



**Companies House**  
— for the record —

**AR01** (ef)

**Annual Return**



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*Company Name:* **Tullett Prebon (No. 3) Limited**

*Company Number:* **06262157**

*Date of this return:* **29/05/2011**

*SIC codes:* **7499**

*Company Type:* **Private company limited by shares**

*Situation of Registered Office:* **TOWER 42  
LEVEL 37, 25 OLD BROAD STREET  
LONDON  
ENGLAND  
EC2N 1HQ**

**Officers of the company**

***Company Secretary 1***

*Type:* **Person**

*Full forename(s):* **NICOLA**

*Surname:* **CHALLEN**

*Former names:*

*Service Address recorded as Company's registered office*

## *Company Director 1*

Type: **Person**  
Full forename(s): **MR GILES**

Surname: **MARTIN**

Former names:

*Service Address recorded as Company's registered office*

Country/State Usually Resident: **ENGLAND**

Date of Birth: **29/04/1965** Nationality: **BRITISH**

Occupation: **FINANCIER**

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## *Company Director 2*

Type: **Person**  
Full forename(s): **MR DAVID HUW**

Surname: **WILLIAMS**

Former names:

*Service Address recorded as Company's registered office*

Country/State Usually Resident: **ENGLAND**

Date of Birth: **23/03/1962** Nationality: **BRITISH**

Occupation: **ACCOUNTANT**

## Statement of Capital (Share Capital)

<b>Class of shares</b>	<b>ORDINARY SHARES \$100</b>	<i>Number allotted</i>	<b>350020</b>
		<i>Aggregate nominal value</i>	<b>35002000</b>
<i>Currency</i>	<b>USD</b>	<i>Amount paid per share</i>	<b>100</b>
		<i>Amount unpaid per share</i>	<b>0</b>
<i>Prescribed particulars</i>			
<b>ONE VOTE FOR EACH SHARE</b>			

## Statement of Capital (Totals)

<i>Currency</i>	<b>USD</b>	<i>Total number of shares</i>	<b>350020</b>
		<i>Total aggregate nominal value</i>	<b>35002000</b>

### *Full Details of Shareholders*

The details below relate to individuals / corporate bodies that were shareholders as at 29/05/2011 or that had ceased to be shareholders since the made up date of the previous Annual Return

*A full list of shareholders for a private or non-traded public company are shown below*

*Shareholding 1* : **0 ORDINARY SHARES \$100 shares held as at 2011-05-29**  
**350020 shares transferred on 2010-06-18**

*Name:* **TULLETT PREBON GROUP LIMITED**

*Shareholding 2* : **350020 ORDINARY SHARES \$100 shares held as at 2011-05-29**

*Name:* **TULLETT PREBON INVESTMENT HOLDINGS LIMITED**

### *Authorisation*

*Authenticated*

*This form was authorised by one of the following:*

Director, Secretary, Person Authorised, Charity Commission Receiver and Manager, CIC Manager, Judicial Factor.