

Company number: 09242326

# The Investor Forum CIC

Report and financial statements

For the year ended 30 September 2020



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## The Investor Forum CIC

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#### For the year ended 30 September 2020

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## The Investor Forum CIC

### Reference and administrative details

For the year ended 30 September 2020

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<b>Status</b>	The organisation is a company limited by guarantee, incorporated on 30 September 2014.	
<b>Company number</b>	09242326	
<b>Registered office and operational address</b>	5th Floor 183 Euston Road London NW1 2BE	
<b>Country of Incorporation</b>	England	
<b>Directors</b>	<div><div>S J Fraser</div><div>E H Bonham Carter</div><div>M A Burgess</div><div>W P Coombes</div><div>A Fleurbaaij</div><div>Sir Peter Gershon</div><div>A P Griffiths</div><div>J F M Ground</div><div>C J Hitchen</div><div>I Levine</div><div>J E Macpherson</div><div>L Nikulina</div><div>S Sadan</div><div>R W Swannell</div></div> <div><div>(Chairman)</div><div>(Resigned 1<sup>st</sup> October 2020)</div><div>(Appointed 1<sup>st</sup> October 2019)</div><div>(Executive Director)</div></div>	
<b>Bankers</b>	Metro Bank PLC 83/87 High Street Sutton SM1 1JF	Nationwide Building Society Kings Park Road Moulton Park Northampton NN3 6NW
<b>Auditor</b>	Sayer Vincent LLP Chartered Accountants and Statutory Auditors Invicta House 108-114 Golden Lane London EC1Y 0TL	

## **The Investor Forum CIC**

### **Directors' annual report**

#### **For the year ended 30 September 2020**

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The directors present their report and the audited financial statements for the year ended 30 September 2020.

### **The directors and their interests**

As the company is limited by guarantee, no directors hold any beneficial interests in the company.

### **Members of the company**

Members of the company guarantee to contribute an amount not exceeding £1 to the assets of the company in the event of winding up. The total number of such guarantees as of 30 September 2020 was 51 (2019: 49).

### **Principal activities and review**

The Investor Forum has two core objectives – to make the case for long-term investment approaches and to facilitate collective engagement with UK listed companies. Its purpose is to position stewardship at the heart of investment decision-making by facilitating dialogue, creating long-term solutions and enhancing value. The Forum has established a unique model for investors to work collectively to escalate material issues with the Boards of UK-listed companies. These can be financial, strategic, governance, environmental or social in nature – the key is that the issue is material and merits engagement with the board in a collective fashion.

In 2019/20, the business has continued to enhance its reputation as the leading provider of collective engagement expertise in relation to UK listed public companies. The Forum has adjusted smoothly to remote working since March 2020, facilitating 8 Collective Engagements and introducing a new series of regular video calls, the Four O'clock Forums, to provide Members with opportunities to share insights, discuss topical issues and hear from experts. The Forum has continued to facilitate several Stewardship projects, including on plastic supply chain management and working practices, where Members have felt there would be significant added value for the investment community from more in-depth research.

Membership continued to grow during the year, reaching 51 Members, with continued high levels of renewals. Members have used the Forum to reinforce their influence with Boards by working collectively to escalate concerns, a key capability for signatories of the Stewardship Code 2020.

The 2020 results reported in these financial statements reflect a 12-month paid membership year and show that the Forum is in a strong financial position. Membership revenues increased slightly while non-personnel costs declined due to the impact of COVID-19, generating a larger surplus and increasing reserves. As a Community Interest Company (CIC) the business is non-profit making, with any surplus (after tax) held as a reserve in case of unexpected short-term cost increases and to offset any future deficits. It is intended that this surplus is gradually built, over time, to cover up to 6 months of operating costs. At 30<sup>th</sup> September 2020, the Forum has accumulated reserves equivalent to 3 months of operating costs.

