



COMPANIES HOUSE

THE REGISTRAR OF COMPANIES
COMPANIES HOUSE
CROWN WAY
CARDIFF
CF4 3UZ



A42 *AG12110X* 280
COMPANIES HOUSE 07/08/99

This form should be completed in black.

The information printed below is taken from Companies House records as at 22/06/99
If this information requires amendment use the spaces opposite.

Date of this return (See note 1)

The information in this return should be made up to a date not later than

Day	Month	Year
06	07	99

Date of next return (See note 2)

If you wish to make your next return to a date earlier than the anniversary of this return please show the date here. Companies House will then send a form at the appropriate time.

If you are making the return up to an earlier date, show the date here. Please note that the form must be delivered to Companies House within 28 days of this earlier date.

Day	Month	Year

Day	Month	Year

Registered Office (See note 3)

This is the address registered by Companies House.

25 THE NORTH COLONNADE
CANARY WHARF
LONDON
E14 5HS

Principal business activities (See note 4)

Trade classification is
6523 OTHER FINANCIAL INTERMEDIATION

If the code cannot be determined from the notes, give a brief description of principal activity.

Ugda f12
008352

363s

Annual Return

of company number 01920623

X

company name
THE FINANCIAL SERVICES AUTHORITY

company type
PRIVATE COMPANY LIMITED BY GUARANTEE
WITHOUT SHARE CAPITAL

--	--	--	--

--	--	--	--

--	--	--	--

--	--	--	--

01920623

If the information shown needs amendment, give details below and, for secretary and director particulars, the date of any change.

Register of members (See note 5)

The register is kept at

REGISTERED OFFICE

Register of debenture holders (See note 6)

Any register of debenture holders (or duplicate) is kept at

Company Secretary (See note 7)

Particulars of a new secretary **must** be notified on form 288.

GEOFFREY WILLIAM
KING
11 MOLASSES HOUSE
CLOVE HITCH QUAY
LONDON
SW11 3TN

Day Month Year

--	--	--

Date of any change.

If this person has ceased to be secretary, please state when.

Day Month Year

--	--	--

Date of resignation.

Directors (See note 7)

Particulars of a new director **must** be notified on form 288.

MOIRA ELIZABETH
BLACK
CBE
60 BEAUFORT ROAD
LONDON
W5 3EA

Day Month Year

--	--	--

Date of any change.

Date of Birth:- 20/04/50
Nat:BRITISH
Occ:COMPANY DIRECTOR

If this person has ceased to be director, please state when.

Day Month Year

--	--	--

Date of resignation.

Show any relevant current and previous directorships.

SEE ENCLOSED SHEET.

01920623

If the information shown needs amendment, give details below and the date of any change.

Directors - continued

Particulars.

STEWART CRAUFURD
BOYD
QC
1 GAYTON CRESCENT
LONDON
NW3 1TT

Date of Birth:- 25/10/43
Nat:BRITISH
Occ:QUEENS COUNSEL

If this person has ceased to be director, please state when.

Show any relevant current and previous directorships.

Day Month Year
Date of any change.

Day Month Year
Date of resignation.

SEE ENCLOSED SHEET

Particulars.

DAVID CECIL
CLEMENTI
DEACON HOUSE ATHERTON DRIVE
WIMBLEDON COMMON
LONDON
SW19 5LB

Date of Birth:- 25/02/49
Nat:BRITISH
Occ:BANKER

If this person has ceased to be director, please state when.

Show any relevant current and previous directorships.

Day Month Year
Date of any change.

Day Month Year
Date of resignation.

SEE ENCLOSED SHEET

Particulars.

HOWARD JOHN
DAVIES
3 BRACKENBURY GARDENS
LONDON
W6 0BP

Date of Birth:- 12/02/51
Nat:BRITISH
Occ:CHAIRMAN OF THE FSA

If this person has ceased to be director, please state when.

Show any relevant current and previous directorships.

Day Month Year
Date of any change.

