

FILE COPY



**CERTIFICATE OF INCORPORATION
OF A
PRIVATE LIMITED COMPANY**

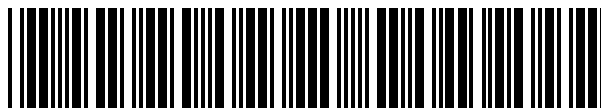
Company Number **15213021**

The Registrar of Companies for England and Wales, hereby certifies that

ST JOHN'S HOSPITAL DESIGN AND BUILD LTD

is this day incorporated under the Companies Act 2006 as a private company, that the company is limited by shares, and the situation of its registered office is in England and Wales

Given at Companies House, Cardiff, on **16th October 2023**



N152130212



Companies House



**THE OFFICIAL SEAL OF THE
REGISTRAR OF COMPANIES**



Companies House

IN01_(ef)

Application to register a company



Received for filing in Electronic Format on the: **14/10/2023**

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Company Name in full: **ST JOHN'S HOSPITAL DESIGN AND BUILD LTD**

Company Type: **Private company limited by shares**

Situation of Registered Office: **England and Wales**

Proposed Registered Office Address: **4-5 CHAPEL COURT
BATH
UNITED KINGDOM BA1 1SQ**

Sic Codes: **82990**

Proposed Officers

Company Director 1

Type: **Person**
Full Forename(s): **MRS CAROLINE JOAN**
Surname: **BEE**
Former Names: **MASON**
Service Address: **recorded as Company's registered office**
Country/State Usually Resident: **UNITED KINGDOM**

Date of Birth: ****/11/1974** *Nationality:* **BRITISH**
Occupation: **DIRECTOR OF FINANCE**

The subscribers confirm that the person named has consented to act as a director.

Company Director 2

Type: **Person**
Full Forename(s): **MR DAVID WILLIAM**
Surname: **HOBDEY**
Service Address: **recorded as Company's registered office**
Country/State Usually Resident: **UNITED KINGDOM**

Date of Birth: ****/05/1962** *Nationality:* **BRITISH**
Occupation: **CEO**

The subscribers confirm that the person named has consented to act as a director.

Company Director 3

Type: **Person**

Full Forename(s): **MRS MOIRA ELIZABETH**

Surname: **BRENNAN**

Service Address: **recorded as Company's registered office**

*Country/State Usually
Resident:* **UNITED KINGDOM**

Date of Birth: ****/03/1964** *Nationality:* **NORTHERN IRISH**

Occupation: **NONE**

The subscribers confirm that the person named has consented to act as a director.

Statement of Capital (Share Capital)

<i>Class of Shares:</i>	ORDINARY	<i>Number allotted</i>	1
<i>Currency:</i>	GBP	<i>Aggregate nominal value:</i>	1
<i>Prescribed particulars</i>			

VOTING RIGHTS - SHARES RANK EQUALLY FOR VOTING PURPOSES. ON A SHOW OF HANDS EACH MEMBER SHALL HAVE ONE VOTE AND ON A POLL EACH MEMBER SHALL HAVE ONE VOTE PER SHARE HELD. DIVIDEND RIGHTS - EACH SHARE RANKS EQUALLY FOR ANY DIVIDEND DECLARED. DISTRIBUTION RIGHTS ON A WINDING UP - EACH SHARE RANKS EQUALLY FOR ANY DISTRIBUTION MADE ON A WINDING UP. REDEEMABLE SHARES - THE SHARES ARE NOT REDEEMABLE.

