



Companies House

for the record

Company Name

DUNEDIN SMALLER COMPANIES INVESTMENT TRUST PLC

# 363s Annual Return

COMPANIES HOUSE

FEE PAID

EDINBURGH

- > Please check the details printed in blue on this statement.
- > If any details are wrong, strike them through and write the correct details in the "Amended details" column.
- > Please use black pen and write in capitals.

Company Type

Public Limited Company

Company Number

SC14692

Information extracted from Companies House records on 3rd February 2006

## Section 1: Company details



SGT 601KDOB 1156  
COMPANIES HOUSE 28/02/06

Ref: SC14692/09/28

Current details

Amended details

> Registered Office Address

*If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column.*

Donaldson House  
97 Haymarket Terrace  
Edinburgh EH12 5HD

Address

UK Postcode

> Register of Members

*If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column.*

Address where the Register is held  
Lloyds Tsb Registrars Scotland  
Po Box 28448, Finance House  
Orchard Brae, Edinburgh  
Midlothian EH4 1WQ

Address

UK Postcode

> Register of Debenture Holders

*If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column.*

Lloyds Tsb Registrars Scotland  
Po Box 28448, Finance House  
Orchard Brae, Edinburgh  
Midlothian EH4 1WQ

Address

UK Postcode

> Principal Business Activities

*If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column.*

SIC Code	Description
6523	Other financial intermediation

SIC CODE	Description

> Please enter additional principal activity code(s) in "Amended details" column. See notes for guidance for list of activity codes.

Section 2: Details of Officers of the Company

	Current details	Amended details
<p><b>&gt; Company Secretary</b>  <i>If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.</i></p> <p><i>Particulars of a new Company Secretary must be notified on form 288a.</i></p>	<p><b>Name</b>                      EDINBURGH FUND MANAGERS PLC</p> <p><b>Address</b>                      Donaldson House                      97 Haymarket Terrace                      Edinburgh                      EH12 5HD</p>	<p><b>Name</b>                      _____</p> <p><input type="checkbox"/> Tick this box if this address is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985.</p> <p><b>Address</b>                      _____                      _____</p> <p>UK Postcode    L L L L    L L L                      Date of change    L L / L L / L L L L                      Date EDINBURGH FUND MANAGERS PLC                      ceased to be secretary (if applicable)    L</p>
<p><b>&gt; Director</b>  <i>If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.</i></p> <p><i>Particulars of a new Director must be notified on form 288a.</i></p>	<p><b>Name</b>                      THE EARL OF DALHOUSIE THE RT HON</p> <p><b>Address</b>                      Brechin Castle                      Brechin                      Angus                      DD9 6SH</p> <p><b>Date of birth</b> 17/01/1948</p> <p><b>Nationality</b> British</p> <p><b>Occupation</b> Investment Banker</p>	<p><b>Name</b>                      _____</p> <p><input type="checkbox"/> Tick this box if this address is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985.</p> <p><b>Address</b>                      _____                      _____</p> <p>UK Postcode    L L L L    L L L                      Date of birth    L L / L L / L L L L                      Nationality    _____                      Occupation    _____</p> <p><b>Date of change</b>    L L / L L / L L L L                      Date THE EARL OF DALHOUSIE THE RT HON                      ceased to be director (if applicable)    L L / L L / L L L L</p>

