

# FILE COPY



## CERTIFICATE OF INCORPORATION OF A PRIVATE LIMITED COMPANY

Company Number **13404705**

The Registrar of Companies for England and Wales, hereby certifies that

**PLUME BIOTECHNOLOGY LIMITED**

is this day incorporated under the Companies Act 2006 as a private company, that the company is limited by shares, and the situation of its registered office is in England and Wales

Given at Companies House, Cardiff, on **17th May 2021**



\*N13404705B\*



Companies House



THE OFFICIAL SEAL OF THE  
REGISTRAR OF COMPANIES



Companies House

**IN01**<sub>(ef)</sub>

**Application to register a company**



Received for filing in Electronic Format on the: **17/05/2021**

XA4O51M3

*Company Name in full:*

**PLUME BIOTECHNOLOGY LIMITED**

*Company Type:*

**Private company limited by shares**

*Situation of Registered Office:*

**England and Wales**

*Proposed Registered Office Address:*

**THE INNOVATION CENTRE 217 PORTOBELLO  
SHEFFIELD  
ENGLAND S1 4DP**

*Sic Codes:*

**72110**

*I wish to entirely adopt the following model articles:*

**Private (Ltd by Shares)**

## ***Proposed Officers***

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*Company Secretary*     *1*

*Type:*                                **Person**

*Full Forename(s):*                **MR ROSS ALEXANDER**

*Surname:*                         **MCMASTER**

*Former Names:*

*Service Address:*                **recorded as Company's registered office**

*The subscribers confirm that the person named has consented to act as a secretary.*

*Company Director* 1

Type:	Person
Full Forename(s):	DR THOMAS JAMES
Surname:	BURNS
Former Names:	
Service Address:	recorded as Company's registered office
Country/State Usually Resident:	ENGLAND

*Date of Birth:*    **\*\*/11/1990**                      *Nationality:*        **BRITISH**  
*Occupation:*    **RESEARCHER**

*The subscribers confirm that the person named has consented to act as a director.*

*Company Director* 2

Type:	Person
Full Forename(s):	MR ROBERT JAMES
Surname:	GUNN
Former Names:	
Service Address:	BARBERRY COTTAGE QUARRY LANE KELSALL TARPORLEY ENGLAND CW6 0PD
Country/State Usually Resident:	ENGLAND

*Date of Birth:*    **\*\*/12/1965**                      *Nationality:*        **BRITISH**  
*Occupation:*    **CONSULTANT**

*The subscribers confirm that the person named has consented to act as a director.*

## *Company Director*      3

*Type:*                                      **Person**

*Full Forename(s):*                      **MISS EMMA**

*Surname:*                                **CHANDLER #**

*Former Names:*

*Service Address:*                      **THE INNOVATION CENTRE**  
**217 PORTOBELLO**  
**SHEFFIELD**  
**SOUTH YORKSHIRE**  
**ENGLAND S1 4DP**

*Country/State Usually*                **ENGLAND**  
*Resident:*

*Date of Birth:*      **\*\*/04/1994**                      *Nationality:*      **BRITISH**

*Occupation:*      **RESEARCHER**

*The subscribers confirm that the person named has consented to act as a director*

## *Company Director*      4

*Type:*                                      **Person**

*Full Forename(s):*                      **DR RICHARD ANTHONY**

*Surname:*                                **SENIOR #**

*Former Names:*

*Service Address:*                      **THE INNOVATION CENTRE 217  
PORTOBELLO SHEFFIELD SOUTH  
YORKSHIRE ENGLAND S1 4DP**

*Country/State Usually  
Resident:*                                **ENGLAND**

*Date of Birth:*      **\*\*/09/1984**                      *Nationality:*      **BRITISH**

*Occupation:*      **COMMERCIALISATION MANAGER**

*The subscribers confirm that the person named has consented to act as a director*

## ***Statement of Capital (Share Capital)***

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<i>Class of Shares:</i>	<b>ORDINARY</b>	<i>Number allotted</i>	<b>9999</b>
<i>Currency:</i>	<b>GBP</b>	<i>Aggregate nominal value:</i>	<b>99.99</b>
<i>Prescribed particulars</i>			