Statement of Capital (Totals)

<i>Currency:</i>	GBP	<i>Total number of shares:</i>	1
		<i>Total aggregate nominal value:</i>	1
		<i>Total aggregate unpaid:</i>	0

Initial Shareholdings

Name: **ST JOHN'S HOSPITAL
TRUSTEE LIMITED**

Class of Shares: **ORDINARY**

Address **4-5 CHAPEL COURT
BATH
BA1 1SQ**

Number of shares: **1**

Currency: **GBP**

*Nominal value of each
share:* **1**

Amount unpaid: **0**

Amount paid: **1**

Persons with Significant Control (PSC)

Statement of initial significant control

On incorporation, there will be someone who will count as a Person with Significant Control (either a registerable person or relevant legal entity (RLE)) in relation to the company

Relevant Legal Entity (RLE) details

Company Name: **ST JOHN'S HOSPITAL TRUSTEE LIMITED**

Service Address: **4-5 CHAPEL COURT
BATH
BA1 1SQ**

Legal Form: **PRIVATE COMPANY LIMITED BY GUARANTEE**

Governing Law: **ENGLAND AND WALES**

Register Location: **REGISTER OF COMPANIES IN ENGLAND AND WALES**

Country/State: **ENGLAND AND WALES**

Registration Number: **08188066**

<i>Nature of control</i>	The relevant legal entity holds, directly or indirectly, 75% or more of the shares in the company.
<i>Nature of control</i>	The relevant legal entity holds, directly or indirectly, 75% or more of the voting rights in the company.
<i>Nature of control</i>	The relevant legal entity has the right, directly or indirectly, to appoint or remove a majority of the board of directors of the company.

Statement of Compliance

I confirm the requirements of the Companies Act 2006 as to registration have been complied with.

Name: **ST JOHN'S HOSPITAL TRUSTEE LIMITED**
Authenticated **YES**

Authorisation

Authoriser Designation: **subscriber** *Authenticated* **YES**

COMPANY HAVING A SHARE CAPITAL

Memorandum of Association of

ST JOHN'S HOSPITAL DESIGN AND BUILD LTD

Each subscriber to this memorandum of association wishes to form a company under the Companies Act 2006 and agrees to become a member of the company and to take at least one share.

Name of each subscriber	Authentication
ST JOHN'S HOSPITAL TRUSTEE LIMITED	Authenticated Electronically

Dated: 14/10/2023

The Companies Act 2006
Private Company Limited by Shares

Articles of Association
of
ST JOHN'S HOSPITAL DESIGN AND BUILD LTD



Bath
Birmingham
Bristol
Cambridge
Leeds
London

www.stoneking.co.uk

THE COMPANIES ACT 2006
PRIVATE COMPANY LIMITED BY SHARES
ARTICLES OF ASSOCIATION
OF
ST JOHN'S HOSPITAL DESIGN AND BUILD LTD
(the "Company")

1 Interpretation

1.1 The model articles of association for private companies limited by shares contained in Schedule 1 of the Companies (Model Articles) Regulations 2008 (SI 2008/3229) shall not apply to the Company.

1.2 In these Articles:

"address"	in relation to electronic form includes any number or address used for the purpose of communications in such form;
"Articles"	means the articles of association of the Company for the time being in force;
"Charity"	means St. John's Foundation Est. 1174, registered with charity number 201476, whose contact address is St John's Hospital, 4-5 Chapel Court, Bath, BA1 1SQ, governed by a Scheme dated 25 September 2012 as amended by Scheme dated 8 February 2017 and Resolution dated 28 September 2017 or any other charitable body which such charity notifies in writing to the Company has succeeded to its charitable purposes;
"Companies Acts"	has the meaning given to it in section 2 of the Companies Act 2006;
"Conflict"	means a situation in which a director has, or can have, a direct or indirect interest that conflicts, or possibly may conflict, with the interests of the Company;
"director"	means a director of the Company and includes any person occupying the position of director, by whatever name called and "directors" shall be construed accordingly;
"electronic form"	has the meaning given to it in section 1168 of the Companies Act 2006;
"Eligible Director"	means a director who would be entitled to vote on the matter at a meeting of directors (but excluding any director whose vote is not to be counted in respect of a particular matter);
"member"	in relation to shares means the person whose name is entered in the register of members of the Company as the holder of the shares; and
"Trustee Company"	means St John's Hospital Trustee Limited, a company limited by guarantee registered with company number 08188066, whose registered office is at St John's Hospital, 4-5 Chapel Court, Bath, BA1 1SQ, as corporate trustee of the Charity or else the trustee or trustees for the time being of the Charity.

