

LL IN01

Application for the incorporation of a Limited Liability Partnership (LLP)



A fee is payable with this form
Please see 'How to pay' on the last page

☒ **What this form is for**
You may use this form to
incorporate a Limited Liability
Partnership

☒ **What this form is NOT for**
You cannot use this form to
incorporate a company. To do this,
please use form IN01 'Application to
register a company'

MONDAY



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17/12/2012

#22

COMPANIES HOUSE

Part 1 LLP details

→ **Filling in this form**
Please complete in typescript or in
bold black capitals

All fields are mandatory unless
specified or indicated by *

A1

LLP details

	Please show the proposed LLP name below
LLP name in full ¹	BNY Mellon Investment Management LLP
Name ending ²	LLP/XXXXXXXXXXXX
For official use	OC381096

1 Duplicate names
Duplicate names are not permitted

2 Name ending
You must delete either LLP or Limited
Liability Partnership
If the LLP is situated in Wales and you
chose to have a Welsh ending (PAC or
Partneriaeth Atebolrwydd Cyfyngedig),
please use form LL IN01c

A2

LLP name restrictions ³

Please tick the box only if the proposed LLP name contains sensitive or restricted
words or expressions that require you to seek comments of a government
department or other specified body

☐ I confirm that the proposed company name contains sensitive or restricted
words or expressions and that approval, where appropriate, has been
sought of a government department or other specified body and I attach a
copy of their response

3 LLP name restrictions
A list of sensitive or restricted words
or expressions that require consent
can be found in guidance available
on our website
www.companieshouse.gov.uk

A3

Situation of registered office ⁴

Please tick the appropriate box below that describes the situation of the
proposed registered office (only one box must be ticked)

- ☒ England and Wales
☐ Wales
☐ Scotland
☐ Northern Ireland

4 Registered office
Every LLP must have a registered
office and this is the address to
which the Registrar will send
correspondence

For England and Wales LLPs, the
address must be in England or Wales

For Welsh, Scottish or Northern
Ireland LLPs, the address must be in
Wales, Scotland or Northern Ireland
respectively

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A4	Registered office address ①	
	Please give the registered office address of your LLP	
Building name/number	BNY Mellon Centre, 160	① Registered office address You must ensure that the address shown in this section is consistent with the situation indicated in section A3 You must provide an address in England or Wales for LLPs to be registered in England or Wales You must provide an address in Wales, Scotland or Northern Ireland for LLPs to be registered in Wales, Scotland or Northern Ireland respectively
Street	Queen Victoria Street	
Post town	London	
County/Region		
Postcode	E C 4 V 4 L A	
A5	Members' designation	
	Will all members from time to time be designated members? ②	② Members' designation If 'Yes' all members named will be designated. If 'No' at least two members named must be designated
	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	

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Part 2

Proposed officers

- For a **member** who is an individual, go to **Section B1**
- For a **corporate member**, go to **Section C1**

There must be two designated members at all times Unless there are at least two designated members all members will be designated

Member

B1

Member appointments ①

Please use this section to list all the member appointments taken on formation
For a corporate member complete C1-C5.

Title*									
Full forename(s)									
Surname									
Former name(s) ②									
Country/State of residence ③									
Date of birth	<table><tr><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td></tr></table>								
Designated member ④	<div>Please tick this box if you are consenting to act as a designated member</div> <div><input type="checkbox"/></div>								

- ① **Appointments**
For corporate member appointments, please complete section C1-C5 instead of section B
- ② **Former name(s)**
Please provide any previous names which have been used for business purposes in the last 20 years
Married women do not need to give former names unless previously used for business purposes
- ③ **Country/State of residence**
This is in respect of your usual residential address as stated in Section B4
- ④ **Designated member**
There must be at least two designated members at all times
- Additional appointments**
If you wish to appoint more members, please use the 'Member appointments' continuation page

B2

Member's service address ⑤

Please complete the service address below You must also fill in the member's usual residential address in **Section B4**

Building name/number									
Street									
Post town									
County/Region									
Postcode	<table><tr><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td></tr></table>								
Country									

- ⑤ **Service address**
This is the address that will appear on the public record This does not have to be your usual residential address
- Please state 'The LLP's Registered Office' if your service address will be recorded in the LLP's register of members' particulars as the LLP's registered office
- If you provide your residential address here it will appear on the public record