## Responsibilities of the directors

The directors are responsible for preparing the directors' annual report and the financial statements in accordance with applicable law and regulations.

Company law requires the directors to prepare financial statements for each financial year. Under that law the directors have elected to prepare financial statements in accordance with the United Kingdom Generally Accepted Accounting Practice (United Kingdom Accounting Standards and applicable law). Under the company law the directors must not approve the financial statements unless they are satisfied that they give a true and fair view of the state of the affairs of the company and the profit or loss of the company for that period.

In preparing these financial statements, the directors are required to:

- Select suitable accounting policies and then apply them consistently
- Make judgements and accounting estimates that are reasonable and prudent
- State whether applicable UK Accounting Standards have been followed, subject to any material departures disclosed and explained in the financial statements
- Prepare the financial statements on the going concern basis unless it is inappropriate to assume that the company will continue in operation.

The directors are responsible for keeping adequate accounting records that are sufficient to show and explain the company's transactions and disclose with reasonable accuracy at any time the financial position of the company and enable them to ensure that the financial statements comply with the Companies Act 2006. They are also responsible for safeguarding the assets of the company and hence for taking reasonable steps for the prevention and detection of fraud and other irregularities.

The directors are responsible for the maintenance and integrity of the corporate and financial information included on the company's website. Legislation in the United Kingdom governing the preparation and dissemination of the financial statements may differ from legislation in other jurisdictions.

Each of the directors confirms that to the best of his/ her knowledge there is no information relevant to the audit of which the auditor is unaware. Each of the directors also confirms that he/ she has taken all necessary steps to ensure that he/ she is aware of all relevant audit information and that this information has been communicated to the auditor.

## Auditor

The Investor Forum qualifies as a 'small company' under The Companies and Groups (Accounts and Reports) Regulations 2015. This provides an exemption from the requirement to undertake an annual audit. Notwithstanding this exemption, the directors decided to proceed with an audit for the 2019/2020 financial year as the Investor Forum aims to be a 'beacon' of good stewardship and wants to be seen as holding itself to the highest standards.

The Investor Forum CIC

Directors' annual report

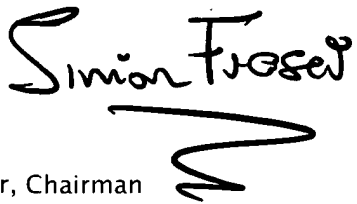
For the year ended 30 September 2020

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Sayer Vincent LLP has expressed its willingness to continue in the capacity of auditor.

The directors' annual report has been prepared in accordance with the special provisions applicable to companies subject to the small companies' regime.

Approved by the directors on 7 December 2020 and signed on their behalf by:

A handwritten signature in black ink that reads "Simon Fraser". Below the name is a stylized, wavy horizontal line.

Simon Fraser, Chairman

## Independent auditor's report

To the members of

The Investor Forum CIC

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### Opinion

We have audited the financial statements of The Investor Forum CIC (the 'company') for the year ended 30 September 2020 which comprise the profit and loss account, balance sheet, and the notes to the financial statements, including a summary of significant accounting policies. The financial reporting framework that has been applied in their preparation is applicable law and United Kingdom Accounting Standards, including Financial Reporting Standard 102 'The Financial Reporting Standard applicable in the UK and Republic of Ireland' (United Kingdom Generally Accepted Accounting Practice).

In our opinion, the financial statements:

- Give a true and fair view of the state of the company's affairs as at 30 September 2020 and of its result for the year then ended
- Have been properly prepared in accordance with United Kingdom Generally Accepted Accounting Practice
- Have been prepared in accordance with the requirements of the Companies Act 2006

### Basis for opinion

We conducted our audit in accordance with International Standards on Auditing (UK) (ISAs (UK)) and applicable law. Our responsibilities under those standards are further described in the Auditor's responsibilities for the audit of the financial statements section of our report. We are independent of the company in accordance with the ethical requirements that are relevant to our audit of the financial statements in the UK, including the FRC's Ethical Standard, and we have fulfilled our other ethical responsibilities in accordance with these requirements. We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our opinion.