- 1.1 Unless the context otherwise requires, words or expressions contained in the Articles bear the same meanings as in the Companies Acts but excluding any statutory modification not in force on the date the Articles were adopted by the Company.
- 1.2 Headings in these Articles are used for convenience only and shall not affect the construction or interpretation of these Articles.
- 1.3 A reference in these Articles to a numbered article is a reference to the relevant article of these Articles unless expressly provided otherwise.
- 1.4 Unless expressly provided otherwise in these Articles, a reference to a statute or statutory instrument is a reference to it as it is in force from time to time, taking account of:
- (a) any subordinate legislation made under it, whether before or after the date of adoption of these Articles; and
 - (b) any amendment or re-enactment, whether before or after the date of adoption of these Articles and includes any statute, statutory provision or subordinate legislation which it amends or re-enacts.

2 Object

The object of the Company is to carry on business as a general commercial company.

3 Sole Member

The Trustee Company shall be the sole member of the Company.

4 Liability of the member

The liability of the member is limited to the amount, if any, unpaid on the share held by it.

5 Shares

- 5.1 Subject to the provisions of the Companies Acts any share may be issued with such rights or restrictions as the Company may by ordinary resolution determine.
- 5.2 The directors shall not exercise any power of the Company to allot shares or other securities in, or to grant rights to subscribe for, or convert into shares or other securities of, the Company without the prior written consent of the Trustee Company. Without limitation the powers of the directors under section 550 of the Companies Acts are limited accordingly.
- 5.3 No shares shall be transferred except with the prior written consent of the Trustee Company which may in its absolute discretion and without giving any reason require the directors to decline to register any transfer of any share.
- 5.4 Subject to the terms of issue of the share in question, the Company may, by ordinary resolution on the recommendation of the directors, decide to pay all or part of a dividend or other distribution payable in respect of a share by transferring non-cash assets of equivalent value (including without limitation, shares or other securities in any company). For the purposes of paying a non-cash distribution, the directors may make whatever arrangements they think fit, including, where any difficulty arises regarding the distribution:
- (a) fixing the value of any assets;
 - (b) paying cash to any distribution recipient on the basis of that value in order to adjust the rights of recipients; and
 - (c) vesting any assets in trustees.

6 Trustee Company's Authorised Representative

Such person as is from time to time notified to the Company in writing by the Trustee Company, and failing any such notification, the Trustee Company's chair (if any), shall be the duly

authorised representative of the Trustee Company ("**Trustee Company's Authorised Representative**").

7 Meetings and Company Resolutions

7.1 Decisions of the member can be made:

- (a) by the Trustee Company's Authorised Representative notifying a decision the Trustee Company has made to the Company in writing within 14 days of the date of the decision;
- (b) by passing a written resolution in accordance with the provisions of the Companies Act 2006 which is signed by the Trustee Company's Authorised Representative as sole member; or
- (c) by passing a resolution at a members' meeting convened and held in accordance with the provisions of the Companies Act 2006.

7.2 Communications in relation to written resolutions shall be sent to the Company's auditors in accordance with the Companies Acts.

8 Directors' Powers

8.1 Subject to article 8.2, the directors are responsible for the management of the Company's business, for which purpose they may exercise all the powers of the Company.

8.2 All of the powers of the directors (or any of them) shall be restricted in such respects, to such extent and for such duration as the Trustee Company may from time to time by notice in writing to the Company prescribe.

8.3 No alteration of the Articles and no direction made under article 8.2 shall invalidate anything which the directors have done prior to the alteration or such direction.

9 Delegation of Directors' Powers

9.1 The directors may delegate any of the powers which are conferred on them under the articles;

- (a) to any person;
- (b) to any committee that includes one or more directors; and/or
- (c) to any managing director or any director holding any other executive office;

by such means (including by power of attorney), to such an extent, in relation to such matters and on such terms and conditions as they think fit including (if so specified) delegation permitting further delegation of the directors' powers by any person or persons to whom they are delegated.