Day Month Year
Date of resignation.

SEE ENCLOSED SHEET

01920623

If the information shown needs amendment, give details below and the date of any change.

Directors - continued

Particulars.

MICHAEL DAVID KENNETH WILLOUGHBY
FOOT
CORDONS
WINDSOR LANE LITTLE KINGSHILL
GREAT MISSENDEN
BUCKINGHAMSHIRE HP16 ONZ

Date of Birth:- 16/12/46

Nat:- BRITISH

Occ:COMPANY DIRECTOR

If this person has ceased to be director, please state when.

Show any relevant current and previous directorships.

Day	Month	Year

Date of any change.

Day	Month	Year

Date of resignation.

SEE ENCLOSED SHEET

Particulars.

DEIRDRE MARY
HUTTON
CBE
ROSEBANK
KELSO
TD5 7PX

Date of Birth:- 15/03/49

Nat:BRITISH

Occ:COMPANY DIRECTOR

If this person has ceased to be director, please state when.

Show any relevant current and previous directorships.

Day	Month	Year

Date of any change.

Day	Month	Year

Date of resignation.

SEE ENCLOSED SHEET

Particulars.

GILLIAN
NOTT
3 ST PETERS SQUARE
LONDON
W6 9AB

Date of Birth:- 16/05/45

Nat:BRITISH

Occ:COMPANY DIRECTOR

If this person has ceased to be director, please state when.

Show any relevant current and previous directorships.

Day	Month	Year
01	06	99

Date of any change.

GILLIAN NOTT OBE

Day	Month	Year

Date of resignation.

SEE ENCLOSED SHEET

01920623

If the information shown needs amendment, give details below and the date of any change.

Directors - continued

Particulars.

JOHN KEITH
OATES
MICHAEL HOUSE
47-67 BAKER HOUSE
LONDON
W1A 1DN

Day Month Year

3 1 0 1 9 9

Date of any change.

a Kensington Gate
London
W08 5JA

Date of Birth:- 03/07/42

Nat:BRITISH

Occ:COMPANY DIRECTOR

If this person has ceased to be director, please state when.

Day Month Year

Date of resignation.

Show any relevant current and previous directorships.

SEE ENCLOSED SHEET

Particulars.

CHRISTOPHER JOHN
RODRIGUES
131 OAKWOOD COURT
ABBOTSBURY ROAD
LONDON
W14 8LA

Day Month Year

Date of any change.

Date of Birth:- 24/10/49

Nat:BRITISH

Occ:CHIEF EXECUTIVE

If this person has ceased to be director, please state when.

Day Month Year

Date of resignation.

Show any relevant current and previous directorships.

SEE ENCLOSED SHEET

Particulars.

SHAMIT
SAGAAR
10 MICHLEHAM DOWN
WOODSIDE PARK
LONDON
N12 7JN

Day Month Year

Date of any change.

Date of Birth:- 14/08/63

Nat:BRITISH

Occ:COMPANY DIRECTOR

If this person has ceased to be director, please state when.

Day Month Year

Date of resignation.

Show any relevant current and previous directorships.

SEE ENCLOSED SHEET

01920623

If the information shown needs amendment, give details below and the date of any change.

Directors - continued

Particulars.

ROBERT HALDANE
SMITH
CARDIE HILL HOUSE
ESHIELS
PEEBLES
EH45 8NA

Date of Birth:- 08/08/44
Nat:BRITISH
Occ:INVESTMENT BANKER

If this person has ceased to be director, please state when.

Show any relevant current and previous directorships.

Day Month Year

01 06 99

Date of any change.

Sir Robert Smith

Day Month Year

Date of resignation.

SEE ENCLOSED SHEET

Particulars.

STEPHEN G
THIEKE
5 MCCARTHY COURT
FARMINGDALE
NY
NASSAU 11735
USA

Date of Birth:- 05/02/47
Nat:USA
Occ:BANKER

If this person has ceased to be director, please state when.