### Conclusions relating to going concern

We have nothing to report in respect of the following matters in relation to which the ISAs (UK) require us to report to you where:

- The directors' use of the going concern basis of accounting in the preparation of the financial statements is not appropriate; or
- The directors have not disclosed in the financial statements any identified material uncertainties that may cast significant doubt about the company's ability to continue to adopt the going concern basis of accounting for a period of at least twelve months from the date when the financial statements are authorised for issue.

### Other information

The other information comprises the information included in the directors' annual report, other than the financial statements and our auditor's report thereon. The directors are responsible for the other information. Our opinion on the financial statements does not cover the other information and, except to the extent otherwise explicitly stated in our report, we do not express any form of

## Independent auditor's report

To the members of

The Investor Forum CIC

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assurance conclusion thereon. In connection with our audit of the financial statements, our responsibility is to read the other information and, in doing so, consider whether the other information is materially inconsistent with the financial statements or our knowledge obtained in the audit or otherwise appears to be materially misstated. If we identify such material inconsistencies or apparent material misstatements, we are required to determine whether there is a material misstatement in the financial statements or a material misstatement of the other information. If, based on the work we have performed, we conclude that there is a material misstatement of this other information, we are required to report that fact.

We have nothing to report in this regard.

## Opinions on other matters prescribed by the Companies Act 2006

In our opinion, based on the work undertaken in the course of the audit:

- The information given in the directors' annual report for the financial year for which the financial statements are prepared is consistent with the financial statements
- The directors' annual report has been prepared in accordance with applicable legal requirements.

## Matters on which we are required to report by exception

In the light of the knowledge and understanding of the company and its environment obtained in the course of the audit, we have not identified material misstatements in the directors' annual report.

We have nothing to report in respect of the following matters in relation to which the Companies Act 2006 requires us to report to you if, in our opinion:

- Adequate accounting records have not been kept, or returns adequate for our audit have not been received from branches not visited by us; or
- The financial statements are not in agreement with the accounting records and returns; or
- Certain disclosures of directors' remuneration specified by law are not made; or
- We have not received all the information and explanations we require for our audit; or
- The directors were not entitled to prepare the financial statements in accordance with the small companies' regime and take advantage of the small companies' exemptions in preparing the directors' annual report.

## Responsibilities of directors

As explained more fully in the directors' responsibilities statement, set out in the directors' annual report, the directors are responsible for the preparation of the financial statements and for being satisfied that they give a true and fair view, and for such internal control as the directors determine is necessary to enable the preparation of financial statements that are free from material misstatement, whether due to fraud or error.

## **Independent auditor's report**

**To the members of**

**The Investor Forum CIC**

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In preparing the financial statements, the directors are responsible for assessing the company's ability to continue as a going concern, disclosing, as applicable, matters related to going concern and using the going concern basis of accounting unless the directors either intend to liquidate the company or to cease operations, or have no realistic alternative but to do so.

### **Auditor's responsibilities for the audit of the financial statements**

This report is made solely to the company's members as a body, in accordance with Chapter 3 of Part 16 of the Companies Act 2006. Our audit work has been undertaken so that we might state to the company's members those matters we are required to state to them in an auditor's report and for no other purpose. To the fullest extent permitted by law, we do not accept or assume responsibility to anyone other than the company and the company's members as a body, for our audit work, for this report, or for the opinions we have formed.

Our objectives are to obtain reasonable assurance about whether the financial statements as a whole are free from material misstatement, whether due to fraud or error, and to issue an auditor's report that includes our opinion. Reasonable assurance is a high level of assurance, but is not a guarantee that an audit conducted in accordance with ISAs (UK) will always detect a material misstatement when it exists. Misstatements can arise from fraud or error and are considered material if, individually or in the aggregate, they could reasonably be expected to influence the economic decisions of users taken on the basis of these financial statements.

