



Companies House
— for the record —

AR01 (ef)

Annual Return



XEJ10FOF

Received for filing in Electronic Format on the: **10/12/2009**

Company Name: **ICAP EQUITIES LIMITED**

Company Number: **06444051**

Date of this return: **04/12/2009**

SIC codes: **7487**

Company Type: **Private company limited by shares**

Situation of Registered Office: **2 BROADGATE 2 BROADGATE LONDON ENGLAND EC2M 7UR**

Officers of the company

Company Secretary **1**

Type: **Person**

Full forename(s): **MRS TERI-ANNE**

Surname: **CAVANAGH**

Former names:

Service Address: **2 BROADGATE 2 BROADGATE LONDON ENGLAND EC2M 7UR**

Company Director **1**

Type: **Person**

Full forename(s): **DARYL**

Surname: **BOWDEN**

Former names:

Service Address: **2 BROADGATE 2 BROADGATE LONDON ENGLAND EC2M 7UR**

Country/State Usually Resident: **ENGLAND**

Date of Birth: **22/08/1969** *Nationality:* **BRITISH**

Occupation: **CO-CEO ICAP EQUITIES**

Company Director **2**

Type: **Person**

Full forename(s): **MR DAVID**

Surname: **CASTERTON**

Former names:

Service Address: **2 BROADGATE 2 BROADGATE LONDON ENGLAND
EC2M 7UR**

Country/State Usually Resident: **ENGLAND**

Date of Birth: **28/05/1958**

Nationality: **BRITISH**

Occupation: **BROKER**

Company Director **3**

Type: **Person**

Full forename(s): **MR PATRIC JASPER THEWLIS**

Surname: **JOHNSON**

Former names:

Service Address: **2 BROADGATE 2 BROADGATE LONDON ENGLAND
EC2M 7UR**

Country/State Usually Resident: **ENGLAND**

Date of Birth: **14/02/1972**

Nationality: **BRITISH**

Occupation: **BROKER**

Company Director **4**

Type: **Person**

Full forename(s): **DAVID**

Surname: **PEARSON**

Former names:

Service Address: **2 BROADGATE 2 BROADGATE LONDON ENGLAND
EC2M 7UR**

Country/State Usually Resident: **ENGLAND**

Date of Birth: **24/10/1968** *Nationality:* **BRITISH**

Occupation: **ACCOUNTANT**

Company Director **5**

Type: **Person**

Full forename(s): **MR GLENN ROBERT**

Surname: **POULTER**

Former names:

Service Address: **2 BROADGATE 2 BROADGATE LONDON ENGLAND
EC2M 7UR**

Country/State Usually Resident: **ENGLAND**

Date of Birth: **27/02/1964** *Nationality:* **BRITISH**

Occupation: **CO-CEO ICAP EQUITIES**

Company Director **6**

Type: **Person**

Full forename(s): **MR GARY JAMES**

Surname: **SMITH**

Former names:

Service Address: **2 BROADGATE 2 BROADGATE LONDON ENGLAND
EC2M 7UR**

Country/State Usually Resident: **ENGLAND**

Date of Birth: **24/04/1961**

Nationality: **BRITISH**

Occupation: **DIRECTOR**

Company Director **7**

Type: **Person**

Full forename(s): **MR DUNCAN**

Surname: **WALES**

Former names:

Service Address: **2 BROADGATE 2 BROADGATE LONDON ENGLAND
EC2M 7UR**

Country/State Usually Resident: **ENGLAND**

Date of Birth: **12/06/1970**

Nationality: **BRITISH**

Occupation: **DIRECTOR**

Company Director 8

Type: **Person**

Full forename(s): **MR PETER JOHN**

Surname: **O'TOOLE**

Former names:

Service Address: **2 BROADGATE 2 BROADGATE LONDON ENGLAND
EC2M 7UR**

Country/State Usually Resident: **ENGLAND**

Date of Birth: **30/03/1963**

Nationality: **IRISH**

Occupation: **DIRECTOR**

Statement of Capital (Share Capital)

Class of shares	A ORDINARY GBP	<i>Number allotted</i>	1
		<i>Aggregate nominal value</i>	1
<i>Currency</i>		<i>Amount paid per share</i>	
		<i>Amount unpaid per share</i>	

