

# RP04

## Second filing of a document previously delivered



Companies House

### ✓ What this form is for

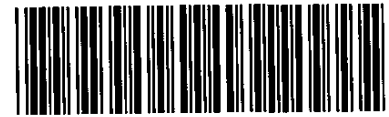
You can only use this form to file a second filing of a document delivered under the Companies Act 2006 on or after 1 October 2009 that held inaccuracies.

A second filing of a document must only be filed where it is providing corrected information that has been properly delivered but inaccuracies still appear on the register.

### ✗ What this form is NOT for

You cannot use this form to file a second filing of a document delivered under the Companies Act 2006 or the Companies (Northern Ireland) Order 1986 regardless of whether it held inaccuracies.

A second filing of a document cannot be filed where it is correcting information that was originally not properly delivered. Form RP01 must be used in these circumstances.



A29

\*A824JXSA\*

27/03/2019

#162

COMPANIES HOUSE

WEDNESDAY

## 1 Company details

Company number 1 0 4 0 9 0 2 9

Company name in full Trafalgar Entertainment Group Limited

### → Filling in this form

Please complete in typescript or in bold black capitals.

All fields are mandatory unless specified or indicated by \*

## 2 Applicable documents

This form **only** applies to the following forms:

- AP01 Appointment of director
- AP02 Appointment of corporate director
- AP03 Appointment of secretary
- AP04 Appointment of corporate secretary
- CH01 Change of director's details
- CH02 Change of corporate director's details
- CH03 Change of secretary's details
- CH04 Change of corporate secretary's details
- TM01 Termination of appointment of director
- TM02 Termination of appointment of secretary
- SH01 Return of allotment of shares
- AR01 Annual Return
- CS01 Confirmation statement (Parts 1-4 only)
- PSC01 Notice of individual person with significant control (PSC)
- PSC02 Notice of relevant legal entity (RLE) with significant control
- PSC03 Notice of other registrable person (ORP) with significant control
- PSC04 Change of details of individual person with significant control (PSC)
- PSC05 Change of details of relevant legal entity (RLE) with significant control
- PSC06 Change of details of other registrable person (ORP) with significant control
- PSC07 Notice of ceasing to be a person with significant control (PSC), relevant legal entity (RLE), or other registrable person (ORP)
- PSC08 Notification of PSC statements
- PSC09 Update to PSC statements

RP04

Second filing of a document previously delivered

3 Description of the original document	
Document type ①	Confirmation Statement - CS01
Date of registration of the original document	<div> <div>d</div>2<div>d</div>9 <div>m</div>1<div>m</div>0 <div>y</div>2<div>y</div>0<div>y</div>1<div>y</div>8 </div>

**① Description of the original document**  
Please enter the document type (e.g. a Return of allotment of shares—SH01) and any distinguishing information if more than one document of that type was filed on the same day.

4 Section 243 or 790ZF Exemption ②
<p>If you are applying for, or have been granted, exemption under section 243 or 790ZF of the Companies Act 2006 and the document(s) you are updating contain(s) your usual residential address, please post this form along with the updated document(s) to the address below:</p> <p>The Registrar of Companies, PO BOX 4082, Cardiff, CF14 3WE.</p>

**② If you are currently in the process of applying for or have been granted a Section 243 or 790ZF exemption, you may wish to check that you have not entered your usual residential address as the service address in the accompanying form (e.g. AP01 or CH01).**

RP04

Second filing of a document previously delivered

### Presenter information

You do not have to give any contact information, but if you do it will help Companies House if there is a query on the form. The contact information you give will be visible to searchers of the public record.

Contact name

Company name **Roffe Swayne**

Address **Ashcombe Court**

**Woolsack Way**

Post town **Godalming**

County/Region **Surrey**

Postcode **G U 7 1 L Q**

Country

DX

Telephone

### Checklist

**We may return forms completed incorrectly or with information missing.**

**Please make sure you have remembered the following:**

- ☐ The company name and number match the information held on the public Register.
- ☐ You can only use this form to file a second filing of a document delivered to the Registrar of Companies under the Companies Act 2006 on or after 1 October 2009 that held inaccuracies.
- ☐ If you are updating a document where you have previously paid a fee, do not send a fee along with this form.
- ☐ You have enclosed the second filed document(s).
- ☐ If the company to which this document relates has signed up to the PROOF (PROtected Online Filing) scheme, you must also deliver with this form, and the second filed document(s), a PR03 form 'Consent for paper filing.'