B3

Signature ⑥

I consent to act as member of the proposed LLP named in **Section A1**

Signature	<div>Signature</div> <div>X</div>
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- ⑥ **Signature**
The person named above consents to act as secretary of the proposed LLP

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Member

B1	Member appointments ①									
	Please use this section to list all the member appointments taken on formation For a corporate member, complete Section C1-C5									
Title*		① Appointments For corporate member appointments, please complete section C1-C5 instead of Section B								
Full forename(s)										
Surname										
Former name(s) ②										
Country/State of residence ③										
Date of birth	<table border="1"><tr><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td></tr></table>									② Former name(s) Please provide any previous names which have been used for business purposes in the last 20 years Married women do not need to give former names unless previously used for business purposes
Designated member ④	<table border="1"><tr><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td></tr></table> Please tick this box if you are consenting to act as a designated member <input type="checkbox"/>									③ Country/State of residence This is in respect of your usual residential address as stated in section B4 ④ Designated member There must be at least two designated members at all times Additional appointments If you wish to appoint more members, please use the 'Member appointments' continuation page

B2	Member's service address ⑤									
	Please complete the service address below You must also fill in the member's usual residential address in Section B4									
Building name/number		⑤ Service address This is the address that will appear on the public record This does not have to be your usual residential address Please state 'The LLP's Registered Office' if your service address will be recorded in the LLP's register of members' particulars as the LLP's registered office If you provide your residential address here it will appear on the public record								
Street										
Post town										
County/Region										
Postcode	<table border="1"><tr><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td></tr></table>									
Country										

B3	Signature ⑥					
	I consent to act as member of the proposed LLP named in Section A1					
Signature	<table border="1"><tr><td>Signature</td><td></td></tr><tr><td>X</td><td>X</td></tr></table>	Signature		X	X	⑥ Signature The person named above consents to act as member of the proposed LLP
Signature						
X	X					

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Corporate member

C1 Corporate member appointments^①		<p>① Registered or principal address This is the address that will appear on the public record. This address must be a physical location for the delivery of documents. It cannot be a PO box number (unless contained within a full address), DX number or LP (Legal post in Scotland) number.</p> <p>② Designated member There must be at least two designated members at all times.</p> <p>Additional appointments If you wish to appoint more than one corporate member, please use the 'Corporate member appointments' continuation page.</p>
Please use this section to list all the corporate members of the LLP		
Name of corporate body or firm	MBC Investments Corporation	
Building name/number	Suite 102, 100	
Street	White Clay Center	
Post town	Newark	
County/Region	Delaware	
Postcode	D E 1 9 7 1 1	
Country	USA	
Designated member ^②	Please tick this box if you are consenting to act as a designated member <input checked="" type="checkbox"/>	
C2 Location of the registry of the corporate body or firm		
Is the corporate director registered within the European Economic Area (EEA)? → Yes Complete Section C3 only → No Complete Section C4 only		
C3 EEA companies ^③		
Please give details of the register where the company file is kept (including the relevant state) and the registration number in that register		
Where the company/firm is registered ^④		
Registration number		
C4 Non-EEA companies		
Please give details of the legal form of the corporate body or firm and the law by which it is governed. If applicable, please also give details of the register in which it is entered (including the state) and its registration number in that register		
Legal form of the corporate body or firm	Corporation	
Governing law	State of Delaware	
If applicable, where the company/firm is registered ^⑤	U.S.	
If applicable, the registration number	2126278	
C5 Signature ^⑥		
I consent to act as member of the proposed LLP named in Section A1		
Signature	Signature x <i>Michael J. Mathis</i>	X

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Corporate member**C1****Corporate member appointments**

Please use this section to list all the corporate members of the LLP

Name of corporate body or firm	BNY Mellon Investment Management Holdings LLC									
Building name/number	c/o The Corporation Trust Company, Corporation Trust Center, 1209									
Street	Orange Street									
Post town	Wilmington									
County/Region	Delaware									
Postcode	1	9	8	0	1					
Country										
Designated member	Please tick this box if you are consenting to act as a designated member <input checked="" type="checkbox"/>									

Registered or principal address

This is the address that will appear on the public record. This address must be a physical location for the delivery of documents. It cannot be a PO box number (unless contained within a full address), DX number or LP (Legal post in Scotland) number.

Designated member

There must be at least two designated members at all times.

Additional appointments

If you wish to appoint more than one corporate member, please use the 'Corporate member appointments' continuation page.