As part of an audit in accordance with ISAs (UK), we exercise professional judgment and maintain professional scepticism throughout the audit. We also:

- Identify and assess the risks of material misstatement of the financial statements, whether due to fraud or error, design and perform audit procedures responsive to those risks, and obtain audit evidence that is sufficient and appropriate to provide a basis for our opinion. The risk of not detecting a material misstatement resulting from fraud is higher than for one resulting from error, as fraud may involve collusion, forgery, intentional omissions, misrepresentations, or the override of internal control.
- Obtain an understanding of internal control relevant to the audit in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control
- Evaluate the appropriateness of accounting policies used and the reasonableness of accounting estimates and related disclosures made by the directors
- Conclude on the appropriateness of the directors' use of the going concern basis of accounting and, based on the audit evidence obtained, whether a material uncertainty exists related to events or conditions that may cast significant doubt on the entity's ability to continue as a going concern. If we conclude that a material uncertainty exists, we are required to draw attention in our auditor's report to the related disclosures in the financial statements or, if such disclosures are inadequate, to modify our opinion. Our conclusions are based on the audit evidence obtained up to the date of our auditor's report. However, future events or conditions may cause the entity to cease to continue as a going concern.

## **Independent auditor's report**

**To the members of**

**The Investor Forum CIC**

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- Evaluate the overall presentation, structure and content of the financial statements, including the disclosures, and whether the financial statements represent the underlying transactions and events in a manner that achieves fair presentation

We communicate with those charged with governance regarding, among other matters, the planned scope and timing of the audit and significant audit findings, including any significant deficiencies in internal control that we identify during our audit.

## **Use of our report**

This report is made solely to the company's members as a body, in accordance with Chapter 3 of Part 16 of the Companies Act 2006. Our audit work has been undertaken so that we might state to the company's members those matters we are required to state to them in an auditor's report and for no other purpose. To the fullest extent permitted by law, we do not accept or assume responsibility to anyone other than the company and the company's members as a body, for our audit work, for this report, or for the opinions we have formed.

Noelia Serrano (Senior statutory auditor)

15 December 2020

for and on behalf of Sayer Vincent LLP, Statutory Auditor

Invicta House, 108-114 Golden Lane, LONDON, EC1Y 0TL

The Investor Forum CIC

Profit and loss account

**For the year ended 30 September 2020**

	Note	2020 Total £	2019 Total £
Turnover	2	1,026,833	926,165
<b>Gross profit</b>		<b>1,026,833</b>	<b>926,165</b>
Administrative expenses		(938,723)	(894,218)
Interest receivable and similar income		3,327	3,430
		<b>91,437</b>	<b>35,377</b>
<b>Surplus on ordinary activities before taxation</b>		<b>91,437</b>	<b>35,377</b>
Taxation on surplus on ordinary activities	5	(18,497)	(8,749)
<b>Surplus for the financial year</b>		<b>72,940</b>	<b>26,628</b>
Accumulated surplus brought forward		193,454	166,826
<b>Accumulated surplus at 30 September 2020</b>		<b>266,394</b>	<b>193,454</b>

All of the above results are derived from continuing activities. There were no other recognised gains or losses other than those stated above.

The Investor Forum CIC

Balance sheet


Company no. 09242326

As at 30 September 2020

	Note	2020 £	£	2019 £	£
<b>Fixed assets</b>	6		4,233		6,290
<b>Current assets:</b>					
Debtors	7	10,720		93,889	
Cash at bank and in hand	8	842,566		700,035	
Short term deposits	8	251,650		220,861	
		<u>1,104,936</u>		<u>1,014,785</u>	
<b>Creditors:</b>					
Amounts falling due within one year	9	<u>842,775</u>		<u>827,621</u>	
<b>Net current assets</b>			<u>262,161</u>		<u>187,164</u>
<b>Net assets</b>			<u><u>266,394</u></u>		<u><u>193,454</u></u>
<b>Capital and reserves</b>					
Profit and loss account			<u>266,394</u>		<u>193,454</u>
<b>Total reserves</b>			<u><u>266,394</u></u>		<u><u>193,454</u></u>

These accounts have been prepared in accordance with the provisions applicable to companies subject to the small companies' regime.

