

OS IN01

010371/20

Registration of an overseas company opening a  
UK establishment



Companies House

A fee is payable with this form  
Please see 'How to pay' on the last page.

☒ What this form is for  
You may use this form to register a  
UK establishment.

☐ What this form is NOT  
You cannot use this form to register  
the details of an existing  
officer or establishment.

FRIDAY



\*R838C36G\*  
RCS 12/04/2019 #28  
COMPANIES HOUSE  
A17 02/04/2019 #232  
COMPANIES HOUSE

Part 1 Overseas company details (Name)

For official use

A1 Corporate name of overseas company

Corporate name ①

LOCKTON INSURANCE BROKERS (IRELAND) LIMITED

Do you propose to carry on business in the UK under the corporate name as  
incorporated in your home state or country, or under an alternative name?

- To register using your corporate name, go to Section A3.
- To register using an alternative name, go to Section A2.

→ Filling in this form

Please complete in typescript (10pt  
or above), or in bold black capitals

All fields are mandatory unless  
specified or indicated by \*

① This must be the corporate name in  
the home state or country in which  
the company is incorporated.

A2 Alternative name of overseas company \*

Please show the alternative name that the company will use to do business  
in the UK.

Alternative name  
(if applicable) ②

② A company may register an  
alternative name under which it  
proposes to carry on business in the  
United Kingdom under Section 1048  
of the Companies Act 2006. Once  
registered it is treated as being its  
corporate name for the purposes of  
law in the UK.

A3 Overseas company name restrictions ③

This section does not apply to a European Economic Area (EEA) company  
registering its corporate name.

Please tick the box only if the proposed company name contains sensitive or  
restricted words or expressions that require you to seek comments of a  
government department or other specified body.

- ☐ I confirm that the proposed company name contains sensitive or restricted  
words or expressions and that approval, where appropriate, has been  
sought of a government department or other specified body and I attach a  
copy of their response.

③ Overseas company name  
restrictions

A list of sensitive or restricted words  
or expressions that require consent  
can be found in guidance available  
on our website:  
[www.gov.uk/companieshouse](http://www.gov.uk/companieshouse)

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## Registration of an overseas company opening a UK establishment

### Part 2 Overseas company details

#### B1 Particulars previously delivered

Have particulars about this company been previously delivered in respect of another UK establishment. <sup>①</sup>

→ **No** Go to **Section B2**.

→ **Yes** Please enter the registration number below and then go to **Part 5** of the form. Please note the original UK establishment particulars must be filed up to date.

<sup>①</sup> The particulars are: legal form, identity of register, number in registration, director and secretaries details, whether the company is a credit or financial institution, law, governing law, accounting requirements, objects, share capital, constitution, and accounts.

UK establishment  
registration number

B R

#### B2 Credit or financial institution

Is the company a credit or financial institution? <sup>②</sup>

☐ Yes

☒ No

<sup>②</sup> Please tick one box.

#### B3 Company details

If the company is registered in its country of incorporation, please enter the details below.

Legal form <sup>③</sup>

PRIVATE LIMITED COMPANY

Country of  
incorporation \*

REPUBLIC OF IRELAND

Identity of register  
in which it is  
registered <sup>④</sup>

COMPANIES REGISTRATION OFFICE

Registration number in  
that register

5 9 4 9 7 3

<sup>③</sup> Please state whether or not the company is limited. Please also include whether the company is a private or public company if applicable.

<sup>④</sup> This will be the registry where the company is registered in its parent country.

#### B4 EEA or non-EEA member state

Was the company formed outside the EEA?

→ **Yes** Complete **Sections B5 and B6**.

→ **No** Go to **Section B6**.

#### B5 Governing law and accounting requirements

Please give the law under which the company is incorporated.

Governing law <sup>⑤</sup>

COMPANIES ACT 2014

Is the company required to prepare, audit and disclose accounting documents under parent law?

→ **Yes** Complete the details below.

→ **No** Go to **Part 3**.

<sup>⑤</sup> This means the relevant rules or legislation which regulates the incorporation of companies in that state.

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		Please give the period for which the company is required to prepare accounts by parent law.				
From	<div><div>d</div><div>0</div></div>	<div><div>d</div><div>1</div></div>	<div><div>m</div><div>0</div></div>	<div><div>m</div><div>5</div></div>		
To	<div><div>d</div><div>3</div></div>	<div><div>d</div><div>0</div></div>	<div><div>m</div><div>0</div></div>	<div><div>m</div><div>4</div></div>		
Please give the period allowed for the preparation and public disclosure of accounts for the above accounting period.						
Months	<div><div>0</div></div>	<div><div>9</div></div>				

**B6****Latest disclosed accounts**

Are copies of the latest disclosed accounts being sent with this form? Please note if accounts have been disclosed, a copy must be sent with the form, and, if applicable, with a certified translation.<sup>①</sup>

☒ Yes.

Please indicate what documents have been disclosed.

☒ Please tick this box if you have enclosed a copy of the accounts.

☐ Please tick this box if you have enclosed a certified translation of the accounts.

☐ Please tick this box if no accounts have been disclosed.

<sup>①</sup> Please tick the appropriate box(es).

**Part 3 Constitution****C1****Constitution of company**

The following documents must be delivered with this application.

- Certified copy of the company's constitution and, if applicable, a certified translation.

Please tick the appropriate box(es) below.

- ☒ I have enclosed a certified copy of the company's constitution. <sup>①</sup>
- ☐ I enclose a certified translation, if applicable. <sup>②</sup>

<sup>①</sup> A certified copy is defined as a copy certified as correct and authenticated by - the secretary or a director of the company, permanent representative, administrator, administrative receiver, receiver manager, receiver and liquidator.

<sup>②</sup> A certified translation into English must be authenticated by the secretary or a director of the company, permanent representative, administrator, administrative receiver, receiver manager, receiver and liquidator.

**C2****EEA or non-EEA member state**

Was the company formed outside the EEA?

- **Yes** Go to **Section C3**.
- **No** Go to **Part 4 'Officers of the company'**.

**C3****Constitutional documents**

Are all of the following details in the copy of the constitutional documents of the company?

- Address of principal place of business or registered office in home country of incorporation
- Objects of the Company
- Amount of issued share capital

- **Yes** Go to **Part 4 'Officers of the company'**
- **No** If any of the above details are not included in the constitutional documents, please enter them in **Section C4**.

The information is not required if it is contained within the constitutional documents accompanying this registration.

**C4****Information not included in the constitutional documents**

Please give the address of principal place of business or registered office in the country of incorporation. <sup>①</sup>

Building name/number	THE MALT HOUSE NORTH
Street	GRAND CANAL QUAY
Post town	DUBLIN 2
County/Region	
Postcode	
Country	REPUBLIC OF IRELAND
	Please give the objects of the company and the amount of issued share capital.
Objects of the company <sup>④</sup>	INSURANCE INTERMEDIATION
Amount of issued share capital <sup>⑤</sup>	

<sup>①</sup> This address will appear on the public record.

