



Companies House

**CS01** (ef)

**Confirmation Statement**

Company Name: **TRIDENT BROKERAGE SERVICES LIMITED**

Company Number: **09009589**



Received for filing in Electronic Format on the: **27/03/2017**

X634LSUX

Company Name: **TRIDENT BROKERAGE SERVICES LIMITED**

Company Number: **09009589**

Confirmation **25/03/2017**

Statement date:

## Statement of Capital (Share Capital)

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<b>Class of Shares:</b>	<b>ORDINARY</b>	Number allotted	<b>11000</b>
Currency:	<b>GBP</b>	Aggregate nominal value:	<b>11000</b>

Prescribed particulars

**EACH SHARE HAS FULL RIGHTS IN THE COMPANY WITH RESPECT TO VOTING,  
DIVIDENDS AND DISTRIBUTIONS.**

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## Statement of Capital (Totals)

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Currency:	<b>GBP</b>	Total number of shares:	<b>11000</b>
		Total aggregate nominal value:	<b>11000</b>
		Total aggregate amount unpaid:	<b>0</b>

## Full details of Shareholders

The details below relate to individuals/corporate bodies that were shareholders during the review period or that had ceased to be shareholders since the date of the previous confirmation statement.

Shareholder information for a non-traded company as at the confirmation statement date is shown below

Shareholding 1: **3300 transferred on 2017-03-01**  
**0 ORDINARY shares held as at the date of this confirmation statement**  
Name: **CHARLES FRUSCIONE**

Shareholding 2: **7700 transferred on 2017-03-01**  
**0 ORDINARY shares held as at the date of this confirmation statement**  
Name: **JOSEPH SUTHERLAND**

Shareholding 3: **11000 ORDINARY shares held as at the date of this confirmation statement**  
Name: **TRIDENT BROKERAGE SERVICES LLC**

# Persons with Significant Control (PSC)

## PSC notifications

### Notification Details

Date of becoming a registrable RLE: **01/03/2017**

Name: **TRIDENT BROKERAGE SERVICES LLC**

Registered or Principal Office Address: **17 STATE STREET SUITE 450B  
NEW YORK 10004  
USA**

Legal Form: **LLC**

Governing Law: **USA**

Register: **USA**

Country/state of register: **USA**

Registration Number: **0445409**

### Nature of control

The relevant legal entity holds, directly or indirectly, 75% or more of the shares in the company.

## **Confirmation Statement**

I confirm that all information required to be delivered by the company to the registrar in relation to the confirmation period concerned either has been delivered or is being delivered at the same time as the confirmation statement

# Authorisation

Authenticated

This form was authorised by one of the following:

Director, Secretary, Person Authorised, Charity Commission Receiver and Manager, CIC Manager,  
Judicial Factor