### Important information

**Please note that all information on this form will appear on the public record.**

### Where to send

**You may return this form to any Companies House address, however for expediency we advise you to return it to the appropriate address below:**

**For companies registered in England and Wales:**  
The Registrar of Companies, Companies House,  
Crown Way, Cardiff, Wales, CF14 3UZ.  
DX 33050 Cardiff.

**For companies registered in Scotland:**  
The Registrar of Companies, Companies House,  
Fourth floor, Edinburgh Quay 2,  
139 Fountainbridge, Edinburgh, Scotland, EH3 9FF.  
DX ED235 Edinburgh 1  
or LP - 4 Edinburgh 2 (Legal Post).

**For companies registered in Northern Ireland:**  
The Registrar of Companies, Companies House,  
Second Floor, The Linenhall, 32-38 Linenhall Street,  
Belfast, Northern Ireland, BT2 8BG.  
DX 481 N.R. Belfast 1.

#### **Section 243 or 790ZF exemption**

If you are applying for or have been granted a section 243 or 790ZF exemption, please post this whole form to the different postal address below:  
The Registrar of Companies, PO Box 4082,  
Cardiff, CF14 3WE.

### Further information

For further information, please see the guidance notes on the website at [www.gov.uk/companieshouse](http://www.gov.uk/companieshouse) or email [enquiries@companieshouse.gov.uk](mailto:enquiries@companieshouse.gov.uk)

**This form is available in an alternative format. Please visit the forms page on the website at [www.gov.uk/companieshouse](http://www.gov.uk/companieshouse)**

# CS01- additional information page

## Confirmation statement

### Part 2 Statement of capital change

Complete this part in full if there has been any change to your share capital or prescribed particulars since the last statement of capital was delivered.

✓ **This part must be sent at the same time as your confirmation statement.**

✗ Not required for companies without share capital.

For further information, please refer to our guidance at [www.gov.uk/companieshouse](http://www.gov.uk/companieshouse)

You must complete both sections B1 and B2.

#### B1 Share capital

Complete the table(s) below to show the issued share capital.

**Complete a separate table for each currency (if appropriate).** For example, add pound sterling in 'Currency table A' and Euros in 'Currency table B'.

**Continuation pages**  
Use a statement of capital continuation page if necessary.

Currency <small>Complete a separate table for each currency</small>	Class of shares <small>E.g. Ordinary/Preference etc.</small>	Number of shares	Aggregate nominal value (£, €, \$, etc) <small>Number of shares issued multiplied by nominal value</small>	Total aggregate amount unpaid, if any (£, €, \$, etc) <small>Including both the nominal value and any share premium</small>
--	---	------------------	--	--

##### Currency table A

Pounds Sterling (GBP)	As per continuation sheet(s)	224,243,530	42,038,249	
<b>Totals</b>		224,243,530	42,038,249	0

##### Currency table B

<b>Totals</b>				

##### Currency table C

<b>Totals</b>				

**Totals (including continuation pages)**

Total number of shares	Total aggregate nominal value ❶	Total aggregate amount unpaid ❶
224,243,530	GBP 42,038,249	GBP 0

❶ Please list total aggregate values in different currencies separately. For example: £100 + €100 + \$10 etc.

Complete the table below to show the issued share capital. Complete a separate table for each currency.

