



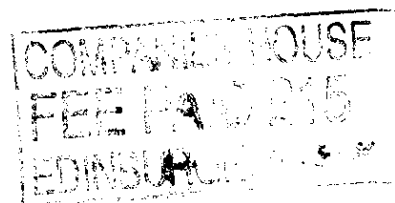
**Companies House**

— for the record —

Company Name

**DUNEDIN SMALLER COMPANIES  
INVESTMENT TRUST PLC**

## 363s Annual Return



Company Type

**Public Limited Company**

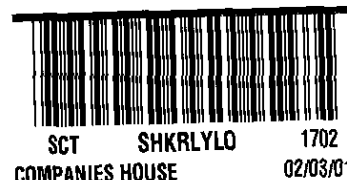
- > Please check the details printed in blue on this statement.
- > If any details are wrong, strike them through and write the correct details in the "Amended details" column.
- > Please use black pen and write in capitals.

Company Number

**SC14692**

Information extracted from  
Companies House records on  
**6th February 2001**


### Section 1: Company details



Ref: SC14692/09/28

	Current details	Amended details																								
> Registered Office Address <i>If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>	<b>Belsize House West Ferry Dundee DD5 1NF</b>	Address _____ _____ _____ UK Postcode    _ _ _ _ _																								
> Register of Members <i>If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>	<b>Address where the Register is held Lloyds Tsb Scotland Plc 117 Dundas Street Edinburgh EH3 5ED</b>	Address _____ _____ _____ UK Postcode    _ _ _ _ _																								
> Register of Debenture Holders <i>If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>	<b>Lloyds Tsb Scotland Plc 117 Dundas Street Edinburgh EH3 5ED</b>	Address _____ _____ _____ UK Postcode    _ _ _ _ _																								
> Principal Business Activities <i>If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>	<table border="1"> <thead> <tr> <th>SIC Code</th> <th>Description</th> </tr> </thead> <tbody> <tr> <td><b>6523</b></td> <td><b>Other financial intermediation</b></td> </tr> <tr><td> </td><td> </td></tr> <tr><td> </td><td> </td></tr> <tr><td> </td><td> </td></tr> <tr><td> </td><td> </td></tr> </tbody> </table>	SIC Code	Description	<b>6523</b>	<b>Other financial intermediation</b>									<table border="1"> <thead> <tr> <th>SIC CODE</th> <th>Description</th> </tr> </thead> <tbody> <tr><td> </td><td> </td></tr> <tr><td> </td><td> </td></tr> <tr><td> </td><td> </td></tr> <tr><td> </td><td> </td></tr> <tr><td> </td><td> </td></tr> </tbody> </table>	SIC CODE	Description										
SIC Code	Description																									
<b>6523</b>	<b>Other financial intermediation</b>																									
SIC CODE	Description																									
> Please enter additional principal activity code(s) in "Amended details" column. See notes for guidance for list of activity codes.																										

	Current details	Amended details
<b>&gt; Company Secretary</b> <i>If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>  <i>Particulars of a new Company Secretary must be notified on form 288.</i>	<b>Name</b> <b>EDINBURGH FUND MANAGERS PLC</b>  <b>Address</b> <b>Donaldson House</b> <b>97 Haymarket Terrace</b> <b>Edinburgh</b> <b>EH12 5HD</b>	<b>Name</b>  <b>Address</b>    <b>UK Postcode</b> _ _ _ _ _ <b>Date of change</b> _ _ / _ _ / _ _ _ _ <b>Date EDINBURGH FUND MANAGERS PLC</b> <b>ceased to be secretary (if applicable)</b> _
<b>&gt; Director</b> <i>If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>  <i>Particulars of a new Director must be notified on form 288.</i>	<b>Name</b> <b>Raymond Marvin ENTWISTLE</b>  <b>Address</b> <b>The Glebe</b> <b>Lauder</b> <b>TD2 6RW</b>  <b>Date of birth</b> 12/06/1944  <b>Nationality</b> British  <b>Occupation</b> Banker	<b>Name</b>  <b>Address</b>    <b>UK Postcode</b> _ _ _ _ _ <b>Date of birth</b> _ _ / _ _ / _ _ _ _ <b>Nationality</b> _____ <b>Occupation</b> _____ <b>Date of change</b> _ _ / _ _ / _ _ _ _ <b>Date Raymond Marvin ENTWISTLE</b> <b>ceased to be director (if applicable)</b> _ _ / _ _ / _ _ _ _
<b>&gt; Director</b> <i>If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>  <i>Particulars of a new Director must be notified on form 288.</i>	<b>Name</b> <b>Earl Of Dalhousie James Hubert RAMSAY</b>  <b>Address</b> <b>3 Vicarage Gardens</b> <b>London</b> <b>W8 4AH</b>  <b>Date of birth</b> 17/01/1948  <b>Nationality</b> British  <b>Occupation</b> Investment Banker	<b>Name</b>  <b>Address</b>    <b>UK Postcode</b> _ _ _ _ _ <b>Date of birth</b> _ _ / _ _ / _ _ _ _ <b>Nationality</b> _____ <b>Occupation</b> _____ <b>Date of change</b> _ _ / _ _ / _ _ _ _ <b>Date Earl Of Dalhousie James Hubert RAMSAY</b> <b>ceased to be director (if applicable)</b> _ _ / _ _ / _ _ _ _