The financial statements were approved by the Board of Directors on 7 December 2020 and signed on their behalf by:



Simon Fraser  
Chairman

The notes on pages 11–14 form part of these financial statements

## The Investor Forum CIC

### Notes to the financial statements

For the year ended 30 September 2020

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#### 1 Accounting policies

##### a) Statutory information

The Investor Forum CIC is a company limited by guarantee and is incorporated in England.

The registered office address and principal place of business is 5th Floor, 183 Euston Road, London, NW1 2BE.

##### b) Basis of preparation

These financial statements have been prepared in accordance with applicable United Kingdom accounting standards, including Financial Reporting Standard 102 Section 1A - 'The Financial Reporting Standard applicable in the United Kingdom and Republic of Ireland' ('FRS 102'), and with the Companies Act 2006. The financial statements have been prepared on the historical cost basis.

##### c) Going concern

The directors consider that there are no material uncertainties about the company's ability to continue as a going concern.

##### d) Income

Turnover represents net invoiced sales of goods, exclusive of VAT.

Membership fee income is recognised over the period of the membership year on a straight line basis. Income from the provision of other services is recognised at the completion of the assignment.

##### e) Interest receivable

Interest on funds held on deposit is included when receivable and the amount can be measured reliably by the company; this is normally upon notification of the interest paid or payable by the bank.

##### f) Operating leases

Rental charges are charged on a straight line basis over the term of the lease.

##### g) Tangible fixed assets

Items of equipment are capitalised where the purchase price exceeds £100. Assets are reviewed for impairment if circumstances indicate their carrying value may exceed their net realisable value and value in use.

Depreciation is provided at rates calculated to write down the cost of each asset to its estimated residual value over its expected useful life. The depreciation rates in use are as follows:

- |                    |         |
|--------------------|---------|
| ● Furniture        | 3 years |
| ● Office Equipment | 3 years |

##### h) Creditors

Short term trade creditors are measured at the transaction price.

##### i) Cash at bank and in hand

Cash at bank and cash in hand includes cash and short term highly liquid investments with a short maturity of three months or less from the date of acquisition or opening of the deposit or similar account, and held for the purpose of funding short-term cashflows. Other account balances are included under Short Term Deposits.

#### 2 Turnover

Turnover is attributable to the principal activity of the company.

# The Investor Forum CIC

## Notes to the financial statements

For the year ended 30 September 2020

### 3 Surplus before tax is stated after charging:

	2020 £	2019 £
Directors' remuneration:		
Base salary	126,000	122,500
Benefits and National Insurance contributions	37,670	36,625
Auditor's remuneration (excluding VAT):		
Audit	4,475	4,375
Other services	1,925	1,875

### 4 Directors' and employees' costs and emoluments

No emoluments are paid to any non-executive director.

Staff costs (including the director) during the year were as follows:

	2020 £	2019 £
Wages and salaries	643,758	463,702
Social security costs	74,914	54,567
Employer pension contributions	40,657	46,593
	<b>759,329</b>	<b>564,862</b>

The average number of employees (including the director) during the year was as follows:

	2020 Number	2019 Number
Average number of employees	8.0	6.2
	<b>8.0</b>	<b>6.2</b>

The total employee salary and benefits (including employer's national insurance) of the key management personnel (director) were £163,670 (2019: £159,125).