Currency Complete a separate table for each currency	Class of shares E.g. Ordinary/Preference etc.	Number of shares	Aggregate nominal value (£, €, \$, etc)  Number of shares issued multiplied by nominal value	Total aggregate amount unpaid, if any (£, €, \$, etc)  Including both the nominal value and any share premium
Pounds Sterling (GBP)	A Ordinary Shares	39,693,182	39,693,182	
Pounds Sterling (GBP)	B Ordinary Shares	2,162,679	2,162,679	
Pounds Sterling (GBP)	C Ordinary Shares	1,313,669	1,314	
Pounds Sterling (GBP)	Deferred Shares	181,074,000	181,074	
<b>Totals</b>		224,243,530	42,038,249	0

CS01- additional information page  
Confirmation statement

**B2**

**Prescribed particulars**

Please give the prescribed particulars of rights attached to each class of share shown in the 'share capital' tables in **Section B1**.

**Prescribed particulars of rights attached to shares**

The particulars are:

- a. particulars of any voting rights, including rights that arise only in certain circumstances;
- b. particulars of any rights, as respects dividends, to participate in a distribution;
- c. particulars of any rights, as respects capital, to participate in a distribution (including on winding up); and
- d. whether the shares are to be redeemed or are liable to be redeemed at the option of the company or the shareholder.

A separate table must be used for each class of share.

Please use a prescribed particulars continuation page if necessary.

Class of share

Prescribed particulars

See continuations sheet(s)

Class of share

Prescribed particulars

Class of share

Prescribed particulars

CS01- continuation page  
Confirmation statement

**B2**

**Prescribed particulars**

Please give the prescribed particulars of rights attached to shares for each class of share shown in the statement of capital share tables in **Section B1**.

Class of share

**A Ordinary Shares**

Prescribed particulars  
①

At a general meeting, on a show of hands every shareholder who is present in person or by proxy shall have one vote, unless the proxy himself is a shareholder entitled to vote; on a poll every shareholder present in person or by proxy shall have one vote for each share of which he is the holder; and on a vote on a written resolution every shareholder has one vote for each share of which he is the holder.

The A Ordinary Shares shall carry the right to receive dividends or other distributions.

● **Prescribed particulars of rights attached to shares**

The particulars are:

- a. particulars of any voting rights, including rights that arise only in certain circumstances;
- b. particulars of any rights, as respects dividends, to participate in a distribution;
- c. particulars of any rights, as respects capital, to participate in a distribution (including on winding up); and
- d. whether the shares are to be redeemed or are liable to be redeemed at the option of the company or the shareholder.

A separate table must be used for each class of share.

CS01- continuation page  
Confirmation statement

**B2**

**Prescribed particulars**

	Please give the prescribed particulars of rights attached to shares for each class of share shown in the statement of capital share tables in <b>Section B1</b> .	<b>● Prescribed particulars of rights attached to shares</b>
Class of share	<b>B Ordinary Shares</b>	The particulars are:
Prescribed particulars ●	<p>The B Ordinary Shares shall not confer on the holders thereof the right to receive notice of or to attend, speak or vote at general meetings of the Company nor to receive or vote on proposed written resolutions of the Company.</p> <p>The B Ordinary Shares shall carry the right to receive dividends or other distributions.</p>	<p>a. particulars of any voting rights, including rights that arise only in certain circumstances;</p> <p>b. particulars of any rights, as respects dividends, to participate in a distribution;</p> <p>c. particulars of any rights, as respects capital, to participate in a distribution (including on winding up); and</p> <p>d. whether the shares are to be redeemed or are liable to be redeemed at the option of the company or the shareholder.</p> <p>A separate table must be used for each class of share.</p>

CS01- continuation page  
Confirmation statement

<b>B2</b>	<b>Prescribed particulars</b>	
	Please give the prescribed particulars of rights attached to shares for each class of share shown in the statement of capital share tables in <b>Section B1</b> .	
Class of share	<b>C Ordinary Shares</b>	
Prescribed particulars ①	<p>The Ordinary C Shares shall not confer on the holders thereof the right to receive notice of or to attend, speak or vote at general meetings of the Company nor to receive or vote on proposed written resolutions of the Company.</p> <p>The C Ordinary Shares shall not carry any right to receive dividends or other distributions.</p>	
<b>① Prescribed particulars of rights attached to shares</b>		
<p>The particulars are:</p> <ul style="list-style-type: none"> <li>a. particulars of any voting rights, including rights that arise only in certain circumstances;</li> <li>b. particulars of any rights, as respects dividends, to participate in a distribution;</li> <li>c. particulars of any rights, as respects capital, to participate in a distribution (including on winding up); and</li> <li>d. whether the shares are to be redeemed or are liable to be redeemed at the option of the company or the shareholder.</li> </ul> <p>A separate table must be used for each class of share.</p>		

CS01- continuation page  
Confirmation statement

**B2**

**Prescribed particulars**

Please give the prescribed particulars of rights attached to shares for each class of share shown in the statement of capital share tables in **Section B1**.

