

Please complete in typescript,  
or in bold black capitals

# Annual Return

CHFP029

Company Number SC207268

Company Name in full Alliance Trust Asset Management Limited

## Date of this return

The information in this return is made up to

Day Month Year  
1 7 / 0 5 / 2 0 0 7

## Date of next return

If you wish to make your next return  
to a date earlier than the anniversary  
of this return please show the date here  
Companies House will then send a form  
at the appropriate time

Day Month Year  
1 7 / 0 5 / 2 0 0 8

## Registered Office

Show here the address at the date of  
this return

Meadow House

64 Reform Street

Any change of  
registered office  
must be notified  
on form 287

Post town

Dundee

County / Region

UK Postcode

D D 1 1 T J

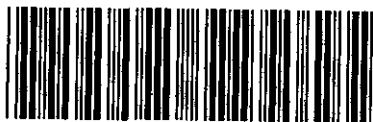
## Principal business activities

Show trade classification code number(s)  
for the principal activity or activities

6712

If the code number cannot be determined,  
give a brief description of principal activity

WEDNESDAY



SCT 23/05/2007 1167  
COMPANIES HOUSE

When you have completed and signed the form please send it to the  
Registrar of Companies at

**Companies House, Crown Way, Cardiff, CF14 3UZ** DX 33050 Cardiff  
for companies registered in England and Wales

or

**Companies House, 37 Castle Terrace, Edinburgh, EH1 2EB**  
for companies registered in Scotland

DX 235 Edinburgh  
Page 1

## Register of members

If the register of members is not kept at the registered office, state here where it is kept

Post town

County/Region

UK Postcode

## Register of Debenture holders

If there is a register of debenture holders, or a duplicate of any such register or part of it, which is not kept at the registered office, state here where it is kept

Post town

County/Region

UK Postcode

## Company type

Public limited company

Private company limited by shares

Private company limited by guarantee without share capital

Private company limited by shares exempt under section 30

Private company limited by guarantee exempt under section 30

Private unlimited company with share capital

Private unlimited company without share capital

☐☒☐☐☐☐☐

Please tick the appropriate box

## Company Secretary

\* Voluntary details

(Please photocopy this area to provide details of joint secretaries)

Name

\* Style / Title

Forename(s)

Surname

Address <sup>††</sup>

☐ <sup>††</sup> Tick this box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985 otherwise, give your usual residential address. In the case of a corporation, or Scottish firm, give the registered or principal office address

County/ Region

Country

Details of a new company secretary must be notified on form 288a

Mr

Donald James

McPherson

14 Braid Drive

Cardross

Post town

Dumbarton

UK Postcode

G 8 2 5 Q D

Scotland

If a partnership give the names and addresses of the partners or the name of the partnership and office address

## Directors

Please list directors in alphabetical order

Details of new directors must be notified on form 288a

**Directors** In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name

†† Tick this box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985 otherwise, give your usual residential address In the case of a corporation, or Scottish firm, give the registered or principal office address

<b>Name</b>	<b>* Style / Title</b>	Mr					
		Day	Month	Year			
	<b>Date of Birth</b>	0	2	03	19	5	9
	<b>Forename(s)</b>	Raymond James					
	<b>Surname</b>	Abbott					
<input type="checkbox"/>	<b>Address ††</b>	5 Barnton Park					
	<b>Post town</b>	Edinburgh					
	<b>County / Region</b>			<b>UK Postcode</b>	EH4 6JF		
	<b>Country</b>	Scotland		<b>Nationality</b>	British		
	<b>Business occupation</b>	Director					

\* Voluntary details

**Directors** In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name

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<b>Name</b>	<b>* Style / Title</b>	Mr						
		Day	Month	Year				
	<b>Date of Birth</b>	2	4	02	1	9	6	0
	<b>Forename(s)</b>	David Alun						
	<b>Surname</b>	Deards						
<input type="checkbox"/>	<b>Address ††</b>	Hollybrook						
		29 Wilson street						
	<b>Post town</b>	Perth						
	<b>County / Region</b>	Perthshire		<b>UK Postcode</b>	PH2 0EX			
	<b>Country</b>	Scotland		<b>Nationality</b>	British			
	<b>Business occupation</b>	Director						

## Directors

Details of new directors must be notified on form 288a

Please list directors in alphabetical order

**Directors** In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name

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Name \* Style / Title Mrs  
Day Month Year  
Date of Birth 1 5 / 1 1 / 1 9 6 7  
Forename(s) Katherine Lucy  
Surname Garrett Cox  
Address †† ☐ 23 St Dunstons Road  
Post town London  
County / Region UK Postcode W 6 8 R E  
Country England Nationality British  
Business occupation Director

