

102972/40

In accordance with
Section 854 of the
Companies Act 2006

AR01

Annual Return

(For returns made up to a date on or after 1 October 2011)

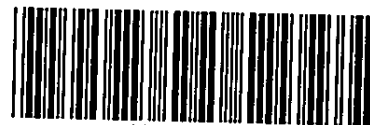


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A fee is payable with this form
Please see 'How to pay' on the
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Please go to www.companieshouse.gov.uk

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A2C0FGOP

A04 06/07/2013 #200

COMPANIES HOUSE

A2B85DLM

A25 24/06/2013 #124

COMPANIES HOUSE

☒ **What this form is for**
You may use this form to confirm
that the company information is
correct as at the date of this return
You must file an Annual Return at
least once every year

☒ **What this form is NOT for**
You cannot use this form to give
notice of changes to the company
officers, registered office address
company type or information
relating to the company records.

Part 1

Company details

The section must be completed by all companies.

→ Filing in this form

Please complete in typescript or in
bold black capitals.

All fields are mandatory unless
specified or indicated by *

A1 Company details

Company number 0 6 9 1 7 1 3 3

Company name in full COMPOUND PHOTONICS GROUP LIMITED

① Company name change

If your company has recently
changed its name, please provide
the company name as at the date of
this return

A2 Return date

Please give the annual return made up date. The return date must not be a future
date. The annual return must be delivered within 28 days of the date given below.

If you would like the company's made up date to be earlier than 1 October 2011,
please complete the AR01 appropriate for earlier made up dates.

Date of this return 2 7 0 5 2 0 1 3

② Date of this return

Your company's return date
is usually the anniversary of
incorporation or the anniversary
of the last annual return filed at
Companies House. You may choose
an earlier return date but it must not
be a later date.

A3 Principal business activity

Please show the trade classification code number(s) for the principal
activity or activities ③

Classification code 1 7 2 1 9 0
Classification code 2
Classification code 3
Classification code 4

If you cannot determine a code, please give a brief description of your
business activity below

Principal activity
description

③ Principal business activity

You must provide a trade
classification code (SIC code 2007)
or a description of your company's
main business in this section.

A full list of the trade classification
codes are available on our website
www.companieshouse.gov.uk

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Company type^①

Please confirm your company type by ticking the appropriate box below (only one box must be ticked)

- ☐ Public limited company
☒ Private company limited by shares
☐ Private company limited by guarantee
☐ Private company limited by shares exempt under section 60
☐ Private company limited by guarantee exempt under section 60
☐ Private unlimited company with share capital
☐ Private unlimited company without share capital

① Company type

If you are unsure of your company type, please check your latest certificate of incorporation or our website
www.companieshouse.gov.uk

A5

Registered office address^②

Building name/number	Gunpowder House
Street	66-68 Great Suffolk Street
	Southwark
Post town	London
County/Region	
Postcode	S E 1 0 B L

② Change of registered office

This must agree with the address that is held on the Companies House record at the date of this return

If the registered office address has changed, you should complete form AD01 and submit it together with this annual return

A6

Single alternative inspection location (SAIL) of the company records (if applicable)^③

Building name/number	
Street	
Post town	
County/Region	
Postcode	

③ SAIL address

This must agree with the address that is held on the Companies House record at the date of this return

If the address has changed, you should complete form AD02 and submit it together with this annual return

A7

Location of company records^④

Please tick the appropriate box to indicate which records are kept at the SAIL address in Section A6

- ☐ Register of members.
☐ Register of directors.
☐ Directors' service contracts.
☐ Directors' indemnities
☐ Register of secretaries
☐ Records of resolutions etc
☐ Contracts relating to purchase of own shares.
☐ Documents relating to redemption or purchase of own share out of capital by private company
☐ Register of debenture holders
☐ Report to members of outcome of investigation by public company into interests in its shares
☐ Register of interests in shares disclosed to public company
☐ Instruments creating charges and register of charges England and Wales or Northern Ireland
☐ Instruments creating charges and register of charges Scotland

④ Location of company records

If the company records are held at the registered office address, do not tick any of the boxes in this section

Certain records must be kept by every company while other records are only kept by certain company types where appropriate.