<sup>④</sup> Please give a brief description of the company's business.

<sup>⑤</sup> Please specify the amount of shares issued and the value.

## Part 4 Officers of the company

Have particulars about this company been previously delivered in respect of another UK establishment?

- **Yes** Please ensure you entered the registration number in **Section B1** and then go to **Part 5** of this form.  
 → **No** Complete the officer details.

For a secretary who is an individual, go to **Section D1**; for a corporate secretary, go to **Section E1**; for a director who is an individual, go to **Section F1**; or for a corporate director, go to **Section G1**.

### Continuation pages

Please use a continuation page if you need to enter more officer details.

### Secretary

#### D1 Secretary details<sup>1</sup>

Use this section to list all the secretaries of the company.  
 Please complete **Sections D1-D3**. For a corporate secretary, complete **Sections E1-E5**. Please use a continuation page if necessary.

Full forename(s)	SARAH
Surname	JEFFS
Former name(s) <sup>2</sup>	

#### 1 Corporate details

Please use Sections E1-E5 to enter corporate secretary details.

#### 2 Former name(s)

Please provide any previous names (including maiden or married names) which have been used for business purposes in the last 20 years.

#### D2 Secretary's service address<sup>3</sup>

Building name/number	THE ST BOTOLPH BUILDING
Street	138 HOUNDSDITCH
Post town	LONDON
County/Region	
Postcode	E C 3 A 7 A G
Country	ENGLAND

#### 3 Service address

This is the address that will appear on the public record. This does not have to be your usual residential address.

If you provide your residential address here it will appear on the public record.

#### D3 Secretary's authority

Please enter the extent of your authority as secretary. Please tick one box.

Extent of authority	<input type="checkbox"/> Limited <sup>4</sup> <input checked="" type="checkbox"/> Unlimited
Description of limited authority, if applicable	IN ACCORDANCE WITH COMPANIES ACT 2014 Are you authorised to act alone or jointly? Please tick one box. <input checked="" type="checkbox"/> Alone <input type="checkbox"/> Jointly <sup>5</sup>
If applicable, name(s) of person(s) with whom you are acting jointly	

<sup>4</sup> If you have indicated that the extent of your authority is limited, please provide a brief description of the limited authority in the box below.

<sup>5</sup> If you have indicated that you are not authorised to act alone but only jointly, please enter the name(s) of the person(s) with whom you are authorised to act below.

**Corporate secretary****E1 Corporate secretary details<sup>①</sup>**

Use this section to list all the corporate secretaries of the company.  
Please complete Sections E1-E5. Please use a continuation page if necessary.

Name of corporate body or firm	
Building name/number	
Street	
Post town	
County/Region	
Postcode	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Country	

**① Registered or principal address**  
This is the address that will appear on the public record. This address must be a physical location for the delivery of documents. It cannot be a PO box number (unless contained within a full address), DX number or LP (Legal Post in Scotland) number.

**E2 Location of the registry of the corporate body or firm**

Is the corporate secretary registered within the European Economic Area (EEA)?

- Yes Complete Section E3 only  
→ No Complete Section E4 only

**E3 EEA companies<sup>②</sup>**

Please give details of the register where the company file is kept (including the relevant state) and the registration number in that register.

Where the company/firm is registered <sup>②</sup>	
Registration number	

**② EEA**  
A full list of countries of the EEA can be found in our guidance:  
[www.gov.uk/companieshouse](http://www.gov.uk/companieshouse)

**③** This is the register mentioned in Article 3 of the First Company Law Directive (68/151/EEC).

**E4 Non-EEA companies**

Please give details of the legal form of the corporate body or firm and the law by which it is governed. If applicable, please also give details of the register in which it is entered (including the state) and its registration number in that register.

Legal form of the corporate body or firm	
Governing law	
If applicable, where the company/firm is registered <sup>④</sup>	
If applicable, the registration number	

**④ Non-EEA**  
Where you have provided details of the register (including state) where the company or firm is registered, you must also provide its number in that register

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<b>E5</b>	<b>Corporate secretary's authority</b>	
	Please enter the extent of your authority as corporate secretary. Please tick one box.	
Extent of authority	<input type="checkbox"/> Limited <sup>❶</sup> <input type="checkbox"/> Unlimited	
Description of limited authority, if applicable	<div>Are you authorised to act alone or jointly? Please tick one box.</div> <div><input type="checkbox"/> Alone <input type="checkbox"/> Jointly <sup>❷</sup></div>	<div>❶ If you have indicated that the extent of your authority is limited, please provide a brief description of the limited authority in the box below.</div> <div>❷ If you have indicated that you are not authorised to act alone but only jointly, please enter the name(s) of the person(s) with whom you are authorised to act below.</div>
If applicable, name(s) of person(s) with whom you are acting jointly	<div></div> <div></div> <div></div>	

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### Director

<b>F1</b>	<b>Director details <sup>1</sup></b>	
	Use this section to list all the directors of the company. Please complete Sections F1-F5. For a corporate director, complete Sections G1-G5. Please use a continuation page if necessary.	
Full forename(s)	IAN JOHN	
Surname	COOPER	
Former name(s) <sup>2</sup>		
Country/State of residence <sup>3</sup>	ENGLAND	
Nationality	BRITISH	
Month/year of birth <sup>4</sup>	X X '06 '19 '70	
Business occupation (if any) <sup>5</sup>	DIRECTOR	
		<b>1 Corporate details</b> Please use Sections G1-G5 to enter corporate director details. <b>2 Former name(s)</b> Please provide any previous names (including maiden or married names) which have been used for business purposes in the last 20 years. <b>3 Country/State of residence</b> This is in respect of your usual residential address as stated in Section F5. <b>4 Month and year of birth</b> Please provide month and year only. Provide full date of birth in section F4. <b>5 Business occupation</b> If you have a business occupation, please enter here. If you do not, please leave blank.

<b>F2</b>	<b>Director's service address <sup>6</sup></b>	
Building name/number	THE ST BOTOLPH BUILDING	
Street	138 HOUNDSDITCH	
Post town	LONDON	
County/Region		
Postcode	E C 3 A 7 A G	
Country	ENGLAND	
		<b>6 Service address</b> This is the address that will appear on the public record. This does not have to be your usual residential address.  If you provide your residential address here it will appear on the public record.