Show any relevant current and previous directorships.

Day Month Year

Date of any change.

Day Month Year

Date of resignation.

SEE ENCLOSED SHEET

Particulars.

PHILLIP ANDREW
THORPE
GARDEN FLAT 70 SUTHERLAND AVENUE
LONDON
W9 2QS

Date of Birth:- 26/08/54
Nat:BRITISH
Occ:FINANCIAL SERVICES REGULATOR

If this person has ceased to be director, please state when.

Show any relevant current and previous directorships.

Day Month Year

Date of any change.

Day Month Year

Date of resignation.

SEE ENCLOSED SHEET

01920623

If the information shown needs amendment, give details below and the date of any change.

Directors - continued

Particulars.

KEITH RODERICK
WHITSON
36 ROWLAND WAY
LONDON
SW7 3RE

Date of Birth:- 25/03/43
Nat:BRITISH
Occ:BANKER

If this person has ceased to be director, please state when.

Show any relevant current and previous directorships.

Day Month Year

--	--	--

Date of any change.

Day Month Year

--	--	--

Date of resignation.

SEE ENCLOSED SHEET

Particulars.

NO MORE DIRECTORS - ADDITIONAL SECRETARIES
OR DIRECTORS MUST BE NOTIFIED ON FORM 288a.

If this person has ceased to be director, please state when.

Show any relevant current and previous directorships.

Day Month Year

--	--	--

Date of resignation.

8

Particulars.

NO MORE DIRECTORS - ADDITIONAL SECRETARIES
OR DIRECTORS MUST BE NOTIFIED ON FORM 288a.

If this person has ceased to be director, please state when.

Show any relevant current and previous directorships.

Day Month Year

--	--	--

Date of resignation.

Elective resolutions (See note 10)
(Private companies only)

If an elective resolution is in force at the date of this return to dispense with annual general meetings, mark this box. ☐

If an elective resolution is in force at the date of this return to dispense with laying accounts in general meetings, mark this box. ☐

Certificate

I certify that the information given in this return is true to the best of my knowledge and belief.

I enclose the fee of £ 15.

Cheques should be made payable to **Companies House**.

Signed

Jennifer Skeels
Secretary/Director*

*(delete as appropriate)

Date

5/8/99

This return includes

16

continuation sheets.

(enter number)

To whom should Companies House direct any enquiries about the information shown in this return?

Jennifer Skeels
ASS company Secretary
F&A, 25 The Moor Lane, London
CANARY WHARF
LONDON Postcode E14 5YLS

Telephone 0171 676 0000 Ext 3030

MOIRA ELIZABETH BLACK, CBE

Current Directorships

Foundation for Management Education
Octagon Healthcare Holdings (Norwich) Ltd
Octagon Healthcare Ltd
University of North London
UNL Enterprises Ltd
The Wishbone Trust

Other interests

Chairman, Riverside Community Healthcare NHS Trust
Chairman, English Advisory Committee on Telecommunications
Trustee, Royal Botanic Gardens, Kew
Governor, University of North London
Council member, Foundation for Management Education
Member, General Optical Council

Previous Directorships

The City Literary Institute (resigned 1996)

STEWART CRAUFURD BOYD, QC

No other current directorships

Other Interests

Queen's Counsel
Bencher, Middle Temple

DAVID CECIL CLEMENTI

Current Directorships

Acon (1992) Limited
Acon Investments Limited
Grand View Burlington Road Limited

Past Directorships

KBS (1984) Limited (resigned November 1990)
Dresdner Kleinwort Benson (Services) Inc (resigned March 1996)
Kleinwort Benson Group (resigned 31 August 1997)
Dresdner Kleinwort Benson (Asia) Limited (resigned 31 August 1997)
Kleinwort Benson Limited (resigned 31 August 1997)
Kleinwort Benson Securities Limited (resigned 31 August 1997)
Thames Water plc (resigned 31 August 1997)

