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## **The Investor Forum CIC**

Directors' Report and Unaudited  
Financial Statements

For the period from 30 September  
2014 to 30 September 2015

Registered No: 09242326



# **The Investor Forum CIC**

## **Company information**

### **Directors**

S Fraser  
E H Bonham Carter  
M A Burgess  
D C Godfrey  
A P Griffiths  
C J Hitchen  
V A Holmes  
J A Kay  
I Levine  
D G Lis  
N D Moakes  
S Sadan  
R W Swannell  
L P Tomlinson

### **Company secretary**

P M Glover

### **Company number**

09242326

### **Registered office**

Camomile Court  
23 Camomile Street  
London  
EC3A 7LL

### **Bankers**

Metro Bank Plc  
83/87 High Street  
Sutton  
Surrey  
SM1 1JF

# **The Investor Forum CIC**

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# **The Investor Forum CIC**

## **Directors report for the period ended 30 September 2015**

The directors present their report with the financial statements for the period ended 30 September 2015 for The Investor Forum CIC. The Company was incorporated on 30 September 2014.

### **Principal activity**

The Investor Forum has a core objective of creating an effective model for collective engagement with UK-listed companies. Its purpose is to position stewardship at the heart of investment decision-making by facilitating dialogue, creating long-term solutions and enhancing value.

### **Results**

In the period ended 30 September 2015, the Company did not trade and had no income. Administrative expenses of £95,726 were borne by The Investment Association, a company incorporated in England and Wales.

### **Future Developments**

The Company is confident that it will start trading in the next financial year.

### **Directors**

The directors of the Company are detailed below:

S Fraser (appointed 30 September 2014)  
E H Bonham Carter (appointed 10 December 2014)  
M A Burgess (appointed 10 December 2014)  
D C Godfrey (appointed 10 December 2014)  
A P Griffiths (appointed 30 September 2014)  
C J Hitchen (appointed 10 December 2014)  
V A Holmes (appointed 10 December 2014)  
J A Kay (appointed 10 December 2014)  
I Levine (appointed 10 December 2014)  
D G Lis (appointed 10 December 2014)  
N D Moakes (appointed 10 December 2014)  
A H Richards (appointed 10 December 2014 and resigned 16 March 2016)  
S Sadan (appointed 10 December 2014)  
R W Swannell (appointed 10 December 2014)  
L P Tomlinson (appointed 10 December 2014)

In preparing this report, the directors have taken advantage of the small Companies exemptions provided by Section 415A of the Companies Act 2006.

Approved by the Board of Directors on

and signed on behalf of the Board.

S Fraser  
Chairman

# The Investor Forum CIC

## Profit and loss account for the period ended 30 September 2015

	Note	2015 £
Turnover		-
Administrative expenses	2	-
Operating profit		-
Interest receivable		-
Profit/(loss) on ordinary activities before taxation		-
Tax on profit on ordinary activities	-	-
Profit/(loss) for the financial year		-

The notes on page 4 form part of these financial statements.  
The Company Number is 09242326.

# The Investor Forum CIC

## Balance sheet as at 30 September 2015

Note	£	2015 £
<b>Current assets</b>	-	
<b>Creditors:</b> amounts falling due within one year	-	
<b>Net current assets</b>		-
<b>Total assets</b>		-
<b>Capital and reserves</b>		
Profit and loss account		-
<b>Shareholder's funds</b>		-

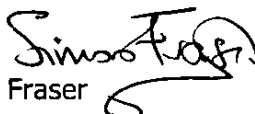
For the period ending 30 September 2015, the Company was entitled to exemption from audit under section 477 of the Companies Act 2006 relating to small companies.

The members have not required the Company to obtain an audit in accordance with section 476 of the Companies Act 2006.

The directors acknowledge their responsibility for complying with the requirements of the Act with respect to accounting records and the preparation of accounts

These accounts have been prepared in accordance with the provisions applicable to companies subject to the small companies' regime.

The financial statements were approved and authorised for issue by the board and were signed on its behalf on 9<sup>th</sup> June 2016.

  
S Fraser  
Chairman

The notes on page 4 form part of these financial statements.  
The Company Number is 09242326.

# **The Investor Forum CIC**

## **Notes to the financial statements for the period ended 30 September 2015**

### **1 Accounting policies**

#### **Basis of preparation of financial statements**

The financial statements are prepared in accordance with the Financial Reporting Standards for Smaller Entities (effective April 2008) and under the historical cost convention. As the Company did not trade during the period the Profit and loss account and Balance sheet show nil balances.

### **2 Administrative expenses**

In the period ended 30 September 2015, the Company did not trade and had no income. Administrative expenses of £95,726 were borne by The Investment Association, a company incorporated in England and Wales. D C Godfrey was a director of The Investment Association until 7 October 2015.

### **3 Company status**

The company is a Community Interest Company and consequentially does not have any share capital. Each of the Members are liable to contribute an amount not exceeding £1 towards the assets of the company in the event of liquidation.