If the records are not kept at the SAIL address, they must be available at the registered office

If any of the company records have moved from the registered office to the address in Section A6 since the last annual return, you must complete form AD03 and submit it together with this annual return

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Part 2

Officers of the company

This section should include details of the company at the date to which this annual return is made up

- For a **secretary** who is an individual, go to **Section B1**
- For a **corporate secretary**, go to **Section C1**
- For a **director** who is an individual, go to **Section D1**
- For a **corporate director**, go to **Section E1**

Continuation pages

Please use a continuation page if you need to enter more officer details.

Secretary

B1

Secretary's details ^①

Please use this section to list all the secretaries of the company
For a corporate secretary, complete Section C1-C4

Title*	Ms
Full forename(s)	Siobhan Isobel
Surname	Miles
Former name(s) ^②	

^① Secretary appointments

You may not use this form to appoint a secretary. To do this, please complete form AP03 and submit it together with this annual return.

Corporate details

Please use Section C1-C4 to enter corporate secretary details.

Secretary details

All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH03.

^② Former name(s)

Please provide any previous names which have been used for business purposes during the period of this return. Married women do not need to give former names unless previously used for business purposes.

B2

Secretary's service address ^③

Building name/number	Woodgate Cottage
Street	Church Lane Beckley
Post town	Rye
County/Region	East Sussex
Postcode	T N 3 1 6 U H
Country	United Kingdom

^③ Service address

If you have previously notified Companies House that the service address is at 'The Company's Registered Office', please state 'The Company's Registered Office' in the address.

This information will appear on the public record.

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Corporate secretary

C1	Corporate secretary's details ^①	
	Please use this section to list all the corporate secretaries of the company	
Corporate body/firm name		① Corporate secretary appointments You cannot use this form to appoint a corporate secretary. To do this, please complete form AP04 and submit it together with this annual return. Corporate secretary details All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH04. This information will appear on the public record.
Building name/number		
Street		
Post town		
County/Region		
Postcode		
Country		
C2	Location of the registry of the corporate body or firm	
	Is the corporate secretary registered within the European Economic Area (EEA)? → Yes Complete Section C3 only → No Complete Section C4 only	
C3	EEA companies ^②	
	Please give details of the register where the company file is kept (including the relevant state) and the registration number in that register	② EEA A full list of countries of the EEA can be found in our guidance www.companieshouse.gov.uk ③ This is the register mentioned in Article 3 of the First Company Law Directive (68/151/EEC)
Where the company/firm is registered ^③		
Registration number		
C4	Non-EEA companies	
	Please give details of the legal form of the corporate body or firm and the law by which it is governed. If applicable, please also give details of the register in which it is entered (including the state) and its registration number in that register	④ Non-EEA Where you have provided details of the register (including state) where the company or firm is registered, you must also provide its number in that register.
Legal form of the corporate body or firm		
Governing law		
If applicable, where the company/firm is registered ^④		
If applicable, the registration number		

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Director**D1****Director's details ^①**

	Please use this section to list all the directors of the company For a corporate director, complete Section E1-E4															
Title*	Mr															
Full forename(s)	Brian															
Surname	Bolger															
Former name(s) ^②																
Country/State of residence	United Kingdom															
Nationality	New Zealand and Irish															
Date of birth	d	1	d	2	m	1	m	0	y	1	y	9	y	6	y	7
Business occupation (if any)	Investment Advisor															

① Director appointments
You cannot use this form to appoint a director. To do this, please complete form AP01 and submit it together with this annual return.

Corporate details

Please use Section E1-E4 to enter corporate director details.

Director details

All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH01.

② Former name(s)

Please provide any previous names which have been used for business purposes during the period of this return. Married women do not need to give former names unless previously used for business purposes.

D2**Director's service address ^③**

Building name/number	c/o Kew Capital 7									
Street	Clifford Street									
Post town	London									
County/Region										
Postcode	W	1	S		2	W	E			
Country	United Kingdom									

③ Service address

If you have previously notified Companies House that the service address is at 'The Company's Registered Office', please state 'The Company's Registered Office' in the address.

This information will appear on the public record.

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Director**D1****Director's details ^①**

Please use this section to list all the directors of the company For a corporate director, complete Section E1-E4.	
Title*	Mr
Full forename(s)	Mark
Surname	Faulkner
Former name(s) ^②	
Country/State of residence	United Kingdom
Nationality	British
Date of birth	<div>d</div> <div>2</div> <div>d</div> <div>2</div> <div>m</div> <div>0</div> <div>m</div> <div>7</div> <div>y</div> <div>1</div> <div>y</div> <div>9</div> <div>y</div> <div>6</div> <div>y</div> <div>7</div>
Business occupation (if any)	Advisor

① Director appointments

You cannot use this form to appoint a director. To do this, please complete form AP01 and submit it together with this annual return.