Class of share

Deferred Shares

Prescribed particulars  
1

The Deferred Shares shall not confer on the holders thereof the right to receive notice of or to attend, speak or vote at general meetings of the Company nor to receive or vote on proposed written resolutions of the Company.

The Deferred Shares shall not carry any right to receive dividends or other distributions.

**1 Prescribed particulars of rights attached to shares**

The particulars are:

- a. particulars of any voting rights, including rights that arise only in certain circumstances;
- b. particulars of any rights, as respects dividends, to participate in a distribution;
- c. particulars of any rights, as respects capital, to participate in a distribution (including on winding up); and
- d. whether the shares are to be redeemed or are liable to be redeemed at the option of the company or the shareholder.

A separate table must be used for each class of share.

## CS01- additional information page

### Confirmation statement

#### Part 4

### Shareholder information change

Only use this Part to tell us of a change to shareholder information since the company last delivered this information.

✓ If completed this Part must be sent at the same time as your confirmation statement.

✗ Not required for companies without share capital or DTR5 companies.

For further information, please refer to our guidance at [www.gov.uk/companieshouse](http://www.gov.uk/companieshouse)

**D1**

#### Shareholder information for a non-traded company •

How is the list of shareholders enclosed. Please tick the appropriate box below:

☒ The list of shareholders is enclosed on paper.

☐ The list of shareholders is enclosed in another format.

• Further shareholders  
Please use a Shareholder information (for a non-traded company) continuation page if necessary.

Show any information that has changed for each person.

Please list the company shareholders in alphabetical order. Joint shareholders should be listed consecutively.

Shareholder's Name (Address not required)	Class of share	Shares held at confirmation date	Shares transferred (if appropriate)	
		Number of shares	Number of shares	Date of registration of transfer
See continuation sheet(s)				/ /
				/ /
				/ /
				/ /
				/ /
				/ /
				/ /
				/ /

# CS01- continuation page

## Confirmation statement

**D1**

### Shareholder information for a non-traded company

Show any information that has changed for each person.

Please list the company shareholders in alphabetical order. Joint shareholders should be listed consecutively.

Shareholder's Name (Address not required)	Class of share	Shares held at confirmation date	Shares transferred (if appropriate)	
		Number of shares	Number of shares	Date of registration of transfer
Barings Asset-Based Income Fund (Cayman) LP	A Ordinary Shares ✓	459,457		/ /
Barings Asset-Based Income Fund (Cayman) LP	B Ordinary Shares	0		/ /
Barings Asset-Based Income Fund (US) LP	A Ordinary Shares -	34,733,725		/ /
Barings Asset-Based Income Fund (US) LP	B Ordinary Shares	0		/ /
Barron International Group, LLC	C Ordinary Shares -	1,313,669		/ /
Sir Richard Branson	B Ordinary Shares -	922,742		/ /
Sir Richard Branson	Deferred Shares	77,258,000		/ /
Lady Rona Delves Broughton	B Ordinary Shares		100,000	04/04/2018
Lady Rona Delves Broughton	B Ordinary Shares	0		/ /
Dancap Productions Inc	B Ordinary Shares		250,000	04/04/2018
Dancap Productions Inc	B Ordinary Shares	0		/ /
Gregory Dyke	B Ordinary Shares		25,000	10/03/2018

# CS01- continuation page

## Confirmation statement

**D1**

### Shareholder information for a non-traded company

Show any information that has changed for each person.

Please list the company shareholders in alphabetical order. Joint shareholders should be listed consecutively.

