

CS01

Confirmation statement



Companies House



Go online to file this information
www.gov.uk/companieshouse

A fee may be payable with this form
Please see 'How to pay' on the last page

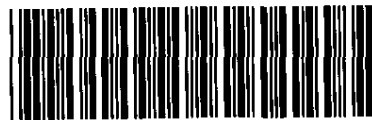
☒ **What this form is for**

You may use this form to confirm that the company has filed up to date. You must file a confirmation statement at least once every year.

☒ **What this form is NOT for**

You cannot use this form to report changes to the company's details (PSC), registered office address or single alternative inspection address (SAIL) information.

THURSDAY



R6YELLJ5
RM 25/01/2018 #1
COMPANIES HOUSE

Before you start

You can check your company details for free on our online service:

<https://beta.companieshouse.gov.uk>

Change to your company information

If you need to make any changes to:

- **Part 1** Principal business activities or standard industrial classification (SIC)
- **Part 2** Statement of capital
- **Part 3** Trading status of shares and exemption from keeping a register of people with significant control (PSC)
- **Part 4** Shareholder information

Use the additional parts of this form to do this.

Other changes

If you need to make any changes to:

- registered office address
- single alternative inspection address (SAIL) and company records
- officer appointments
- information about people with significant control

You must do this separately before or at the same time as this confirmation statement.

1 Company details

Company number 09359280

Company name in full Tidal Lagoon Plc

→ Filling in this form

Please complete in typescript or in bold black capitals.

2 Confirmation date

Please give the confirmation statement date. You must deliver this form within 14 days of this date. Please check your company records for the date of your confirmation period.

Confirmation date 25/01/2018

① Check when your confirmation statement is due

To check your confirmation statement date:
<https://beta.companieshouse.gov.uk>

You can make a statement at any time during the confirmation period. This will change your next confirmation date

3 Confirmation statement

I confirm that all information required to be delivered by the company pursuant to section 853A(1)(a) of the Companies Act 2006 in relation to the confirmation period ending on the confirmation date above either has been delivered or is being delivered with this statement.

Signature

Signature

X *R.T. Carter* X

② Societas Europaea

If the form is being filed on behalf of a Societas Europaea (SE) please delete 'director' and insert details of which organ of the SE the person signing has membership

③ Person authorised

Under either section 270 or 274 of the Companies Act 2006.

This form may be signed by:

Director^②, Secretary, Person authorised^③, Charity commission receiver and manager, CIC manager, Judicial factor.

CS01

Confirmation statement



Presenter information

You do not have to give any contact information, but if you do it will help Companies House if there is a query on the form. The contact information you give will be visible to searchers of the public record.

Contact name	Raquel Hughes
Company name	Tidal Lagoon Plc
Address	
Post town	
County/Region	
Postcode	
Country	
DX	
Telephone	01452 303892



Checklist

We may return forms completed incorrectly or with information missing.

Please make sure you have remembered the following:

- ☐ The company name and number match the information held on the public Register.
- ☐ You have checked the company information that we hold.
- ☐ You have shown any relevant changes made to your information on the additional parts to this form or filed the appropriate form before or at the same time as this confirmation statement.
- ☐ You have signed the form.
- ☐ You have enclosed the correct fee if appropriate.



How to pay

You must include a £40 fee with the first Confirmation Statement you file each year. Further Confirmation Statements made in the same year don't require a fee.

Make cheques or postal orders payable to 'Companies House.'



Important information

All information on this form will appear on the public record.



Where to send

You may return this form to any Companies House address, however for expediency we advise you to return it to the appropriate address below:

For companies registered in England and Wales:

The Registrar of Companies, Companies House,
Crown Way, Cardiff, Wales, CF14 3UZ.
DX 33050 Cardiff.

For companies registered in Scotland:

The Registrar of Companies, Companies House,
Fourth floor, Edinburgh Quay 2,
139 Fountainbridge, Edinburgh, Scotland, EH3 9FF.
DX ED235 Edinburgh 1
or LP - 4 Edinburgh 2 (Legal Post).

For companies registered in Northern Ireland:

The Registrar of Companies, Companies House,
Second Floor, The Linenhall, 32-38 Linenhall Street,
Belfast, Northern Ireland, BT2 8BG.
DX 481 N.R. Belfast 1.