Corporate details

Please use Section E1-E4 to enter corporate director details.

Director details

All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH01.

② Former name(s)

Please provide any previous names which have been used for business purposes during the period of this return. Married women do not need to give former names unless previously used for business purposes.

D2**Director's service address ^③**

Building name/number	The Company's Registered Office
Street	
Post town	
County/Region	
Postcode	
Country	

③ Service address

If you have previously notified Companies House that the service address is at 'The Company's Registered Office', please state 'The Company's Registered Office' in the address.

This information will appear on the public record.

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Director

D1 Director's details ^①												
Please use this section to list all the directors of the company For a corporate director, complete Section E1-E4												
Title*	Mr											
Full forename(s)	Jeremy Peter Anthony											
Surname	Fletcher											
Former name(s) ^②												
Country/State of residence	United Kingdom											
Nationality	British											
Date of birth	<table border="1"><tr><td>d</td><td>2</td><td>6</td><td>m</td><td>0</td><td>4</td><td>y</td><td>1</td><td>9</td><td>5</td><td>4</td></tr></table>	d	2	6	m	0	4	y	1	9	5	4
d	2	6	m	0	4	y	1	9	5	4		
Business occupation (if any)	Investment Advisor											

① Director appointments
You cannot use this form to appoint a director. To do this, please complete form AP01 and submit it together with this annual return.

Corporate details
Please use Section E1-E4 to enter corporate director details.

Director details
All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH01.

② Former name(s)
Please provide any previous names which have been used for business purposes during the period of this return. Married women do not need to give former names unless previously used for business purposes.

D2 Director's service address ^③									
Building name/number	c/o Kew Capital 7								
Street	Clifford Street								
Post town	London								
County/Region									
Postcode	<table border="1"><tr><td>W</td><td>1</td><td>S</td><td></td><td>2</td><td>W</td><td>E</td><td></td></tr></table>	W	1	S		2	W	E	
W	1	S		2	W	E			
Country	United Kingdom								

③ Service address
If you have previously notified Companies House that the service address is at 'The Company's Registered Office', please state 'The Company's Registered Office' in the address.

This information will appear on the public record.

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Director

D1	Director's details^①	
	Please use this section to list all the directors of the company For a corporate director, complete Section E1-E4	
Title*	Dr	
Full forename(s)	Robert Clarence	
Surname	Lind	
Former name(s) ^②		
Country/State of residence	USA	
Nationality	American	
Date of birth	d ^d 2 3 m ^m 0 6 y ^y 1 9 y ^y 3 7	
Business occupation (if any)	Consultant	

① Director appointments
You cannot use this form to appoint a director. To do this, please complete form AP01 and submit it together with this annual return.

Corporate details
Please use Section E1-E4 to enter corporate director details.

Director details
All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH01.

② Former name(s)
Please provide any previous names which have been used for business purposes during the period of this return. Married women do not need to give former names unless previously used for business purposes.

D2	Director's service address^①	
Building name/number	c/o Compound Photonics US Corporation 314	
Street	West 15th Street	
Post town	Vancouver	
County/Region	Washington	
Postcode	9 8 6 6 0	
Country	USA	

① Service address
If you have previously notified Companies House that the service address is at 'The Company's Registered Office', please state 'The Company's Registered Office' in the address.

This information will appear on the public record.

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Director

D1 Director's details ^①	
Please use this section to list all the directors of the company For a corporate director, complete Section E1-E4.	
Title*	Dr
Full forename(s)	Jonathan Adam
Surname	Sachs
Former name(s) ^②	
Country/State of residence	USA
Nationality	American
Date of birth	<div>d</div> <div>2</div> <div>d</div> <div>9</div> <div>m</div> <div>0</div> <div>m</div> <div>9</div> <div>y</div> <div>1</div> <div>y</div> <div>9</div> <div>y</div> <div>6</div> <div>y</div> <div>5</div>
Business occupation (if any)	Director

① Director appointments
You cannot use this form to appoint a director. To do this, please complete form AP01 and submit it together with this annual return.

