



Building a better  
working world

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INVESTOR IN PEOPLE

Date 21<sup>st</sup> November 2013

Reference EYRES

e-mail [adenton@uk.ey.com](mailto:adenton@uk.ey.com)

Dear Sirs

Platform Securities Financial Limited (Formerly TD Wealth Institutional Financial (UK) Limited)  
(Registration no 06375539)

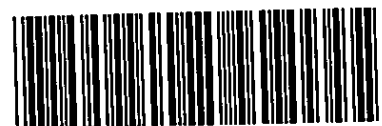
Registered address Kildar House, 3 Dorset Rise, 4th Floor, London, EC4Y 8EN  
Year end of the company's last audited accounts 31st October 2012

In accordance with section 521 of the Companies Act 2006, attached is a copy of our resignation letter and statement of circumstances in respect of TD Wealth Institutional Financial (UK) Limited

Yours faithfully,

Ernst & Young LLP

MONDAY



\*R2LV3LS1\*

RM

25/11/2013

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COMPANIES HOUSE



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Directors  
Exchange Court  
Duncombe Street  
Leeds  
LS1 4AX

24<sup>th</sup> October 2013

Our ref EYREG

Email [adenton@uk.ey.com](mailto:adenton@uk.ey.com)

Dear Sirs

TD Wealth Institutional Financial (UK) Limited

In accordance with section 516 of the Companies Act 2006, we write to notify you of our resignation as auditor of TD Wealth Institutional Financial (UK) Limited. This resignation takes effect from the date on which you receive this letter.

In accordance with section 519(2) of that Act, we confirm that there are no circumstances connected with our resignation which we consider should be brought to the attention of the members or creditors of the company.

We draw your attention to the fact that TD Wealth Institutional Financial (UK) Limited has its own statutory obligations where we have ceased to hold office (as detailed, in particular, in Sections 517, 520 and 523 of the Act), including, depending on the circumstances, the requirement to notify the appropriate audit authority if we cease to hold office before the end of our term of office as auditors.

Further guidance on this notification has been issued by the appropriate audit authorities - the Professional Oversight Board (POB) and the Institute of Chartered Accountants in England and Wales (ICAEW) and can be found on <http://www.frc.org.uk/Our-Work/Conduct/Professional-oversight/Oversight-of-Audit/Notification-of-change-of-auditor/Flow-chart-for-companies.aspx> and <http://www.icaew.com/en/technical/audit-and-assurance/working-in-the-regulated-area-of-audit-respectively>.

If you have any questions on your legal obligations we recommend that you seek legal advice.

Yours faithfully

Ernst & Young LLP