<b>F3</b>	<b>Director's authority</b>	
	Please enter the extent of your authority as director. Please tick one box.	
Extent of authority	<input type="checkbox"/> Limited <sup>7</sup> <input checked="" type="checkbox"/> Unlimited	
Description of limited authority, if applicable		
	Are you authorised to act alone or jointly? Please tick one box.	
	<input checked="" type="checkbox"/> Alone <input type="checkbox"/> Jointly <sup>8</sup>	
If applicable, name(s) of person(s) with whom you are acting jointly		
		<b>7 If you have indicated that the extent of your authority is limited, please provide a brief description of the limited authority in the box below.</b> <b>8 If you have indicated that you are not authorised to act alone but only jointly, please enter the name(s) of the person(s) with whom you are authorised to act below.</b>

# OS IN01 - continuation page

## Registration of an overseas company opening a UK establishment

### Director

<b>F1</b>	<b>Director details <sup>1</sup></b>		
	Use this section to list all the directors of the company. Please complete Sections F1-F5. For a corporate director, complete Sections G1-G5. Please use a continuation page if necessary.		
Full forename(s)	DAVID		<b>1 Corporate details</b> Please use Sections G1-G5 to enter corporate director details.  <b>2 Former name(s)</b> Please provide any previous names (including maiden or married names) which have been used for business purposes in the last 20 years.  <b>3 Country/State of residence</b> This is in respect of your usual residential address as stated in Section F5.  <b>4 Month and year of birth</b> Please provide month and year only. Provide full date of birth in section F4.  <b>5 Business occupation</b> If you have a business occupation, please enter here. If you do not, please leave blank.
Surname	PIERCE		
Former name(s) <sup>2</sup>			
Country/State of residence <sup>3</sup>	REPUBLIC OF IRELAND		
Nationality	IRISH		
Month/year of birth <sup>4</sup>	<div>X</div> <div>X</div> <div>0</div> <div>4</div> <div>1</div> <div>9</div> <div>5</div> <div>5</div>		
Business occupation (if any) <sup>5</sup>	DIRECTOR		

<b>F2</b>	<b>Director's service address <sup>6</sup></b>		
Building name/number	THE ST BOTOLPH BUILDING		<b>6 Service address</b> This is the address that will appear on the public record. This does not have to be your usual residential address.  If you provide your residential address here it will appear on the public record.
Street	138 HOUNDSDITCH		
Post town	LONDON		
County/Region			
Postcode	<div>E</div> <div>C</div> <div>3</div> <div>A</div> <div></div> <div>7</div> <div>A</div> <div>G</div>		
Country			

<b>F3</b>	<b>Director's authority</b>		
	Please enter the extent of your authority as director. Please tick one box.		<b>7</b> If you have indicated that the extent of your authority is limited, please provide a brief description of the limited authority in the box below.  <b>8</b> If you have indicated that you are not authorised to act alone but only jointly, please enter the name(s) of the person(s) with whom you are authorised to act below.
Extent of authority	<input type="checkbox"/> Limited <sup>7</sup> <input checked="" type="checkbox"/> Unlimited		
Description of limited authority, if applicable			
	Are you authorised to act alone or jointly? Please tick one box.		
	<input checked="" type="checkbox"/> Alone <input type="checkbox"/> Jointly <sup>8</sup>		
If applicable, name(s) of person(s) with whom you are acting jointly			

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### Corporate director

<b>G1</b>	<b>Corporate director details ①</b>	
	Use this section to list all the corporate directors of the company. Please complete G1-G5. Please use a continuation page if necessary.	
Name of corporate body or firm		<b>① Registered or principal address</b> This is the address that will appear on the public record. This address must be a physical location for the delivery of documents. It cannot be a PO box number (unless contained within a full address), DX number or LP (Legal Post in Scotland) number.
Building name/number		
Street		
Post town		
County/Region		
Postcode		
Country		
<b>G2</b>	<b>Location of the registry of the corporate body or firm</b>	
	Is the corporate director registered within the European Economic Area (EEA)? → Yes Complete <b>Section G3 only</b> → No Complete <b>Section G4 only</b>	
<b>G3</b>	<b>EEA companies ②</b>	
	Please give details of the register where the company file is kept (including the relevant state) and the registration number in that register.	
Where the company/firm is registered ②		<b>② EEA</b> A full list of countries of the EEA can be found in our guidance: <a href="http://www.gov.uk/companieshouse">www.gov.uk/companieshouse</a>  <b>③</b> This is the register mentioned in Article 3 of the First Company Law Directive (68/151/EEC).
Registration number		
<b>G4</b>	<b>Non-EEA companies</b>	
	Please give details of the legal form of the corporate body or firm and the law by which it is governed. If applicable, please also give details of the register in which it is entered (including the state) and its registration number in that register.	
Legal form of the corporate body or firm		<b>④ Non-EEA</b> Where you have provided details of the register (including state) where the company or firm is registered, you must also provide its number in that register
Governing law		
If applicable, where the company/firm is registered ④		
If applicable, the registration number		

G5

## Corporate director's authority

	Please enter the extent of your authority as corporate director. Please tick one box.		<p>❶ If you have indicated that the extent of your authority is limited, please provide a brief description of the limited authority in the box below.</p> <p>❷ If you have indicated that you are not authorised to act alone but only jointly, please enter the name(s) of the person(s) with whom you are authorised to act below.</p>
Extent of authority	<input type="checkbox"/> Limited ❶ <input type="checkbox"/> Unlimited		
Description of limited authority, if applicable			
	Are you authorised to act alone or jointly? Please tick one box. <input type="checkbox"/> Alone <input type="checkbox"/> Jointly ❷		
If applicable, name(s) of person(s) with whom you are acting jointly			

## Part 5 UK establishment details

### H1 Documents previously delivered - constitution

Has the company previously registered a certified copy of the company's constitution with material delivered in respect of another UK establishment?

- **No** Go to **Section H3**.  
 → **Yes** Please enter the UK establishment number below and then go to **Section H2**.

UK establishment  
registration number

B R

### H2 Documents previously delivered – accounting documents

Has the company previously delivered a copy of the company's accounting documents with material delivered in respect of another UK establishment?

- **No** Go to **Section H3**.  
 → **Yes** Please enter the UK establishment number below and then go to **Section H3**.

UK establishment  
registration number

B R

### H3 Delivery of accounts and reports

This section **must** be completed. Please state if the company intends to comply with accounting requirements with respect to this establishment or in respect of another UK establishment. <sup>①</sup>

- ☒ In respect of this establishment. Please go to **Section H4**.  
☐ In respect of another UK establishment. Please give the registration number below, then go to **Section H4**.

UK establishment  
registration number

B R

<sup>①</sup> Please tick the appropriate box.

### H4 Particulars of UK establishment <sup>①</sup>

You **must** enter the name and address of the UK establishment.

Name of establishment LOCKTON INSURANCE BROKERS (IRELAND) LIMITED

UK BRANCH

Building name/number THE ST BOTOLPH BUILDING

Street 138 HOUNDSDITCH

Post town LONDON

County/Region

Postcode E C 3 A  7 A G

Country ENGLAND

Please give the date the establishment was opened and the business of the establishment.