9.2 The directors may revoke any delegation made under article 9.1 in whole or part, or alter its terms and conditions.

9.3 Committees to which the directors delegate any of their powers under article 9.1(b) must follow procedures which are based as far as they are applicable on those provisions of the articles which govern the taking of decisions by directors.

10 Number of directors

Unless otherwise determined by ordinary resolution the number of directors shall not be subject to any maximum but shall be at least three.

11 Appointment and Removal of Directors

11.1 The Trustee Company may at any time and from time to time by notice in writing to the Company, signed by the Trustee Company's Authorised Representative, appoint one or more

persons to be a director or directors of the Company and to remove any director or directors from office (whether or not appointed pursuant to this article 11.1).

11.2 A person ceases to be a director as soon as:

- (a) that person ceases to be a director by virtue of any provisions of the Companies Acts or is prohibited from being a director by law;
- (b) a bankruptcy order is made against that person;
- (c) a composition is made with that person's creditors generally in satisfaction of that person's debts;
- (d) a registered medical practitioner who is treating that person gives a written opinion to the Company stating that that person has become physically or mentally incapable of acting as a director and may remain so for more than three months;
- (e) notification is received by the Company from the director that the director is resigning from office, and such resignation has taken effect in accordance with its terms; or
- (f) notification of the director's removal is received by the Company from the Trustee Company pursuant to article 11.1.

12 Remuneration of Directors

The directors shall be entitled to such remuneration as the Company may by ordinary resolution determine, provided that any remuneration of a director who is also a director of the Trustee Company must be permitted in accordance with the Trustee Company's Articles of Association or the Charities Act 2011.

13 Directors' Expenses

The Company may pay any reasonable travel, accommodation and other expenses which the directors properly incur in connection with their attendance at meetings of directors or committees of directors, general meetings or otherwise in connection with the exercise of their powers and the discharge of their responsibilities in relation to the Company.

14 Proceedings of Directors

Subject to the provisions of the Articles, the directors may regulate their proceedings as they think fit.

15 Directors' meetings

15.1 Any director may call a directors' meeting by giving notice of the meeting to the other directors.

- (a) Notice of any directors' meeting must indicate:
 - (i) its proposed date and time;
 - (ii) where it is to take place; and
 - (iii) if it is anticipated that directors participating in the meeting will not be in the same place, how it is proposed that they should communicate with each other during the meeting.
- (b) Notice of a directors' meeting must be given to each director, but need not be in writing.
- (c) It shall not be necessary to give notice of a meeting to a director who is absent from the United Kingdom.

15.2 The quorum for a directors' meeting may be fixed from time to time by a decision of the Trustee Company but unless so fixed at any other number shall:

