



Companies House
— for the record —

AR01 (ef)

Annual Return



Received for filing in Electronic Format on the: **21/12/2010**

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Company Name: **ICAP Equities Limited**

Company Number: **06444051**

Date of this return: **04/12/2010**

SIC codes: **7487**

Company Type: **Private company limited by shares**

Situation of Registered Office: **2 BROADGATE
LONDON
ENGLAND
EC2M 7UR**

Officers of the company

Company Secretary 1

Type: **Person**
Full forename(s): **MRS TERI-ANNE**

Surname: **CAVANAGH**

Former names: **CAMPBELL**

Service Address: **2 BROADGATE
LONDON
ENGLAND
EC2M 7UR**

Company Director ***I***

Type: **Person**

Full forename(s): **MR DAVID**

Surname: **CASTERTON**

Former names:

Service Address: **2 BROADGATE
LONDON
ENGLAND
EC2M 7UR**

Country/State Usually Resident: **ENGLAND**

Date of Birth: **28/05/1958**

Nationality: **BRITISH**

Occupation: **BROKER**

Company Director 2

Type: **Person**

Full forename(s): **JAMES**

Surname: **DAWSON**

Former names:

Service Address: **2 BROADGATE
LONDON
ENGLAND
EC2M 7UR**

Country/State Usually Resident: **ENGLAND**

Date of Birth: **05/07/1972** *Nationality:* **BRITISH**

Occupation: **MD BUSINESS DEVELOPMENT**

Company Director **3**

Type: **Person**
Full forename(s): **MR TIMOTHY**

Surname: **MERRYWEATHER**

Former names:

Service Address recorded as Company's registered office

Country/State Usually Resident: **ENGLAND**

Date of Birth: **29/10/1976** *Nationality:* **BRITISH**

Occupation: **CHARTERED ACCOUNTANT**

Company Director **4**

Type: **Person**
Full forename(s): **MR DAVID**

Surname: **PEARSON**

Former names: **PEARSON**

Service Address: **2 BROADGATE
LONDON
ENGLAND
EC2M 7UR**

Country/State Usually Resident: **ENGLAND**

Date of Birth: **24/10/1968** *Nationality:* **BRITISH**
Occupation: **ACCOUNTANT**

Statement of Capital (Share Capital)

Class of shares	A ORDINARY	<i>Number allotted</i>	15020000
		<i>Aggregate nominal value</i>	15020000
<i>Currency</i>	GBP	<i>Amount paid per share</i>	1
		<i>Amount unpaid per share</i>	0

Prescribed particulars

ON A SHOW OF HANDS EVERY MEMBER ENTITLED TO VOTE WHO (BEING AN INDIVIDUAL) IS PRESENT IN PERSON OR BY PROXY (NOT BEING HIMSELF A MEMBER ENTITLED TO VOTE) OR (BEING A CORPORATE BODY) IS PRESENT BY A REPRESENTATIVE OR PROXY (NOT BEING HIMSELF A MEMBER ENTITLED TO VOTE) SHALL HAVE ONE VOTE AND, ON A POLL, EACH MEMBER SHALL HAVE ONE VOTE FOR EACH ORDINARY SHARE OF WHICH HE IS THE HOLDER. A MEMBER SHALL NOT BE ENTITLED TO APPOINT MORE THAN ONE PROXY TO ATTEND AND VOTE ON THE SAME OCCASION AND ACCORDINGLY THE FINAL SENTENCE OF REGULATION 59 OF TABLE A SHALL NOT APPLY. ON ANY RESOLUTION APPOINTING OR REMOVING A DIRECTOR, THE "A" SHAREHOLDER(S) SHALL BE DEEMED TO HAVE AT LEAST ONE VOTE IN EXCESS OF 75 PER CENT. OF THE VOTES EXERCISABLE AT THE GENERAL MEETING AT WHICH SUCH RESOLUTION IS TO BE PROPOSED.

Class of shares	B ORDINARY	<i>Number allotted</i>	4000000
		<i>Aggregate nominal value</i>	4000000
<i>Currency</i>	GBP	<i>Amount paid per share</i>	1
		<i>Amount unpaid per share</i>	0

