal
Value

(i.e. Number of shares
issued multiplied by
nominal value per share, or
total amount of stock)

ORDINARY
SHARES OF £1.00

2,000,000

£2,000,000

Totals

2,000,000

£2,000,000

Traded public companies

A traded public company means a company any of whose shares are shares admitted to trading on a regulated market

Please tick this box if your company was a traded public company at any time during the period of this return

☐**List of past and present shareholders**

(use attached schedule where appropriate)

Please tick the appropriate box below

On paper

In another
format

Private or non-traded public companies are required to provide a "full list" if one was not included with either of the last two returns

A full list of shareholders for a private or non-traded public company is enclosed **Please complete Schedule A**

☒☐

Traded public companies are required to provide a list of shareholders who held at least 5% of the issued shares of any share class if a list was not provided with either of the last two returns

A list of shareholders holding at least 5% of the issued shares of any share class for a traded public company is enclosed **Please complete Schedule B**

☐☐

A list containing shareholder changes is enclosed

☐☐

→ For private or non-traded public companies, please complete **Schedule A**

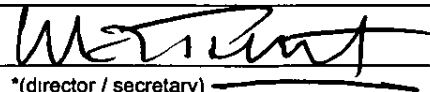
→ For traded public companies, please complete **Schedule B**

There were no shareholder changes in this period

☒**Certificate**

I certify that the information given in this return is true to the best of my knowledge and belief

Signed



Date

6 Nov 2008

* Please delete as appropriate

When you have signed the return, send it with the fee to the Registrar of Companies. Make cheques payable to Companies House

*(director / secretary)

This return includes

☐

continuation sheets

(enter number)

You do not have to give any contact information in the box opposite but if you do, it will help Companies House to contact you if there is a query on the form. The contact information that you give will be visible to searchers of the public record

NUMIS SECURITIES LIMITED (RMSECON)
LONDON STOCK EXCHANGE BUILDING
110 PATERNOSTER SQUARE LONDON EC4 7LT

DX number

DX exchange

Directors

Please list the directors in alphabetical order

* Voluntary details

In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name

Details of new directors must be notified on form 288a

Name *Style/Title

MR

Date of birth

Day

Month

Year

19/02/1953

Forename(s)

DAVID JOHN

Surname

POUTNEY

Address ††

WOOD FARM SIA FARMER GREEN LANE

DIGSWELL

Post town

County/Region

HERTFORDSHIRE ✓

UK Postcode

AL6 10AP

Country

ENGLAND

Nationality

BRITISH

Business occupation

STOCKBROKER

†† Tick the box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985. Otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address

☐**Directors**

Please list the directors in alphabetical order

* Voluntary details

Details of new directors must be notified on form 288a

Name *Style/Title

MS

Date of birth

Day

Month

Year

25/11/1964

Forename(s)

AMANDA

Surname

SHIELDS

Address ††

28 BROOMSLEIGH STREET

Post town

LONDON ✓

County/Region

UK Postcode

NW6 1BH

Country

ENGLAND

Nationality

BRITISH

Business occupation

CHIEF COMPLIANCE OFFICER

†† Tick the box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985. Otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address

☐

Directors

Please list the directors in alphabetical order

* Voluntary details

In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name

Details of new directors must be notified on form 288a

Name *Style/Title MS

Date of birth 0 1 1 0 4 1 9 5 7
Day Month Year

Forename(s) LORNA MONA

Surname TILBIAN

Address ☐ 2 CAMPDEN GROVE
KENSINGTON

Post town LONDON ✓

County/Region

UK Postcode W 8 4 J G

Country ENGLAND

Nationality BRITISH

Business occupation ANALYST

†† Tick the box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985. Otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address

Directors

Please list the directors in alphabetical order

* Voluntary details

In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name

Details of new directors must be notified on form 288a

Name *Style/Title MR

Date of birth 2 0 1 0 7 1 9 5 7
Day Month Year

Forename(s) WILLIAM EDWARD JAMES

Surname TRENT

Address ☐ 57 ROSSLYN HILL
HAMPSTEAD

Post town LONDON ✓

County/Region

UK Postcode N W 3 5 U Q

Country ENGLAND

Nationality BRITISH

Business occupation CHARTERED ACCOUNTANT

†† Tick the box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985. Otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address

Directors

Please list the directors in alphabetical order

* Voluntary details

In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name

Details of new directors must be notified on form 288a

Name *Style/Title MR

Date of birth 3 0 1 0 9 1 9 6 9
Day Month Year

Forename(s) WILL

Surname WALLIS

Address ☐ PARK CORNER FARM, MILLSIDE
HOOK

Post town HAMPSHIRE

County/Region

UK Postcode R G 2 9 1 J B

Country ENGLAND

Nationality BRITISH ✓

Business occupation ANALYST

†† Tick the box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985. Otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address

Directors

Please list the directors in alphabetical order

* Voluntary details

In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name

Details of new directors must be notified on form 288a

Name *Style/Title MR

Date of birth 2 0 1 0 3 1 9 6 5
Day Month Year

Forename(s) CHARLES WILLIAM VERAL

Surname ROBINS

Address ☐ 7 DOGWOOD LANE

Post town WESTPORT CT06880 ✓

County/Region

UK Postcode

Country U.S.A

Nationality BRITISH

Business occupation DIRECTOR

†† Tick the box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985. Otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address

This must only be completed by
private and public limited
companies that have not traded on
a regulated market

Schedule A

for private or non-traded public companies

List of past and present shareholders

(Please use a continuation sheet if required)

Company number 2285918

Company name in full NUMIS SECURITIES LIMITED

- Changes to shareholders' particulars or details of the amount of stock or shares transferred must be completed each year
- You must provide a "full list" of all company shareholders on
 - The company's first annual return following incorporation
 - Every third annual return after a full list has been provided
- List the company shareholders in alphabetical order or provide an index
- List joint shareholders consecutively

Do not give shareholder address information

Shareholder's name only	Class and number of shares or amount of stock held	Shares or amount of stock transferred (if appropriate)	
		Class and number of shares or amount of stock transferred	Date of registration of transfer
Name <u>NUMIS CORPORATION PLC</u>	<u>2,000,000</u>		
Name -----			
Name -----			
Name -----			
Name -----			
Name -----			
Name -----			
Name -----			
Name -----			

Schedule A
for private or non-traded public companies
(continuation sheet)
List of past and present shareholders

Company number _____

Shareholder's name only	Class and number of shares or amount of stock held	Shares or amount of stock transferred (if appropriate)	
		Class and number of shares or amount of stock transferred	Date of registration of transfer
Name -----			
Name -----			
Name -----			
Name -----			
Name -----			
Name -----			
Name -----			
Name -----			
Name -----			
Name -----			
Name -----			
Name -----			

