

In accordance with  
Section 1046 of the  
Companies Act 2006 &  
Regulation 4(1) of the  
Overseas Companies  
Regulations 2009.

# OS IN01

## Registration of UK establishment

COMPANIES HOUSE  
FEE PAID  
BELFAST

A fee is payable with  
Please see 'How to pay'

☒ What this form is for  
You may use this form to register a  
UK establishment.

☒ What this form is NOT for  
You cannot use this form to change  
the details of an existing company,  
officer or establishment.



JNI 17/01/2020 #5  
COMPANIES HOUSE

JNI 17/01/2020 #7  
COMPANIES HOUSE

COMPANIES HOUSE  
BELFAST  
17 JAN 2020  
RECEPTION DESK

FRIDAY  
17 JAN 2020

### Part 1 Overseas company details (Name)

For official use  
FC 027535

#### A1 Corporate name of overseas company

Corporate name ①

ELAVON FINANCIAL SERVICES DAC

Do you propose to carry on business in the UK under the corporate name as  
incorporated in your home state or country, or under an alternative name?

- To register using your corporate name, go to Section A3.
- To register using an alternative name, go to Section A2.

② Filling in this form  
Please complete in typescript (10pt  
or above), or in bold black capitals

All fields are mandatory unless  
specified or indicated by \*

③ This must be the corporate name in  
the home state or country in which  
the company is incorporated.

#### A2 Alternative name of overseas company \*

Please show the alternative name that the company will use to do business  
in the UK.

Alternative name  
(if applicable) ④

④ A company may register an  
alternative name under which it  
proposes to carry on business in the  
United Kingdom under Section 1048  
of the Companies Act 2006. Once  
registered it is treated as being its  
corporate name for the purposes of  
law in the UK.

#### A3 Overseas company name restrictions ⑤

This section does not apply to a European Economic Area (EEA) company  
registering its corporate name.

Please tick the box only if the proposed company name contains sensitive or  
restricted words or expressions that require you to seek comments of a  
government department or other specified body.

☐ I confirm that the proposed company name contains sensitive or restricted  
words or expressions and that approval, where appropriate, has been  
sought of a government department or other specified body and I attach a  
copy of their response.

⑤ Overseas company name  
restrictions  
A list of sensitive or restricted words  
or expressions that require consent  
can be found in guidance available  
on our website:  
[www.gov.uk/companieshouse](http://www.gov.uk/companieshouse)

COMPANIES HOUSE  
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17 JAN 2020  
RECEPTION DESK

**OS IN01**

Registration of an overseas company opening a UK establishment

**Part 2 Overseas company details****B1 Particulars previously delivered**

Have particulars about this company been previously delivered in respect of another UK establishment? ❶

→ No Go to Section B2.

→ Yes Please enter the registration number below and then go to Part 5 of the form. Please note the original UK establishment particulars must be filed up to date.

❶ The particulars are: legal form, identity of register, number in registration, director and secretaries details, whether the company is a credit or financial institution, law, governing law, accounting requirements, objects, share capital, constitution, and accounts.

UK establishment  
registration number

B R 0 2 0 0 0 5

**B2 Credit or financial institution**

Is the company a credit or financial institution? ❶

☐ Yes☐ No

❶ Please tick one box.

**B3 Company details**

If the company is registered in its country of incorporation, please enter the details below.

Legal form ❶

Country of  
incorporation \*Identity of register  
in which it is  
registered ❶Registration number in  
that register

❶ Please state whether or not the company is limited. Please also include whether the company is a private or public company if applicable.

❶ This will be the registry where the company is registered in its parent country.

**B4 EEA or non-EEA member state**

Was the company formed outside the EEA?

→ Yes Complete Sections B5 and B6.

→ No Go to Section B6.

**B5 Governing law and accounting requirements**

Please give the law under which the company is incorporated.

Governing law ❶

Is the company required to prepare, audit and disclose accounting documents under parent law?

→ Yes Complete the details below.

