

# RP04

Second filing of a document previously delivered



Companies House

## ✓ What this form is for

You can only use this form to file a second filing of a document delivered under the Companies Act 2006 on or after 1 October 2009 that held inaccuracies.

A second filing of a document must only be filed where it is providing corrected information that has been properly delivered but inaccuracies still appear on the register.

## ✗ What this form is not for

You cannot use this form to file a second filing of a document delivered under the Companies Act 2006 on or after 1 October 2009 that held inaccuracies. The Companies (Notification of Documents) Regulations 1986 regarding the delivery of documents apply.

A second filing of a document cannot be filed where the information that was provided was not properly delivered. Form RP01 must be used in these circumstances.



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11/10/2018

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COMPANIES HOUSE

\*A7EZKSRM\*

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21/09/2018

#277

COMPANIES HOUSE

## 1 Company details

Company number 06166938

Company name in full Calon Cardio-Technology Ltd

### → Filling in this form

Please complete in typescript or in bold black capitals.

All fields are mandatory unless specified or indicated by \*

## 2 Applicable documents

This form **only** applies to the following forms:

AP01 Appointment of director  
AP02 Appointment of corporate director  
AP03 Appointment of secretary  
AP04 Appointment of corporate secretary

CH01 Change of director's details  
CH02 Change of corporate director's details  
CH03 Change of secretary's details  
CH04 Change of corporate secretary's details

TM01 Termination of appointment of director  
TM02 Termination of appointment of secretary

SH01 Return of allotment of shares

AR01 Annual Return

CS01 Confirmation statement (Parts 1-4 only)

PSC01 Notice of individual person with significant control (PSC)  
PSC02 Notice of relevant legal entity (RLE) with significant control  
PSC03 Notice of other registrable person (ORP) with significant control  
PSC04 Change of details of individual person with significant control (PSC)  
PSC05 Change of details of relevant legal entity (RLE) with significant control  
PSC06 Change of details of other registrable person (ORP) with significant control  
PSC07 Notice of ceasing to be a person with significant control (PSC), relevant legal entity (RLE), or other registrable person (ORP)  
PSC08 Notification of PSC statements  
PSC09 Update to PSC statements

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<b>3</b>		<b>Description of the original document</b>	
Document type <sup>1</sup>	SH01 - Return of Allotment of Shares		<b><sup>1</sup> Description of the original document</b> Please enter the document type (e.g. a Return of allotment of shares—SH01) and any distinguishing information if more than one document of that type was filed on the same day.
Date of registration of the original document	<div> <div>d</div> <div>1</div> <div>d</div> <div>9</div> </div> <div> <div>m</div> <div>0</div> <div>m</div> <div>9</div> </div> <div> <div>y</div> <div>2</div> <div>y</div> <div>0</div> <div>y</div> <div>1</div> <div>y</div> <div>8</div> </div>		

<b>4</b>	<b>Section 243 or 790ZF Exemption <sup>2</sup></b>  If you are applying for, or have been granted, exemption under section 243 or 790ZF of the Companies Act 2006 and the document(s) you are updating contain(s) your usual residential address, please post this form along with the updated document(s) to the address below:  The Registrar of Companies, PO BOX 4082, Cardiff, CF14 3WE.	<b><sup>2</sup> If you are currently in the process of applying for or have been granted a Section 243 or 790ZF exemption, you may wish to check that you have not entered your usual residential address as the service address in the accompanying form (e.g. AP01 or CH01).</b>
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RP04

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### Presenter information

You do not have to give any contact information, but if you do it will help Companies House if there is a query on the form. The contact information you give will be visible to searchers of the public record.

Contact name **Nina Wild**

Company name **Calon Cardio-Technology Ltd**

Address **Institute of Life Science**

**Swansea University, Singleton Park**

Post town **Swansea**

County/Region

Postcode **S A 2 8 P P**

Country **Swansea**

DX

Telephone **01792 602811**

### Checklist

**We may return forms completed incorrectly or with information missing.**

**Please make sure you have remembered the following:**

- ☐ The company name and number match the information held on the public Register.
- ☐ You can only use this form to file a second filing of a document delivered to the Registrar of Companies under the Companies Act 2006 on or after 1 October 2009 that held inaccuracies.
- ☐ If you are updating a document where you have previously paid a fee, do not send a fee along with this form.
- ☐ You have enclosed the second filed document(s).
- ☐ If the company to which this document relates has signed up to the PROOF (PROtected Online Filing) scheme, you must also deliver with this form, and the second filed document(s), a PR03 form 'Consent for paper filing.'

### Important information

**Please note that all information on this form will appear on the public record.**

### Where to send

**You may return this form to any Companies House address, however for expediency we advise you to return it to the appropriate address below:**

#### **For companies registered in England and Wales:**

The Registrar of Companies, Companies House,  
Crown Way, Cardiff, Wales, CF14 3UZ.  
DX 33050 Cardiff.

