



Companies House

**AR01** (ef)

**Annual Return**



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*Company Name:* **CIRCASSIA PHARMACEUTICALS PLC**

*Company Number:* **05822706**

*Date of this return:* **19/05/2014**

*SIC codes:* **72110**

*Company Type:* **Public limited company**

*Situation of Registered Office:* **THE MAGDALEN CENTRE ROBERT ROBINSON AVENUE  
OXFORD SCIENCE PARK  
OXFORD  
OXFORDSHIRE  
ENGLAND  
OX4 4GA**

**Officers of the company**

## *Company Secretary* 1

*Type:* **Person**  
*Full forename(s):* **MR JULIEN FABRICE**

*Surname:* **COTTA**

*Former names:*

*Service Address recorded as Company's registered office*

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## *Company Director* 1

*Type:* **Person**  
*Full forename(s):* **DR TIMOTHY HENRY**

*Surname:* **CORN**

*Former names:*

*Service Address:* **THE MAGDALEN CENTRE  
OXFORD SCIENCE PARK  
OXFORD  
OXFORDSHIRE  
OX4 4GA**

*Country/State Usually Resident:* **UNITED KINGDOM**

*Date of Birth:* **28/03/1951** *Nationality:* **BRITISH**  
*Occupation:* **DOCTOR**

*Company Director* 2

*Type:* **Person**

*Full forename(s):* **MR JULIEN FABRICE**

*Surname:* **COTTA**

*Former names:*

*Service Address recorded as Company's registered office*

*Country/State Usually Resident:* **ENGLAND**

*Date of Birth:* **25/01/1964** *Nationality:* **UK**

*Occupation:* **CHIEF FINANCIAL CONTROLLER**

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*Company Director*    **3**

*Type:*                            **Person**  
*Full forename(s):*            **RUSSELL**

*Surname:*                      **CUMMINGS**

*Former names:*

*Service Address:*            **IMPERIAL INNOVATIONS  
IMPERIAL COLLEGE EXHIBITION ROAD  
LONDON  
SW7 2AZ**

*Country/State Usually Resident:*    **UNITED KINGDOM**

*Date of Birth:*    **06/06/1964**                            *Nationality:*    **BRITISH**  
*Occupation:*    **DIRECTOR**

*Company Director*    **4**

*Type:*                                **Person**  
*Full forename(s):*                **MR PAUL RAYMOND**

*Surname:*                         **EDICK**

*Former names:*

*Service Address recorded as Company's registered office*

*Country/State Usually Resident:*    **USA**

*Date of Birth:*    **19/07/1955**                                *Nationality:*    **UNITED STATES**

*Occupation:*     **BIOTECH EXECUTIVE**

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*Company Director*    **5**

*Type:*                                **Person**  
*Full forename(s):*                **MR JEAN-JACQUES**

*Surname:*                         **GARAUD**

*Former names:*

*Service Address recorded as Company's registered office*

*Country/State Usually Resident:*    **SWITZERLAND**

*Date of Birth:*    **15/06/1955**                                *Nationality:*    **FRENCH/AMERICAN**

*Occupation:*     **CONSULTANT IN LIFE-SCIENCE,  
PRESIDENT OF ADV LIFE-**

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*Company Director*    **6**

*Type:*                            **Person**

*Full forename(s):*            **MR FRANCESCO**

*Surname:*                    **GRANATA**

*Former names:*

*Service Address recorded as Company's registered office*

*Country/State Usually Resident:*    **ENGLAND**

*Date of Birth:*    **16/10/1950**

*Nationality:*    **ITALIAN**

*Occupation:*    **EXECUTIVE IN RESIDENCE**  
                          **WARBURG PINCUS**

*Company Director* 7

*Type:* **Person**

*Full forename(s):* **MR RODERICK PETER**

*Surname:* **HAFNER**

*Former names:*

*Service Address:* **MAGDALEN CENTRE OXFORD SCIENCE PARK  
OXFORD  
OXFORDSHIRE  
OX4 4GA**

*Country/State Usually Resident:* **ENGLAND**

*Date of Birth:* **18/09/1965** *Nationality:* **BRITISH**

*Occupation:* **R&D BIOTECHNOLOGY**

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*Company Director* 8

Type: **Person**  
Full forename(s): STEVEN CHARLES ANDREW

Surname: HARRIS

Former names:

*Service Address recorded as Company's registered office*

Country/State Usually Resident: UNITED KINGDOM

Date of Birth: 29/06/1966 Nationality: BRITISH

Occupation: CEO

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*Company Director* 9

Type: **Person**  
Full forename(s): MS. CATHRIN

Surname: PETTY

Former names:

*Service Address recorded as Company's registered office*

Country/State Usually Resident: UNITED KINGDOM

Date of Birth: 21/05/1973 Nationality: BRITISH

Occupation: DIRECTOR

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*Company Director* 10

*Type:* **Person**  
*Full forename(s):* **MR CHARLES STUART WEBB**

*Surname:* **SWINGLAND**

*Former names:*

*Service Address:* **THE MAGDALEN CENTRE  
OXFORD SCIENCE PARK  
OXFORD  
OXFORDSHIRE  
OX4 4GA**

*Country/State Usually Resident:* **ENGLAND**

*Date of Birth:* **24/08/1952** *Nationality:* **BRITISH**  
*Occupation:* **SOLICITOR**