→ No Go to Part 3.

❶ This means the relevant rules or legislation which regulates the incorporation of companies in that state.

**OS IN01****Registration of an overseas company opening a UK establishment**

Please give the period for which the company is required to prepare accounts by parent law.

From	d	d	m	m
To	d	d	m	m

Please give the period allowed for the preparation and public disclosure of accounts for the above accounting period.

Months		
--------	--	--

**B6****Latest disclosed accounts**

Are copies of the latest disclosed accounts being sent with this form? Please note if accounts have been disclosed, a copy must be sent with the form, and, if applicable, with a certified translation.❶

☐ Yes.

Please indicate what documents have been disclosed.

☐ Please tick this box if you have enclosed a copy of the accounts.

☐ Please tick this box if you have enclosed a certified translation of the accounts.

☐ Please tick this box if no accounts have been disclosed.

❶ Please tick the appropriate box(es).

# OS IN01

Registration of an overseas company opening a UK establishment

## Part 3

## Constitution

**C1**

### Constitution of company

The following documents must be delivered with this application.

- Certified copy of the company's constitution and, if applicable, a certified translation.

Please tick the appropriate box(es) below.

- ☐ I have enclosed a certified copy of the company's constitution. ❶
- ☐ I enclose a certified translation, if applicable. ❷

❶ A certified copy is defined as a copy certified as correct and authenticated by - the secretary or a director of the company, permanent representative, administrator, administrative receiver, receiver manager, receiver and liquidator.

❷ A certified translation into English must be authenticated by the secretary or a director of the company, permanent representative, administrator, administrative receiver, receiver manager, receiver and liquidator.

**C2**

### EEA or non-EEA member state

Was the company formed outside the EEA?

- Yes Go to Section C3.
- No Go to Part 4 'Officers of the company'.

**C3**

### Constitutional documents

Are all of the following details in the copy of the constitutional documents of the company?

- Address of principal place of business or registered office in home country of incorporation
- Objects of the Company
- Amount of issued share capital

- Yes Go to Part 4 'Officers of the company'
- No If any of the above details are not included in the constitutional documents, please enter them in Section C4.

The information is not required if it is contained within the constitutional documents accompanying this registration.

**C4**

### Information not included in the constitutional documents

Please give the address of principal place of business or registered office in the country of incorporation. ❶

Building name/number

Street

Post town

County/Region

Postcode

Country

Please give the objects of the company and the amount of issued share capital.

Objects of the company ❷

Amount of issued share capital ❸

❶ This address will appear on the public record.

❷ Please give a brief description of the company's business.

❸ Please specify the amount of shares issued and the value.

# OS IN01

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## Part 4 Officers of the company

Have particulars about this company been previously delivered in respect of another UK establishment?

- Yes Please ensure you entered the registration number in Section B1 and then go to Part 5 of this form.  
→ No Complete the officer details.

For a secretary who is an individual, go to Section D1; for a corporate secretary, go to Section E1; for a director who is an individual, go to Section F1; or for a corporate director, go to Section G1.

Continuation pages  
Please use a continuation page if you need to enter more officer details.

### Secretary

#### D1 Secretary details<sup>①</sup>

Use this section to list all the secretaries of the company.  
Please complete Sections D1-D3. For a corporate secretary, complete Sections E1-E5. Please use a continuation page if necessary.

**① Corporate details**

Please use Sections E1-E5 to enter corporate secretary details.

**② Former name(s)**

Please provide any previous names (including maiden or married names) which have been used for business purposes in the last 20 years.

Full forename(s)

Surname

Former name(s)<sup>②</sup>

#### D2 Secretary's service address<sup>③</sup>

Building name/number

Street

Post town

County/Region

Postcode

Country

**③ Service address**

This is the address that will appear on the public record. This does not have to be your usual residential address.

If you provide your residential address here it will appear on the public record.

#### D3 Secretary's authority

Please enter the extent of your authority as secretary. Please tick one box.