Further information

For further information, please see the guidance notes on the website at www.gov.uk/companieshouse or email enquiries@companieshouse.gov.uk

This form is available in an alternative format. Please visit the forms page on the website at www.gov.uk/companieshouse

CS01- additional information page

Confirmation statement

Part 1

Standard industrial classification (SIC) code change

Only use this part to tell us of any changes to your standard industrial classification codes during this confirmation period.

✓ **This part must be sent at the same time as your confirmation statement.**

✗ Do not send this part if none of your SIC codes have changed

For further information, please refer to our guidance at www.gov.uk/companieshouse

Standard industrial classification (SIC)
To check your current SIC code(s)
<https://beta.companieshouse.gov.uk>

A1

New standard industrial classification code ¹

Please show any new SIC codes.

Classification code 1	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Classification code 2	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Classification code 3	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Classification code 4	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

If you cannot determine a code, please give a brief description of the company's business activity below:

Principal activity description

¹ Standard industrial classification
Provide a trade classification code (SIC code 2007) or a description of your company's main business in this section.

A full list of the trade classification codes are available on our website: www.gov.uk/companieshouse

A2

Removal of standard industrial classification

Please show any SIC codes which no longer apply.

Classification code 1	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Classification code 2	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Classification code 3	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Classification code 4	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

CS01- additional information page

Confirmation statement

Part 2

Statement of capital change

Complete this part in full if there has been any change to your share capital or prescribed particulars since the last statement of capital was delivered.

☒ This part must be sent at the same time as your confirmation statement.

☐ Not required for companies without share capital.

For further information, please refer to our guidance at www.gov.uk/companieshouse

You must complete both sections B1 and B2.

B1

Share capital

Complete the table(s) below to show the issued share capital.

Complete a separate table for each currency (if appropriate). For example, add pound sterling in 'Currency table A' and Euros in 'Currency table B'.

Continuation pages
Use a statement of capital continuation page if necessary

Currency Complete a separate table for each currency	Class of shares E.g Ordinary/Preference etc.	Number of shares	Aggregate nominal value (£, €, \$, etc) Number of shares issued multiplied by nominal value	Total aggregate amount unpaid, if any (£, €, \$, etc) Including both the nominal value and any share premium
Currency table A				
	Ordinary	7,004,336	70,043.36	
Totals		7,004,336	70,043.36	37,500
Currency table B				
Totals				
Currency table C				
Totals				
Totals (including continuation pages)		Total number of shares	Total aggregate nominal value ❶	Total aggregate amount unpaid ❶
		7,004,336	70,043.36	37,500

❶ Please list total aggregate values in different currencies separately. For example: £100 + €100 + \$10 etc.

CS01- additional information page

Confirmation statement

Part 3

Trading status of shares and exemption from keeping a register of people with significant control (PSC)

Use this Part to tell us of the trading status of shares and information about exemption from keeping a PSC register (if this is the first time you're giving this information or if any of this information has changed since you last gave it).

✓ **This part must be sent at the same time as your confirmation statement.**

✗ **Do not send if none of this information has changed.**

For further information, please refer to our guidance at www.gov.uk/companieshouse

C1

Company's shares admitted to trading on a market ^①

Were any shares admitted to trading on a market during the confirmation period? Please tick the appropriate box below:

- ☒ **No** go to **Part 4 section D1** 'Non traded shareholders'
- ☐ **Yes** go to **Section C2** 'DTR5'

^① A market is one established under the rules of a UK recognised investment exchange or any other regulated markets in or outside of the UK, or any other market outside of the UK. The current UK recognised investment exchanges and regulated markets can be found at: www.fsa.gov.uk/register/exchanges.

C2

Exemption from providing shareholder information (DTR5) ^②

Throughout the confirmation period, was the company a traded company and an issuer to which DTR5 applies? Please tick the appropriate box below.

- ☐ **Yes** go to **Section C3**
- ☒ **No** go to **Section C3**

^② Please review and complete this section if you have answered 'Yes' to section C1 'Company's shares admitted to trading on a market'.

DTR5

DTR5 refers to the Vote Holder and Issuer Notification Rules contained in Chapter 5 of the Disclosure and Transparency Rules source book issued by the Financial Conduct Authority.

C3

Exemption from keeping a people with significant control (PSC) register ^③

Is the company exempt or has it ever been exempt from keeping a PSC register:

- **Yes** continue (Tick only one box).
- **No** go to **Part 4 section D2** 'Shareholder information for certain traded companies'.