#### **For companies registered in Scotland:**

The Registrar of Companies, Companies House,  
Fourth floor, Edinburgh Quay 2,  
139 Fountainbridge, Edinburgh, Scotland, EH3 9FF.  
DX ED235 Edinburgh 1  
or LP - 4 Edinburgh 2 (Legal Post).

#### **For companies registered in Northern Ireland:**

The Registrar of Companies, Companies House,  
Second Floor, The Linenhall, 32-38 Linenhall Street,  
Belfast, Northern Ireland, BT2 8BG.  
DX 481 N.R. Belfast 1.

#### **Section 243 or 790ZF exemption**

If you are applying for or have been granted a section 243 or 790ZF exemption, please post this whole form to the different postal address below:

The Registrar of Companies, PO Box 4082,  
Cardiff, CF14 3WE.

### Further information

For further information, please see the guidance notes on the website at [www.gov.uk/companieshouse](http://www.gov.uk/companieshouse) or email [enquiries@companieshouse.gov.uk](mailto:enquiries@companieshouse.gov.uk)

**This form is available in an alternative format. Please visit the forms page on the website at [www.gov.uk/companieshouse](http://www.gov.uk/companieshouse)**

# SH01

## Return of allotment of shares



Companies House



Go online to file this information  
[www.gov.uk/companieshouse](http://www.gov.uk/companieshouse)

☒ **What this form is for**  
You may use this form to give  
notice of shares allotted following  
incorporation.

☐ **What this form is NOT for**  
You cannot use this form to give  
notice of shares taken by a company  
on formation of the company or  
for an allotment of a new class of  
shares by an unlimited company.

A23

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21/09/2018 #285  
COMPANIES HOUSE

### 1 Company details

Company number 06166938

Company name in full Calon Cardio-Technology Ltd

#### → Filing in this form

Please complete in typescript or in  
bold black capitals.

All fields are mandatory unless  
specified or indicated by \*

### 2 Allotment dates

From Date 26/04/2018  
To Date 31/08/2018

#### ① Allotment date

If all shares were allotted on the  
same day enter that date in the  
'from date' box. If shares were  
allotted over a period of time,  
complete both 'from date' and 'to  
date' boxes.

### 3 Shares allotted

Please give details of the shares allotted, including bonus shares.  
(Please use a continuation page if necessary.)

#### ② Currency

If currency details are not  
completed we will assume currency  
is in pound sterling.

Currency ②	Class of shares (E.g. Ordinary/Preference etc.)	Number of shares allotted	Nominal value of each share	Amount paid (including share premium) on each share	Amount (if any) unpaid (including share premium) on each share
£	C Ordinary Shares	270611	£0.01	£5.50	nil

If the allotted shares are fully or partly paid up otherwise than in cash, please  
state the consideration for which the shares were allotted.

#### Continuation page

Please use a continuation page if  
necessary.

Details of non-cash  
consideration.

If a PLC, please attach  
valuation report (if  
appropriate)

## SH01

## Return of allotment of shares

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## Statement of capital

Complete the table(s) below to show the issued share capital at the date to which this return is made up.

**Complete a separate table for each currency (if appropriate).** For example, add pound sterling in 'Currency table A' and Euros in 'Currency table B'.

Please use a Statement of Capital continuation page if necessary.

Currency Complete a separate table for each currency	Class of shares E.g. Ordinary/Preference etc.	Number of shares	Aggregate nominal value (£, €, \$, etc) Number of shares issued multiplied by nominal value	Total aggregate amount unpaid, if any (£, €, \$, etc) Including both the nominal value and any share premium
<b>Currency table A</b>				
£	Ordinary Shares	500000	5000	
£	A Ordinary Shares	1016014	10160.14	
£	B Preferred Ordinary Shares	382442	3824.42	
<b>Totals</b>		<b>CONTINUED ON CONTINUATION SHEET</b>		

<b>Currency table B</b>				
<b>Totals</b>				

<b>Currency table C</b>				
<b>Totals</b>				

**Totals (including continuation pages)**

Total number of shares	Total aggregate nominal value ❶	Total aggregate amount unpaid ❶

❶ Please list total aggregate values in different currencies separately. For example: £100 + €100 + \$10 etc.

## Statement of capital

**Complete a separate table for each currency.**

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SH01

Return of allotment of shares

5

**Statement of capital (prescribed particulars of rights attached to shares)**

Please give the prescribed particulars of rights attached to shares for each class of share shown in the share capital tables in **Section 4**.