### 5 Taxation

	2020 £	2019 £
UK corporation tax at 19% (2018: 19%)	18,497	8,749
Total current tax	18,497	8,749
Tax on results on ordinary activities	18,497	8,749

The Investor Forum CIC

Notes to the financial statements

For the year ended 30 September 2020

6 Tangible fixed assets

	Office Equipment £	Furniture £	Total £
<b>Cost or valuation</b>			
At the start of the year	19,379	13,850	33,229
Additions in year	4,488		4,488
At the end of the year	23,867	13,850	37,717
<b>Depreciation</b>			
At the start of the year	15,938	11,001	26,939
Charge for the year	3,918	2,627	6,545
At the end of the year	19,856	13,628	33,484
<b>Net book value</b>			
At the end of the year	4,011	222	4,233
At the start of the year	3,441	2,849	6,290

7 Debtors

	2020 £	2019 £
Trade debtors	-	87,600
Prepayments	6,591	6,289
Other debtors	4,129	-
	10,720	93,889

8 Components of cash

	2020 £	2019 £ (restated)
Cash at bank	842,566	700,035
Short term deposits	251,650	220,861
	1,094,216	920,896

# The Investor Forum CIC

## Notes to the financial statements

For the year ended 30 September 2020

### 9 Creditors: amounts falling due within one year

	2020 £	2019 £
Trade creditors	1,286	17,943
Taxation and social security	156,111	175,567
Other creditors	3,679	717
Accruals	23,863	18,725
Deferred income	657,836	614,669
	<b>842,775</b>	<b>827,621</b>

### 10 Related party transactions

The Investor Forum is a membership organisation. Several of the directors of the Company hold senior positions within other entities that are members of the Company. Owing to the nature of the operations of the Company it is inevitable that transactions take place between the Company and the organisation of which the directors have an interest. All transactions between the various organisations and the Company are at arms length and are on the same basis for all members. None of the directors benefit from any of these arrangements.

### 11 Operating leases

The company's total future minimum lease payments under non-cancellable operating leases is as follows for each of the following periods

	Property		Office Equipment	
	2020 £	2019 £	2020 £	2019 £
Less than one year	14,459	14,458	799	661
One to five years	-	-	400	1,047
Over five years	-	-	-	-
	<b>14,459</b>	<b>14,458</b>	<b>1,199</b>	<b>1,708</b>

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**CIC 34**

# Community Interest Company Report

For official use  
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*Please  
complete in  
typescript, or  
in bold black  
capitals.*

Company Name in  
full

THE INVESTOR FORUM CIC

Company Number

09242326

Year Ending

30 SEPTEMBER 2020

**Please ensure the company name is consistent with the company name entered on the accounts.**

This template illustrates what the Regulator of Community Interest Companies considers to be best practice for completing a simplified community interest company report. All such reports must be delivered in accordance with section 34 of the Companies (Audit, Investigations and Community Enterprise) Act 2004 and contain the information required by Part 7 of the Community Interest Company Regulations 2005. For further guidance see chapter 8 of the Regulator's guidance notes and the alternate example provided for a more complex company with more detailed notes.

**(N.B. A Filing Fee of £15 is payable on this document. Please enclose a cheque or postal order payable to Companies House)**

**PART 1 - GENERAL DESCRIPTION OF THE COMPANY'S ACTIVITIES AND IMPACT**

In the space provided below, please insert a general account of the company's activities in the financial year to which the report relates, including a description of how they have benefited the community.

The Investor Forum has two key objectives: to make the case for long-term investment approaches and to facilitate collective engagement with UK companies. The Forum has established a unique model for investors to work collectively to escalate material issues with the Boards of UK-listed companies. These can be financial, strategic, governance, environmental or social in nature - the key is that the issue is material and merits engagement with the board in a collective fashion.

We aim to help our Members maximise their return on engagement effort and demonstrate a commitment to stewardship activities. In engaging with companies, we help them realise long-term benefits for their clients and beneficiaries and contribute to the long-term success of UK-listed companies for the benefit of the broader economy. The Forum has adjusted smoothly to remote working since March 2020, facilitating 8 Collective Engagements and introducing a new series of regular video calls, the Four O'clock Forums, to provide Members with opportunities to share insights, discuss topical issues and hear from experts. Topics included capital-raising and company reporting during Covid-19. The Forum has continued to facilitate several Stewardship projects, including on plastic supply chain management and working practices, where Members have felt there would be significant added value for the investment community from more in-depth research.