Please tick the appropriate statement:

- ☐ The company is exempt from the requirement to obtain information and keep a register of its PSC because the company has voting shares admitted to trading on a regulated market in the United Kingdom.
- ☐ The company is exempt from the requirement to obtain information and keep a register of its PSC because the company has voting shares admitted to trading on a regulated market in an EEA State other than the United Kingdom.
- ☐ The company is exempt from the requirement to obtain information and keep a register of its PSC because the company has voting shares admitted to trading on a market listed in Schedule 1 of the Register of People with Significant Control Regulations 2016.
- ☐ The exemption from keeping a PSC register (Part 21A) no longer applies. ^④

^③ Exemption from keeping a PSC register

In accordance with Part 21A of the Companies Act 2006

^④ Exemption from keeping a PSC register no longer applies.

If you need to provide PSC information complete and return the appropriate forms.

CS01- additional information page
Confirmation statement

B2

Prescribed particulars

Please give the prescribed particulars of rights attached to each class of share shown in the 'share capital' tables in **Section B1**.

Prescribed particulars of rights attached to shares

The particulars are:

- a. particulars of any voting rights, including rights that arise only in certain circumstances;
- b. particulars of any rights, as respects dividends, to participate in a distribution;
- c. particulars of any rights, as respects capital, to participate in a distribution (including on winding up); and
- d. whether the shares are to be redeemed or are liable to be redeemed at the option of the company or the shareholder.

A separate table must be used for each class of share.

Please use a prescribed particulars continuation page if necessary.

Class of share

Ordinary

Prescribed particulars

The Ordinary Shares are not redeemable and entitle the holders:
a) to receive notice of, attend and vote at general meetings of the Company and on a poll to one vote for each ordinary share held;
b) to receive rateably in accordance with the number of shares held by them, any profits available for distribution and resolved to be distributed by the Company; and
c) on a return of capital, to receive in accordance with the number of shares held, any amounts payable to shareholders on a liquidation or reduction of capital.

Class of share

Prescribed particulars

Class of share

Prescribed particulars

CS01- additional information page

Confirmation statement

Part 4

Shareholder information change

Only use this Part to tell us of a change to shareholder information since the company last delivered this information.

✓ If completed this Part must be sent at the same time as your confirmation statement.

✗ Not required for companies without share capital or DTR5 companies.

For further information, please refer to our guidance at www.gov.uk/companieshouse

D1

Shareholder information for a non-traded company

How is the list of shareholders enclosed. Please tick the appropriate box below:

☒ The list of shareholders is enclosed on paper.

☐ The list of shareholders is enclosed in another format.

➊ Further shareholders
Please use a Shareholder information (for a non-traded company) continuation page if necessary.

Show any information that has changed for each person.

Please list the company shareholders in alphabetical order. Joint shareholders should be listed consecutively.

Shareholder's Name (Address not required)	Class of share	Shares held at confirmation date	Shares transferred (if appropriate)	
		Number of shares	Number of shares	Date of registration of transfer
				/ /
				/ /
				/ /
				/ /
				/ /
				/ /
				/ /
				/ /

CS01- additional information page

Confirmation statement

D2

Shareholder information for certain traded companies (not DTR5)

Give details of any change to the information (since you last gave it) about people who held at least 5% of the issued shares of any class at the end of the *confirmation period*.

Please list the shareholders in alphabetical order. Joint shareholders should be listed consecutively.

Further shareholders

Please use a 'Shareholder information – certain traded companies (not a DTR5 company)' continuation page if necessary.

[illegible]

Complete the table below to show the issued share capital.
Complete a separate table for each currency.

06/17 Version 2.0

CS01- continuation page
Confirmation statement

B2

Prescribed particulars

Please give the prescribed particulars of rights attached to shares for each class of share shown in the statement of capital share tables in Section B1 .		① Prescribed particulars of rights attached to shares The particulars are a. particulars of any voting rights, including rights that arise only in certain circumstances, b. particulars of any rights, as respects dividends, to participate in a distribution, c. particulars of any rights, as respects capital, to participate in a distribution (including on winding up); and d. whether the shares are to be redeemed or are liable to be redeemed at the option of the company or the shareholder A separate table must be used for each class of share.
Class of share	Ordinary	
Prescribed particulars ①	The Ordinary Shares are not redeemable and entitle the holders: a) to receive notice of, attend and vote at general meetings of the Company and on a poll to one vote for each ordinary share held; b) to receive rateably in accordance with the number of shares held by them, any profits available for distribution and resolved to be distributed by the Company; and c) on a return of capital, to receive in accordance with the number of shares held, any amounts payable to shareholders on a liquidation or reduction of capital.	