Class of share

Ordinary Shares

Prescribed particulars

1

See continuation Sheet

**1 Prescribed particulars of rights attached to shares**

The particulars are:

- a particulars of any voting rights, including rights that arise only in certain circumstances;
- b particulars of any rights, as respects dividends, to participate in a distribution;
- c particulars of any rights, as respects capital, to participate in a distribution (including on winding up); and
- d whether the shares are to be redeemed or are liable to be redeemed at the option of the company or the shareholder.

A separate table must be used for each class of share.

**Continuation page**

Please use a Statement of Capital continuation page if necessary.

Class of share

A Ordinary Shares

Prescribed particulars

1

See continuation Sheet

Class of share

B Preferred Ordinary Shares

Prescribed particulars

1

See Continuation Sheet

6

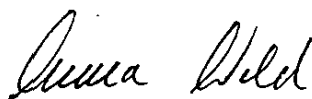
**Signature**

I am signing this form on behalf of the company.

Signature

Signature

X



X

This form may be signed by:

Director 2, Secretary, Person authorised 1, Administrator, Administrative receiver, Receiver, Receiver manager, CIC manager.

**2 Societas Europaea**

If the form is being filed on behalf of a Societas Europaea (SE) please delete 'director' and insert details of which organ of the SE the person signing has membership.

**3 Person authorised**

Under either section 270 or 274 of the Companies Act 2006.

SH01

## Return of allotment of shares

**Presenter information**

You do not have to give any contact information, but if you do it will help Companies House if there is a query on the form. The contact information you give will be visible to searchers of the public record.

Contact name **Nina Wild**

Company name **Calon Cardio-Technology Ltd**

Address **Institute Of Life Science**

**Singleton Park**

Post town **Swansea**

County/Region

Postcode

**S A 2 8 P P**

Country

**Wales**

DX

Telephone **01792 602811**

**Checklist**

**We may return the forms completed incorrectly or with information missing.**

**Please make sure you have remembered the following:**

- ☐ The company name and number match the information held on the public Register.
- ☐ You have shown the date(s) of allotment in section 2.
- ☐ You have completed all appropriate share details in section 3.
- ☐ You have completed the relevant sections of the statement of capital.
- ☐ You have signed the form.

**Important information**

**Please note that all information on this form will appear on the public record.**

**Where to send**

**You may return this form to any Companies House address, however for expediency we advise you to return it to the appropriate address below:**

**For companies registered in England and Wales:**

The Registrar of Companies, Companies House,  
Crown Way, Cardiff, Wales, CF14 3UZ.  
DX 33050 Cardiff.

**For companies registered in Scotland:**

The Registrar of Companies, Companies House,  
Fourth floor, Edinburgh Quay 2,  
139 Fountainbridge, Edinburgh, Scotland, EH3 9FF.  
DX ED235 Edinburgh 1  
or LP - 4 Edinburgh 2 (Legal Post).

**For companies registered in Northern Ireland:**

The Registrar of Companies, Companies House,  
Second Floor, The Linenhall, 32-38 Linenhall Street,  
Belfast, Northern Ireland, BT2 8BG.  
DX 481 N.R. Belfast 1.

**Further information**

For further information please see the guidance notes on the website at [www.gov.uk/companieshouse](http://www.gov.uk/companieshouse) or email [enquiries@companieshouse.gov.uk](mailto:enquiries@companieshouse.gov.uk)

**This form is available in an alternative format. Please visit the forms page on the website at [www.gov.uk/companieshouse](http://www.gov.uk/companieshouse)**



SH01 - continuation page  
Return of allotment of shares

5	Statement of capital (prescribed particulars of rights attached to shares)	
Class of share	Ordinary Shares	
Prescribed particulars	<p>Voting: on a show of hands every shareholder holding one or more ordinary shares shall have one vote. On a poll every shareholder holding one or more ordinary shares shall have one vote for each ordinary share of which he is the holder.</p> <p>Dividends: the ordinary shares, A ordinary shares, B preferred ordinary shares and the C ordinary shares shall rank pari passu in all respects for dividends and as if all the A ordinary shares, the B preferred ordinary shares and C ordinary shares had been converted into ordinary shares at the then applicable conversion rate.</p> <p>Return of capital on a distribution of assets on a liquidation or winding up or a return of capital (other than a conversion, redemption or purchase of shares): the surplus assets of the company remaining after payments of its liabilities shall be distributed:-</p> <p>(a)</p> <p>(i) ordinary shares held by him calculated down to and including the rate the return of capital is made, and</p> <p>(ii) shares held by him,</p> <p>(b) ordinary shares, C ordinary shares and the ordinary shares pari passu as if the same constituted one class of shares pro rata according to the number of shares held by each shareholder and as if all A ordinary shares, B preferred ordinary shares and C ordinary shares had been converted into ordinary shares at the then applicable conversion rate.</p> <p>Redemption: the ordinary shares are not redeemable.</p> <p>General: except as otherwise provided in the articles the ordinary shares and the A ordinary shares shall rank pari passu in all respects, but shall constitute separate classes of shares.</p>	