Date establishment opened <sup>d</sup>0 <sup>d</sup>6 <sup>m</sup>0 <sup>m</sup>3 <sup>y</sup>2 <sup>y</sup>0 <sup>y</sup>1 <sup>y</sup>9

Business carried on at the UK establishment INSURANCE INTERMEDIATION

#### <sup>①</sup> Address

This is the address that will appear on the public record.

## Part 6 Permanent representative

Please enter the name and address of every person authorised to represent the company as a permanent representative of the company in respect of the UK establishment.

### J1 Permanent representative's details

Please use this section to list all the permanent representatives of the company. Please complete **Sections J1-J4**.

#### Continuation pages

Please use a continuation page if you need to enter more details.

Full forename(s) CHRISTOPHER

Surname SCRIVNER

### J2 Permanent representative's service address <sup>①</sup>

Building name/number THE ST BOTOLPH BUILDING

Street 138 HOUNDSDITCH

Post town LONDON

County/Region

Postcode E C 3 A 7 A G

Country ENGLAND

#### ① Service address

This is the address that will appear on the public record. This does not have to be your usual residential address.

If you provide your residential address here it will appear on the public record.

### J3 Permanent representative's authority

Please enter the extent of your authority as permanent representative. Please tick one box.

Extent of authority  
☐ Limited <sup>②</sup>  
☒ Unlimited

Description of limited authority, if applicable

Are you authorised to act alone or jointly? Please tick one box.

☒ Alone  
☐ Jointly <sup>③</sup>

If applicable, name(s) of person(s) with whom you are acting jointly

② If you have indicated that the extent of your authority is limited, please provide a brief description of the limited authority in the box below.

③ If you have indicated that you are not authorised to act alone but only jointly, please enter the name(s) of the person(s) with whom you are authorised to act below.

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## Registration of an overseas company opening a UK establishment

### Part 7

### Person authorised to accept service

Does the company have any person(s) in the UK authorised to accept service of documents on behalf of the company in respect of its UK establishment?

→ **Yes** Please enter the name and service address of every person(s) authorised below.

→ **No** Tick the box below then go to **Part 8** 'Signature'.

☐ If there is no such person, please tick this box.

### K1

### Details of person authorised to accept service of documents in the UK

Please use this section to list all the persons' authorised to accept service below. Please complete **Sections K1-K2**.

#### Continuation pages

Please use a continuation page if you need to enter more details.

Full forename(s)

SAMUEL

Surname

CLARK

### K2

### Service address of person authorised to accept service <sup>①</sup>

Building name/number

THE ST BOTOLPH BUILDING

Street

138 HOUNDSDITCH

Post town

LONDON

County/Region

Postcode

E C 3 A 7 A G

Country

ENGLAND

#### ① Service address

This is the address that will appear on the public record. This does not have to be your usual residential address. Please note, a DX address would not be acceptable.

**OS IN01**

Registration of an overseas company opening a UK establishment

**Part 8**

**Signature**

This must be completed by all companies.

I am signing this form on behalf of the company.

Signature

Signature

X


*SAUTS*


X

This form may be signed by:  
Director, Secretary, Permanent representative.

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## Registration of an overseas company opening a UK establishment

 <b>Presenter information</b>	
You do not have to give any contact information, but if you do it will help Companies House if there is a query on the form. The contact information you give will be visible to searchers of the public record.	
Contact name	SARAH JEFFS
Company name	LIGS LIMITED
Address	ST BOTOLPH BUILDING
138 HOUNDSDITCH	
Post town	LONDON
County/Region	
Postcode	E C 3 A 7 A G
Country	
DX	
Telephone	020 7933 2386

 <b>Checklist</b>
<b>We may return forms completed incorrectly or with information missing.</b>
<b>Please make sure you have remembered the following:</b>
<input type="checkbox"/> The overseas corporate name on the form matches the constitutional documents exactly.
<input type="checkbox"/> You have included a copy of the appropriate correspondence in regard to sensitive words, if appropriate.
<input type="checkbox"/> You have included certified copies and certified translations of the constitutional documents, if appropriate.
<input type="checkbox"/> You have included a copy of the latest disclosed accounts and certified translations, if appropriate.
<input type="checkbox"/> You have completed all of the company details in Section B3 if the company has not registered an existing establishment.
<input type="checkbox"/> You have complete details for all company secretaries and directors in Part 4 if the company has not registered an existing establishment.
<input type="checkbox"/> Any addresses given must be a physical location. They cannot be a PO Box number (unless part of a full service address), DX or LP (Legal Post in Scotland) number.
<input type="checkbox"/> You have completed details for all permanent representatives in Part 6 and persons authorised to accept service in Part 7.
<input type="checkbox"/> You have signed the form.
<input type="checkbox"/> You have enclosed the correct fee.

 <b>Important information</b>
<b>Please note that all information on this form will appear on the public record, apart from information relating to usual residential addresses and day of birth.</b>
 <b>How to pay</b>
<b>A fee of £20 is payable to Companies House in respect of a registration of an overseas company. Make cheques or postal orders payable to 'Companies House.'</b>
 <b>Where to send</b>
<b>You may return this form to any Companies House address, however for expediency we advise you to return it to the appropriate address below:</b>
<b>England and Wales:</b> The Registrar of Companies, Companies House, Crown Way, Cardiff, Wales, CF14 3UZ. DX 33050 Cardiff.
<b>Scotland:</b> The Registrar of Companies, Companies House, Fourth floor, Edinburgh Quay 2, 139 Fountainbridge, Edinburgh, Scotland, EH3 9FF. DX ED235 Edinburgh 1 or LP - 4 Edinburgh 2 (Legal Post).
<b>Northern Ireland:</b> The Registrar of Companies, Companies House, Second Floor, The Linenhall, 32-38 Linenhall Street, Belfast, Northern Ireland, BT2 8BG. DX 481 N.R. Belfast 1.
<b>Higher protection</b> If you are applying for, or have been granted, higher protection, please post this whole form to the different postal address below: The Registrar of Companies, PO Box 4082, Cardiff, CF14 3WE.

 <b>Further information</b>
For further information, please see the guidance notes on the website at <a href="http://www.gov.uk/companieshouse">www.gov.uk/companieshouse</a> or email <a href="mailto:enquiries@companieshouse.gov.uk">enquiries@companieshouse.gov.uk</a>
<b>This form is available in an alternative format. Please visit the forms page on the website at <a href="http://www.gov.uk/companieshouse">www.gov.uk/companieshouse</a></b>

Number 594973

# Certificate of Incorporation on change of name

I hereby certify that

**TAROUM LIMITED**

having, by a Special Resolution of the Company,  
and with the approval of the Registrar of Companies,  
changed its name, is now incorporated as a  
Private Company Limited by Shares  
under the name

**LOCKTON INSURANCE BROKERS (IRELAND) LIMITED**

and I have entered such name on the Register accordingly.