CS01- continuation page
Confirmation statement

D1

Shareholder information for a non-traded company

Show any information that has changed for each person.
Please list the company shareholders in alphabetical order. Joint shareholders should be listed consecutively.

		Shares held at confirmation date	Shares transferred (if appropriate)	
Shareholder's Name (Address not required)	Class of share	Number of shares	Number of shares	Date of registration of transfer
				/ /
				/ /
				/ /
				/ /
				/ /
				/ /
				/ /
				/ /
				/ /
				/ /
				/ /

CS01- continuation page
Confirmation statement

D2

Shareholder information for certain traded companies (not DTR5)

Give details of any change to the information (since you last gave it) about people who held at least 5% of the issued shares of any class at the end of the confirmation period.

Please list the shareholders in alphabetical order. Joint shareholders should be listed consecutively.

		Shares held at confirmation date	
Shareholder's name	Shareholder's address	Class of share	Number of shares

CS01- additional information page

Confirmation statement

Part 1

Standard industrial classification (SIC) code change

Only use this part to tell us of any changes to your standard industrial classification codes during this confirmation period.

✓ **This part must be sent at the same time as your confirmation statement.**

✗ Do not send this part if none of your SIC codes have changed.

For further information, please refer to our guidance at www.gov.uk/companieshouse

Standard industrial classification (SIC)
To check your current SIC code(s):
<https://beta.companieshouse.gov.uk>

A1

New standard industrial classification code ¹

Please show any new SIC codes.

Classification code 1					
Classification code 2					
Classification code 3					
Classification code 4					

If you cannot determine a code, please give a brief description of the company's business activity below:

Principal activity description

¹ Standard industrial classification
Provide a trade classification code (SIC code 2007) or a description of your company's main business in this section.

A full list of the trade classification codes are available on our website: www.gov.uk/companieshouse

A2

Removal of standard industrial classification

Please show any SIC codes which no longer apply.

Classification code 1					
Classification code 2					
Classification code 3					
Classification code 4					

CS01- additional information page

Confirmation statement

Part 2

Statement of capital change

Complete this part in full if there has been any change to your share capital or prescribed particulars since the last statement of capital was delivered.

☒ This part must be sent at the same time as your confirmation statement.

☐ Not required for companies without share capital.

For further information, please refer to our guidance at www.gov.uk/companieshouse

You must complete both sections B1 and B2.

B1

Share capital

Complete the table(s) below to show the issued share capital.

Complete a separate table for each currency (if appropriate). For example, add pound sterling in 'Currency table A' and Euros in 'Currency table B'.

Continuation pages
Use a statement of capital continuation page if necessary.

Currency <small>Complete a separate table for each currency</small>	Class of shares <small>E.g. Ordinary/Preference etc.</small>	Number of shares	Aggregate nominal value <small>(£, €, \$, etc)</small> <small>Number of shares issued multiplied by nominal value</small>	Total aggregate amount unpaid, if any <small>(£, €, \$, etc)</small> <small>Including both the nominal value and any share premium</small>	
Currency table A					
Totals					
Currency table B					
Totals					
Currency table C					
Totals					
Totals (including continuation pages)			Total number of shares	Total aggregate nominal value ❶	Total aggregate amount unpaid ❶

❶ Please list total aggregate values in different currencies separately. For example: £100 + €100 + \$10 etc.

CS01- additional information page

Confirmation statement

B2

Prescribed particulars

Please give the prescribed particulars of rights attached to each class of share shown in the 'share capital' tables in **Section B1**

Prescribed particulars of rights attached to shares

The particulars are:

- a. particulars of any voting rights, including rights that arise only in certain circumstances;
- b. particulars of any rights, as respects dividends, to participate in a distribution;
- c. particulars of any rights, as respects capital, to participate in a distribution (including on winding up); and
- d whether the shares are to be redeemed or are liable to be redeemed at the option of the company or the shareholder.

A separate table must be used for each class of share.

Please use a prescribed particulars continuation page if necessary

Class of share

Prescribed particulars

Class of share

Prescribed particulars

Class of share

Prescribed particulars

CS01- additional information page

Confirmation statement

Part 3

Trading status of shares and exemption from keeping a register of people with significant control (PSC)

Use this Part to tell us of the trading status of shares and information about exemption from keeping a PSC register (if this is the first time you're giving this information or if any of this information has changed since you last gave it).