**④ If you have indicated that the extent of your authority is limited, please provide a brief description of the limited authority in the box below.**

**⑤ If you have indicated that you are not authorised to act alone but only jointly, please enter the name(s) of the person(s) with whom you are authorised to act below.**

Extent of authority

- ☐ Limited <sup>④</sup>  
☐ Unlimited

Description of limited authority, if applicable

Are you authorised to act alone or jointly? Please tick one box.

- ☐ Alone  
☐ Jointly <sup>⑤</sup>

If applicable, name(s) of person(s) with whom you are acting jointly

# OS IN01

## Registration of an overseas company opening a UK establishment

### Corporate secretary

#### E1 Corporate secretary details\*

Use this section to list all the corporate secretaries of the company.  
Please complete Sections E1-E5. Please use a continuation page if necessary.

① Registered or principal address  
This is the address that will appear on the public record. This address must be a physical location for the delivery of documents. It cannot be a PO box number (unless contained within a full address), DX number or LP (Legal Post in Scotland) number.

Name of corporate body or firm	
Building name/number	
Street	
Post town	
County/Region	
Postcode	
Country	

#### E2 Location of the registry of the corporate body or firm

Is the corporate secretary registered within the European Economic Area (EEA)?

- Yes Complete Section E3 only
- No Complete Section E4 only

#### E3 EEA companies\*

Please give details of the register where the company file is kept (including the relevant state) and the registration number in that register.

② EEA  
A full list of countries of the EEA can be found in our guidance:  
[www.gov.uk/companieshouse](http://www.gov.uk/companieshouse)  
③ This is the register mentioned in Article 3 of the First Company Law Directive (68/151/EEC).

Where the company/firm is registered*	
Registration number	

#### E4 Non-EEA companies

Please give details of the legal form of the corporate body or firm and the law by which it is governed. If applicable, please also give details of the register in which it is entered (including the state) and its registration number in that register.

④ Non-EEA  
Where you have provided details of the register (including state) where the company or firm is registered, you must also provide its number in that register

Legal form of the corporate body or firm	
Governing law	
If applicable, where the company/firm is registered*	
If applicable, the registration number	

# OS IN01

Registration of an overseas company opening a UK establishment

E5

## Corporate secretary's authority

	<p>Please enter the extent of your authority as corporate secretary. Please tick one box.</p>	<p>❶ If you have indicated that the extent of your authority is limited, please provide a brief description of the limited authority in the box below.</p> <p>❷ If you have indicated that you are not authorised to act alone but only jointly, please enter the name(s) of the person(s) with whom you are authorised to act below.</p>
Extent of authority	<p><input type="checkbox"/> Limited ❶</p> <p><input type="checkbox"/> Unlimited</p>	
Description of limited authority, if applicable	<p>Are you authorised to act alone or jointly? Please tick one box.</p>	
	<p><input type="checkbox"/> Alone</p> <p><input type="checkbox"/> Jointly ❷</p>	
If applicable, name(s) of person(s) with whom you are acting jointly		

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## Director

**F1**

### Director details <sup>1</sup>

Use this section to list all the directors of the company. Please complete Sections F1-F5. For a corporate director, complete Sections G1-G5. Please use a continuation page if necessary.

Full forename(s)

Surname

Former name(s) <sup>2</sup>

Country/State of residence <sup>3</sup>

Nationality

Month/year of birth <sup>4</sup>

X X

m

m

y

y

y

y

Business occupation (if any) <sup>5</sup>

#### <sup>1</sup> Corporate details

Please use Sections G1-G5 to enter corporate director details.

#### <sup>2</sup> Former name(s)

Please provide any previous names (including maiden or married names) which have been used for business purposes in the last 20 years.

#### <sup>3</sup> Country/State of residence

This is in respect of your usual residential address as stated in Section F5.

#### <sup>4</sup> Month and year of birth

Please provide month and year only. Provide full date of birth in section F4.

#### <sup>5</sup> Business occupation

If you have a business occupation, please enter here. If you do not, please leave blank.

