



Companies House
— for the record —

AR01 (ef)

Annual Return



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Received for filing in Electronic Format on the: **05/12/2013**

Company Name: **PLATFORM SECURITIES FINANCIAL LIMITED**

Company Number: **06375539**

Date of this return: **19/09/2013**

SIC codes: **64999**

Company Type: **Private company limited by shares**

Situation of Registered Office: **KILDARE HOUSE 3 DORSET RISE, 4TH FLOOR
BLACKFRIARS
LONDON
ENGLAND
EC4Y 8EN**

Officers of the company

Company Secretary 1

Type: **Person**
Full forename(s): **MR JAMES DANIEL**

Surname: **FERRIS**

Former names:

Service Address recorded as Company's registered office

Company Director 1

Type: **Person**
Full forename(s): **GEOFFREY SAGE**

Surname: **CLOSE**

Former names:

Service Address recorded as Company's registered office

Country/State Usually Resident: **ENGLAND**

Date of Birth: **02/07/1968** Nationality: **BRITISH**
Occupation: **CRO**

Company Director 2

Type: **Person**

Full forename(s): **BARRY**

Surname: **DARK**

Former names:

Service Address recorded as Company's registered office

Country/State Usually Resident: **ENGLAND**

Date of Birth: **20/10/1963**

Nationality: **UNITED STATES**

Occupation: **CHAIRMAN**

Company Director 3

Type: **Person**

Full forename(s): **MARK RICHARD**

Surname: **JENKINSON**

Former names:

Service Address recorded as Company's registered office

Country/State Usually Resident: **ENGLAND**

Date of Birth: **14/02/1972**

Nationality: **BRITISH**

Occupation: **NON-EXECUTIVE DIRECTOR**

Company Director 4

Type: **Person**

Full forename(s): **DAVID**

Surname: **MOTT**

Former names:

Service Address recorded as Company's registered office

Country/State Usually Resident: **ENGLAND**

Date of Birth: **21/06/1960**

Nationality: **BRITISH**

Occupation: **COMPLIANCE OVERSIGHT**

Company Director 5

Type: **Person**

Full forename(s): **MR STEPHEN PHILIP**

Surname: **O'SULLIVAN**

Former names:

Service Address recorded as Company's registered office

Country/State Usually Resident: **ENGLAND**

Date of Birth: **01/04/1971**

Nationality: **BRITISH**

Occupation: **NON-EXECUTIVE DIRECTOR**

Company Director **6**

Type: **Person**
Full forename(s): **NIGEL ANTHONY**

Surname: **REYNOLDS**

Former names:

Service Address recorded as Company's registered office

Country/State Usually Resident: **ENGLAND**

Date of Birth: **17/10/1964** *Nationality:* **BRITISH**

Occupation: **EXECUTIVE DIRECTOR**

Company Director **7**

Type: **Person**
Full forename(s): **MR JASON MARK**

Surname: **ROBINSON**

Former names:

Service Address recorded as Company's registered office

Country/State Usually Resident: **UNITED KINGDOM**

Date of Birth: **24/03/1965** *Nationality:* **BRITISH**

Occupation: **DIRECTOR**

Company Director 8

Type: **Person**
Full forename(s): **IAN JAMES**

Surname: **WELCH**

Former names:

Service Address recorded as Company's registered office

Country/State Usually Resident: **ENGLAND**

Date of Birth: **23/02/1970** Nationality: **BRITISH**

Occupation: **CFO**

Company Director 9

Type: **Person**
Full forename(s): **KIM**

Surname: **WOOLLEY**

Former names:

Service Address recorded as Company's registered office

Country/State Usually Resident: **ENGLAND**

Date of Birth: **14/06/1982** Nationality: **BRITISH**

Occupation: **EXECUTIVE DIRECTOR**

Statement of Capital (Share Capital)

Class of shares	ORDINARY	<i>Number allotted</i>	1
		<i>Aggregate nominal value</i>	1
<i>Currency</i>	GBP	<i>Amount paid per share</i>	1
		<i>Amount unpaid per share</i>	0

Prescribed particulars

THE ORDINARY SHARES ENTITLE THE HOLDERS THEREOF: 1 TO FULL VOTING RIGHTS IN ANY CIRCUMSTANCES; 2 TO PARI PASSU TREATMENT AND FULL PARTICIPATION IN RESPECT OF DIVIDENDS OR ANY OTHER DISTRIBUTION; AND 3 IN RESPECT OF CAPITAL, TO FULL PARTICIPATION IN A DISTRIBUTION (INCLUDING ON A WINDING UP). THE ORDINARY SHARES ARE NOT REDEEMABLE.

Statement of Capital (Totals)

<i>Currency</i>	GBP	<i>Total number of shares</i>	1
		<i>Total aggregate nominal value</i>	1

Full Details of Shareholders

The details below relate to individuals / corporate bodies that were shareholders as at 19/09/2013 or that had ceased to be shareholders since the made up date of the previous Annual Return

A full list of shareholders for the company are shown below

Shareholding 1 : 1 ORDINARY shares held as at the date of this return
Name: TDWCS LLP

Authorisation

Authenticated

This form was authorised by one of the following:

Director, Secretary, Person Authorised, Charity Commission Receiver and Manager, CIC Manager, Judicial Factor.