✓ This part must be sent
at the same time as your
confirmation statement.

✗ Do not send if none of this
information has changed.

For further information, please
refer to our guidance at
www.gov.uk/companieshouse

C1

Company's shares admitted to trading on a market ¹

Were any shares admitted to trading on a market during the confirmation period? Please tick the appropriate box below:

- ☐ No go to **Part 4 section D1** 'Non traded shareholders'
- ☐ Yes go to **Section C2** 'DTR5'

¹ A market is one established under the rules of a UK recognised investment exchange or any other regulated markets in or outside of the UK, or any other market outside of the UK. The current UK recognised investment exchanges and regulated markets can be found at www.fsa.gov.uk/register/exchanges.

C2

Exemption from providing shareholder information (DTR5) ²

Throughout the confirmation period, was the company a traded company and an issuer to which DTR5 applies? Please tick the appropriate box below:

- ☐ Yes go to **Section C3**
- ☐ No go to **Section C3**

² Please review and complete this section if you have answered 'Yes' to section C1 'Company's shares admitted to trading on a market'

DTR5

DTR5 refers to the Vote Holder and Issuer Notification Rules contained in Chapter 5 of the Disclosure and Transparency Rules source book issued by the Financial Conduct Authority.

C3

Exemption from keeping a people with significant control (PSC) register ³

Is the company exempt or has it ever been exempt from keeping a PSC register:

- Yes continue (Tick only one box).
- No go to **Part 4 section D2** 'Shareholder information for certain traded companies'.

Please tick the appropriate statement:

- ☐ The company is exempt from the requirement to obtain information and keep a register of its PSC because the company has voting shares admitted to trading on a regulated market in the United Kingdom.
- ☐ The company is exempt from the requirement to obtain information and keep a register of its PSC because the company has voting shares admitted to trading on a regulated market in an EEA State other than the United Kingdom.
- ☐ The company is exempt from the requirement to obtain information and keep a register of its PSC because the company has voting shares admitted to trading on a market listed in Schedule 1 of the Register of People with Significant Control Regulations 2016.
- ☐ The exemption from keeping a PSC register (Part 21A) no longer applies. ⁴

³ **Exemption from keeping a PSC register**
In accordance with Part 21A of the Companies Act 2006.

⁴ **Exemption from keeping a PSC register no longer applies.**
If you need to provide PSC information complete and return the appropriate forms.

CS01- additional information page

Confirmation statement

Part 4

Shareholder information change

Only use this Part to tell us of a change to shareholder information since the company last delivered this information.

✓ If completed this Part must be sent at the same time as your confirmation statement.

✗ Not required for companies without share capital or DTR5 companies.

For further information, please refer to our guidance at www.gov.uk/companieshouse

D1

Shareholder information for a non-traded company

How is the list of shareholders enclosed. Please tick the appropriate box below:

☐ The list of shareholders is enclosed on paper.

☐ The list of shareholders is enclosed in another format.

Further shareholders

Please use a Shareholder information (for a non-traded company) continuation page if necessary.

Show any information that has changed for each person.

Please list the company shareholders in alphabetical order. Joint shareholders should be listed consecutively.

Shareholder's Name (Address not required)	Class of share	Shares held at confirmation date		Shares transferred (if appropriate)	
		Number of shares		Number of shares	Date of registration of transfer
					/ /
					/ /
					/ /
					/ /
					/ /
					/ /
					/ /
					/ /

CS01- additional information page
Confirmation statement

D2

Shareholder information for certain traded companies (not DTR5)

Give details of any change to the information (since you last gave it) about people who held at least 5% of the issued shares of any class at the end of the confirmation period.

Please list the shareholders in alphabetical order. Joint shareholders should be listed consecutively.

Further shareholders
Please use a 'Shareholder information – certain traded companies (not a DTR5 company)' continuation page if necessary

		Shares held at confirmation date	
Shareholder's name	Shareholder's address	Class of share	Number of shares

Complete the table below to show the issued share capital.
Complete a separate table for each currency.

06/17 Version 2.0

CS01- continuation page
Confirmation statement

B2

Prescribed particulars

Please give the prescribed particulars of rights attached to shares for each class of share shown in the statement of capital share tables in **Section B1**.