C2**Location of the registry of the corporate body or firm**

Is the corporate director registered within the European Economic Area (EEA)?

→ Yes Complete **Section C3 only**→ No Complete **Section C4 only****C3****EEA companies**

Please give details of the register where the company file is kept (including the relevant state) and the registration number in that register.

Where the company/firm is registered										
Registration number										

EEA

A full list of countries of the EEA can be found in our guidance www.companieshouse.gov.uk

This is the register mentioned in Article 3 of the First Company Law Directive (68/151/EEC)

C4**Non-EEA companies**

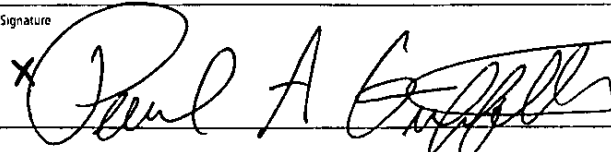
Please give details of the legal form of the corporate body or firm and the law by which it is governed. If applicable, please also give details of the register in which it is entered (including the state) and its registration number in that register.

Legal form of the corporate body or firm	Limited Liability Company									
Governing law	State of Delaware									
If applicable, where the company/firm is registered	U.S.									
If applicable, the registration number	5	2	5	2	0	7	4			

Non-EEA

Where you have provided details of the register (including state) where the company or firm is registered you must also provide its number in that register.

C5**Signature**I consent to act as member of the proposed LLP named in **Section A1**

Signature										
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Signature

The person named above consents to act as corporate member of the proposed LLP.

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Presenter information

You do not have to give any contact information, but if you do it will help Companies House if there is a query on the form. The contact information you give will be visible to searchers of the public record

Contact name Evelyn Akadiri

Company name BNY Mellon

Address BNY Mellon Centre, 160 Queen Victoria

Street,

Post town London

County/Region

Postcode E C 4 V 4 L A

Country

DX

Telephone



Certificate

We will send your certificate to the presenters address (shown above) or if indicated to another address shown below

☐ At the registered office address (Given in Section A4)



Checklist

We may return forms completed incorrectly or with information missing

Please make sure you have remembered the following

- ☐ You have checked that the proposed LLP name is available and the various rules that may affect your choice of name. More information can be found in guidance on our website
- ☐ If the name of the company is the same as one already on the register as permitted by The Company and Business Names (Miscellaneous Provisions) Regulations 2008, please attach consent
- ☐ You have used the correct appointment section
- ☐ Any addresses given must be a physical location. They cannot be a PO Box number (unless part of a full service address), DX or LP (Legal Post in Scotland) number
- ☐ There are at least two designated members
- ☐ The document has been signed, where indicated
- ☐ You have enclosed the correct fee
- ☐ All relevant attachments have been included



Important information

Please note that all information on this form will appear on the public record, apart from information relating to usual residential addresses



How to pay

A fee of £XX is payable to Companies House to incorporate an LLP

Make cheques or postal orders payable to 'Companies House'



Where to send

You may return this form to any Companies House address, however for expediency we advise you to return it to the appropriate address below

For LLPs registered in England and Wales

The Registrar of Companies, Companies House,
Crown Way, Cardiff, Wales, CF14 3UZ
DX 33050 Cardiff

For LLPs registered in Scotland

The Registrar of Companies, Companies House,
Fourth floor, Edinburgh Quay 2,
139 Fountainbridge, Edinburgh, Scotland, EH3 9FF
DX ED235 Edinburgh 1
or LP - 4 Edinburgh 2 (Legal Post)

For LLPs registered in Northern Ireland

The Registrar of Companies, Companies House,
First Floor, Waterfront Plaza, 8 Laganbank Road,
Belfast, Northern Ireland, BT1 3BS
DX 481 N R Belfast 1

Section 243 exemption

If you are applying for, or have been granted a section 243 exemption, please post this whole form to the different postal address below
The Registrar of Companies, PO Box 4082,
Cardiff, CF14 3WE



Further information

For further information, please see the guidance notes on the website at www.companieshouse.gov.uk or email enquiries@companieshouse.gov.uk

This form is available in an alternative format. Please visit the forms page on the website at www.companieshouse.gov.uk

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Part 3

Signature

I certify that I am a

- Solicitor engaged in the formation of this LLP
- Member named of this LLP

and that two or more persons named in this form are associated for carrying on lawful business with a view to profit

I am signing this form on behalf of the LLP

Signature

Signature

x  x