HOWARD JOHN DAVIES

No other current directorships

Past Directorships

British Overseas Trade Board (resigned September 1995)

Business in the Community (resigned September 1995)

GKN plc (resigned September 1995)

NatWest International Advisory Board (resigned September 1995)

The National Forum for Management Education and Development (resigned September 1995)

Understanding British Industry (resigned September 1995)

UK-Japan 2000 Group (resigned September 1995)

Other interests

President, Age Concern

MICHAEL DAVID KENNETH WILLOUGHBY FOOT

No other current Directorships

Past Directorships

FSA Pension Plan Trustee Ltd (resigned 1.3.99)

Securities Management Trust (resigned 1.5.98)

DEIRDRE MARY HUTTON, CBE

Current Directorships

Enterprise Music Scotland Limited
National Consumer Council
National Consumer Council Services Limited
Edinburgh Festival Theatres Limited

Other interests

Chairman, Council of the PIA Ombudsman Bureau

Past Directorships

Rural Forum Scotland Limited (resigned 31.5.99)

GILLIAN NOTT

Current Directorships

Antique and Art Loss Register Ltd
Baronsmead VCT plc
Baronsmead VCT2 plc
The National Association of Investment Clubs
Understanding Industry
International Maritime Group Ltd
HW Group
Independent Research Services

Past Directorships

ProShare UK Ltd (resigned 31.3.99)
ProShare Investments Clubs Ltd (resigned 31.3.99)
Ealing Hospital NHS Trust (Resigned 31.12.94)
Scott Bader Co. Ltd (Resigned 28.2.98)

Company No: 1920623
Financial Services Authority

BM Directorships/1.8.99 A.1.10

JOHN KEITH OATES

Current Directorships

Aprell Limited
British Telecommunications Plc
CBI Council
Diageo plc
English Sports Council
Girls' Education Co Ltd
Wycombe Abbey School

Past Directorships

Berringer Ltd. (resigned 30/09/96)
Braehead Park Investments Limited (resigned 29/04/96)
Brooks Brothers Limited (resigned 31/03/93)
Marks & Spencer (Austria) G.m.b.H. (resigned 16/12/94)
Marks & Spencer (Greece) Limited (resigned 05/07/95)
Marks & Spencer Finance (Nederland) B.V. (resigned 13/10/94)
Marks & Spencer Pension Trust (Ireland) Limited (resigned 09/09/94)
Marks & Spencer Pension Trust Limited (resigned 30/06/94)
M&S (Spain) S.A. (resigned 31/01/99)
Marks & Spencer (Basel) A.G. (resigned 31/01/99)
Marks & Spencer (France) S.A. (resigned 31/01/99)
Marks & Spencer (Italia) S.r.l. (resigned 31/01/99)
Marks & Spencer (Norway) A.S. (resigned 31/01/99)
Marks & Spencer (Sweden) Aktiebolag (resigned 31/01/99)
Marks & Spencer Finance Incorporated
Marks & Spencer Holdings Canada Incorporated (resigned 31/01/99)
Marks & Spencer (Bahamas) Limited (resigned 31/01/99)
Marks & Spencer (Botswana) (Pty) Ltd. (resigned 31/01/99)
Marks & Spencer (Cyprus) (resigned 31/01/99)
Marks & Spencer (Deutschland) GMBH (resigned 31/01/99)
Marks & Spencer (Far East) Limited (resigned 31/01/99)
Marks & Spencer (Gibraltar) Limited (resigned 31/01/99)
Marks & Spencer (Hong Kong) Limited (resigned 31/01/99)
Marks & Spencer (Ireland) Limited (resigned 31/01/99)
Marks & Spencer (Israel) Limited (resigned 31/01/99)
Marks & Spencer (Kenya) Limited (resigned 31/01/99)
Marks & Spencer (Luxembourg) Limited S.A.R.L. (resigned 31/01/99)
Marks & Spencer (New Zealand) Limited (resigned 31/01/99)
Marks & Spencer (Northern Ireland) Limited (resigned 31/01/99)
Marks & Spencer (Panama) Incorporated (resigned 31/01/99)
Marks & Spencer (Sierra Leone) Limited
Marks & Spencer (Tanzania) Limited (resigned 31/01/99)
Marks & Spencer (Thailand) Limited (resigned 31/01/99)

cont...

