

OS TM01

Termination of appointment of director of an overseas company



Companies House

☒ **What this form is for**
You may use this form
to terminate the appointment of a
director (individual or corporate)
of an overseas company.

☒ **What this form is NOT**
You cannot use this form
to terminate the appointment of a
secretary. To do this, please
use form OS TM02 'Termination of
appointment of secretary of an
overseas company.'

THURSDAY



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24/08/2023

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COMPANIES HOUSE

1 Overseas company details

Company number

F	C	0	3	2	1	9	2
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Company name in full
or alternative name as
registered in the UK

CHINA CITIC BANK CORPORATION LIMITED									
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→ **Filling in this form**
Please complete in typescript or in
bold black capitals.

All fields are mandatory unless
specified or indicated by *

2 Current details on the Register

Please give us the current appointment details of this director held on the
public register.

Month/year of birth*

X	X								
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Title *

MS									
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Full forename(s)

LIHUA									
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Surname/Corporate
name

CHEN									
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① **Month and year of birth**
Providing a month and year of birth
will help us identify the correct
person on the public record. This
is voluntary information and if
completed it will be placed on the
public record.

3 Termination date ②

Date of termination of
appointment

d	2	d	8	m	0	m	6	y	2	y	0	y	2	y	2
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② Only one director appointment can
be terminated per form.

4 UK establishments

A return must be delivered in respect of any alteration to the company
particulars by each UK establishment. If, however, a company has more than
one UK establishment, it may deliver only one form in respect of all those UK
establishments, provided it completes the table below.

UK establishment name

Registration number

CHINA CITIC BANK CORPORATION LIMITED

B	R	0	1	7	2	6	3
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LONDON BRANCH

5 Signature

I am signing this form on behalf of the overseas company. ③

Signature

Signature

X

Handwritten signature

X

③ This form may be signed by:
Director, Secretary,
Permanent representative.

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Presenter information

You do not have to give any contact information, but if you do it will help Companies House if there is a query on the form. The contact information you give will be visible to searchers of the public record.

Contact name

Company name

China CITIC Bank Corporation Ltd

London Branch

Address

5th Floor, Gresham Street

Post town

London

County/Region

Postcode

E C 2 V 7 N G

Country

UK

DX

Telephone

0207 710 9100



Checklist

We may return forms completed incorrectly or with information missing.

Please make sure you have remembered the following:

- The company name and number as registered in the UK match the information held on the public Register.
- ☐ You have correctly entered the name of the director being terminated.
- ☐ You have included the date of termination of appointment.
- ☐ You have completed Section 4, if applicable.
- ☐ You have signed the form.



Important information

Please note that all information on this form will appear on the public record.



Where to send

You may return this form to any Companies House address:

England and Wales:

The Registrar of Companies, Companies House,
Crown Way, Cardiff, Wales, CF14 3UZ.
DX 33050 Cardiff.

Scotland:

The Registrar of Companies, Companies House,
Fourth floor, Edinburgh Quay 2,
139 Fountainbridge, Edinburgh, Scotland, EH3 9FF.
DX ED235 Edinburgh 1
or LP - 4 Edinburgh 2 (Legal Post).

Northern Ireland:

The Registrar of Companies, Companies House,
Second Floor, The Linenhall, 32-38 Linenhall Street,
Belfast, Northern Ireland, BT2 8BG.
DX 481 N.R. Belfast 1.



Further information

For further information, please see the guidance notes on the website at www.gov.uk/companieshouse or email enquiries@companieshouse.gov.uk

This form is available in an alternative format. Please visit the forms page on the website at www.gov.uk/companieshouse



Do not cover this barcode

Chapter 3 Corporate Governance

This has been extracted from 2022

"Annual Result Announcement" page 104

3.6.1.3 Appointment, Resignation and Dismissal of Directors

On 20 January 2022, the 1st Extraordinary General Meeting of 2022 of the Bank elected Mr. Liu Cheng as an executive director and Mr. Liu Tsz Bun Bennett as an independent non-executive director of the 6th Session of the Board of Directors of the Bank. Upon the approval by the CBIRC, Mr. Liu Cheng began to serve as executive director of the 6th Session of the Board of Directors of the Bank as of 28 March 2022, and Mr. Liu Tsz Bun Bennett began to serve as independent non-executive director of the 6th Session of the Board of Directors of the Bank as of 24 June 2022.

Working as the Bank's independent non-executive directors for six years, Mr. He Cao and Ms. Chen Lihua on 28 June 2022 and Mr. Qian Jun, on 26 December 2022, respectively resigned from the Board of Directors as the Bank's independent non-executive directors and relevant positions at the special committees under the Board of Directors. As the resignation of the abovementioned three independent non-executive directors will cause the proportion of independent non-executive directors in the Board of Directors to be less than one third, the resignation of Mr. He Cao, Ms. Chen Lihua and Mr. Qian Jun will take effect when the new independent non-executive directors elected by the Bank's annual meeting take office after their qualifications are approved by the CBIRC. Prior to that, Mr. He Cao, Ms. Chen Lihua and Mr. Qian Jun will continue to perform their duties as the Bank's independent non-executive directors and members of the special committees under the Board of Directors in accordance with relevant laws, regulations, regulatory requirements and the provisions in the Bank's Articles of Association.

On 16 November 2022, the 2nd Extraordinary General Meeting of 2022 of the Bank elected Mr. Zhou Bowen and Mr. Wang Huacheng as independent non-executive directors of the 6th Session of the Board of Directors of the Bank. The qualifications of Mr. Zhou Bowen and Mr. Wang Huacheng as independent non-executive directors are to be reviewed and approved by the regulatory authorities.

3.6.2 Information on Work of the Board of Directors

During the reporting period, the Board of Directors convened 15 meetings (including 14 on-site meetings and 1 meeting via written resolutions). At the meetings, the Board of Directors deliberated and adopted 97 proposals, including the 2021 Annual Report, 2021 Profit Distribution Plan, 2022 Business Plan, 2022 Financial Budget Plan, 2021 Sustainable Development Report, 2022 Audit Plan, Development Plan for Tier-two Branches in 2022, Report for the First Quarter of 2022, 2022 Interim Report, Report for the Third Quarter of 2022, amendment to the information disclosure management measures and supporting rules, amendment to the Articles of Association, nomination of Mr. Zhou Bowen and Mr. Wang Huacheng as candidates for independent non-executive directors of the 6th Session of the Board of Directors of China CITIC Bank Corporation Limited, amendment to the Management Measures for Asset Impairment of China CITIC Bank, and formulation of the Management Measures for Implementation of the Expected Credit Loss Method of China CITIC Bank. In addition, the Board of Directors listened to 42 presentations respectively regarding the reports on operating results of the Bank in 2021 and each quarter of 2022, reports on comprehensive risk management in 2021 and each quarter of 2022, reports on internal control & compliance and anti-money laundering in 2021 and the first half year of 2022, report on consumer rights protection in 2021 and the first half of 2022, report on outsourcing risk assessment in 2021, development of inclusive finance in 2021 and work plan for 2022, innovations of the Bank in 2021, regulatory circular and rectification in 2021, rectification of problems identified in audits in 2021-2022, etc. In accordance with regulatory requirements and the Articles of Association of the Bank, relevant significant events were all submitted to the on-site Board meetings for deliberation. Matters requiring voting by written resolutions and eligible for the same as per laws, regulations and the Articles of Association of the Bank were deliberated at the meetings for voting by written resolutions.

The resolutions of the Board meetings have been published on the official websites of SSE (www.sse.com.cn), HKEXnews (www.hkexnews.hk) and the Bank (www.citicbank.com).