*Prescribed
particulars*

ON A SHOW OF HANDS EVERY MEMBER ENTITLED TO VOTE WHO (BEING AN INDIVIDUAL) IS PRESENT IN PERSON OR BY PROXY (NOT BEING HIMSELF A MEMBER ENTITLED TO VOTE) OR (BEING A CORPORATE BODY) IS PRESENT BY A REPRESENTATIVE OR PROXY (NOT BEING HIMSELF A MEMBER ENTITLED TO VOTE) SHALL HAVE ONE VOTE AND, ON A POLL, EACH MEMBER SHALL HAVE ONE VOTE FOR EACH ORDINARY SHARE OF WHICH HE IS THE HOLDER. A MEMBER SHALL NOT BE ENTITLED TO APPOINT MORE THAN ONE PROXY TO ATTEND AND VOTE ON THE SAME OCCASION AND ACCORDINGLY THE FINAL SENTENCE OF REGULATION 59 OF TABLE A SHALL NOT APPLY. ON ANY RESOLUTION APPOINTING OR REMOVING A DIRECTOR, THE "A" SHAREHOLDER(S) SHALL BE DEEMED TO HAVE AT LEAST ONE VOTE IN EXCESS OF 75 PER CENT. OF THE VOTES EXERCISABLE AT THE GENERAL MEETING AT WHICH SUCH RESOLUTION IS TO BE PROPOSED.

Class of shares	A ORDINARY GBP	<i>Number allotted</i>	15019999
		<i>Aggregate nominal value</i>	15019999
<i>Currency</i>		<i>Amount paid per share</i>	
		<i>Amount unpaid per share</i>	

*Prescribed
particulars*

ON A SHOW OF HANDS EVERY MEMBER ENTITLED TO VOTE WHO (BEING AN INDIVIDUAL) IS PRESENT IN PERSON OR BY PROXY (NOT BEING HIMSELF A MEMBER ENTITLED TO VOTE) OR (BEING A CORPORATE BODY) IS PRESENT BY A REPRESENTATIVE OR PROXY (NOT BEING HIMSELF A MEMBER ENTITLED TO VOTE) SHALL HAVE ONE VOTE AND, ON A POLL, EACH MEMBER SHALL HAVE ONE VOTE FOR EACH ORDINARY SHARE OF WHICH HE IS THE HOLDER. A MEMBER SHALL NOT BE ENTITLED TO APPOINT MORE THAN ONE PROXY TO ATTEND AND VOTE ON THE SAME OCCASION AND ACCORDINGLY THE FINAL SENTENCE OF REGULATION 59 OF TABLE A SHALL NOT APPLY. ON ANY RESOLUTION APPOINTING OR REMOVING A DIRECTOR, THE "A" SHAREHOLDER(S) SHALL BE DEEMED TO HAVE AT LEAST ONE VOTE IN EXCESS OF 75 PER CENT. OF THE VOTES EXERCISABLE AT THE GENERAL MEETING AT WHICH SUCH RESOLUTION IS TO BE PROPOSED.

Class of shares	B ORDINARY GBP	<i>Number allotted</i>	500000
		<i>Aggregate nominal value</i>	500000
<i>Currency</i>		<i>Amount paid per share</i>	0.81
		<i>Amount unpaid per share</i>	0.19
<i>Prescribed particulars</i>	<p>ON A SHOW OF HANDS EVERY MEMBER ENTITLED TO VOTE WHO (BEING AN INDIVIDUAL) IS PRESENT IN PERSON OR BY PROXY (NOT BEING HIMSELF A MEMBER ENTITLED TO VOTE) OR (BEING A CORPORATE BODY) IS PRESENT BY A REPRESENTATIVE OR PROXY (NOT BEING HIMSELF A MEMBER ENTITLED TO VOTE) SHALL HAVE ONE VOTE AND, ON A POLL, EACH MEMBER SHALL HAVE ONE VOTE FOR EACH ORDINARY SHARE OF WHICH HE IS THE HOLDER. A MEMBER SHALL NOT BE ENTITLED TO APPOINT MORE THAN ONE PROXY TO ATTEND AND VOTE ON THE SAME OCCASION AND ACCORDINGLY THE FINAL SENTENCE OF REGULATION 59 OF TABLE A SHALL NOT APPLY. ON ANY RESOLUTION APPOINTING OR REMOVING A DIRECTOR, THE "A" SHAREHOLDER(S) SHALL BE DEEMED TO HAVE AT LEAST ONE VOTE IN EXCESS OF 75 PER CENT. OF THE VOTES EXERCISABLE AT THE GENERAL MEETING AT WHICH SUCH RESOLUTION IS TO BE PROPOSED.</p>		