**CIC 34****Community Interest Company Report**

**For official use**  
(Please leave blank)

*Please  
complete in  
typescript, or  
in bold black  
capitals.*

**Company Name in  
full**

**THE INVESTOR FORUM CIC**

**Company Number**

**09242326**

**Year Ending**

**30 SEPTEMBER 2015**

This template illustrates what the Regulator of Community Interest Companies considers to be best practice for completing a simplified community interest company report. All such reports must be delivered in accordance with section 34 of the Companies (Audit, Investigations and Community Enterprise) Act 2004 and contain the information required by Part 7 of the Community Interest Company Regulations 2005. For further guidance see chapter 8 of the Regulator's guidance notes and the alternate example provided for a more complex company with more detailed notes.

**(N.B. A Filing Fee of £15 is payable on this document. Please enclose a cheque or postal order payable to Companies House)**

**PART 1 - GENERAL DESCRIPTION OF THE COMPANY'S ACTIVITIES AND IMPACT**

In the space provided below, please insert a general account of the company's activities in the financial year to which the report relates, including a description of how they have benefited the community.

**THE PRINCIPAL ACTIVITY OF THE COMPANY IS TO PROVIDE A FORUM THROUGH WHICH INSTITUTIONAL INVESTORS AND UK LISTED COMPANIES CAN WORK COLLECTIVELY TO PROMOTE LONG-TERM VALUE FROM INVESTMENT IN UK COMPANIES.**

**IN THE PERIOD ENDED 30 SEPTEMBER 2015, THE COMPANY DID NOT TRADE AND HAD NO INCOME. ADMINISTRATIVE EXPENSES WERE BORNE BY THE INVESTMENT ASSOCIATION, A COMPANY INCORPORATED IN ENGLAND AND WALES.**

*(If applicable, please just state "A social audit report covering these points is attached")*

*(Please continue on separate continuation sheet if necessary)*



**PART 2 – CONSULTATION WITH STAKEHOLDERS** – Please indicate who the company's stakeholders are, how the stakeholders have been consulted and what action, if any, has the company taken in response to feedback from its consultations? If there has been no consultation, this should be made clear.

**THERE HAS BEEN NO CONSULTATION IN THE YEAR TO 30 SEPTEMBER 2015 AS THE COMPANY DID NOT TRADE.**

*(If applicable, please just state "A social audit report covering these points is attached")*

**PART 3 – DIRECTORS' REMUNERATION** – if you have provided full details in your accounts you need not reproduce it here. Please clearly identify the information within the accounts and confirm that, "There were no other transactions or arrangements in connection with the remuneration of directors, or compensation for director's loss of office, which require to be disclosed" (See example with full notes) If no remuneration was received you must state that "no remuneration was received" below

**NO REMUNERATION WAS RECEIVED IN THE YEAR TO 30 SEPTEMBER 2015 AS THE COMPANY DID NOT TRADE.**

**PART 4 – TRANSFERS OF ASSETS OTHER THAN FOR FULL CONSIDERATION** – Please insert full details of any transfers of assets other than for full consideration e.g. Donations to outside bodies If this does not apply you must state that "no transfer of assets other than for full consideration has been made" below.

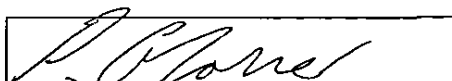
**NO TRANSFER OF ASSETS HAS BEEN MADE IN THE YEAR TO 30 SEPTEMBER 2015 AS THE COMPANY DID NOT TRADE.**

*(Please continue on separate continuation sheet if necessary)*

## PART 5 – SIGNATORY

**The original report must be signed by a director or secretary of the company**

Signed



Date

10/06/2016

Office held (delete as appropriate) ~~Director~~/Secretary

You do not have to give any contact information in the box opposite but if you do, it will help the Registrar of Companies to contact you if there is a query on the form. The contact information that you give will be visible to searchers of the public record

<b>THE INVESTOR FORUM</b>	
<b>CAMOMILE COURT, 23 CAMOMILE STREET</b>	
<b>LONDON, EC3A 7LL</b>	
Tel	
DX Number	DX Exchange

**When you have completed and signed the form, please attach it to the accounts and send both forms by post to the Registrar of Companies at:**

*For companies registered in England and Wales:* Companies House, Crown Way, Cardiff, CF14 3UZ  
DX 33050 Cardiff

*For companies registered in Scotland:* Companies House, 4<sup>th</sup> Floor, Edinburgh Quay 2, 139  
Fountainbridge, Edinburgh, EH3 9FF DX 235 Edinburgh or LP – 4 Edinburgh 2

*For companies registered in Northern Ireland:* Companies House, 2nd Floor, The Linenhall, 32-38  
Linenhall Street, Belfast, BT2 8BG

The accounts and CIC34 **cannot** be filed online

**(N.B. Please enclose a cheque for £15 payable to Companies House)**