- (a) subject to article (b) be two Eligible Directors; or

- (b) for the purposes of any meeting (or part of a meeting) held pursuant to article 17 to authorise a Conflict, if there is only one Eligible Director in office other than the Interested Director(s) (as defined in article 17.1), the quorum for such a meeting (or part of a meeting) shall be one Eligible Director.
- 15.3 The continuing directors or a sole continuing director may act notwithstanding any vacancies in their number but if the total number of directors for the time being is less than the quorum required the continuing directors or a sole continuing director may act only for the purpose of requesting the Trustee Company to appoint further directors.
- 15.4 The directors may appoint a director to chair their meetings (the “**Chair**”) and may terminate the Chair’s appointment at any time. If the Chair is not participating in a directors’ meeting within ten minutes of the time at which it was to start, or is unwilling to chair the meeting, the directors present may appoint one of their number to be chair of the meeting.
- 15.5 Questions arising at a directors’ meeting shall be decided by a majority decision.
- 15.6 A resolution in writing signed by all the directors entitled to receive notice of a meeting of the directors or of a committee of the directors shall be as valid and effectual as if it had been passed at a meeting of the directors or (as the case may be) a committee of the directors duly convened and held and may consist of several documents in the like form each signed by one or more directors. The date of a written resolution of the directors shall be the date on which the last director signs it.
- 15.7 The directors may take unanimous decisions without holding a directors’ meeting by indicating to each other by other means, including without limitation by electronic form, that they share a common view on a matter. Such a decision may, but need not, take the form of a resolution in writing, copies of which have been signed by each director or to which each director has otherwise indicated agreement in writing.
- 15.8 All acts done by a directors’ meeting or of a committee of the directors or by a person acting as a director shall notwithstanding that it be afterwards discovered that there was a defect in the appointment of any director or that any of them were disqualified from holding office or had vacated office or were not entitled to vote be as valid as if every such person had been duly appointed and was qualified and had continued to be a director and had been entitled to vote.
- 15.9 The directors may hold meetings by telephone or video conference or such other suitable means as they think fit provided all participants may communicate to the others any information or opinions they have on any particular item of business of the meeting. In determining whether directors are participating in a directors’ meeting, it is irrelevant where any director is or how they communicate with each other. If all the directors participating in a meeting are not in the same place, they may decide that the meeting is to be treated as taking place wherever any of them is.
- 16 Transactions or other arrangements with the Company**
- 16.1 Subject to article 16.2 if a proposed decision of the directors is concerned with an actual or proposed transaction or arrangement with the Company in which a director is in any way, directly or indirectly, interested, that director is not to be counted as participating in the decision making process for quorum, voting or agreement purposes.
- 16.2 A director who is in any way, directly or indirectly, interested in an actual or proposed transaction or arrangement with the Company is to be counted as participating in the decision-making process and is entitled to vote on or agree to a proposal relating to it if they have declared the nature and extent of their interest in accordance with the requirements of the Companies Act and either:
- (a) the Company by ordinary resolution resolves that article 16.1 shall not apply;

- (b) the director's interest cannot reasonably be regarded as likely to give rise to a Conflict; or
- (c) the director's Conflict arises solely from their being a director of the Trustee Company or employed by or otherwise involved with the Charity;

and in each case subject, where applicable to any terms and conditions as may be imposed by the directors, the director:

- (i) may be a party to, or otherwise interested in, the transaction or arrangement with the Company or in which the Company is otherwise (directly or indirectly) interested;
- (ii) shall not be accountable to the Company for any benefit which they (or a person connected with them (as defined by section 252 of the Companies Act 2006) derives from any such transaction or arrangement; and
- (iii) no such transaction or arrangement shall be liable to be avoided on the grounds of any such interest of benefit, nor shall the receipt of any such remuneration or other benefit constitute a breach of their duty under section 176 of the Companies Act 2006.

17 Directors' Conflicts of Interest

- 17.1 The directors may, in accordance with the requirements set out in this article 17, authorise any Conflict proposed to them by any director which would, if not authorised, involve a director (an **Interested Director**) breaching their duty under section 175 of the Companies Act 2006 to avoid conflicts of interest.
- 17.2 Any authorisation under this article 17 will be effective only if:
 - (a) to the extent permitted by the Companies Act, the matter in question shall have been proposed by any director for consideration in the same way that any other matter may be proposed to the directors under the provisions of these Articles or in such other manner as the directors may determine;
 - (b) any requirement as to the quorum for consideration of the relevant matter is met without counting the Interested Director; and
 - (c) the matter was agreed to without the Interested Director voting or would have been agreed to if the Interested Director's vote had not been counted.
- 17.3 Any authorisation of a Conflict under this article 17 may (whether at the time of giving the authorisation or subsequently) impose on the Interested Director such conditions or limitations, or be granted subject to such terms, as the directors may think fit for the purposes of dealing with the Conflict and the Interested Director will be obliged to comply with any such terms and conditions.
- 17.4 The directors may revoke or vary such authorisation at any time, but this will not affect anything done by the Interested Director, before such revocation or variation, in accordance with the terms of such authorisation.
- 17.5 A director may be a director of the Trustee Company or employed by the Charity or any body corporate promoted by the Company or in which the Company is otherwise interested provided that no director who is also a director of the Trustee Company shall be remunerated or receive other benefits in respect of such employment or office unless permitted in accordance with the Trustee Company's Articles of Association or the Charities Act 2011 and no further authorisation under article 17.1 shall be necessary in respect of any such interest.
- 17.6 A director is not required, by reason of being a director (or because of the fiduciary relationship established by reason of being a director), to account to the Company for any remuneration,