Company No: 1920623
Financial Services Authority

JOHN KEITH OATES (cont)

Marks & Spencer (Uruguay) S.A. (resigned 31/01/99)
Marks & Spencer (Zambia) Limited (resigned 31/01/99)
Marks & Spencer Export Corporation Limited (resigned 31/01/99)
Marks & Spencer Finance p.l.c. (resigned 08/04/84)
Marks & Spencer Financial Services Limited (resigned 31/01/99)
Marks & Spencer International Holdings Ltd. (resigned 31/01/99)
Marks & Spencer Life Assurance Limited (resigned 31/01/99)
Marks & Spencer p.l.c. (resigned 31/01/99)
Marks & Spencer Pension Trust Limited (resigned 31/01/99)
Marks & Spencer Property Developments Limited (resigned 31/01/99)
Marks & Spencer Property Holdings Limited (resigned 31/01/99)
Marks & Spencer Retail Financial Services Holdings Limited (resigned 31/01/99)
Marks & Spencer Savings and Investments Limited (resigned 31/01/99)
Marks & Spencer U.S. Holdings Incorporated (resigned 31/01/99)
Marks & Spencer Unit Trust Management Limited (resigned 31/01/99)
Marks y Spencer (Costa Rica) Limitada (resigned 31/01/99)
St. Michael Finance p.l.c. (resigned 31/01/99)
BBC (resigned 01/07/88)
BIC - seeing is Believing (resigned 01/07/96)
London First (resigned 01/01/96)
M.C.I. Communications Corporation (resigned 13/11/97)
Sports Council Great Britain (resigned 01/10/96)

CHRISTOPHER JOHN RODRIGUES

Current Directorships

Bradford and Bingley Building Services Ltd
Bradford and Bingley Building Society
Bradford and Bingley Insurance (Isle of Man) Limited
Bradford and Bingley Investments (No 2) Limited
Bradford and Bingley Investments (No 3) Limited
Bradford and Bingley Properties (No 2) Limited
Bradford and Bingley Properties (No 3) Limited
Bradford and Bingley Properties Limited
Energis plc
Express Mortgage Management Limited
FFM Limited
Mortgage Express

Past Directorships

Bradford and Bingley Investments (resigned 1.9.97)
Finance for Mortgages Limited (resigned 4.11.97)
HSMS (resigned 4.11.97)
Mortgage Express (No 2) (resigned 4.11.97)
Mortgage Express Holdings (resigned 4.11.97)
Scotlife Home Loans (No 2) Limited (resigned 4.11.97)
Silhouette Mortgages Limited (resigned 4.11.97)
Aire Valley Finance plc (resigned 14.10.97)
First Choice Holidays plc (resigned 1.1.94)
Leander Limited (resigned 30.9.96)

SHAMIT SAGGAR, DR

Current Directorships

National Consumer Council
The Peabody Trust
The Whittington Hospital NHS Trust

Other interests

Academic and Senior Lecturer in Government, Queen Mary and Westfield College
Research Fellow, Public Policy Research Unit (PPRU), Queen Mary and Westfield College
Visiting Scholar, University of Western Australia
Research Associate, Centre for Research into Elections and Social Trends (CREST), Nuffield College, Oxford, and SCPR, London
Member, Advisory Council, Institute for Citizenship
Non-executive Director, Whittington NHS Trust (appointed by the Secretary of State for Health)
Chairman, Public Services Committee, National Consumer Council
Board member, Barnet New Deal Consortium