Given under my hand at Dublin, this

**Thursday, the 26th day of January, 2017**

*[Handwritten signature]*

for Registrar of Companies.

Certified as a true and exact copy of the original	
SARAH JEFFS COMPANY SECRETARY	<i>[Handwritten signature]</i> Date <u>1/4/19</u>
The St Botolph Building, 138 Houndsditch, London, EC3A 7AG	

COMPANIES ACT 2014

SCHEDULE 1

FORM OF CONSTITUTION OF PRIVATE COMPANY LIMITED BY SHARES

*Section 19*

CONSTITUTION

OF

**LOCKTON INSURANCE BROKERS (IRELAND) LIMITED**

1. The name of the company is: **LOCKTON INSURANCE BROKERS (IRELAND) LIMITED**
2. The company is a private company limited by shares, registered under *Part 2* of the *Companies Act 2014*.
3. The liability of the members is limited.
4. The share capital of the company is **€ 1,000,000** divided into **1,000,000** Ordinary Shares of **€1.00** each.
5. The company may have for use in any place abroad an official seal which shall resemble the common seal of the company with the addition on its face of the name of every place abroad where it is to be used.
6. The directors of the company may allot shares.
7. *Subsection 6 of section 69 of the Companies Act 2014* shall not apply to the company.
8. No call made by the directors upon the members for any unpaid moneys on their shares may be for more than one quarter of the nominal value of the share or be required to be paid less than one month after the most recent previous call, if any, was required to be paid.
9. The directors' power to decline to register a transfer of shares shall not cease to be exercisable.
10. The company may acquire its own shares.
11. Any dividend, interest or other moneys payable in cash in respect of any shares may be paid, as well as by any method provided for by law, by any method agreed with the payee (which may either be a general agreement or one confined to specific payments).
12. Any one of two or more joint holders may give valid receipts for any dividends, bonuses or other moneys payable in respect of the shares held by them as joint holders where, as well as in any circumstances provided for by law, those monies are paid by a method provided for by Regulation 11.
13. In the case of a resolution to remove a director under *section 146* of the *Companies Act 2014* or to appoint somebody instead of the director so removed at the meeting at which he or she is removed, and where it is not practicable that the company give its members notice of any such resolution at the same time and in the same manner as it gives notice of that meeting, the company may give notice of such resolution in any manner which the directors may decide on.
14. The remuneration of the directors of the company shall be such as is determined, from time to time, by the company in general meeting.
15. The directors of the company may exercise the powers of the company to: borrow or raise or secure the payment of money for the purpose of or in connection with the company's business; for the purposes of or in raising of money by the company become a member of any building society; open and operate bank accounts in any country; mortgage or charge the undertaking or all or any of the real or personal property, assets, present or future, or all or any of the uncalled capital for the time being of the company; issue at par or at a premium or discount, and for such consideration and with and subject to such rights, powers,

We, the several persons whose names and addresses are subscribed, wish to be formed into a company in pursuance of this constitution, and we agree to take the number of shares in the capital of the company set opposite our respective names.

Names, Addresses and Descriptions of Subscribers	Number of Shares taken by each Subscriber
Stembridge Limited 22 Northumberland Road Ballsbridge Dublin 4  Corporate Body	<b>NINETY NINE</b>
Porema Limited 22 Northumberland Road Ballsbridge Dublin 4  Corporate Body	<b>ONE</b>
Total shares taken:	<b>ONE HUNDRED</b>

As appropriate:                      signatures in writing of the above subscribers, attested by witness as provided for below; or authentication in the manner referred to in section 888.

Dated the 12<sup>th</sup> Day of December 2016

Witness to the above Signatures:

Joanne Browne

22 Northumberland Road  
 Ballsbridge  
 Dublin 4

privileges and conditions as may be thought fit, debentures or debenture stock, either permanent or redeemable or repayable; and collaterally or further to secure any securities of the company by a trust deed or other assurance. This Regulation does not reduce the powers of the directors.

16. A meeting of the directors or of a committee referred to in *section 160(9) of the Companies Act 2014* may consist of a conference between some or all of the directors or, as the case may be, members of the committee who are not all in one place, where each of them is able (directly or by means of telephonic, video or other electronic communication) to communicate with each of the others by sign language or in any other manner agreed to by the directors, as well as in any circumstance provided for by law.
17. At the start of a conference referred to in Regulation 16, whether occurring under that Regulation or as provided for by law, each director or, as the case may be, member of the committee must acknowledge that he or she accepts that the conference is such a conference and is a meeting of the directors or of a committee referred to in *section 160(9) of the Companies Act 2014*, as the case may be.
18. A director or, as the case may be, member of the committee taking part in a conference referred to in Regulation 16, whether occurring under that Regulation or as provided for by law, may not stop taking part in the conference without the express permission of the chairperson, and shall be conclusively deemed to have taken part in the meeting unless and until the chairperson gives such permission.
19. The percentage of the paid up share capital of the company as carries the rights of voting at general meetings of the company which a member or members must hold not less than to be entitled to convene an extraordinary general meeting of the company is 10 per cent.
20. *Subsection (5) of section 182 of the Companies Act 2014* shall apply to the company, but as if it read 30 instead of 15 minutes.
21. The business of the annual general meeting shall include the election and re-election of directors and the remuneration of the directors.
22. *Subsection (5) of section 218 of the Companies Act 2014* shall apply to the company.
23. The company shall indemnify every current or former officer of it, including a statutory auditor, against any liability incurred by him or her in defending proceedings, whether civil or criminal, in which judgment is given in his or her favour or in which he or she is acquitted, or in connection with any proceedings or application referred to in, or under, *section 233 or 234 of the Companies Act 2014* in which relief is granted to him or her by the High Court.

# Lockton Insurance Brokers (Ireland) Limited

Directors' report and  
financial statements

for the financial period from 15 December 2016  
(date of incorporation) to 30 April 2018

**Registered number: 594973**

# Lockton Insurance Brokers (Ireland) Limited

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# Lockton Insurance Brokers (Ireland) Limited

## Directors and other information

<b>Directors</b>	Ian Cooper (appointed 19 January 2017) Kieran McHugh (appointed 19 January 2017) Karen Corcoran (resigned 19 January 2017) Shabbir Garana (resigned 19 January 2017)
<b>Secretary</b>	Sarah Jeffs
<b>Registered office</b>	The Malt House North Grand Canal Quay Dublin 2
<b>Auditor</b>	KPMG Chartered Accountants 1 Harbourmaster Place IFSC Dublin 1
<b>Banker</b>	Citibank Europe PLC 1 North Wall Quay North Dock Dublin 1
<b>Solicitor</b>	Kane Tuohy Solicitors The Malt House North Grand Canal Quay Dublin 2

# Lockton Insurance Brokers (Ireland) Limited

## Directors' report

The directors present their first directors' report together with the audited financial statements for the period ended 30 April 2018.