Class of share

Prescribed particulars

1

1 Prescribed particulars of rights attached to shares

The particulars are

- a. particulars of any voting rights, including rights that arise only in certain circumstances;
- b. particulars of any rights, as respects dividends, to participate in a distribution,
- c. particulars of any rights, as respects capital, to participate in a distribution (including on winding up); and
- d. whether the shares are to be redeemed or are liable to be redeemed at the option of the company or the shareholder.

A separate table must be used for each class of share

CS01- continuation page
Confirmation statement

D1

Shareholder information for a non-traded company

Show any information that has changed for each person.
Please list the company shareholders in alphabetical order. Joint shareholders
should be listed consecutively.

Shareholder's Name (Address not required)	Class of share	Shares held at confirmation date	Shares transferred (if appropriate)	
		Number of shares	Number of shares	Date of registration of transfer
				/ /
				/ /
				/ /
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				/ /
				/ /
				/ /
				/ /

CS01- continuation page
Confirmation statement

D2

Shareholder information for certain traded companies (not DTR5)

Give details of any change to the information (since you last gave it) about people who held at least 5% of the issued shares of any class at the end of the confirmation period.

Please list the shareholders in alphabetical order. Joint shareholders should be listed consecutively.

Shares held at confirmation date

Shareholder's name	Shareholder's address	Class of share	Number of shares

Run Date: 15-JAN-2018

ANNUAL RETURN

Reg no: 09359280 Client: TIDAL LAGOON PLC

Report Between: 12-JAN-2017 to 11-JAN-2018

FULL LIST AND SHARE PARTICULARS OF PAST AND PRESENT
MEMBERS, SINCE THE DATE OF LAST RETURN, IN ALPHABETICAL ORDER,
AS REQUIRED UNDER SECTION 854 OF COMPANIES ACT 2006

AN ASTERISK IN THE FINAL COLUMN DENOTES THAT THE
ACCOUNT NOW RE-APPEARS ELSEWHERE IN THE REGISTER
DUE TO CHANGE OF NAME ETC. E.G. ON MARRIAGE

STOCK CODE FULL STOCK TITLE
001 ORDINARY SHARES OF #0.01 EACH

Name & Date Address Registered	Stock Code	Balance Of Holding	Stock Transferred
MR BERNARD AINSWORTH	01	7000	
MR CHRISTOPHER ALMOND	01	1000	
MR GIOVANNI AMATI	01	1500	
MR WILLIAM AUCOTT	01	1186	
MRS KATHI BABCOCK	01	850	

MR KENT BABCOCK	01	850
MR PETER BALDWIN	01	500
MR PETER BARBER	01	250
MR ANTHONY BARKLAM	01	8474
MR DAVID BARNETT	01	85
MR RICHARD BATHO	01	200
MR JOHN BEAMSON	01	42372
MR MAXIMILIAN GRAF VON BISMARCK	01	25423
BLUEBIRD TREASURY SERVICES LIMITED	01	4238
MR MICHAEL BOXALL	01	2000
MR JAMES BRODIE	01	10000
MR ANTHONY BROOKE	01	8500

MR JAMES BURTT	01	3500
MRS JANE BURTT	01	3500
MR PATRICK CARTER	01	250135
TIM CARTER	01	250
MR ANTON CARVER	01	10000
CATALYST EQUITY PARTNERS LLP	01	10000
CLOS DU VALLE INVESTMENTS LIMITED	01	8475
MR RICHARD COATES	01	97484
MR PETER COOK	01	2000
MR JOHN COOKE	01	6800
MR ROGER CRITCHLEY	01	4240
DR UMAKANT DAVE	01	100
MR ALLAN DAVIES	01	2542

MR ALEXANDER DIXON	01	530
MR WILLIAM DORRAN	01	1000
HAYDEN SCOTT-DYE	01	170
MS WENDY EDWARDS	01	4240
E M HOWARD LIMITED DPS A/C	01	12500
MR STEPHEN EMSLEY	01	850
MR MICHAEL EVANS	01	900
MR RICHARD FIELD	01	424
MR ANTONIUS FIJEN	01	515
MR MARIA CORNELIS FIJEN	01	3070
MR WARREN FINEGOLD	01	17000
MR ALAN FOLLEY	01	17000