**F2**

### Director's service address <sup>6</sup>

Building name/number

Street

Post town

County/Region

Postcode

Country

#### <sup>6</sup> Service address

This is the address that will appear on the public record. This does not have to be your usual residential address.

If you provide your residential address here it will appear on the public record.

**F3**

### Director's authority

Please enter the extent of your authority as director. Please tick one box.

Extent of authority

☐ Limited <sup>7</sup>

☐ Unlimited

Description of limited authority, if applicable

Are you authorised to act alone or jointly? Please tick one box.

☐ Alone

☐ Jointly <sup>8</sup>

If applicable, name(s) of person(s) with whom you are acting jointly

<sup>7</sup> If you have indicated that the extent of your authority is limited, please provide a brief description of the limited authority in the box below.

<sup>8</sup> If you have indicated that you are not authorised to act alone but only jointly, please enter the name(s) of the person(s) with whom you are authorised to act below.



# OS IN01

## Registration of an overseas company opening a UK establishment

### Corporate director

**G1****Corporate director details <sup>①</sup>**

Use this section to list all the corporate directors of the company.  
Please complete G1-G5. Please use a continuation page if necessary.

Name of corporate  
body or firm

Building name/number

Street

Post town

County/Region

Postcode

Country

**① Registered or principal address**  
This is the address that will appear on the public record. This address must be a physical location for the delivery of documents. It cannot be a PO box number (unless contained within a full address), DX number or LP (Legal Post in Scotland) number.

**G2****Location of the registry of the corporate body or firm**

Is the corporate director registered within the European Economic Area (EEA)?

→ Yes Complete Section G3 only

→ No Complete Section G4 only

**G3****EEA companies <sup>②</sup>**

Please give details of the register where the company file is kept (including the relevant state) and the registration number in that register.

Where the company/  
firm is registered <sup>③</sup>

Registration number

**② EEA**  
A full list of countries of the EEA can be found in our guidance:  
[www.gov.uk/companieshouse](http://www.gov.uk/companieshouse)

**③** This is the register mentioned in Article 3 of the First Company Law Directive (68/151/EEC).

**G4****Non-EEA companies**

Please give details of the legal form of the corporate body or firm and the law by which it is governed. If applicable, please also give details of the register in which it is entered (including the state) and its registration number in that register.

Legal form of the  
corporate body  
or firm

Governing law

If applicable, where  
the company/firm is  
registered <sup>④</sup>

If applicable, the  
registration number

**④ Non-EEA**  
Where you have provided details of the register (including state) where the company or firm is registered, you must also provide its number in that register

# OS IN01

Registration of an overseas company opening a UK establishment

G5

## Corporate director's authority

	<p>Please enter the extent of your authority as corporate director. Please tick one box.</p>	
Extent of authority	<p><input type="checkbox"/> Limited ❶</p> <p><input type="checkbox"/> Unlimited</p>	
Description of limited authority, if applicable		<p>❶ If you have indicated that the extent of your authority is limited, please provide a brief description of the limited authority in the box below.</p> <p>❷ If you have indicated that you are not authorised to act alone but only jointly, please enter the name(s) of the person(s) with whom you are authorised to act below.</p>
	<p>Are you authorised to act alone or jointly? Please tick one box.</p> <p><input type="checkbox"/> Alone</p> <p><input type="checkbox"/> Jointly ❷</p>	
If applicable, name(s) of person(s) with whom you are acting jointly		

**OS IN01**

Registration of an overseas company opening a UK establishment

**Part 5 UK establishment details****H1 Documents previously delivered - constitution**

Has the company previously registered a certified copy of the company's constitution with material delivered in respect of another UK establishment?

- No Go to Section H3.  
→ Yes Please enter the UK establishment number below and then go to Section H2.

UK establishment registration number

B R 0 2 0 0 0 5

**H2 Documents previously delivered – accounting documents**

Has the company previously delivered a copy of the company's accounting documents with material delivered in respect of another UK establishment?