### Principal activity, business review and business risks

The principal activity of Lockton Insurance Brokers (Ireland) Limited (the "Company") is intended to be insurance intermediation following approval by the Central Bank of Ireland under the European Communities (Insurance Mediation) Regulations 2005.

Until the Company is trading as an insurance intermediary there are minimal risks facing the Company.

### Results and dividends

The results for the period are set out in the profit and loss account and other comprehensive income on page 9. The directors do not recommend the payment of a dividend.

### Future developments in the business

The Company has applied for an insurance intermediary licence from the Central Bank of Ireland. If granted then the Company intends to trading as an insurance intermediary in the near future

### Directors, secretary and their interests

The following individuals were directors of the Company for the period ended 30 April 2018:

Ian Cooper (appointed 19 January 2017)  
Kieran McHugh (appointed 19 January 2017)  
Karen Corcoran (resigned 19 January 2017)  
Shabbir Garana (resigned 19 January 2017)

The directors and secretary who held office at 30 April 2018 had no interests in the share capital of the Company or other group companies other than those shown below:

Directors and secretary	Name of company and interests held
Ian Cooper	Capital interest units held in Lockton Companies LLP

# Lockton Insurance Brokers (Ireland) Limited

## Directors' report *(continued)*

### **Accounting records**

The directors are responsible for ensuring that proper books and accounting records, as outlined in Section 282 of the Companies Act 2014 are kept by the Company. To achieve this, the directors have appointed a professionally qualified and experienced finance accountant who reports to the board and ensures that the requirements of Section 282 of the Companies Act are complied with. These books and accounting records are/were maintained at the Company's registered office The Malt House North, Grand Canal Quay, Dublin 2.

### **Political and charitable contributions**

The Company made no political or charitable donations or incurred any political expenditure during the period.

### **Going concern**

The directors consider that it remains appropriate to prepare the financial statements on a going concern basis. The rationale for this decision is provided in the Accounting Policies – Basis of preparation of financial statements note 1.

### **Post balance sheet events**

The Company became regulated as an insurance intermediary under the European Communities (Insurance Mediation) Regulations 2005 (IMR) by the Central Bank of Ireland on 16 May 2018.

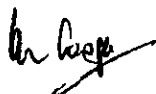
### **Relevant audit information**

The directors believe that they have taken all steps necessary to make themselves aware of any relevant audit information and have established that the Company's statutory auditors are aware of that information. In so far as they are aware, there is no relevant audit information of which the Company's statutory auditors are unaware.

### **Auditor**

In accordance with Section 383(2) of the Companies Act 2014, the auditor, KPMG, Chartered Accountants, will continue in office.

On behalf of the board on 14th June 2018.



I. Cooper  
Director



K. McHugh  
Director

# Lockton Insurance Brokers (Ireland) Limited

## Statement of directors' responsibilities in respect of the directors' report and the financial statements

The directors are responsible for preparing the directors' report and the financial statements in accordance with applicable law and regulations.

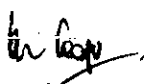
Company law requires the directors to prepare financial statements for each financial period. Under that law they have elected to prepare the financial statements in accordance with FRS 102 *The Financial Reporting Standard applicable in the UK and Republic of Ireland*.

Under company law the directors must not approve the financial statements unless they are satisfied that they give a true and fair view of the assets, liabilities and financial position of the Company and of its profit or loss for that period. In preparing these financial statements, the directors are required to:

- select suitable accounting policies and then apply them consistently;
- make judgements and estimates that are reasonable and prudent;
- state whether applicable Accounting Standards have been followed, subject to any material departures disclosed and explained in the financial statements;
- assess the Company's ability to continue as a going concern, disclosing, as applicable, matters related to going concern; and
- use the going concern basis of accounting unless they either intend to liquidate the Company or to cease operations, or have no realistic alternative but to do so.

The directors are responsible for keeping adequate accounting records which disclose with reasonable accuracy at any time the assets, liabilities, financial position and profit or loss of the Company and enable them to ensure that the financial statements comply with the Companies Act 2014. They are responsible for such internal controls as they determine is necessary to enable the preparation of financial statements that are free from material misstatement, whether due to fraud or error, and have general responsibility for taking such steps as are reasonably open to them to safeguard the assets of the Company and to prevent and detect fraud and other irregularities. The directors are also responsible for preparing a directors' report that complies with the requirements of the Companies Act 2014.

On behalf of the board



I. Cooper  
Director



K. McHugh  
Director



KPMG  
Audit  
1 Harbourmaster Place  
IFSC  
Dublin 1  
D01 F6F5  
Ireland

## Independent auditor's report to the members of Lockton Insurance Brokers (Ireland) Limited

### 1 Report on the audit of the financial statements

#### *Opinion*

We have audited the financial statements of Lockton Insurance Brokers (Ireland) Limited ('the Company') for the period ended 30 April 2018, which comprise the Statement of Comprehensive Income, the Balance Sheet and related notes, including the summary of significant accounting policies set out in note 2. The financial reporting framework that has been applied in their preparation is Irish Law and FRS 102 *The Financial Reporting Standard applicable in the UK and Republic of Ireland*.

In our opinion, the accompanying financial statements:

- give a true and fair view of the assets, liabilities and financial position of the Company as at 30 April 2018 and of its result for the period then ended;
- have been properly prepared in accordance with FRS 102 *The Financial Reporting Standard applicable in the UK and Republic of Ireland*; and
- have been properly prepared in accordance with the requirements of the Companies Act 2014.

#### *Basis for opinion*

We conducted our audit in accordance with International Standards on Auditing (Ireland) ((ISAs (Ireland)) and applicable law. Our responsibilities under those standards are further described in the *Auditor's Responsibilities for the Audit of the Financial Statements* section of our report. We are independent of the Company in accordance with ethical requirements that are relevant to our audit of financial statements in Ireland, including the Ethical Standard issued by the Irish Auditing and Accounting Supervisory Authority (IAASA), and we have fulfilled our other ethical responsibilities in accordance with these requirements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our opinion.

#### *We have nothing to report on going concern*

We are required to report to you if we have concluded that the use of the going concern basis of accounting is inappropriate or there is an undisclosed material uncertainty that may cast significant doubt over the use of that basis for a period of at least twelve months from the date of approval of the financial statements. We have nothing to report in these respects.

#### *Other information*

The directors are responsible for the other information presented in the Annual Report together with the financial statements. The other information comprises the information included in the directors' report. Our opinion on the financial statements does not cover the other information and, accordingly, we do not express an audit opinion or, except as explicitly stated below, any form of assurance conclusion thereon.

Our responsibility is to read the other information and, in doing so, consider whether, based on our financial statements audit work, the information therein is materially misstated or inconsistent with the financial statements or our audit knowledge. Based solely on that work we have not identified material misstatements in the other information.



