

In accordance with Rule 18.7 of the Insolvency (England & Wales) Rules 2016 and Sections 92A, 104A and 192 of the Insolvency Act 1986.

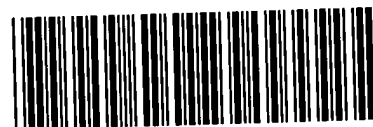
LIQ03

Notice of progress report in voluntary winding up



Companies House

WEDNESDAY



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21/10/2020

#40

COMPANIES HOUSE

1 Company details

Company number 06280943

Company name in full NOMURA CAPITAL MARKETS LIMITED

→ Filling in this form
Please complete in typescript or in bold black capitals.

2 Liquidator's name

Full forename(s) LAURA MAY

Surname WATERS

3 Liquidator's address

Building name/number PRICEWATERHOUSECOOPERS LLP.

Street 7 MORE LONDON RIVERSIDE

Post town LONDON

County/Region

Postcode SE12RT

Country UNITED KINGDOM

4 Liquidator's name ①

Full forename(s) EMMA

Surname CRAY

① Other liquidator
Use this section to tell us about another liquidator.

5 Liquidator's address ②

Building name/number PRICEWATERHOUSECOOPERS LLP

Street ONE CHAMBERLAIN SQUARE

Post town BIRMINGHAM

County/Region

Postcode B33AX

Country UNITED KINGDOM

② Other liquidator
Use this section to tell us about another liquidator.

LIQ03

Notice of progress report in voluntary winding up

6 Period of progress report

From date	d	2	d	6	m	0	m	6	y	2	y	0	y	1	y	9
To date	d	2	d	5	m	0	m	6	y	2	y	0	y	2	y	0

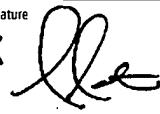
7 Progress report

☒ The progress report is attached

8 Sign and date

Liquidator's signature

Signature

X 

X

Signature date	d	1	d	8	m	0	m	8	y	2	y	0	y	2	y	0
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LIQ03

Notice of progress report in voluntary winding up

**Presenter information**

You do not have to give any contact information, but if you do it will help Companies House if there is a query on the form. The contact information you give will be visible to searchers of the public record.

Contact name **SANDEEP KLAIR**

Company name **PRICEWATERHOUSECOOPERS**

LLP

Address **ONE CHAMBERLAIN SQUARE**

Post town **BIRMINGHAM**

County/Region

Postcode **B 3 3 A X**

Country **UNITED KINGDOM**

DX

Telephone **07483 440509**

**Checklist**

We may return forms completed incorrectly or with information missing.

Please make sure you have remembered the following:

- ☐ The company name and number match the information held on the public Register.
- ☐ You have attached the required documents.
- ☐ You have signed the form.

**Important information**

All information on this form will appear on the public record.

**Where to send**

You may return this form to any Companies House address, however for expediency we advise you to return it to the address below:

The Registrar of Companies, Companies House,
Crown Way, Cardiff, Wales, CF14 3UZ.
DX 33050 Cardiff.

**Further information**

For further information please see the guidance notes on the website at www.gov.uk/companieshouse or email enquiries@companieshouse.gov.uk

This form is available in an alternative format. Please visit the forms page on the website at www.gov.uk/companieshouse

NOMURA CAPITAL MARKETS LIMITED – IN MEMBERS' VOLUNTARY LIQUIDATION

**PROGRESS REPORT TO MEMBERS PURSUANT TO SECTION 92A OF THE INSOLVENCY ACT 1986
AND RULE 18.7 OF THE INSOLVENCY (ENGLAND AND WALES) RULES 2016
FOR THE PERIOD FROM 26 JUNE 2019 TO 25 JUNE 2020**

ISSUED ON: 18 AUGUST 2020

INTRODUCTION

Nomura Capital Markets Limited (Entity) entered members' voluntary liquidation on 26 June 2019. Laura May Waters and Emma Cray were appointed joint liquidators of the Entity (Liquidators).

This report covers the conduct and progress of the liquidation in the period from 26 June 2019 to 25 June 2020 (Period).

A summary of receipts and payments for this Period is at Appendix A.

The statutory information relating to the Entity and the Liquidators is at Appendix B.

REPORT ON THE LIQUIDATION

Realisation of assets:

Following their appointment, the Liquidators took control of the assets listed in the directors' Declaration of Solvency.