Class of shares	B ORDINARY GBP	<i>Number allotted</i>	3500000
		<i>Aggregate nominal value</i>	3500000
<i>Currency</i>		<i>Amount paid per share</i>	1
		<i>Amount unpaid per share</i>	0
<i>Prescribed particulars</i>	<p>ON A SHOW OF HANDS EVERY MEMBER ENTITLED TO VOTE WHO (BEING AN INDIVIDUAL) IS PRESENT IN PERSON OR BY PROXY (NOT BEING HIMSELF A MEMBER ENTITLED TO VOTE) OR (BEING A CORPORATE BODY) IS PRESENT BY A REPRESENTATIVE OR PROXY (NOT BEING HIMSELF A MEMBER ENTITLED TO VOTE) SHALL HAVE ONE VOTE AND, ON A POLL, EACH MEMBER SHALL HAVE ONE VOTE FOR EACH ORDINARY SHARE OF WHICH HE IS THE HOLDER. A MEMBER SHALL NOT BE ENTITLED TO APPOINT MORE THAN ONE PROXY TO ATTEND AND VOTE ON THE SAME OCCASION AND ACCORDINGLY THE FINAL SENTENCE OF REGULATION 59 OF TABLE A SHALL NOT APPLY. ON ANY RESOLUTION APPOINTING OR REMOVING A DIRECTOR, THE "A" SHAREHOLDER(S) SHALL BE DEEMED TO HAVE AT LEAST ONE VOTE IN EXCESS OF 75 PER CENT. OF THE VOTES EXERCISABLE AT THE GENERAL MEETING AT WHICH SUCH RESOLUTION IS TO BE PROPOSED.</p>		

Class of shares	C ORDINARY	<i>Number allotted</i>	200000
	GBP	<i>Aggregate nominal value</i>	200000
<i>Currency</i>		<i>Amount paid per share</i>	
		<i>Amount unpaid per share</i>	

Prescribed particulars

ON A SHOW OF HANDS EVERY MEMBER ENTITLED TO VOTE WHO (BEING AN INDIVIDUAL) IS PRESENT IN PERSON OR BY PROXY (NOT BEING HIMSELF A MEMBER ENTITLED TO VOTE) OR (BEING A CORPORATE BODY) IS PRESENT BY A REPRESENTATIVE OR PROXY (NOT BEING HIMSELF A MEMBER ENTITLED TO VOTE) SHALL HAVE ONE VOTE AND, ON A POLL, EACH MEMBER SHALL HAVE ONE VOTE FOR EACH ORDINARY SHARE OF WHICH HE IS THE HOLDER. A MEMBER SHALL NOT BE ENTITLED TO APPOINT MORE THAN ONE PROXY TO ATTEND AND VOTE ON THE SAME OCCASION AND ACCORDINGLY THE FINAL SENTENCE OF REGULATION 59 OF TABLE A SHALL NOT APPLY. ON ANY RESOLUTION APPOINTING OR REMOVING A DIRECTOR, THE "A" SHAREHOLDER(S) SHALL BE DEEMED TO HAVE AT LEAST ONE VOTE IN EXCESS OF 75 PER CENT. OF THE VOTES EXERCISABLE AT THE GENERAL MEETING AT WHICH SUCH RESOLUTION IS TO BE PROPOSED.

Statement of Capital (Totals)			
<i>Currency</i>	GBP	<i>Total number of shares</i>	19220000
		<i>Total aggregate nominal value</i>	19220000

Full Details of Shareholders

The details below relate to individuals / corporate bodies that were shareholders as at 04/12/2009 or that had ceased to be shareholders since the made up date of the previous Annual Return

A full list of shareholders for a private or non-traded public company are shown below

Shareholding 1:

800000 B ORDINARY Shares held as at 04/12/2009

Name:

DARYL BOWDEN

Address:

Shareholding 2:

500000 B ORDINARY Shares held as at 04/12/2009

Name:

PAUL CORBETT

Address:

Shareholding 3:

0 B ORDINARY Shares held as at 04/12/2009

400000 DISPOSED OF IN PERIOD ON 09/09/2009

Name:

PAUL FERRY

Address:

Shareholding 4:

200000 C ORDINARY Shares held as at 04/12/2009

Name:

PHILIP HODEY

Address:

Shareholding 5:

15020000 A ORDINARY Shares held as at 04/12/2009

Name:

INTERCAPITAL LIMITED

Address:

Shareholding 6:

1100000 B ORDINARY Shares held as at 04/12/2009

Name:

INTERCAPITAL LIMITED

Address:

Shareholding 7:

200000 B ORDINARY Shares held as at 04/12/2009

Name:

PATRIC JASPER THEWLIS JOHNSON

Address:

Shareholding 8:

0 B ORDINARY Shares held as at 04/12/2009

700000 DISPOSED OF IN PERIOD ON 30/10/2009

Name:

DAVID KNIGHT

Address:

Shareholding 9:

100000 B ORDINARY Shares held as at 04/12/2009

Name:

ROBERT MCCREERY

Address:

Shareholding 1:
0 **400000 B ORDINARY Shares held as at 04/12/2009**

Name: **PETER JOHN O'TOOLE**

Address:

Shareholding 1:
1 **800000 B ORDINARY Shares held as at 04/12/2009**

Name: **GLENN ROBERT POULTER**

Address:

Shareholding 1:
2 **100000 B ORDINARY Shares held as at 04/12/2009**

Name: **CHRISTOPHER TINKER**

Address:

Authorisation

Authenticated

This form was authorised by one of the following:

Director, Secretary, Person Authorised, Charity Commission Receiver and Manager, CIC Manager, Judicial Factor.