



Companies House

AR01 (ef)

Annual Return



Received for filing in Electronic Format on the: **15/01/2016**

X4YN2GWH

Company Name: **4D PHARMA PLC**

Company Number: **08840579**

Date of this return: **10/01/2016**

SIC codes: **72190**

Company Type: **Public limited company**

Situation of Registered Office: **74 GARTSIDE STREET
MANCHESTER
M3 3EL**

Single Alternative Inspection Location (SAIL)

The address for an alternative location to the company's registered office for the inspection of registers is:

**C/O CAPITA ASSET SERVICES
34 BECKENHAM ROAD
BECKENHAM
KENT
ENGLAND
BR3 4TU**

The following records have moved to the single alternative inspection location:

Register of members (section 114)

Report to members on outcome of investigation by public company into interests in its shares (section 805)

Register of interests in shares disclosed to public company (section 809)

Officers of the company

Company Secretary 1

Type: **Person**
Full forename(s): **LAURENCE SMITH**

Surname: **DALE**

Former names:

Service Address: **C/O SCHOFIELD SWEENEY SPRINGFIELD HOUSE 76
WELLINGTON STREET
LEEDS
ENGLAND
LS1 2AY**

Company Director 1

Type: **Person**
Full forename(s): **THOMAS**

Surname: **ENGELEN**

Former names:

Service Address recorded as Company's registered office

Country/State Usually Resident: **ENGLAND**

Date of Birth: ****/04/1958** Nationality: **DUTCH**

Occupation: **DIRECTOR**

Company Director 2

Type: **Person**

Full forename(s): **MR DAVID ROBERT**

Surname: **NORWOOD**

Former names:

Service Address recorded as Company's registered office

Country/State Usually Resident: **IRELAND**

Date of Birth: ****/10/1968**

Nationality: **BRITISH**

Occupation: **DIRECTOR**

Company Director **3**

Type: **Person**

Full forename(s): **MR DUNCAN JOSEPH**

Surname: **PEYTON**

Former names:

Service Address: **74 GARTSIDE STREET
MANCHESTER
ENGLAND
M3 3EL**

Country/State Usually Resident: **ENGLAND**

Date of Birth: ****/02/1970**

Nationality: **BRITISH**

Occupation: **DIRECTOR**

Company Director 4

Type: **Person**

Full forename(s): **MR ALEXANDER JAMES**

Surname: **STEVENSON**

Former names:

Service Address: **74 GARTSIDE STREET
MANCHESTER
ENGLAND
M3 3EL**

Country/State Usually Resident: **ENGLAND**

Date of Birth: ****/03/1971**

Nationality: **BRITISH**

Occupation: **DIRECTOR**

Statement of Capital (Share Capital)

Class of shares	ORDINARY	<i>Number allotted</i>	64365198
		<i>Aggregate nominal value</i>	160912.995
<i>Currency</i>	GBP	<i>Amount paid per share</i>	1.631847
		<i>Amount unpaid per share</i>	0

Prescribed particulars

(A) EACH SHARE IS ENTITLED TO ONE VOTE IN ANY CIRCUMSTANCES. (B) EACH SHARE IS ENTITLED PARI PASSU TO DIVIDEND PAYMENTS OR ANY OTHER DISTRIBUTION. (C) EACH SHARE IS ENTITLED PARI PASSU TO PARTICIPATE IN A DISTRIBUTION ARISING FROM A WINDING UP OF THE COMPANY (D) THE SHARES ARE NOT TO BE REDEEMED

Statement of Capital (Totals)

<i>Currency</i>	GBP	<i>Total number of shares</i>	64365198
		<i>Total aggregate nominal value</i>	160912.995

The company's shares were admitted to trading on a market during the period of this return and it was an issuer to which the shareholders notification requirements of Chapter 5 of the FSA's Disclosure and Transparency Rules source book applied throughout the period of the return

The Company was not required to provide details of any shareholders

Authorisation

Authenticated

This form was authorised by one of the following:

Director, Secretary, Person Authorised, Charity Commission Receiver and Manager, CIC Manager, Judicial Factor.