\* Voluntary details

**Directors** In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name

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Name \* Style / Title Mr  
Day Month Year  
Date of Birth 3 0 / 0 1 / 1 9 5 8  
Forename(s) Alan Jerry  
Surname Harden  
Address †† ☐ 16 Forgan Drive  
Drumoig  
Post town Leuchars  
County / Region UK Postcode K Y 1 6 0 B F  
Country Nationality British  
Business occupation Director

## Directors

Details of new directors must be notified on form 288a

Please list directors in alphabetical order

**Directors** In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name

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Name	* Style / Title	Mr											
		Day	Month	Year									
	Date of Birth	0	9	/	1	0	/	1	9	6	9		
	Forename(s)	John George											
	Surname	Morrison											
<input type="checkbox"/>	Address ††	23/7 Carnbee Crescent											
	Post town	Edinburgh											
	County / Region	Midlothian				UK Postcode	E	H	1	6	6	G	F
	Country	Scotland				Nationality	British						
	Business occupation	Director											

\* Voluntary details

**Directors** In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name

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Name	* Style / Title												
		Day	Month	Year									
	Date of Birth			/			/						
	Forename(s)												
	Surname												
<input type="checkbox"/>	Address ††												
	Post town												
	County / Region					UK Postcode							
	Country					Nationality							
	Business occupation												

**Issued share capital**

Enter details of all the shares in issue at the date of this return

**Class**  
(e.g. Ordinary/Preference)

**Number of  
shares issued**

**Aggregate  
Nominal Value**

(i.e. Number of shares issued multiplied by nominal value per share, or total amount of stock)

Ordinary 1p share	24500	£	245 00
Totals	24500	£	245 00

**List of past and present shareholders**

(Use attached schedule where appropriate)

A full list is required if one was not included with either of the last two returns

There were no changes in the period

☐

on paper

in another format

A list of changes is enclosed

☒☐

A full list of shareholders is enclosed

☒☐**Certificate**

I certify that the information given in this return is true to the best of my Knowledge and belief

Signed



Date

21/5/07

† Please delete as appropriate

† a director ~~secretary~~

If you have signed the return send it with the fee to the Registrar of Companies. Cheques should be made payable to Companies House

This return includes

2

continuation sheets

(enter number)

You do not have to give any contact information in the box opposite but if you do, it will help Companies House to contact you if there is a query on the form. The contact information that you give will be visible to searchers of the public record

Mr Ian Anderson / Alliance Trust PLC

Meadow House, 64 Reform street, Dundee, DD1 1TJ

Tel 01382 201700

DX number

DX exchange

# List of past and present shareholders

## Schedule to form 363a

CHFP029

 Company Number SC207268

 Company Name in full Alliance Trust Asset Management Limited

- Changes to shareholders particulars or details of the amount of stock or shares transferred must be completed each year
- You must provide a "full list" of all the company shareholders on
  - The company's first annual return following incorporation,
  - Every third annual return after a full list has been provided
- List the company shareholders in alphabetical or provide an index
- List joint shareholders consecutively

Shareholders' details	Class and number of shares or amount of stock held	Shares or amount of stock transferred (if appropriate)	
		Class and number of shares or amount of stock transferred	Date of registration of transfer
Name <u>Raymond James Abbott</u> Address <u>5 Barnton Park</u> <u>Edinburgh</u> UK Postcode <u>E H 4 L 6 J F</u>	Nil	Ordinary 1p shares 2,300	17 Nov 2006
Name <u>Alliance Trust PLC</u> Address <u>Meadow House</u> <u>64 Reform street</u> <u>Dundee</u> UK Postcode <u>D D 1 L 1 T J</u>	Ordinary 1p shares 24,500	Ordinary 1p shares 13,525 10,975	17 Nov 2006 20 Nov 2006
Name <u>Ian Robert Christie</u> Address <u>Apt 4, 9A Fletcher street</u> <u>Tamarama, Sydney</u> <u>NSW 2026, Australia</u> UK Postcode <u>L L L L L L L</u>	Nil	Ordinary 1p shares 1,225	17 Nov 2006

# List of past and present shareholders

## Schedule to form 363a

CHFP029

Company Number SC207268

Company Name in full

Alliance Trust Asset Management Limited

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Shareholders' details	Class and number of shares or amount of stock held	Shares or amount of stock transferred (if appropriate)	
		Class and number of shares or amount of stock transferred	Date of registration of transfer
Name John George Morrison Address 23/7 Cambree Crescent Edinburgh UK Postcode EH16 6GF	Nil	Ordinary 1p shares 7,300	20 Nov 2006
Name Andrew Dewar Steel Address 44 Beechmount Park Edinburgh UK Postcode EH12 5YT	Nil	Ordinary 1p shares 3,675	20 Nov 2006
Name Address UK Postcode			