Company specific collective engagements resulted in changes to Board composition to improve effectiveness, enhanced climate commitments, improvements in company communications and an initiative to make progress on executive succession-planning.

Members were happy that outcomes met their objectives.

*(If applicable, please just state "A social audit report covering these points is attached").*

***(Please continue on separate continuation sheet if necessary.)***

**PART 2 – CONSULTATION WITH STAKEHOLDERS** – Please indicate who the company's stakeholders are; how the stakeholders have been consulted and what action, if any, has the company taken in response to feedback from its consultations? If there has been no consultation, this should be made clear.

The company's stakeholders are primarily its Members but also its Directors and employees.

At the start of each new engagement with a different corporate Board, Forum Members were consulted to assess the level of interest in joining. Feedback has also been collected through ad-hoc meetings focusing on specific industry themes as well as at the AGM in January each year. Informal feedback from Members is also sought at regular Forum events to help direct the business to focus on those current industry themes and companies of greatest interest.

Consultation with the Board Directors takes place at quarterly Board meetings as well as regular Board sub-committee meetings.

Employees are consulted informally on a regular day-to-day basis in the operation of the business, and more formally in an annual strategy review day as well as individual objective setting and performance review meetings.

*(If applicable, please just state "A social audit report covering these points is attached").*

**PART 3 – DIRECTORS' REMUNERATION** – if you have provided full details in your accounts you need not reproduce it here. Please clearly identify the information within the accounts and confirm that, "There were no other transactions or arrangements in connection with the remuneration of directors, or compensation for director's loss of office, which require to be disclosed" (See example with full notes). If no remuneration was received you must state that "no remuneration was received" below.

Details of directors' remuneration are provided in note 4 of the attached Financial Statements for the year ended 30 September 2020.

There were no other transactions or arrangements in connection with the remuneration of directors, or compensation for director's loss of office, which require to be disclosed.

**PART 4 – TRANSFERS OF ASSETS OTHER THAN FOR FULL CONSIDERATION** – Please insert full details of any transfers of assets other than for full consideration e.g. Donations to outside bodies. If this does not apply you must state that "no transfer of assets other than for full consideration has been made" below.


No transfer of assets other than for full consideration has been made in the year to 30 September 2020.

*(Please continue on separate continuation sheet if necessary.)*

## PART 5 – SIGNATORY

The original report must be signed by a director or secretary of the company

Signed



Date

22/12/20

Office held (delete as appropriate) Director/Secretary

You do not have to give any contact information in the box opposite but if you do, it will help the Registrar of Companies to contact you if there is a query on the form. The contact information that you give will be visible to searchers of the public record.

The Investor Forum CIC	
5 <sup>th</sup> Floor, 183 Euston Road,	
LONDON	
NW1 2BE	Tel 020-7611-2223
DX Number	DX Exchange

When you have completed and signed the form, please attach it to the accounts and send both forms by post to the Registrar of Companies at:

*For companies registered in England and Wales:* Companies House, Crown Way, Cardiff, CF14 3UZ  
DX 33050 Cardiff

*For companies registered in Scotland:* Companies House, 4<sup>th</sup> Floor, Edinburgh Quay 2, 139  
Fountainbridge, Edinburgh, EH3 9FF DX 235 Edinburgh or LP – 4 Edinburgh 2

*For companies registered in Northern Ireland:* Companies House, 2nd Floor, The Linenhall, 32-38  
Linenhall Street, Belfast, BT2 8BG

The accounts and CIC34 **cannot** be filed online

(N.B. Please enclose a cheque for £15 payable to Companies House)