Corporate details
Please use Section E1-E4 to enter corporate director details.

Director details
All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH01.

② Former name(s)
Please provide any previous names which have been used for business purposes during the period of this return. Married women do not need to give former names unless previously used for business purposes.

D2 Director's service address ^③	
Building name/number	c/o Compound Photonics US Corporation 314
Street	West 15th Street
Post town	Vancouver
County/Region	Washington
Postcode	<div>9</div> <div>8</div> <div>6</div> <div>6</div> <div>0</div> <div></div> <div></div> <div></div>
Country	USA

③ Service address
If you have previously notified Companies House that the service address is at 'The Company's Registered Office', please state 'The Company's Registered Office' in the address.

This information will appear on the public record.

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Corporate director

E1	Corporate director's details ^①	
	Please use this section to list all the corporate director's of the company	
Corporate body/firm name		
Building name/number		
Street		
Post town		
County/Region		
Postcode	<div style="border: 1px solid black; display: inline-block; width: 100px; height: 1.2em; text-align: center; line-height: 1.2em;"> <div style="display: flex; justify-content: space-around; width: 100%;"> <div style="width: 12.5%; height: 1.2em; border: 1px solid black;"></div> <div style="width: 12.5%; height: 1.2em; border: 1px solid black;"></div> <div style="width: 12.5%; height: 1.2em; border: 1px solid black;"></div> <div style="width: 12.5%; height: 1.2em; border: 1px solid black;"></div> <div style="width: 12.5%; height: 1.2em; border: 1px solid black;"></div> <div style="width: 12.5%; height: 1.2em; border: 1px solid black;"></div> <div style="width: 12.5%; height: 1.2em; border: 1px solid black;"></div> <div style="width: 12.5%; height: 1.2em; border: 1px solid black;"></div> </div> </div>	
Country		
E2	Location of the registry of the corporate body or firm	
	Is the corporate director registered within the European Economic Area (EEA)? → Yes Complete Section E3 only → No Complete Section E4 only	
E3	EEA companies ^②	
	Please give details of the register where the company file is kept (including the relevant state) and the registration number in that register	
Where the company/firm is registered ^③		
Registration number		
E4	Non-EEA companies	
	Please give details of the legal form of the corporate body or firm and the law by which it is governed. If applicable, please also give details of the register in which it is entered (including the state) and its registration number in that register	
Legal form of the corporate body or firm		
Governing law		
If applicable, where the company/firm is registered ^④		
If applicable, the registration number		

① Corporate director appointments
 You cannot use this form to appoint a corporate director. To do this, please complete form AP02 and submit it together with this annual return.

Corporate director details
 All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH02.

This information will appear on the public record.

② EEA
 A full list of countries of the EEA can be found in our guidance www.companieshouse.gov.uk

③ This is the register mentioned in Article 3 of the First Company Law Directive (68/151/EEC)

④ Non-EEA
 Where you have provided details of the register (including state) where the company or firm is registered, you must also provide its number in that register.

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Part 3**Statement of capital¹**

Does your company have share capital?

→ Yes Complete the sections below and the following Part 4

→ No Go to Part 5 (Signature)

¹ This should reflect the company's capital status at the made up date of this annual return**F1****Share capital in pound sterling (£)**Please complete the table below to show each class of shares held in pound sterling
If all your issued capital is in sterling, only complete Section F1 and then go to Section F4

Class of shares (E g Ordinary/Preference etc.)	Amount paid up on each share ²	Amount (if any) unpaid on each share ²	Number of shares ³	Aggregate nominal value ⁴
Ordinary	0 001	0 00	1766078	£ 1766 078
Ordinary	0 88	0 00	306818	£ 306 818
Ordinary	1 91	0 00	534031	£ 534 031
Ordinary	3 75	0 00	904403	£ 904 403
Totals			3511330	£ 3511 330

F2**Share capital in other currencies**Please complete the table below to show any class of shares held in other currencies
Please complete a separate table for each currency

Currency				
Class of shares (E g Ordinary/Preference etc.)	Amount paid up on each share ²	Amount (if any) unpaid on each share ²	Number of shares ³	Aggregate nominal value ⁴
Totals				

Currency				
Class of shares (E g Ordinary/Preference etc.)	Amount paid up on each share ²	Amount (if any) unpaid on each share ²	Number of shares ³	Aggregate nominal value ⁴
Totals				