### **FULL RIGHTS REGARDING VOTING, PAYMENT OF DIVIDENDS AND DISTRIBUTIONS**

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#### **Statement of Capital (Totals)**

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<i>Currency:</i>	<b>GBP</b>	<i>Total number of shares:</i>	<b>9999</b>
		<i>Total aggregate nominal value:</i>	<b>99.99</b>
		<i>Total aggregate unpaid:</i>	<b>0</b>

## *Initial Shareholdings*

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<i>Name:</i>	<b>THOMAS BURNS</b>		
<i>Address</i>	<b>THE INNOVATION CENTRE 217 PORTOBELLO SHEFFIELD ENGLAND S1 4DP</b>	<i>Class of Shares:</i>	<b>ORDINARY</b>
		<i>Number of shares:</i>	<b>2333</b>
		<i>Currency:</i>	<b>GBP</b>
		<i>Nominal value of each share:</i>	<b>0.01</b>
		<i>Amount unpaid:</i>	<b>0</b>
		<i>Amount paid:</i>	<b>0.01</b>

<i>Name:</i>	<b>ROBERT GUNN</b>		
<i>Address</i>	<b>THE INNOVATION CENTRE 217 PORTOBELLO SHEFFIELD ENGLAND S1 4DP</b>	<i>Class of Shares:</i>	<b>ORDINARY</b>
		<i>Number of shares:</i>	<b>2333</b>
		<i>Currency:</i>	<b>GBP</b>
		<i>Nominal value of each share:</i>	<b>0.01</b>
		<i>Amount unpaid:</i>	<b>0</b>
		<i>Amount paid:</i>	<b>0.01</b>

<i>Name:</i>	<b>EMMA CHANDLER</b>		
<i>Address</i>	<b>THE INNOVATION CENTRE 217 PORTOBELLO SHEFFIELD ENGLAND S1 4DP</b>	<i>Class of Shares:</i>	<b>ORDINARY</b>
		<i>Number of shares:</i>	<b>2333</b>
		<i>Currency:</i>	<b>GBP</b>
		<i>Nominal value of each share:</i>	<b>0.01</b>
		<i>Amount unpaid:</i>	<b>0</b>
		<i>Amount paid:</i>	<b>0.01</b>

<i>Name:</i>	<b>THE UNIVERSITY OF SHEFFIELD</b>		
<i>Address</i>	<b>FIRTH COURT WESTERN BANK SHEFFIELD ENGLAND S10 2TN</b>	<i>Class of Shares:</i>	<b>ORDINARY</b>
		<i>Number of shares:</i>	<b>3000</b>
		<i>Currency:</i>	<b>GBP</b>
		<i>Nominal value of each share:</i>	<b>0.01</b>
		<i>Amount unpaid:</i>	<b>0</b>
		<i>Amount paid:</i>	<b>0.01</b>



## ***Persons with Significant Control (PSC)***

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### **Statement of no PSC**

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**The company knows or has reason to believe that there will be no registerable Person with Significant Control or Relevant Legal Entity (RLE) in relation to the company**

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## ***Statement of Compliance***

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*I confirm the requirements of the Companies Act 2006 as to registration have been complied with.*

*Name:* **THOMAS BURNS**

*Authenticated* **YES**

*Name:* **ROBERT GUNN**

*Authenticated* **YES**

*Name:* **EMMA CHANDLER**

*Authenticated* **YES**

*Name:* **THE UNIVERSITY OF SHEFFIELD**

*Authenticated* **YES**

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## ***Authorisation***

*Authoriser Designation:* **subscriber**

*Authenticated* **YES**

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# COMPANY HAVING A SHARE CAPITAL

## Memorandum of Association of PLUME BIOTECHNOLOGY LIMITED

Each subscriber to this memorandum of association wishes to form a company under the Companies Act 2006 and agrees to become a member of the company and to take at least one share.

Name of each subscriber	Authentication
THOMAS BURNS	Authenticated Electronically
ROBERT GUNN	Authenticated Electronically
EMMA CHANDLER	Authenticated Electronically
THE UNIVERSITY OF SHEFFIELD	Authenticated Electronically

Dated: 17/05/2021