## Independent auditor's report to the members of Lockton Insurance Brokers (Ireland) Limited (*continued*)

### ***Other information (continued)***

Based solely on our work on the other information:

- we have not identified material misstatements in the directors' report;
- in our opinion, the information given in the directors' report is consistent with the financial statements; and
- in our opinion, the directors' report has been prepared in accordance with the Companies Act 2014.

### ***Opinions on other matters prescribed by the Companies Act 2014***

We have obtained all the information and explanations which we consider necessary for the purposes of our audit.

In our opinion the accounting records of the Company were sufficient to permit the financial statements to be readily and properly audited and the financial statements are in agreement with the accounting records.

### ***Matters on which we are required to report by exception***

The Companies Act 2014 requires us to report to you if, in our opinion, the disclosures of directors' remuneration and transactions required by Sections 305 to 312 of the Act are not made. We have nothing to report in this regard.

## **2 Respective responsibilities and restrictions on use**

### ***Responsibilities of directors for the financial statements***

As explained more fully in the directors' responsibilities statement set out on page 4, the directors are responsible for the preparation of the financial statements and for being satisfied that they give a true and fair view, and for such internal control as they determine is necessary to enable the preparation of financial statements that are free from material misstatement, whether due to fraud or error.

In preparing the financial statements, the directors are responsible for assessing the Company's ability to continue as a going concern, disclosing, as applicable, matters related to going concern and using the going concern basis of accounting unless they either intend to liquidate the Company or to cease operations, or have no realistic alternative but to do so.

### ***Auditor's responsibilities for the audit of the financial statements***

Our objectives are to obtain reasonable assurance about whether the financial statements as a whole are free from material misstatement, whether due to fraud or error, and to issue an auditor's report that includes our opinion. Reasonable assurance is a high level of assurance, but is not a guarantee that an audit conducted in accordance with ISAs (Ireland) will always detect a material misstatement when it exists. Misstatements can arise from fraud or error and are considered material if, individually or in the aggregate, they could reasonably be expected to influence the economic decisions of users taken on the basis of these financial statements.

A fuller description of our responsibilities is provided on IAASA's website at [https://www.iaasa.ie/getmedia/b2389013-1cf6-458b-9b8f-a98202dc9c3a/Description\\_of\\_auditors\\_responsibilities\\_for\\_audit.pdf](https://www.iaasa.ie/getmedia/b2389013-1cf6-458b-9b8f-a98202dc9c3a/Description_of_auditors_responsibilities_for_audit.pdf)



**Independent auditor's report to the members of Lockton Insurance Brokers (Ireland) Limited (*continued*)**

***The purpose of our audit work and to whom we owe our responsibilities***

Our report is made solely to the Company's members, as a body, in accordance with Section 391 of the Companies Act 2014. Our audit work has been undertaken so that we might state to the Company's members those matters we are required to state to them in an auditor's report and for no other purpose. To the fullest extent permitted by law, we do not accept or assume responsibility to anyone other than the Company and the Company's members, as a body, for our audit work, for this report, or for the opinions we have formed.

A handwritten signature in black ink, appearing to read 'Niall Naughton'.

Niall Naughton  
for and on behalf of  
**KPMG**  
**Chartered Accountants, Statutory Audit Firm**  
*1 Harbourmaster Place*  
*IFSC*  
*Dublin 1*

Date: 15 June 2018

# Lockton Insurance Brokers (Ireland) Limited

## Profit and loss account and other comprehensive income for the period ended 30 April 2018

	Note	2018 €
<b>Fees and commissions</b>		-
Administration expenses		-
		<hr/>
<b>Result on ordinary activities before taxation</b>	4	-
Tax on loss on ordinary activities	5	-
		<hr/>
<b>Result for the financial period</b>		-

THE INFORMATION CONTAINED HEREIN IS UNCLASSIFIED AND IS NOT TO BE RELEASED TO THE PUBLIC

# Lockton Insurance Brokers (Ireland) Limited

## Balance sheet

as at 30 April 2018

	Note	2018 €	2018 €
<b>Current assets</b>			
Debtors	6	177	
Cash at bank and in hand	7	823	
		<hr/>	
		1,000	
<b>Creditors: amounts falling due within one year</b>	8	(900)	
		<hr/>	
<b>Net current assets</b>			100
			<hr/>
<b>Net assets</b>			100
			<hr/>
<b>Capital and reserves</b>			
Called up share capital	9		100
Profit and loss account	10		-
			<hr/>
<b>Shareholders' funds</b>			100
			<hr/>

On behalf of the board on 14 June 2018.

  
J. Cooper  
Director

  
K. McCarthy  
Director

# Lockton Insurance Brokers (Ireland) Limited

## Notes forming part of the financial statements

### 1 Basis of preparation

Lockton Insurance Brokers (Ireland) Limited ("the Company") is a company limited by shares and incorporated and domiciled in Ireland. The presentation currency of these financial statements is Euro.

The financial statements have been prepared under the historical cost convention, modified to include certain items at fair value, and in accordance with Financial Reporting Standard 102 (FRS 102) issued by the Financial Reporting Council, and promulgated for use in Ireland by Chartered Accountants Ireland. The Company is also subject to the requirements of the Companies Act 2014.

The Company has availed of the exemptions permitted under FRS 102 in respect of the following disclosures:

- Reconciliation of shares outstanding from the beginning to the end of the period
- Cash flow statement and related notes and
- Disclosures in relation to the compensation of key management personnel

These are the individual Company financial statements of Lockton Insurance Brokers (Ireland) Limited. The Company's ultimate holding Company is Lockton Inc., a company incorporated in the United States. The smallest group in which the Company's results are consolidated, and the largest in which they are publicly available is that headed by LIH UK Topco Limited, incorporated in England and Wales. No other UK group financial statements include the result of the Company. These consolidated financial statements may be obtained from The St Botolph Building, 138 Houndsditch, London, EC3A 7AG.

#### *Going concern*

The directors consider the going concern basis to be appropriate for the following reasons:-

- the directors have taken into account the funding that has been made available to the Company, including the group's intercompany credit facility with Lockton Inc.
- amounts owed to group undertakings comprise the entirety of the Company's creditors and an agreement has been made that no intra-group creditors may take action to cause any intra-group financial indebtedness of any group undertaking to become due or to be paid unless the group undertaking has sufficient readily available cash to pay the sum which is due or demanded.

The directors therefore consider that it remains appropriate to prepare the financial statements on a going concern basis.

### 2.1 Fees and commissions

Once the Company is trading the fees and commissions will comprise insurance brokerage and fees. Insurance brokerage is recognised when placement services are complete, at the inception date of the policy or the date the policy is fully placed if later. In the case of cancellable multi-year policies, brokerage income is recognised at each effective renewal date over the life of the policy. An appropriate portion of revenue is deferred to cover post placement obligations to be rendered in respect of business placed by the period end date. The amount deferred is recognised as income over the servicing period on a consistent basis reflecting the pattern of servicing activities. Fees are credited when related services are rendered.