	Current details	Amended details
<p>&gt; <b>Director</b>                      If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.</p> <p>Particulars of a new Director must be notified on form 288a.</p>	<p><b>Name</b>                      Raymond Marvin ENTWISTLE</p> <p><b>Address</b>                      The Glebe                      Lauder                      Berwickshire                      TD2 6RW</p> <p><b>Date of birth</b> 12/06/1944</p> <p><b>Nationality</b> British</p> <p><b>Occupation</b> Banker</p>	<p><b>Name</b></p> <hr/> <p><input type="checkbox"/> Tick this box if this address is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985.</p> <p><b>Address</b></p> <hr/> <hr/> <p><b>UK Postcode</b>    _ _ _ _    _ _ _ _</p> <p><b>Date of birth</b>    _ _ / _ _ / _ _ _ _</p> <p><b>Nationality</b>     _____</p> <p><b>Occupation</b>     _____</p> <p><b>Date of change</b>  _ _ / _ _ / _ _ _ _</p> <p><b>Date</b> Raymond Marvin ENTWISTLE ceased to be director (if applicable)    _ _ / _ _ / _ _ _ _</p>
<p>&gt; <b>Director</b>                      If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.</p> <p>Particulars of a new Director must be notified on form 288a.</p>	<p><b>Name</b>                      Timothy James KENTISH BARNES</p> <p><b>Address</b>                      Elginhaugh                      Dalkeith                      EH22 3NH</p> <p><b>Date of birth</b> 26/01/1961</p> <p><b>Nationality</b> British</p> <p><b>Occupation</b> Chief Executive</p>	<p><b>Name</b></p> <hr/> <p><input type="checkbox"/> Tick this box if this address is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985.</p> <p><b>Address</b></p> <hr/> <hr/> <p><b>UK Postcode</b>    _ _ _ _    _ _ _ _</p> <p><b>Date of birth</b>    _ _ / _ _ / _ _ _ _</p> <p><b>Nationality</b>     _____</p> <p><b>Occupation</b>     _____</p> <p><b>Date of change</b>  _ _ / _ _ / _ _ _ _</p> <p><b>Date</b> Timothy James KENTISH BARNES ceased to be director (if applicable)    _ _ / _ _ / _ _ _ _</p>

	Current details	Amended details
<p><b>&gt; Director</b>  <i>If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.</i></p>	<p><b>Name</b>                      Norman Murray YARROW</p>	<p><b>Name</b>                      _____</p>
	<p><b>Address</b>                      Tweeddale House                      Gifford                      Haddington                      East Lothian                      EH41 4JD</p>	<p><input type="checkbox"/> Tick this box if this address is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985.</p> <p><b>Address</b>                      _____                      _____                      _____</p>
	<p><b>Date of birth</b> 05/02/1960</p>	<p>UK Postcode    _ _ _ _ _</p>
<p><i>Particulars of a new Director must be notified on form 288a.</i></p>	<p><b>Nationality</b>    British</p>	<p>Date of birth    _ _ / _ _ / _ _ _ _</p> <p>Nationality     _____</p>
	<p><b>Occupation</b>    Investment Manager</p>	<p>Occupation     _____</p> <p>Date of change   _ _ / _ _ / _ _ _ _</p> <p>Date Norman Murray YARROW ceased to be director (if applicable)                      _ _ / _ _ / _ _ _ _</p>

**Issued share capital details**

> Please fill in the details of total share capital by class (eg. ordinary, preference etc) that has been issued to the company's shareholders.

Class of Share	Number of shares issued
<u>Ord 25p.</u>	<u>16 273 719</u>
	Aggregate Nominal Value of issued shares
	<u>£4,068,429</u>

Class of Share	Number of shares issued
_____	_____
	Aggregate Nominal Value of issued shares
	_____

Class of Share	Number of shares issued
_____	_____
	Aggregate Nominal Value of issued shares
	_____

Class of Share	Number of shares issued
_____	_____
	Aggregate Nominal Value of issued shares
	_____

> Please fill in the total number of issued shares and their total nominal value.

Number of shares issued
<u>16 273 719</u>
Aggregate Nominal Value of issued shares
<u>£4,068,429</u>

**List of past and present members** (Tick appropriate box)

> Please complete the required information on the attached schedules or in another format agreed by Companies House.