The Liquidators have not identified any additional assets of the Entity in the Period and are not aware of any other assets that remain to be realised.

Settlement of liabilities:

The directors' Declaration of Solvency showed that the Entity had no liabilities.

In the Period, the Liquidators published a notice in the London Gazette inviting creditors to submit details of claims they may have against the Entity.

The Liquidators have not received any claims in the Period.

HM Revenue & Customs ("HMRC"):

Following their appointment, the Liquidators wrote to HMRC to inform them of the liquidation and to request details of any outstanding liabilities. HMRC has advised that there is an ongoing Corporation Tax enquiry for the year ended 31 March 2017. This matter remains outstanding and the liquidation cannot be closed until the enquiry is resolved and HMRC provide clearance to close.

HMRC have provided clearance in respect of VAT and PAYE.

Distributions to Members:

No distributions were made during the Period.

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LIQUIDATORS' FEES AND EXPENSES

Basis of remuneration

The basis of the Liquidators' remuneration has been fixed by reference to the time properly given by them and their staff in dealing with the liquidation, in accordance with a resolution dated 26 June 2019.

Liquidators' remuneration

As there were no funds in the estate, the Entity's sole Member, Nomura International Plc, agreed to settle all costs associated with the liquidation of the Entity.

The Liquidators have invoiced £13,413.00 plus VAT in the Period in respect of the Entity.

Liquidators' expenses

In the Period, the Liquidators incurred the following expenses in connection with the liquidation of the Entity. Nomura International Plc has agreed to settle such expenses plus VAT.

Expense	Incurred in the Period
	£
Statutory advertising	462
Bonding	20
Postage	7
Total	469

Members' rights regarding the Liquidators' remuneration and expenses

Any member of the Entity with permission of the court or members of the Entity with at least 5% of the total voting rights of all the members having the right to vote at general meetings of the entity (or permission of the court) are entitled to request further information about our fees and expenses. Such requests need to be made within 21 days of receipt of this report. See Rule 18.9 of the Insolvency (England and Wales) Rules 2016 for further detail.

Any member of the Entity with permission of the court or members of the Entity with at least 10% of the total voting rights of all the members having the right to vote at general meetings of the entity (or permission of the court) are entitled to claim by way of court application that the liquidators' fees and expenses are excessive. Such applications need to be made within 8 weeks of the receipt of this report. See Rule 18.34 of the Insolvency (England and Wales) Rules 2016 for further detail.

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APPENDIX A

**ABSTRACT OF RECEIPTS AND PAYMENTS IN THE LIQUIDATION DURING THE PERIOD
FROM 26 JUNE 2019 TO 25 JUNE 2020**

Declaration of Solvency		From 26 June 2019 To 25 June 2020
£		£
	RECEIPTS	
1.00	Intercompany (in specie)	1.00
	Total	1.00
	PAYMENTS	
Nil	Nil	Nil
	Total	NIL
	TOTAL BALANCE	1.00

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ISSUED ON: 18 AUGUST 2020

APPENDIX B

INFORMATION ON THE ENTITY AND THE LIQUIDATORS

Entity Details

Entity's name: Nomura Capital Markets Limited
Entity's number: 06280943
Registered office: 1 Angel Lane, London, EC4R 3AB

Liquidators' Details

Liquidators' names: Laura Waters and Emma Cray
Liquidators' postal address: c/o PricewaterhouseCoopers LLP, 7 More London Riverside,
London, SE1 2RT
c/o PricewaterhouseCoopers LLP, One Chamberlain Square,
Birmingham, B3 3A
Liquidator' email: laura.m.waters@pwc.com
emma.cray@pwc.com
Nature of appointment: Members' voluntary liquidation

Laura May Waters and Emma Cray were appointed as joint liquidators of the Entity. They are licensed in the United Kingdom to act as insolvency practitioners by the Institute of Chartered Accountants in England and Wales. The joint liquidators are bound by the Insolvency Code of Ethics which can be found at: <https://www.gov.uk/government/publications/insolvency-practitioner-code-of-ethics>.

The Liquidators may act as controllers of personal data as defined by UK data protection law depending upon the specific processing activities undertaken. PricewaterhouseCoopers LLP may act as a processor on the instructions of the Liquidators. Personal data will be kept secure and processed only for matters relating to the liquidation. Further details are available in the privacy statement on the PwC.co.uk website or by contacting the Liquidators.