SIR ROBERT HALDANE SMITH

Current Directorships

Axion Funds Management Limited
Stakis plc
NMS Enterprises Limited
Morgan Grenfell Asset Management Limited
ASC Investment Limited
Deutsche Morgan Grenfell Group plc
Deutsche Morgan Grenfell Development Capital Luxembourg SA
Morgan Grenfell (CI) Holdings BV
Morgan Grenfell Development Capital Fund (Syndications) Limited
Morgan Grenfell Development Capital (Syndications) Limited
Morgan Grenfell Development Capital Holdings Limited
Morgan Grenfell Capital Trustee Limited
Morgan Grenfell (Scotland) Limited
Morgan Grenfell Development Capital Limited
Morgan Grenfell & Co Limited
Morgan Grenfell Capital (GP) Limited
MFI Employee Ownership Trustee Company Limited
MFI Furniture Group plc

Current Partnerships

Morgan Grenfell Equity Limited
Morgan Grenfell Development Capital Limited
Morgan Grenfell Capital Limited

Past Directorships

Deutsche Morgan Grenfell Development Capital Italy SA (resigned 7.5.97)
Morgan Grenfell Development Capital France SA (resigned 1.4.97)
Deutsche Morgan Grenfell Development Capital Pte Limited (resigned 1.4.97)
Bristow Helicopter Group Limited (resigned 1.12.95)
Morgan Grenfell Asia Holdings Pte Limited (19.5.94)
Morgan Grenfell Asia Securities (UK) Limited (resigned 19.5.94)
TIP Europe plc (resigned 1.5.92)
Morgan Grenfell Development Capital Nominees Limited (resigned 1990)
Argus Press Limited (resigned 1989)
A T Mays Group (Holdings) Limited (resigned 1989)
A T Mays Group plc (resigned 1989)
National Commercial and Glyns Limited (resigned 1989)
Royal Bank Development Ltd
Charterhouse Bank Limited (resigned 1989)
Charterhouse Buy-Out Fund Advisers Limited (resigned 1989)
Charterhouse Development Limited (resigned 1989)
Charterhouse Development Capital Holdings Limited (resigned 1989)
Charterhouse Business Expansion Fund Management Limited (resigned 1989)
Charterhouse Development Capital Fund Limited (resigned 1989)
CHEF Nominees Limited (resigned 1989)
Datsoin Limited (resigned 1989)
(cont.....)

Company No: 1920623
Financial Services Authority

SIR ROBERT HALDANE SMITH (cont)

TIP Europe Limited (resigned 1988)

Ben Line Offshore Contractors Limited (resigned 1987)

Ben Line Offshore Contractors (Typhoon) Limited (resigned 1987)

Castleforth Fund Managers Limited (resigned 1987)

Cockburn Conservation Trust (resigned 1987)

Royal Bank Insurance Services Limited (resigned 1985)

Royal Scot Energy Limited (resigned 1985)

Royal Bank Leasing Limited (resigned 1985)

RB Leasing Company (resigned 1985)

Royal Scot Leasing Limited (resigned 1985)

Royal Bank of Scotland (Industrial Leasing) Limited (resigned 1985)

STEPHEN G THIEKE

Other Directorships

CLS Services Limited

PHILLIP ANDREW THORPE

No other current directorships

Past Directorships

Investment Management Regulatory Organisation Ltd (resigned 10.6.98)

IMRO Ltd (resigned 10.6.98)

The Securities and Futures Authority Ltd (resigned 31.3.93)

KEITH RODERICK WHITSON

Current directorships

Midland Bank plc
Crimestoppers Trust
HSBC Holdings plc
Hong Kong and Shanghai Banking Corporation Ltd

Former directorships

BET plc (resigned 16 May 1996)
Forward Trust Group (resigned 31 March 1998)
FTG Ltd (resigned 1 April 1997)
HSBC Investment Bank Holdings plc (resigned 22 May 1998)
MBET Life Ltd (resigned 17 February 1998)
Midland Life Ltd (resigned 1 March 1996)
Young Enterprise Ltd (resigned 6 August 1998)