MR DAVID FORBES	01	10000
MR EUAN GATFIELD	01	3390
DR RUSSELL GILBERT	01	1000
MR SIMON GOLD	01	3220
MR ALUN GRIFFITHS	01	10000
MR EMLYN GRIFFITHS	01	1700
MISS REBECCA HADLINGTON	01	1408
MRS UNA HADLINGTON	01	1408
HANS DINTER GMBH & CO KG	01	343000
MR JOHN HESP	01	210
MR GRAHAM HILLIER	01	618
MR ALEXANDER HOARE	01	4237
MS SARAH HOBSON	01	1400

MRS JAYNE HOPEWELL	01	100
MR CHRIS HOWARD	01	2120
INFRACAPITAL /TLSB/ SLP LP	01	172413
MR NILESH JETHWA	01	42372
MR CHRISTOPHER JILLINGS	01	20000
MR JOHN KANTOROWICZ	01	400
MR CHARLES KARILA	01	12712
MR DIMITRIOS KAVVATHIAS	01	10563
MR JONATHAN LEACH	01	150
DR ANTONY LEWIS	01	500
MRS ELIZABETH LOCH	01	1000
MR RUPERT LONGSDON	01	425

MR ANDREW MANLEY	01	474
MR EMILE ABOU MANSOUR	01	12712
MS DOROTHY MARDEN	01	8474
MR STEPHEN DAVID MARTUS	01	1271
MR PAUL MOORE	01	850
MR CHARLES MOSSMAN	01	100
MR CHRISTOPHER MURPHY	01	170000
DR ANDREW NUTTALL	01	1695
MRS GILIAN O'CONNELL	01	1700
MR CHIRAG PATEL	01	42372
MR RICHARD PERCY	01	4870
MR PETER PETTIFOR	01	6000
MR ROBERT PITCHER	01	16950

MR JOSH POLLOCK	01	615
MR KEVIN POPLETT	01	1000
RATHBONE NOMINEES LIMITED	01	8500
MRS NICOLA REVELL	01	3400
MR PHIL RICHARDSON	01	663
MR HUGH MICHAEL RIDDELL MR DEREK MARK HARTE	01	127118
MR NICHOLAS JOHN MARTYN ROBINSON	01	16000
MRS RADHA ROBINSON	01	4000
MR ANDREW SHAW	01	406
SHIRE OAK ENERGY LIMITED	01	4750000
MR MARK SHORROCK	01	762
SIMEC TIDAL LAGOON POWER PTE LIMITED	01	169492

MR SIMON SMITH	01	100
MR CHRISTOPHE SPANIER	01	16950
MR RICHARD SQUIRES	01	424
MR DAVID STEVENS MRS HEATHER STEVENS	01	387631
MR JOHN STOTT	01	100
MR ROGER TAY	01	1000
MR GORDON TAYLOR	01	150
MR ALAN THOMAS	01	200
MR ALISTAIR THOMSON	01	1000
MR MIKE UNSWORTH	01	900
MR PATRICK VIGNAUX	01	500
MR ANDREW WALKER	01	4000
MR KEN WARNER	01	1250

MR TINO WENDISCH

01

21000

MRS JANE WOODARD

01

800

MR THOMAS WOODARD

01

800

MR THOMAS WOODARD
MRS JANE WOODARD

01

1600

MR ROGER WOODS

01

508

ISSUED CAPITAL

001

7004336

Run Date: 15-JAN-2018

ANNUAL RETURN

Reg no: 09359280 Client: TIDAL LAGOON PLC

Report Between: 12-JAN-2017 to 11-JAN-2018

FULL LIST AND SHARE PARTICULARS OF PAST AND PRESENT
MEMBERS, SINCE THE DATE OF LAST RETURN, IN ALPHABETICAL ORDER,
AS REQUIRED UNDER SECTION 854 OF COMPANIES ACT 2006

AN ASTERISK IN THE FINAL COLUMN DENOTES THAT THE
ACCOUNT NOW RE-APPEARS ELSEWHERE IN THE REGISTER
DUE TO CHANGE OF NAME ETC. E.G. ON MARRIAGE

STOCK CODE FULL STOCK TITLE
001 ORDINARY SHARES OF #0.01 EACH

Name & Date Address Registered	Stock Code	Balance Of Holding	Stock Transferred
MR BERNARD AINSWORTH	01	7000	
MR CHRISTOPHER ALMOND	01	1000	
MR GIOVANNI AMATI	01	1500	
MR WILLIAM AUCOTT	01	1186	
MRS KATHI BABCOCK	01	850	