F3**Totals**

Please give the total number of shares and total aggregate nominal value of issued share capital

Total number of shares

Total aggregate
nominal value ⁵⁵ Total aggregate nominal value
Please list total aggregate values in
different currencies separately For
example £100 + €100 + \$10 etc.² Including both the nominal value and any
share premium³ Number of shares issued multiplied by
nominal value of each share⁴ Total number of issued shares in this class.**Continuation Pages**Please use a Statement of Capital continuation
page if necessary

AR01 - continuation page

Annual Return

(For returns made up to a date on or **after 1 October 2011**)

Statement of capital ①

Please complete the table below to show each class of shares held in other currencies. Please complete a separate table for each currency.

① This should reflect the company's capital status at the made up date of this annual return

Currency				
Class of shares (E g Ordinary/Preference etc.)	Amount paid up on each share ②	Amount (if any) unpaid on each share ②	Number of shares ①	Aggregate nominal value ①
Ordinary	11 74	0 00	276324	276 324
Ordinary	9 34	0 00	1447141	1447 141
Ordinary	3 07	0 00	5200261	5200 261
Ordinary	3 25	0 00	4917637	4917 637
B Shares	1 00	0 00	15000	15 000
Totals			15367693	15367 693

② Including both the nominal value and any share premium

④ Number of shares issued multiplied by nominal value of each share

③ Total number of issued shares in this class.

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F4

Statement of capital (Voting rights)

Please give the prescribed particulars of rights attached to shares for each class of share shown in the statement of capital share tables in **Sections F1 and F2**

Class of share Ordinary

Voting rights Entitled to one vote in any circumstance

Class of share B Shares

Voting rights No voting rights

Class of share

Voting rights

Class of share

Voting rights

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Part 4

Shareholders

Does your company have share capital?

→ Yes go to **Section G1** 'Companies with share capital'

→ No Go to **Part 5** (Signature)

G1

Companies with share capital

Question 1

Were any of the company's shares admitted to trading on a market at any time during this return period? Please tick the appropriate box below ①

☒ No go to **Section G2** 'Past and present shareholders'

☐ Yes go to **Question 2**

Question 2

Please only refer to Question 2 below if you have answered 'Yes' to Question 1. If you answered 'No', please go to **Section G2** 'Past and present shareholders'

Did the company, throughout the return period, have any shares admitted to trading on a relevant market and was it, throughout the return period, an issuer to which DTR5 applies? Please tick the appropriate box below ②

☐ No go to **Section G4** 'Shareholders who hold at least 5% of any class of shares of the company as at the made up date of the return'

☐ Yes go to **Part 5** 'Signature'

① A market is one established under the rules of a UK recognised investment exchange or any other regulated markets in or outside of the UK, or any other market outside of the UK. The current UK recognized investment exchanges and regulated markets can be found at: www.fsa.gov.uk/register/exchanges.do

② DTR5 refers to the Vote Holder and Issuer Notification Rules contained in Chapter 5 of the Disclosure and Transparency Rules source book issued by the Financial Services Authority. Notification is required when the percentage acquisition of a shareholder in the company has reached a certain threshold (starting at 3%)

G2

List of past and present shareholders ③

The company is required to provide a full list of past and present shareholders if one was not included with either of the last two returns. Please tick the appropriate box below

☐ There were no shareholder changes in this period. Go to **Part 5** (Signature)

☐ A full list of shareholders is enclosed

☒ A list of shareholder changes is enclosed

How is the list of shareholders enclosed? Please tick the appropriate box below

☒ The list of shareholders is enclosed on paper. Go to **Section G3** 'List of past and present shareholders'

☐ The list of shareholders is enclosed in another format. Go to **Part 5** (Signature)

③ This section only applies to companies answering 'No' in **Section G1**

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(For returns made up to a date on or after 1 October 2011)

G3

List of past and present shareholders^①

Changes during this period to shareholders' particulars or details of the amount of stock or shares transferred must be completed each year

You must provide a 'full list' of all company shareholders on

- The company's first annual return following incorporation,
- Every third annual return after a full list has been provided

① Please list the company shareholders in alphabetical order

Joint shareholders should be listed consecutively

Further shareholders

Please use a 'List of past and present shareholders' continuation page if necessary

This section only applies to companies answering 'No' to Question 1 in Section G1