Prescribed particulars

ON A SHOW OF HANDS EVERY MEMBER ENTITLED TO VOTE WHO (BEING AN INDIVIDUAL) IS PRESENT IN PERSON OR BY PROXY (NOT BEING HIMSELF A MEMBER ENTITLED TO VOTE) OR (BEING A CORPORATE BODY) IS PRESENT BY A REPRESENTATIVE OR PROXY (NOT BEING HIMSELF A MEMBER ENTITLED TO VOTE) SHALL HAVE ONE VOTE AND, ON A POLL, EACH MEMBER SHALL HAVE ONE VOTE FOR EACH ORDINARY SHARE OF WHICH HE IS THE HOLDER. A MEMBER SHALL NOT BE ENTITLED TO APPOINT MORE THAN ONE PROXY TO ATTEND AND VOTE ON THE SAME OCCASION AND ACCORDINGLY THE FINAL SENTENCE OF REGULATION 59 OF TABLE A SHALL NOT APPLY. ON ANY RESOLUTION APPOINTING OR REMOVING A DIRECTOR, THE "A" SHAREHOLDER(S) SHALL BE DEEMED TO HAVE AT LEAST ONE VOTE IN EXCESS OF 75 PER CENT. OF THE VOTES EXERCISABLE AT THE GENERAL MEETING AT WHICH SUCH RESOLUTION IS TO BE PROPOSED.

Class of shares	C ORDINARY	<i>Number allotted</i>	200000
		<i>Aggregate nominal value</i>	200000
<i>Currency</i>	GBP	<i>Amount paid per share</i>	1
		<i>Amount unpaid per share</i>	0

Prescribed particulars

ON A SHOW OF HANDS EVERY MEMBER ENTITLED TO VOTE WHO (BEING AN INDIVIDUAL) IS PRESENT IN PERSON OR BY PROXY (NOT BEING HIMSELF A MEMBER ENTITLED TO VOTE) OR (BEING A CORPORATE BODY) IS PRESENT BY A REPRESENTATIVE OR PROXY (NOT BEING HIMSELF A MEMBER ENTITLED TO VOTE) SHALL HAVE ONE VOTE AND, ON A POLL, EACH MEMBER SHALL HAVE ONE VOTE FOR EACH ORDINARY SHARE OF WHICH HE IS THE HOLDER. A MEMBER SHALL NOT BE ENTITLED TO APPOINT MORE THAN ONE PROXY TO ATTEND AND VOTE ON THE SAME OCCASION AND ACCORDINGLY THE FINAL SENTENCE OF REGULATION 59 OF TABLE A SHALL NOT APPLY. ON ANY RESOLUTION APPOINTING OR REMOVING A DIRECTOR, THE "A" SHAREHOLDER(S) SHALL BE DEEMED TO HAVE AT LEAST ONE VOTE IN EXCESS OF 75 PER CENT. OF THE VOTES EXERCISABLE AT THE GENERAL MEETING AT WHICH SUCH RESOLUTION IS TO BE PROPOSED.

Statement of Capital (Totals)

<i>Currency</i>	GBP	<i>Total number of shares</i>	19220000
		<i>Total aggregate nominal value</i>	19220000

Full Details of Shareholders

The details below relate to individuals / corporate bodies that were shareholders as at 04/12/2010 or that had ceased to be shareholders since the made up date of the previous Annual Return

A full list of shareholders for a private or non-traded public company are shown below

Shareholding 1 : 0 B ORDINARY shares held as at 2010-12-04
800000 shares transferred on 2010-07-26

Name: DARYL BOWDEN

Shareholding 2 : 0 B ORDINARY shares held as at 2010-12-04
500000 shares transferred on 2010-07-15

Name: PAUL CORBETT

Shareholding 3 : 0 C ORDINARY shares held as at 2010-12-04
200000 shares transferred on 2010-05-21

Name: PHILIP HODEY

Shareholding 4 : 15020000 A ORDINARY shares held as at 2010-12-04
Name: INTERCAPITAL LIMITED

Shareholding 5 : 4000000 B ORDINARY shares held as at 2010-12-04
Name: INTERCAPITAL LIMITED

Shareholding 6 : 200000 C ORDINARY shares held as at 2010-12-04
Name: INTERCAPITAL LIMITED

Shareholding 7 : 0 B ORDINARY shares held as at 2010-12-04
200000 shares transferred on 2010-07-20
Name: PATRIC JASPER THEWLIS JOHNSON

Shareholding 8 : 0 B ORDINARY shares held as at 2010-12-04
100000 shares transferred on 2010-07-23
Name: ROBERT MCCREERY

Shareholding 9 : 0 B ORDINARY shares held as at 2010-12-04
400000 shares transferred on 2010-07-26
Name: PETER JOHN O'TOOLE

Shareholding 10 : 0 B ORDINARY shares held as at 2010-12-04
800000 shares transferred on 2010-07-22
Name: GLENN ROBERT POULTER

Shareholding 11 : 0 B ORDINARY shares held as at 2010-12-04
100000 shares transferred on 2010-07-23
Name: CHRISTOPHER TINKER

Authorisation

Authenticated

This form was authorised by one of the following:

Director, Secretary, Person Authorised, Charity Commission Receiver and Manager, CIC Manager, Judicial Factor.