MR KENT BABCOCK	01	850
MR PETER BALDWIN	01	500
MR PETER BARBER	01	250
MR ANTHONY BARKLAM	01	8474
MR DAVID BARNETT	01	85
MR RICHARD BATHO	01	200
MR JOHN BEAMSON	01	42372
MR MAXIMILIAN GRAF VON BISMARCK	01	25423
BLUEBIRD TREASURY SERVICES LIMITED	01	4238
MR MICHAEL BOXALL	01	2000
MR JAMES BRODIE	01	10000
MR ANTHONY BROOKE	01	8500

MR JAMES BURTT	01	3500
MRS JANE BURTT	01	3500
MR PATRICK CARTER	01	250135
TIM CARTER	01	250
MR ANTON CARVER	01	10000
CATALYST EQUITY PARTNERS LLP	01	10000
CLOS DU VALLE INVESTMENTS LIMITED	01	8475
MR RICHARD COATES	01	97484
MR PETER COOK	01	2000
MR JOHN COOKE	01	6800
MR ROGER CRITCHLEY	01	4240
DR UMAKANT DAVE	01	100
MR ALLAN DAVIES	01	2542

MR ALEXANDER DIXON	01	530
MR WILLIAM DORRAN	01	1000
HAYDEN SCOTT-DYE	01	170
MS WENDY EDWARDS	01	4240
E M HOWARD LIMITED DPS A/C	01	12500
MR STEPHEN EMSLEY	01	850
MR MICHAEL EVANS	01	900
MR RICHARD FIELD	01	424
MR ANTONIUS FIJEN	01	515
MR MARIA CORNELIS FIJEN	01	3070
MR WARREN FINEGOLD	01	17000
MR ALAN FOLLEY	01	17000

MR DAVID FORBES	01	10000
MR EUAN GATFIELD	01	3390
DR RUSSELL GILBERT	01	1000
MR SIMON GOLD	01	3220
MR ALUN GRIFFITHS	01	10000
MR EMLYN GRIFFITHS	01	1700
MISS REBECCA HADLINGTON	01	1408
MRS UNA HADLINGTON	01	1408
HANS DINTER GMBH & CO KG	01	343000
MR JOHN HESP	01	210
MR GRAHAM HILLIER	01	618
MR ALEXANDER HOARE	01	4237
MS SARAH HOBSON	01	1400

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MR CHRIS HOWARD	01	2120
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MR NILESH JETHWA	01	42372
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MR JONATHAN LEACH	01	150
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MRS ELIZABETH LOCH	01	1000
MR RUPERT LONGSDON	01	425

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MS DOROTHY MARDEN	01	8474
MR STEPHEN DAVID MARTUS	01	1271
MR PAUL MOORE	01	850
MR CHARLES MOSSMAN	01	100
MR CHRISTOPHER MURPHY	01	170000
DR ANDREW NUTTALL	01	1695
MRS GILIAN O'CONNELL	01	1700
MR CHIRAG PATEL	01	42372
MR RICHARD PERCY	01	4870
MR PETER PETTIFOR	01	6000
MR ROBERT PITCHER	01	16950

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MR KEVIN POPLETT	01	1000
RATHBONE NOMINEES LIMITED	01	8500
MRS NICOLA REVELL	01	3400
MR PHIL RICHARDSON	01	663
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MR NICHOLAS JOHN MARTYN ROBINSON	01	16000
MRS RADHA ROBINSON	01	4000
MR ANDREW SHAW	01	406
SHIRE OAK ENERGY LIMITED	01	4750000
MR MARK SHORROCK	01	762
SIMEC TIDAL LAGOON POWER PTE LIMITED	01	169492

MR SIMON SMITH	01	100
MR CHRISTOPHE SPANIER	01	16950
MR RICHARD SQUIRES	01	424
MR DAVID STEVENS MRS HEATHER STEVENS	01	387631
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MR GORDON TAYLOR	01	150
MR ALAN THOMAS	01	200
MR ALISTAIR THOMSON	01	1000
MR MIKE UNSWORTH	01	900
MR PATRICK VIGNAUX	01	500
MR ANDREW WALKER	01	4000
MR KEN WARNER	01	1250

MR TINO WENDISCH	01	21000
MRS JANE WOODARD	01	800
MR THOMAS WOODARD	01	800
MR THOMAS WOODARD MRS JANE WOODARD	01	1600
MR ROGER WOODS	01	508

ISSUED CAPITAL	001	7004336
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