Shareholder's Name (Address not required)	Class of share	Shares or stock currently held	Shares or stock transferred (if appropriate)	
		Number of shares or amount of stock	Number of shares or amount of stock	Date of registration of transfer
Beinart, Milton	Ordinary	3590	(3077)	26 / 04 / 2013
Bradbury, Neil	Ordinary	47267	(55700)	13 / 09 / 2012
Bnghtside Holdings Limited	A Preferred	0	33198	26 / 02 / 2013
Bnghtside Holdings Limited	A Preferred	0	(33198)	06 / 03 / 2013
Bnghtside Holdings Limited	Ordinary	45905	33198	06 / 03 / 2013
Bnghtside Holdings Limited	Ordinary	45905	12707	22 / 04 / 2013
Cedar Consulting Limited	Ordinary	34031	12413	22 / 04 / 2013
Faulkner, Mark	B Shares	2000	2000	09 / 05 / 2013
Fletcher, Lawrence	Ordinary	77165	21361	22 / 04 / 2013
Glanville, Richard Spencer	Ordinary	36876	10208	22 / 04 / 2013
Guinness Mahon Trust (SIPP 0068 - Thomas Weir)	Ordinary	13051	3613	22 / 04 / 2013
Guinness Mahon Trust (SIPP 0075 - Terence McNulty)	Ordinary	45781	12673	22 / 04 / 2013

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(For returns made up to a date on or after 1 October 2011)

G3

List of past and present shareholders¹

Changes during this period to shareholders' particulars or details of the amount of stock or shares transferred must be completed each year

You must provide a 'full list' of all company shareholders on

- The company's first annual return following incorporation,
- Every third annual return after a full list has been provided

¹ Please list the company shareholders in alphabetical order

Joint shareholders should be listed consecutively

This section only applies to companies answering 'No' to Question 1 in Section G1

Shareholder's Name (Address not required)	Class of share	Shares or stock currently held	Shares or stock transferred (if appropriate)	
		Number of shares or amount of stock	Number of shares or amount of stock	Date of registration of transfer
Guinness Mahon Trust (SIPP 0170 - Bndie Bradbury)	Ordinary	50807	48000	13 / 09 / 2012
Guinness Mahon Trust (SIPP 0178 - Peter Douglas)	Ordinary	0	(47387)	23 / 04 / 2013
Guinness Mahon Trust (SIPP 0178 - Peter Douglas)	Ordinary	0	(2779)	01 / 05 / 2013
Guinness Mahon Trust (SIPP 0182 - Neil Bradbury)	Ordinary	72247	7700	13 / 09 / 2012
Guinness Mahon Trust (SIPP 0187 - Herman Heyns)	Ordinary	112907	31255	22 / 04 / 2013
Guinness Mahon Trust (SIPP 0188 - Geoffrey M Ball)	Ordinary	10554	10554	01 / 05 / 2013
Guinness Mahon Trust (SIPP 0215 - Richard S Glanville)	Ordinary	35404	12913	22 / 04 / 2013
Guinness Mahon Trust (SIPP 0216 - Neale Provan)	Ordinary	31100	8609	22 / 04 / 2013
Guinness Mahon Trust (SIPP 0218 - Duncan Weaver)	Ordinary	2098	766	22 / 04 / 2013
Guinness Mahon Trust (SIPP 0219 - Geoffrey M Ball)	Ordinary	18382	10554	22 / 04 / 2013
Guinness Mahon Trust (SIPP 0219 - Geoffrey M Ball)	Ordinary	18382	(10554)	01 / 05 / 2013
Guinness Mahon Trust (SIPP 0248 - Stephen Highmore)	Ordinary	10620	1600	26 / 04 / 2013

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Annual Return

(For returns made up to a date on or after 1 October 2011)

G3

List of past and present shareholders¹

Changes during this period to shareholders' particulars or details of the amount of stock or shares transferred must be completed each year

You must provide a 'full list' of all company shareholders on

- The company's first annual return following incorporation,
- Every third annual return after a full list has been provided

¹ Please list the company shareholders in alphabetical order

Joint shareholders should be listed consecutively

This section only applies to companies answering 'No' to Question 1 in Section G1