Shareholder's Name (Address not required)	Class of share	Shares held at confirmation date	Shares transferred (if appropriate)	
		Number of shares	Number of shares	Date of registration of transfer
Gregory Dyke	B Ordinary Shares		624,838	04/04/2018
Gregory Dyke	B Ordinary Shares	323,109		/ /
Gregory Dyke	Deferred Shares	27,053,000		/ /
Timothy Eyles	B Ordinary Shares		200,000	04/04/2018
Timothy Eyles	B Ordinary Shares	0		/ /
Lyn Mary Goleby	A Ordinary Shares		600,000	04/04/2018
Lyn Mary Goleby	A Ordinary Shares	0		/ /
Lyn Mary Goleby	B Ordinary Shares		350,000	04/04/2018
Lyn Mary Goleby	B Ordinary Shares	0		/ /
Anthony Lawrence Graham	B Ordinary Shares		100,000	04/04/2018
Anthony Lawrence Graham	B Ordinary Shares	0		/ /
Christopher Graham	B Ordinary Shares		416,667	04/04/2018

# CS01- continuation page

## Confirmation statement

**D1**

### Shareholder information for a non-traded company

Show any information that has changed for each person.

Please list the company shareholders in alphabetical order. Joint shareholders should be listed consecutively.

Shareholder's Name (Address not required)	Class of share	Shares held at confirmation date	Shares transferred (if appropriate)	
		Number of shares	Number of shares	Date of registration of transfer
Christopher Graham	B Ordinary Shares	0		/ /
Griffon Corporation	B Ordinary Shares		974,758	04/04/2018
Griffon Corporation	B Ordinary Shares	484,663		/ /
Griffon Corporation	Deferred Shares	40,579,000		/ /
David Harries	B Ordinary Shares		25,000	04/04/2018
David Harries	B Ordinary Shares	0		/ /
Andrew Hochhauser	B Ordinary Shares		200,000	04/04/2018
Andrew Hochhauser	B Ordinary Shares	0		/ /
Hollick Family Charitable Trust	B Ordinary Shares		333,334	04/04/2018
Hollick Family Charitable Trust	B Ordinary Shares	0		/ /
Lord Clive Hollick	B Ordinary Shares		199,997	13/11/2017
Lord Clive Hollick	B Ordinary Shares		22,600	26/03/2018

# CS01- continuation page

## Confirmation statement

**D1**

### Shareholder information for a non-traded company

Show any information that has changed for each person.

Please list the company shareholders in alphabetical order. Joint shareholders should be listed consecutively.

Shareholder's Name (Address not required)	Class of share	Shares held at confirmation date	Shares transferred (if appropriate)	
		Number of shares	Number of shares	Date of registration of transfer
Lord Clive Hollick	<i>B Ordinary Shares</i>		310,733	04/04/2018
Lord Clive Hollick	B Ordinary Shares	0		/ /
Susan Howes	<i>B Ordinary Shares</i>		25,000	04/04/2018
Susan Howes	B Ordinary Shares	0		/ /
Laurence Isaacson	<i>B Ordinary Shares</i>		500,000	04/04/2018
Laurence Isaacson	B Ordinary Shares	0		/ /
Vadim Jivov	<i>B Ordinary Shares</i>		1,018,750	04/04/2018
Vadim Jivov	B Ordinary Shares	0		/ /
Mark Johnson	<i>B Ordinary Shares</i>		50,000	04/04/2018
Mark Johnson	B Ordinary Shares	0		/ /
Richard Lenane	<i>B Ordinary Shares</i>		240,013	04/04/2018
Richard Lenane	<i>B Ordinary Shares</i>		176,654	04/04/2018

# CS01- continuation page

## Confirmation statement

**D1**

### Shareholder information for a non-traded company

Show any information that has changed for each person.

Please list the company shareholders in alphabetical order. Joint shareholders should be listed consecutively.