- No Go to Section H3.  
→ Yes Please enter the UK establishment number below and then go to Section H3.

UK establishment registration number

B R 0 2 0 0 0 5

**H3 Delivery of accounts and reports**

This section must be completed. Please state if the company intends to comply with accounting requirements with respect to this establishment or in respect of another UK establishment. <sup>①</sup>

- ☐ In respect of this establishment. Please go to Section H4.  
☒ In respect of another UK establishment. Please give the registration number below, then go to Section H4.

UK establishment registration number

B R 0 2 0 0 0 5

<sup>①</sup> Please tick the appropriate box.**H4 Particulars of UK establishment <sup>①</sup>**

You must enter the name and address of the UK establishment.

Name of establishment ELAVON FINANCIAL SERVICES DAC

Building name/number 70

Street GRACECHURCH STREET

Post town LONDON

County/Region LONDON

Postcode E C V 3 0 H R

Country UNITED KINGDOM

Please give the date the establishment was opened and the business of the establishment.

Date establishment opened <sup>d</sup>1 <sup>d</sup>5 <sup>m</sup>0 <sup>m</sup>9 <sup>y</sup>2 <sup>y</sup>0 <sup>y</sup>1 <sup>y</sup>1

Business carried on at the UK establishment Financial Intermediation Not Elsewhere Classified

<sup>①</sup> Address  
This is the address that will appear on the public record.

**Part 6 Permanent representative**

Please enter the name and address of every person authorised to represent the company as a permanent representative of the company in respect of the UK establishment.

**J1 Permanent representative's details**

Please use this section to list all the permanent representatives of the company. Please complete Sections J1-J4.

**Continuation pages**

Please use a continuation page if you need to enter more details.

Full forename(s) HANNAH  
Surname FITZSIMMONS

**J2 Permanent representative's service address <sup>①</sup>**

Building name/number 70  
Street 2ND FLOOR  
GRACECHURCH STREET  
Post town LONDON  
County/Region LONDON  
Postcode E C V 3 0 H R  
Country UNITED KINGDOM

**① Service address**

This is the address that will appear on the public record. This does not have to be your usual residential address.

If you provide your residential address here it will appear on the public record.

**J3 Permanent representative's authority**

Please enter the extent of your authority as permanent representative. Please tick one box.

Extent of authority  
☐ Limited <sup>②</sup>  
☒ Unlimited

Description of limited authority, if applicable

Are you authorised to act alone or jointly? Please tick one box.

☒ Alone  
☐ Jointly <sup>③</sup>

If applicable, name(s) of person(s) with whom you are acting jointly

**②** If you have indicated that the extent of your authority is limited, please provide a brief description of the limited authority in the box below.

**③** If you have indicated that you are not authorised to act alone but only jointly, please enter the name(s) of the person(s) with whom you are authorised to act below.

# OS IN01

Registration of an overseas company opening a UK establishment

## Part 7

### Person authorised to accept service

Does the company have any person(s) in the UK authorised to accept service of documents on behalf of the company in respect of its UK establishment?

→ Yes Please enter the name and service address of every person(s) authorised below.

→ No Tick the box below then go to Part 8 'Signature'.

☐ If there is no such person, please tick this box.

## K1

### Details of person authorised to accept service of documents in the UK

Please use this section to list all the persons' authorised to accept service below. Please complete Sections K1-K2.

Continuation pages  
Please use a continuation page if you need to enter more details.

Full forename(s)

HANNAH

Surname

FITZSIMMONS

## K2

### Service address of person authorised to accept service <sup>1</sup>

Building name/number

70

Street

2ND FLOOR

GRACECHURCH STREET

Post town

LONDON

County/Region

LONDON

Postcode

E C 3 0 H R

Country

UNITED KINGDOM

#### 1 Service address

This is the address that will appear on the public record. This does not have to be your usual residential address. Please note, a DX address would not be acceptable.

