



**Companies House**  
— for the record —

**AR01** (ef)

**Annual Return**



Received for filing in Electronic Format on the: **06/08/2013**

**X2E7GAEY**

*Company Name:* **COHEN & COMPANY FINANCIAL LIMITED**

*Company Number:* **05894236**

*Date of this return:* **02/08/2013**

*SIC codes:* **64999**

*Company Type:* **Private company limited by shares**

*Situation of Registered Office:* **5TH FLOOR  
6 ST. ANDREW STREET  
LONDON  
UNITED KINGDOM  
EC4A 3AE**

**Officers of the company**

## *Company Secretary 1*

*Type:* **Corporate**  
*Name:* **TMF CORPORATE ADMINISTRATION SERVICES LIMITED**

*Registered or principal address:* **5TH FLOOR  
6 ST. ANDREW STREET  
LONDON  
ENGLAND AND WALES  
UNITED KINGDOM  
EC4A 3AE**

## *European Economic Area (EEA) Company*

*Register Location:* **UNITED KINGDOM**  
*Registration Number:* **06902863**

---

## *Company Director 1*

*Type:* **Person**  
*Full forename(s):* **DANIEL GIDEON**

*Surname:* **COHEN**

*Former names:*

*Service Address recorded as Company's registered office*

*Country/State Usually Resident:* **UNITED STATES**

*Date of Birth:* **29/06/1969** *Nationality:* **AMERICAN**  
*Occupation:* **EXECUTIVE DIRECTOR**

*Company Director*    2

*Type:*                      **Person**

*Full forename(s):*        **JAMES**

*Surname:*                **MCENTEE**

*Former names:*

*Service Address recorded as Company's registered office*

*Country/State Usually Resident:*    **UNITED STATES**

*Date of Birth:*    **08/11/1957**                      *Nationality:*    **AMERICAN**

*Occupation:*    **COO OF COHEN & COMPANY**

-----

*Company Director*    **3**

*Type:*                                **Person**  
*Full forename(s):*                **MR GARETH HOWARD**

*Surname:*                                **NOONAN**

*Former names:*

*Service Address:*                    **1 CORNHILL  
LONDON  
UNITED KINGDOM  
EC3V 3ND**

*Country/State Usually Resident:*    **UNITED KINGDOM**

*Date of Birth:*    **08/01/1964**                                *Nationality:*    **USA**  
*Occupation:*    **INVESTMENT BANKING**

## Statement of Capital (Share Capital)

<b>Class of shares</b>	<b>ORDINARY</b>	<i>Number allotted</i>	<b>3500011</b>
		<i>Aggregate nominal value</i>	<b>3500011</b>
<i>Currency</i>	<b>GBP</b>	<i>Amount paid per share</i>	<b>1</b>
		<i>Amount unpaid per share</i>	<b>0</b>

### *Prescribed particulars*

THE SHARES HAVE ATTACHED TO THEM FULL VOTING, DIVIDEND AND CAPITAL DISTRIBUTION (INCLUDING ON WINDING UP) RIGHTS; THEY DO NOT CONFER ANY RIGHTS OF REDEMPTION.

## Statement of Capital (Totals)

<i>Currency</i>	<b>GBP</b>	<i>Total number of shares</i>	<b>3500011</b>
		<i>Total aggregate nominal value</i>	<b>3500011</b>

## *Full Details of Shareholders*

The details below relate to individuals / corporate bodies that were shareholders as at 02/08/2013 or that had ceased to be shareholders since the made up date of the previous Annual Return

*A full list of shareholders for the company are shown below*

*Shareholding 1* : **3500011 ORDINARY shares held as at the date of this return**  
*Name:* **IFMI, LLC**

## *Authorisation*

*Authenticated*

*This form was authorised by one of the following:*

Director, Secretary, Person Authorised, Charity Commission Receiver and Manager, CIC Manager, Judicial Factor.