# Lockton Insurance Brokers (Ireland) Limited

## Notes *(continued)*

### **2.2 Administration expenses**

Administration expenses represent the cost of goods and services received during the period and are recorded on an accruals basis as the expense is incurred.

### **2.3 Cash flow statement**

The Company meets the size criteria for a small company set by the Companies Act 2014. The Company is a wholly owned subsidiary of Lockton Companies International Limited. Its ultimate parent undertaking is LIH UK Topco Limited, which is preparing a consolidated cash flow statement. Consequently, the Company is exempt under the terms of FRS 102 from preparing a cash flow statement.

### **2.4 Taxation**

Current tax is the expected tax payable or receivable on the taxable income or loss for the period, using tax rates enacted or substantively enacted at the balance sheet date, and any adjustment to tax payable in respect of previous years.

Deferred tax is provided on timing differences which arise from the inclusion of income and expenses in tax assessments in periods different from those in which they are recognised in the financial statements. Deferred tax is not recognised on permanent differences arising because certain types of income or expense are non-taxable or are disallowable for tax or because certain tax charges or allowances are greater or smaller than the corresponding income or expense.

Deferred tax is measured at the tax rate that is expected to apply to the reversal of the related difference, using tax rates enacted or substantively enacted at the balance sheet date. Deferred tax balances are not discounted. Deferred tax assets are recognised only to the extent that it is probable that they will be recovered against the reversal of deferred tax liabilities or other future taxable profits.

### **2.5 Debtors**

Financial assets arising from receivables due from group undertaking within one year are measured at the undiscounted amount of cash expected to be received.

### **2.6 Cash and cash equivalents**

Cash and cash equivalents includes cash in hand, deposits held at call with banks, other short-term highly liquid investments with original maturities of three months or less and bank overdrafts. Bank overdrafts, when applicable, are shown within borrowings in current liabilities.

### **2.7 Creditors**

Basic financial liabilities, including trade and other payables are initially recognised at transaction price.

Trade payables are obligations to pay for goods or services that have been acquired in the ordinary course of business from suppliers and underwriters. Accounts payable are classified as current liabilities if payment is due within one year or less. If not, they are presented as non-current liabilities.

# Lockton Insurance Brokers (Ireland) Limited

## Notes (continued)

### 3 Wages and salaries

The average number of employees, including directors, employed by the Company and the costs of employment during the period were as follows:

	2018
Staff	2
	<hr/>
	2
	<hr/>
Costs	
Wages and salaries	-
Social welfare costs	-
Other pension costs	-
	<hr/>
	-
	<hr/>

Costs were borne by a fellow group undertaking.

### 4 Statutory and other information

2018  
€

The result on ordinary activities before taxation is stated after charging:

Auditor's remuneration – audit of entity financial statements	-
Directors' emoluments	-
	<hr/>

Costs related to the audit of the Company financial statements, borne by a fellow group undertaking, are:

	2018 €
Audit of financial statements	7,500
	<hr/>

# Lockton Insurance Brokers (Ireland) Limited

## Notes (continued)

### 5 Tax on loss on ordinary activities 2018 €

The tax charge for the period comprises:  
Corporation tax

-

A difference between the total current tax shown above and the amount calculated by applying the standard rate of Irish corporation tax to the loss before tax is as follows:

	2018 €
Result on ordinary activities before tax	-
Current tax at 12.5%	-
Effects of:	
Depreciation on ineligible assets	-
Unrecognised tax losses	-
Total tax charge	-

### 6 Debtors 2018 €

Amounts due from group undertaking	177
	177

Amounts relate to bank fees borne by the parent company, Lockton Companies International Limited which was paid by the Company.

### 7 Cash at bank and in hand 2018 €

Operating cash	823
----------------	-----

### 8 Creditors: amounts falling due within one year 2018 €

Amounts due to group undertakings	900
	900

(i) Loans from fellow group undertakings are interest free and are repayable on demand.

# Lockton Insurance Brokers (Ireland) Limited

## Notes (continued)

<b>9</b>	<b>Called up share capital</b>	<b>2018</b>
		<b>€</b>
	<i>Authorised</i>	
	1,000,000 Ordinary shares of €1 each	<b>1,000,000</b>
		<hr/>
	<i>Allotted, called up and fully paid</i>	
	100 Ordinary share of €1 each	<b>100</b>
		<hr/>

<b>10</b>	<b>Profit and loss account</b>	<b>2018</b>
		<b>€</b>
	At beginning of period	-
	Result for the financial period	-
		<hr/>
	<b>At end of period</b>	<b>-</b>
		<hr/>

## 11 Commitments and contingencies

The Company had no capital commitments at 30 April 2018.

## 12 Related party transactions

The Company has availed of the exemption in FRS 102 from disclosing key management personnel compensation and transactions with wholly owned group companies.

## 13 Controlling parties

The Company's immediate parent company and controlling party is Lockton Companies International Limited, a company that is registered in England and Wales. The Company's ultimate parent company and controlling party is Lockton Inc., a company incorporated in the United States.

The smallest group in which the Company's results are consolidated, and the largest in which they are publicly available is that headed by LIH UK Topco Limited, incorporated in England and Wales. No other UK group financial statements include the result of the Company. These consolidated financial statements may be obtained from The St Botolph Building, 138 Houndsditch, London, EC3A 7AG.

The Company's intermediate US parent undertaking is Lockton Operating Companies, LLC. The consolidated financial statements of Lockton Operating Companies, LLC, consolidates the LIH UK Topco Group with insurance brokerage operations based in the United States. These consolidated financial statements are not publicly available.

The largest group in which the results of the Company are consolidated is that headed by Lockton Inc. The consolidated financial statements of Lockton Inc., consolidates Lockton Operating Companies, LLC and other certain ancillary operations. These consolidated financial statements are not publicly available.

# Lockton Insurance Brokers (Ireland) Limited

## Notes *(continued)*

### **14 Post balance sheet events**

The Company became regulated as an insurance intermediary under the European Communities (Insurance Mediation) Regulations 2005 (IMR) by the Central Bank of Ireland on 16 May 2018.

### **15 Approval of financial statements**

The board of directors approved these financial statements on 14 June 2018.



## **FILE COPY**

# **CERTIFICATE OF REGISTRATION OF AN OVERSEA COMPANY**

(Registration of a UK establishment)

Company No. FC036211

UK Establishment No. BR021299

The Registrar of Companies hereby certifies that

## **LOCKTON INSURANCE BROKERS (IRELAND) LIMITED**

has this day been registered under the Companies Act 2006 as having established a UK Establishment in the United Kingdom.

Given at Companies House on **3rd May 2019**.



**Companies House**



**THE OFFICIAL SEAL OF THE  
REGISTRAR OF COMPANIES**