

Change of relevant legal entity (RLE) details

Company Name: COHEN & COMPANY FINANCIAL LIMITED

Company Number: 05894236

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Details Prior to Change

Name: INSTITUTIONAL FINANCIAL MARKETS, INC.

New Details

Date of Change: 02/08/2019

New Name: COHEN & COMPANY, INC

New entity details

New Legal Form: CORPORATE

New Governing Law: UNITED STATES (PENNSYLVANIA)

New Register: PENNSYLVANIA DEPARTMENT OF STATE

New Country/state of

register:

PENNSYLVANIA

New Registration

Number:

EIN NUMBER 16-1685692

New Nature of control

The relevant legal entity holds, directly or indirectly, more than 50% but less than 75% of the shares in the company.

The relevant legal entity holds, directly or indirectly, more than 50% but less than 75% of the voting rights in the company.

Register entry date

Register entry date 02/08/2019

Authorisation

Authenticated

This form was authorised by one of the following:

Director, Secretary, Person Authorised, Administrator, Administrative Receiver, Receiver, Receiver manager, Charity Commission Receiver and Manager, CIC Manager, Judicial Factor