**OS IN01**

Registration of an overseas company opening a UK establishment

**Part 8**

**Signature**

This must be completed by all companies.

I am signing this form on behalf of the company.

Signature

Signature

X

*Angela St. John*

X

This form may be signed by:

Director, Secretary, ~~Permanent representative~~

# OS IN01

Registration of an overseas company opening a UK establishment



## Presenter information

You do not have to give any contact information, but if you do it will help Companies House if there is a query on the form. The contact information you give will be visible to searchers of the public record.

Contact name SARAH MATTHEWS

Company name TUGHANS

Address MARLBOROUGH HOUSE

30 VICTORIA STREET

Post town BELFAST

County/Region ANTRIM

Postcode B T 1 3 G G

Country NORTHERN IRELAND

DX 433 NR BELFAST 1

Telephone 02890553300



## Checklist

We may return forms completed incorrectly or with information missing.

Please make sure you have remembered the following:

- ☐ The overseas corporate name on the form matches the constitutional documents exactly.
- ☐ You have included a copy of the appropriate correspondence in regard to sensitive words, if appropriate.
- ☐ You have included certified copies and certified translations of the constitutional documents, if appropriate.
- ☐ You have included a copy of the latest disclosed accounts and certified translations, if appropriate.
- ☐ You have completed all of the company details in Section B3 if the company has not registered an existing establishment.
- ☐ You have complete details for all company secretaries and directors in Part 4 if the company has not registered an existing establishment.
- ☐ Any addresses given must be a physical location. They cannot be a PO Box number (unless part of a full service address), DX or LP (Legal Post in Scotland) number.
- ☐ You have completed details for all permanent representatives in Part 6 and persons authorised to accept service in Part 7.
- ☐ You have signed the form.
- ☐ You have enclosed the correct fee.



## Important information

Please note that all information on this form will appear on the public record, apart from information relating to usual residential addresses and day of birth.



## How to pay

A fee of £20 is payable to Companies House in respect of a registration of an overseas company. Make cheques or postal orders payable to 'Companies House.'



## Where to send

You may return this form to any Companies House address, however for expediency we advise you to return it to the appropriate address below:

### England and Wales:

The Registrar of Companies, Companies House,  
Crown Way, Cardiff, Wales, CF14 3UZ.  
DX 33050 Cardiff.

### Scotland:

The Registrar of Companies, Companies House,  
Fourth floor, Edinburgh Quay 2,  
139 Fountainbridge, Edinburgh, Scotland, EH3 9FF.  
DX ED235 Edinburgh 1  
or LP - 4 Edinburgh 2 (Legal Post).

### Northern Ireland:

The Registrar of Companies, Companies House,  
Second Floor, The Linenhall, 32-38 Linenhall Street,  
Belfast, Northern Ireland, BT2 8BG.  
DX 481 N.R. Belfast 1.

### Higher protection

If you are applying for, or have been granted, higher protection, please post this whole form to the different postal address below:  
The Registrar of Companies, PO Box 4082,  
Cardiff, CF14 3WE.



## Further information

For further information, please see the guidance notes on the website at [www.gov.uk/companieshouse](http://www.gov.uk/companieshouse) or email [enquiries@companieshouse.gov.uk](mailto:enquiries@companieshouse.gov.uk)

This form is available in an alternative format. Please visit the forms page on the website at [www.gov.uk/companieshouse](http://www.gov.uk/companieshouse)



**FILE COPY**

**CERTIFICATE OF REGISTRATION  
OF AN OVERSEA COMPANY**

(Registration of a UK establishment)

Company No. FC027535

UK Establishment No. BR022122

The Registrar of Companies hereby certifies that

**ELAVON FINANCIAL SERVICES DAC**

has this day been registered under the Companies Act 2006 as having  
established a UK Establishment in the United Kingdom.

Given at Companies House on **20th January 2020**.



**Companies House**



**THE OFFICIAL SEAL OF THE  
REGISTRAR OF COMPANIES**