Shareholder's Name (Address not required)	Class of share	Shares held at confirmation date	Shares transferred (if appropriate)	
		Number of shares	Number of shares	Date of registration of transfer
Richard Lenane	B Ordinary Shares	0		/ /
MAC Pension Fund	B Ordinary Shares		333,333	04/04/2018
MAC Pension Fund	B Ordinary Shares	0		/ /
Helen McRobert	B Ordinary Shares		300,000	04/04/2018
Helen McRobert	B Ordinary Shares	0		/ /
NWH Consulting Limited	B Ordinary Shares		106,651	04/04/2018
NWH Consulting Limited	B Ordinary Shares	40,000		/ /
NWH Consulting Limited	Deferred Shares	3,349,000		/ /
Sir Howard Panter	A Ordinary Shares	2,250,000		/ /
Sir Howard Panter	B Ordinary Shares	0		/ /
Hugh Richards	B Ordinary Shares		250,000	04/04/2018
Hugh Richards	B Ordinary Shares	0		/ /

# CS01- continuation page

## Confirmation statement

**D1**

### Shareholder information for a non-traded company

Show any information that has changed for each person.

Please list the company shareholders in alphabetical order. Joint shareholders should be listed consecutively.

Shareholder's Name (Address not required)	Class of share	Shares held at confirmation date	Shares transferred (if appropriate)	
		Number of shares	Number of shares	Date of registration of transfer
Simon Burrow Productions LLP	B Ordinary Shares		300,000	04/04/2018
Simon Burrow Productions LLP	B Ordinary Shares	0		/ /
Smith's of Covent Garden Limited	B Ordinary Shares		250,000	04/04/2018
Smith's of Covent Garden Limited	B Ordinary Shares	0		/ /
Christina Smith	B Ordinary Shares		250,000	04/04/2018
Christina Smith	B Ordinary Shares	0		/ /
Rosemary Squire	A Ordinary Shares	2,250,000		/ /
Rosemary Squire	B Ordinary Shares	0		/ /
Dr Richard M Steeves	B Ordinary Shares		1,500,000	04/04/2018
Dr Richard M Steeves	B Ordinary Shares	0		/ /
Tom Sweet-Escott	B Ordinary Shares		416,666	04/04/2018
Tom Sweet-Escott	B Ordinary Shares	0		/ /

# CS01- continuation page

## Confirmation statement

**D1**

### Shareholder information for a non-traded company

Show any information that has changed for each person.

Please list the company shareholders in alphabetical order. Joint shareholders should be listed consecutively.

Shareholder's Name (Address not required)	Class of share	Shares held at confirmation date	Shares transferred (if appropriate)	
		Number of shares	Number of shares	Date of registration of transfer
James Tanner	B Ordinary Shares		75,000	25/03/2018
James Tanner	B Ordinary Shares		500,000	04/04/2018
James Tanner	B Ordinary Shares	392,165		/ /
James Tanner	Deferred Shares	32,835,000		/ /
Victoria Tanner	B Ordinary Shares		75,000	04/04/2018
Victoria Tanner	B Ordinary Shares	0		/ /
Theatre Co-Productions (Sales and Marketing) Limited	B Ordinary Shares		1,500,000	04/04/2018
Theatre Co-Productions (Sales and Marketing) Limited	B Ordinary Shares	0		/ /
Tulchin/Bartner Productions, LLC	B Ordinary Shares		1,000,000	04/04/2018
Tulchin/Bartner Productions, LLC	B Ordinary Shares	0		/ /
Jeffrey Tulchin	B Ordinary Shares		150,000	04/04/2018
Jeffrey Tulchin	B Ordinary Shares	0		/ /

# CS01- continuation page

## Confirmation statement

**D1**

### Shareholder information for a non-traded company

Show any information that has changed for each person.

Please list the company shareholders in alphabetical order. Joint shareholders should be listed consecutively.

Shareholder's Name (Address not required)	Class of share	Shares held at confirmation date	Shares transferred (if appropriate)	
		Number of shares	Number of shares	Date of registration of transfer
Andrew Williams	B Ordinary Shares		81,250	04/04/2018
Andrew Williams	B Ordinary Shares	0		/ /
Susan Woodford-Hollick	B Ordinary Shares		22,600	04/04/2018
Susan Woodford-Hollick	B Ordinary Shares	0		/ /
				/ /
				/ /
				/ /
				/ /
				/ /
				/ /
				/ /
				/ /