	Current details	Amended details
<b>&gt; Director</b> <i>If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>  <i>Particulars of a new Director must be notified on form 288.</i>	<b>Name</b> Michael Giles Neish WALKER CBE  <b>Address</b> Shanwell House Kinross Fife KY13 0RG  <b>Date of birth</b> 28/08/1933  <b>Nationality</b> British  <b>Occupation</b> Director	<b>Name</b> _____  <b>Address</b> _____ _____ _____  <b>UK Postcode</b> _ _ _ _ _ <b>Date of birth</b> _ _ / _ _ / _ _ _ _ <b>Nationality</b> _____ <b>Occupation</b> _____ <b>Date of change</b> _ _ / _ _ / _ _ _ _ <b>Date</b> Michael Giles Neish WALKER CBE ceased to be director (if applicable) ____ / ____ / ____
<b>&gt; Director</b> <i>If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>  <i>Particulars of a new Director must be notified on form 288.</i>	<b>Name</b> Norman Murray YARROW  <b>Address</b> Tweedale House Haddington Road Gifford Haddington East Lothian EH41 4JD  <b>Date of birth</b> 05/02/1960  <b>Nationality</b> British  <b>Occupation</b> Investment 	<b>Name</b> _____  <b>Address</b> _____ _____ _____  <b>UK Postcode</b> _ _ _ _ _ <b>Date of birth</b> _ _ / _ _ / _ _ _ _ <b>Nationality</b> _____ <b>Occupation</b> <u>Investment manager</u> <b>Date of change</b> _ _ / _ _ / _ _ _ _ <b>Date</b> Norman Murray YARROW ceased to be director (if applicable) ____ / ____ / ____

### Issued share capital details

> Please fill in the details of total share capital by class (eg. ordinary, preference etc) that has been issued to the company's shareholders.

Class of Share

ORD 25p

Number of shares issued

1600 000

**Aggregate Nominal Value of issued shares**

24,175,000

Class of Share

Number of shares issued

Aggregate Nominal Value of issued shares

Class of Share

Number of shares issued

Aggregate Nominal Value of issued shares

Class of Share

Number of shares issued

**Aggregate Nominal Value of issued shares**

> Please fill in the total number of issued shares and their total nominal value.

Number of shares issued

16 700 000

Aggregate Nominal Value of issued shares

£4,175,000

**List of past and present members** (*Tick appropriate box*)

➤ Please complete the required information on the attached schedules or in another format agreed by Companies House.

- ☐ There were no changes during the period
- ☐ A list of changes is enclosed
- ☒ A full list of members is enclosed

**The last full list of members was received on: 21/02/2000**

**> REMEMBER:**

**Changes to shareholder particulars or details of shares transferred to be completed each year**

*A full list of shareholders is required with the first and every third Annual Return thereafter*

List shareholders in alphabetical order or provide an index

*List joint shareholders consecutively*



Companies House

— for the record —

**363s Annual Return Declaration**

- > When you have checked all the sections of this form, please complete this page and sign the declaration below.
- > If you want to change the made up date of this annual return, please complete 2 below.

**1. Declaration**

- ☐ I confirm that the details in this annual return are correct as at the made-up-date (shown at 2 below). I enclose the filing fee of £15.

For Dunedin Smaller Companies Investment Trust  
 Signature Edinburgh Fund Managers,  
Valerie Mackenzie  
 (Director / Secretary)

Date 28/02/2001

*This date must not be earlier than the return date at 2 below*

**What to do now**

Complete this page then send the whole of the Annual Return and the declaration to the address shown at 4 below.

**2. Date of this return**

- ☐ This AR is made up to 21/2/2001 If you are making this return up to an earlier date, please give the date here

\_\_ / \_\_ / \_\_\_\_

*Note: The form must be delivered to CH within 28 days of this date*

**3. Date of next return**

- ☐ If you wish to change your next return to a date earlier than **21st February 2002** please give the new date here:

\_\_ / \_\_ / \_\_\_\_

**4. Where to send this form**

- ☐ Please return this form to:  
 Registrar of Companies  
 Companies House  
 37 Castle Terrace  
 Edinburgh EH1 2EB

**Have you enclosed the filing fee with the company number written on the reverse of the cheque?**

Cheque ☒ Postal Order ☐

Cheque / Postal Order

Number 123305

*(Please complete as appropriate)*

**Contact Address**

Please give the name and address of the person who should be contacted if there are any queries about this form.

Contact Name

VALERIE MACKENZIE

Telephone number inc code

0131 313 6321

Address

DONALDSON HOUSE

DX number if applicable

\_\_\_\_\_

97 HAYMARKET TERRACE

DX exchange

EDINBURGH

Postcode

EH12 5HD

FORM ML13/1

A

## BULK LIST OF SHAREHOLDERS OR MEMBERS

A bulk list (over 10 pages) of Shareholders or Members for the company named below has been lodged but does not appear on this annual return microfiche.

If you wish to search the list, please enquire at the Public Counter.

Company Number

SC14652

Company Name

Dunedin Smaller Companies  
Investment Trust Plc

Annual Return Date

21/02/01