- There were no changes during the period
- A list of changes is enclosed
- A full list of members is enclosed

The last full list of members was received on: 21/02/2005

> **REMEMBER:**

**Changes** to shareholder particulars or details of shares transferred to be **completed each year**  
 A full list of shareholders is required with the first and every third Annual Return thereafter.  
 List shareholders in alphabetical order or provide an index  
 List joint shareholders consecutively

**Section 4: Details of New Shareholders and Transfers (A) (ii)**

- > Please fill in details of any persons or corporate bodies who have become shareholders since the last annual return.
- > Please fill in details of any persons or corporate bodies that have transferred shares since the last annual return.
- > Please use Section 4B to give details of any persons or corporate bodies who have ceased to be shareholders since the last annual return or, in the case of a first return, since the incorporation of the company.
- > Please copy this page if there is not enough space to enter all the company's current shareholders.

Shareholders details	Class and number of shares or amount of stock held	Class and number of shares or amount of stock transferred (If appropriate)	Date of registration of transfer (If appropriate)
Name _____ Address _____ _____ _____ UK Postcode    _ _ _ _ _			
Name _____ Address _____ _____ _____ UK Postcode    _ _ _ _ _			
Name _____ Address _____ _____ _____ UK Postcode    _ _ _ _ _			
Name _____ Address _____ _____ _____ UK Postcode    _ _ _ _ _			

**Section 4B: Details of Former Shareholders**

- > Please fill in details of any persons or corporate bodies who have ceased to be shareholders at the date of this return. Also, please give the dates that their shares were transferred.
- > Please copy this page if there is not enough space to enter all the company's former shareholders.

Former shareholders details	Class and number of shares or amount of stock transferred	Date of registration of transfer
Name <hr/> Address <hr/> <hr/> <hr/> UK Postcode    _ _ _ _ _		
Name <hr/> Address <hr/> <hr/> <hr/> UK Postcode    _ _ _ _ _		
Name <hr/> Address <hr/> <hr/> <hr/> UK Postcode    _ _ _ _ _		
Name <hr/> Address <hr/> <hr/> <hr/> UK Postcode    _ _ _ _ _		
Name <hr/> Address <hr/> <hr/> <hr/> UK Postcode    _ _ _ _ _		



Companies House  
for the record

### 363s Annual Return Declaration

- > When you have checked all the sections of this form, please complete this page and sign the declaration below.
- > If you want to change the made up date of this annual return, please complete 2 below.

#### 1. Declaration

I confirm that the details in this annual return are correct as at the made-up-date (shown at 2 below). I enclose the filing fee of £30.

Signature A Joel  
(Director / Secretary)

Date 28, 02, 2006

*This date must not be earlier than the return date at 2 below*

**What to do now**

Complete this page then send the whole of the Annual Return and the declaration to the address shown at 4 below.

#### 2. Date of this return

This AR is made up to 21/2/2006

If you are making this return up to an earlier date, please give the date here

\_\_ / \_\_ / \_\_\_\_

*Note: The form must be delivered to CH within 28 days of this date*

#### 3. Date of next return

If you wish to change your next return to a date earlier than **21st February 2007** please give the new date here:

\_\_ / \_\_ / \_\_\_\_

#### 4. Where to send this form

Please return this form to:

Registrar of Companies  
Companies House  
37 Castle Terrace  
Edinburgh EH1 2EB

**Have you enclosed the filing fee with the company number written on the reverse of the cheque?**

#### Contact Address

You do not have to give any contact information below, but if you do, it will help Companies House to contact you if there is a query on the form. The contact information that you give will be visible to searchers of the public record.

Contact Name

A Joel

Telephone number inc code

0131 313 6472

Address

Donaldson House  
97 Haymarket Terrace  
Edinburgh

DX number if applicable

DX exchange

Postcode

EH1 2SD



FORM ML13/1

## **BULK LIST OF SHAREHOLDERS OR MEMBERS**

A bulk list (over 50 pages) of Shareholders or Members for the company named below has been lodged but does not appear on this annual return.

If you wish to search the list please contact Companies House Information Centre.

Company Number: SC014692

Company Name: DUNEDIN SMALLER COMPANIES INVESTMENT TRUST  
PLC

Annual Return Date: 21/02/06