# SH01 - continuation page

## Return of allotment of shares

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### Statement of capital (Prescribed particulars of rights attached to shares)

Class of share	A Ordinary Shares	
Prescribed particulars	<p>Voting: on a show of hands every shareholder holding one or more A ordinary shares shall have one vote. On a poll every shareholder holding one or more A ordinary shares shall have one vote for each A ordinary share of which he is the holder and as if all A ordinary shares had been converted into ordinary shares at the then applicable conversion rate.</p> <p>Dividends: the A ordinary shares, B preferred ordinary shares, ordinary shares and the C ordinary shares shall rank pari passu in all respects for dividends and as if all the A ordinary shares, the B preferred ordinary shares and C ordinary shares had been converted into ordinary shares at the then applicable conversion rate.</p> <p>Return of capital: on a distribution of assets on a liquidation or winding up or a return of capital (other than a conversion, redemption or purchase of shares), the surplus assets of the company remaining after payments of its liabilities shall be distributed:-</p> <p>(a)</p> <p>(i)</p> <p>ordinary shares held by him calculated down to and including the rate the return of capital is made, and</p> <p>(ii)</p> <p>shares held by him,</p> <p>(b)</p> <p>ordinary shares and the ordinary shares pari passu as if the same constituted one class of shares pro rata according to the number of shares held by each shareholder and as if all A ordinary shares, B preferred ordinary shares and C ordinary shares had been converted into ordinary shares at the then applicable conversion rate.</p> <p>Redemption: the A ordinary shares are not redeemable.</p> <p>General: expect as otherwise provided in the articles the ordinary shares and the A ordinary shares shall rank pari passu in all respects, but shall constitute separate classes of shares.</p>	

# SH01 - continuation page

## Return of allotment of shares

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### Statement of capital (Prescribed particulars of rights attached to shares)

Class of share	B Preferred Ordinary Shares
Prescribed particulars	<p>Voting: on a show of hands every shareholder holding one or more B preferred ordinary shares shall have one vote. On a poll every shareholder holding one or more B preferred ordinary shares shall have one vote for each B preferred ordinary share of which he is the holder and as if all B Preferred ordinary shares had been converted into ordinary shares at the then applicable conversion rate.</p> <p>Dividends: the A ordinary shares, B preferred ordinary shares, the ordinary shares and the C ordinary shares shall rank pari passu in all respects for dividends and as if all the A ordinary shares, the B preferred ordinary shares and C ordinary shares had been converted into ordinary shares at the then applicable conversion rate.</p> <p><i>Return of capital on a distribution of assets on a liquidation or winding up or a return of capital (other than a conversion, redemption or purchase of shares), the surplus assets of the company remaining after payments of its liabilities shall be distributed:-</i></p> <p>(a) (i) ordinary shares held by him calculated down to and including the rate the return of capital is made, and (ii) shares held by him, (b) ordinary shares, C ordinary shares and the ordinary shares pari passu as if the same constituted one class of shares pro rata according to the number of shares held by each shareholder and as if all A ordinary shares, B preferred ordinary shares and C ordinary shares had been converted into ordinary shares at the then applicable conversion rate.</p> <p>Redemption the B preferred ordinary shares are not redeemable.</p>

# SH01 - continuation page

## Return of allotment of shares

7	Statement of capital (Prescribed particulars of rights attached to shares)	
Class of share	C Ordinary Shares	
Prescribed particulars	<p>Voting: on a show of hands every shareholder holding one or more C ordinary shares shall have one vote. On a poll every shareholder holding one or more C ordinary shares shall have one vote for each ordinary share of which he is the holder and as if all C ordinary shares had been converted into ordinary shares at the then applicable conversion rate</p> <p>Dividends: the A ordinary shares, B preferred ordinary shares, the ordinary shares and the C ordinary shares shall rank pari passu in all respects for dividends and as if all the A ordinary shares, the B preferred ordinary shares and C ordinary shares had been converted into ordinary shares at the then applicable conversion rate.</p> <p>Return of capital on a distribution of assets on a liquidation or winding up or a return of capital (other than a conversion, redemption or purchase of shares), the surplus assets of the company remaining after payments of its liabilities shall be distributed:-</p> <p>(a)</p> <p>(i)</p> <p>ordinary shares held by him calculated down to and including the rate the return of capital is made, and</p> <p>(ii)</p> <p>shares held by him,</p> <p>(b)</p> <p>ordinary shares, C ordinary shares and the ordinary shares pari passu as if the same constituted one class of shares pro rata according to the number of shares held by each shareholder and as if all A ordinary shares, B preferred ordinary shares and C ordinary shares had been converted into ordinary shares at the then applicable conversion rate.</p> <p>Redemption the C ordinary shares are not redeemable.</p>	