Shareholder's Name (Address not required)	Class of share	Shares or stock currently held	Shares or stock transferred (if appropriate)	
		Number of shares or amount of stock	Number of shares or amount of stock	Date of registration of transfer
Guinness Mahon Trust (SIPP 0249 - Amanda Faulkner)	Ordinary	15276	4229	22 / 04 / 2013
Guinness Mahon Trust (SIPP 0250 - Simon Wragg)	Ordinary	36261	13225	22 / 04 / 2013
Guinness Mahon Trust (SIPP 0254 - Milton Beinart)	Ordinary	22924	3077	26 / 04 / 2013
Guinness Mahon Trust (SIPP 0268 - Gareth Thomas)	Ordinary	3687	1021	22 / 04 / 2013
Guinness Mahon Trust (SIPP 0270 - James Stubbs)	Ordinary	17976	4976	22 / 04 / 2013
Guinness Mahon Trust (SIPP 0281 - Richard Cresswell)	Ordinary	9162	3342	22 / 04 / 2013
Guinness Mahon Trust (SIPP 0282 - Mark Faulkner)	Ordinary	4350	1204	22 / 04 / 2013
Guinness Mahon Trust (SIPP 0308 - Barbara Douglas)	Ordinary	50166	47387	23 / 04 / 2013
Guinness Mahon Trust (SIPP 0308 - Barbara Douglas)	Ordinary	50166	2779	01 / 05 / 2013
Highmore, Stephen	Ordinary	9462	3062	22 / 04 / 2013
Highmore, Stephen	Ordinary	9462	(1600)	26 / 04 / 2013
Inchbold Trust	Ordinary	62205	17219	22 / 04 / 2013

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Annual Return

(For returns made up to a date on or after 1 October 2011)

G3

List of past and present shareholders¹

Changes during this period to shareholders' particulars or details of the amount of stock or shares transferred must be completed each year

You must provide a 'full list' of all company shareholders on

- The company's first annual return following incorporation,
- Every third annual return after a full list has been provided

¹ Please list the company shareholders in alphabetical order

Joint shareholders should be listed consecutively

This section only applies to companies answering 'No' to Question 1 in Section G1

Shareholder's Name (Address not required)	Class of share	Shares or stock currently held	Shares or stock transferred (if appropriate)	
		Number of shares or amount of stock	Number of shares or amount of stock	Date of registration of transfer
Lind, Robert	B Shares	2000	2000	09 / 05 / 2013
Lovelock, Derek	Ordinary	55311	15311	22 / 04 / 2013
Maddox, Colin	Ordinary	2411	667	22 / 04 / 2013
Maddox, Lesley	Ordinary	3509	971	22 / 04 / 2013
Pailex Securities International Inc	A Preferred	0	(33198)	26 / 02 / 2013
Provan, Neale	Ordinary	8394	3061	22 / 04 / 2013
Provan, Penelope	Ordinary	8398	3064	22 / 04 / 2013
Rowanmoor Trustees Limited (FS/1130) Cresswell	Ordinary	7082	2584	22 / 04 / 2013
Rowanmoor Trustees Limited (FS/1130) Faulkner	Ordinary	15551	4305	22 / 04 / 2013
Rowanmoor Trustees Limited (FS/1163) Garthwaite	Ordinary	20988	7655	22 / 04 / 2013
Rowanmoor Trustees Limited (FS/1166) Greatrex	Ordinary	15235	4217	22 / 04 / 2013
Sachs, Jonathan Adam	B Shares	11000	11000	09 / 05 / 2013

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Annual Return

(For returns made up to a date on or after 1 October 2011)

G3

List of past and present shareholders^①

Changes during this period to shareholders' particulars or details of the amount of stock or shares transferred must be completed each year

You must provide a 'full list' of all company shareholders on

- The company's first annual return following incorporation,
- Every third annual return after a full list has been provided

^① Please list the company shareholders in alphabetical order

Joint shareholders should be listed consecutively

This section only applies to companies answering 'No' to Question 1 in Section G1