profit or other benefit that they derive from or in connection with a relationship involving a Conflict which has been authorised by the directors in accordance with these Articles or by the Company (subject in each case to any terms, limits or conditions attaching to that authorisation) and no contract shall be liable to be avoided on such grounds.

18 Minutes

- 18.1 Subject to article 18.2, the directors shall cause minutes to be made in writing kept for at least 10 years from the date of the decision recorded of:
- (a) all appointments of officers made by the directors and appointments of directors made by the Trustee Company;
 - (b) all proceedings at meetings of the Company and of the directors and of committees of directors including the names of the directors present at each such meeting;
 - (c) all written resolutions of the member; and
 - (d) every unanimous or majority or written decision taken by the directors and committees of the directors.
- 18.2 Where decisions are taken by electronic means, such decisions shall be recorded by the directors in a form that enables the directors to retain a copy of such decisions.

19 Accounts

Accounts shall be prepared in accordance with the Companies Acts.

20 Means of communication to be used

- 20.1 Subject to article 20.2, any notice, document or other information shall be deemed served on, or delivered to, the intended recipient:
- (a) if delivered by hand; or
 - (b) if sent by pre-paid United Kingdom first class post, recorded delivery or special delivery to an address in the United Kingdom, at 9.00 am on the third day after posting; or
 - (c) if sent or supplied by e-mail, one hour after the notice, document or information was sent or supplied; or
 - (d) if sent or supplied by means of a website, when the material is first made available on the website or (if later) when the recipient receives (or is deemed to have received) notice of the fact that the material is available on the website; and
 - (e) if deemed receipt under the previous paragraphs of this article 20.1 would occur outside business hours (meaning 9.00 am to 5.30 pm Monday to Friday on a day that is not a public holiday in the place of deemed receipt), at 9.00 am on the day when business next starts in the place of deemed receipt.
- 20.2 To prove service, it is sufficient to prove that:
- (a) if delivered by hand the notice was handed personally to the Trustee Company's Authorised Representative; or
 - (b) if sent by post the envelope containing the notice was properly addressed to the address shown in the Company's register of members, paid for and posted; or
 - (c) if sent by e-mail, the notice was properly addressed and sent to the e-mail address of the recipient.

21 Indemnity

- 21.1 For the purposes of this article 21 a "**Liability**" is any costs, charges, losses, expenses and/or liabilities incurred by a director in connection with any negligence, default, breach of duty or breach of trust by them in relation to the Company or otherwise in connection with their duties, powers or office.
- 21.2 Subject to the Companies Acts and without prejudice to any protection from liability which may otherwise apply:
- (a) the directors shall have power to purchase and maintain insurance, at the expense of the Company, against any Liability;
 - (b) each director shall be indemnified out of the Company's assets against any Liability incurred by them in defending any civil or criminal proceedings, or regulatory investigation or action, in which judgment is given in their favour or in which they are acquitted or the proceedings are otherwise disposed of without any finding or admission of any material breach of duty on their part or in connection with any application in which the court grants them, in their capacity as a director, relief from any Liability; and
 - (c) the Company may provide any director with funds to meet expenditure incurred or to be incurred by them in connection with any proceedings, investigation, action or application referred to in sub-article (b) and otherwise may take any action to enable any such director to avoid incurring such expenditure.

22 Winding Up

If the Company is wound up all remaining assets of the Company after paying the debts of the Company and the costs of winding up shall be paid or transferred to the Charity or as the Trustee Company may direct.