## Statement of Capital (Share Capital)

<b>Class of shares</b>	<b>ORDINARY</b>	<i>Number allotted</i>	<b>189419634</b>
		<i>Aggregate nominal value</i>	<b>151535.707</b>
<i>Currency</i>	<b>GBP</b>	<i>Amount paid</i>	<b>0.0008</b>
		<i>Amount unpaid</i>	<b>0</b>

### *Prescribed particulars*

VOTING RIGHTS: (A) SUBJECT TO THE ARTICLES AND TO ANY SPECIAL RIGHTS OR RESTRICTIONS AS TO VOTING FOR THE TIME BEING ATTACHED TO ANY CLASS OF SHARES IN THE COMPANY, THE PROVISIONS OF THE COMPANIES ACT 2006 SHALL APPLY IN RELATION TO VOTING RIGHTS. (B) SUBJECT TO PARAGRAPH (C) BELOW, ON A VOTE ON A RESOLUTION ON A SHOW OF HANDS AT A GENERAL MEETING, EVERY PROXY PRESENT WHO HAS BEEN DULY APPOINTED BY ONE OR MORE MEMBERS ENTITLED TO VOTE ON THE RESOLUTION HAS ONE VOTE. (C) ON A VOTE ON A RESOLUTION ON A SHOW OF HANDS AT A GENERAL MEETING, A PROXY HAS ONE VOTE FOR AND ONE VOTE AGAINST THE RESOLUTION IF: (I) THE PROXY HAS BEEN DULY APPOINTED BY MORE THAN ONE MEMBER ENTITLED TO VOTE ON THE RESOLUTION; AND (II) THE PROXY HAS BEEN INSTRUCTED BY, OR EXERCISES HIS DISCRETION GIVEN BY, ONE OR MORE OF THOSE MEMBERS TO VOTE FOR THE RESOLUTION AND HAS BEEN INSTRUCTED BY, OR EXERCISES HIS DISCRETION GIVEN BY, ONE OR MORE OF THOSE MEMBERS TO VOTE AGAINST IT.

DIVIDENDS: THE COMPANY MAY, BY ORDINARY RESOLUTION, DECLARE A DIVIDEND TO BE PAID TO THE MEMBERS, ACCORDING TO THEIR RESPECTIVE RIGHTS AND INTERESTS IN THE PROFITS, AND MAY FIX THE TIME FOR PAYMENT OF SUCH DIVIDEND, BUT NO DIVIDEND SHALL EXCEED THE AMOUNT RECOMMENDED BY THE BOARD.

POWER TO ISSUE REDEEMABLE SHARES: SUBJECT TO THE STATUTES, ANY SHARE MAY BE ISSUED ON TERMS THAT IT IS TO BE REDEEMED OR IS LIABLE TO BE REDEEMED AT THE OPTION OF THE COMPANY OR THE HOLDER. THE TERMS, CONDITIONS AND MANNER OF REDEMPTION OF SUCH SHARES MAY BE DETERMINED BY THE BOARD BEFORE THE SHARES ARE ALLOTTED.

WINDING UP: IF THE COMPANY IS IN LIQUIDATION, THE LIQUIDATOR MAY, WITH THE AUTHORITY OF A SPECIAL RESOLUTION OF THE COMPANY AND ANY OTHER AUTHORITY REQUIRED BY THE STATUTES: (I) DIVIDE AMONG THE MEMBERS IN SPECIE THE WHOLE OR ANY PART OF THE ASSETS OF THE COMPANY AND, FOR THAT PURPOSE, VALUE ANY ASSETS AND DETERMINE HOW THE DIVISION SHALL BE CARRIED OUT AS BETWEEN THE MEMBERS OR DIFFERENT CLASSES OF MEMBERS; OR (II) VEST THE WHOLE OR ANY PART OF THE ASSETS IN TRUSTEES UPON SUCH TRUSTS FOR THE BENEFIT OF MEMBERS AS THE LIQUIDATOR, WITH THE LIKE SANCTION, SHALL THINK FIT BUT NO MEMBER SHALL BE COMPELLED TO ACCEPT ANY ASSETS UPON WHICH THERE ANY LIABILITY.

## Statement of Capital (Totals)

<i>Currency</i>	<b>GBP</b>	<i>Total number of shares</i>	<b>189419634</b>
		<i>Total aggregate nominal value</i>	<b>151535.707</b>

*The company's shares were admitted to trading on a market during the period of this return and a list of shareholders holding at least 5% of the issued shares of any share class of the company as at the date of the return are shown below*

*Shareholding 1* : **26494875 ORDINARY shares held as at the date of this return**

*Name &  
Address* : **IMPERIAL INNOVATIONS BUSINESSES LLP**

*Shareholding 2* : **10425625 ORDINARY shares held as at the date of this return**

*Name &  
Address* : **FLEMING FAMILY & PARTNERS (FL) AG LOCHSIDE (INTERNATIONAL) LIMITED**

*Shareholding 3* : **69007890 ORDINARY shares held as at the date of this return**

*Name &  
Address* : **BANK OF NEW YORK NOMINEES LIMITED**

*Shareholding 4* : **10333750 ORDINARY shares held as at the date of this return**

*Name &  
Address*

LANSDOWNE DEVELOPED MARKETS MASTER FUND LIMITED

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### *Authorisation*

*Authenticated*

*This form was authorised by one of the following:*

Director, Secretary, Person Authorised, Charity Commission Receiver and Manager, CIC Manager, Judicial Factor.