Shareholder's Name (Address not required)	Class of share	Shares or stock currently held	Shares or stock transferred (if appropriate)	
		Number of shares or amount of stock	Number of shares or amount of stock	Date of registration of transfer
Stubbs, James	Ordinary	19359	5359	22 / 04 / 2013
The Create East of England Fund Limited Partnership	A Preferred	0	(812529)	23 / 08 / 2012
Thorne, Frederick	Ordinary	12906	3573	22 / 04 / 2013
Vollin Holdings Limited	A Preferred	0	812529	23 / 08 / 2012
Vollin Holdings Limited	A Preferred	0	(1350633)	06 / 03 / 2013
Vollin Holdings Limited	Ordinary	12387437	1350633	06 / 03 / 2013
Vollin Holdings Limited	Ordinary	12387437	4654492	22 / 04 / 2013
Watts, Colin	Ordinary	35409	12916	22 / 04 / 2013
Wildman, Richard	Ordinary	27655	7655	22 / 04 / 2013
Wragg, Simon	Ordinary	17704	6457	22 / 04 / 2013
				/ /
				/ /

AR01

Annual Return

(For returns made up to a date on or after 1 October 2011)

G4

Shareholders who hold at least 5% of any class of share(s) of the company as at the made up date of this return

This section should show only the shareholders that hold at least 5% of any class of share(s) of the company at the date of this return

It should only be completed by companies that have answered 'Yes' to Question 1 in Section G1, and 'No' to Question 2 in Section G1

If there were no shareholders holding at least 5% of any class of share(s) at the date of this return, this section may be left blank

→ Go to Part 5 (Signature)

This section only applies to companies answering 'No' to Question 2 in Section G1

Please list the company shareholders in alphabetical order

Joint shareholders should be listed consecutively

Further shareholders

Please use a 'Shareholders who hold at least 5% of any class of share(s) of the company as at the made up date of this return' continuation page if necessary

Shares or stock currently held

Shareholder's name	Shareholder's address	Class of share	Number of shares or amount of stock

AR01

Annual Return

(For returns made up to a date on or after 1 October 2011)

Part 5


Signature

This must be completed by all companies

I am signing this form on behalf of the company

Signature

Signature

X  X

This form may be signed by

~~Director~~¹, Secretary, ~~Person authorised~~², Charity commission receiver and
~~manager, CIC manager, Judicial factor~~

1 Societas Europaea

If the form is being filed on behalf of a Societas Europaea (SE) please delete 'director' and insert details of which organ of the SE the person signing has membership

2 Person authorised

Under either section 270 or 274 of the Companies Act 2006

AR01

Annual Return

(For returns made up to a date on or after 1 October 2011)



Presenter information

You do not have to give any contact information, but if you do it will help Companies House if there is a query on the form. The contact information you give will be visible to searchers of the public record.

Contact name **Siobhan Miles**

Company name **Compound Photonics Group Limited**

Address **Woodgate Cottage**

Church Lane

Beckley

Post town **Rye**

County/Region **East Sussex**

Postcode **T N 3 1 6 U H**

Country **United Kingdom**

DX

Telephone **01797 260879**



Checklist

We may return forms completed incorrectly or with information missing

Please make sure you have remembered the following:

- ☐ That if the made up date of the return is any earlier than 1 October 2011, you must complete the appropriate form AR01
- ☒ The company name and number match the information held on the public Register
- ☒ You have completed your principal business activity
- ☒ You have not used this form to make changes to the registered office address.
- ☒ You have not used this form to make changes to secretary and director details.
- ☒ You have fully completed the Statement of capital (if applicable)
- ☒ You have signed the form
- ☒ You have enclosed the correct fee



Important information

Please note that all information on this form will appear on the public record



How to pay

A fee of £40 is payable to Companies House in respect of an Annual Return

Make cheques or postal orders payable to 'Companies House'



Where to send

You may return this form to any Companies House address, however for expediency we advise you to return it to the appropriate address below:

For companies registered in England and Wales:
The Registrar of Companies, Companies House,
Crown Way, Cardiff, Wales, CF14 3UZ
DX 33050 Cardiff

For companies registered in Scotland:
The Registrar of Companies, Companies House,
Fourth floor, Edinburgh Quay 2,
139 Fountainbridge, Edinburgh, Scotland, EH3 9FF
DX ED235 Edinburgh 1
or LP - 4 Edinburgh 2 (Legal Post)

For companies registered in Northern Ireland
The Registrar of Companies, Companies House,
Second Floor, The Linenhall, 32-38 Linenhall Street,
Belfast, Northern Ireland, BT2 8BG
DX 481 N R Belfast 1



Further information

For further information, please see the guidance notes on the website at www.companieshouse.gov.uk or email enquiries@companieshouse.gov.uk

This form is available in an alternative format. Please visit the forms page on the website at www.companieshouse.gov.uk