



*Companies House*  
— for the record —

**AR01** (ef)

**Annual Return**



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<i>Company Name:</i>	<b>CIRCASSIA HOLDINGS LIMITED</b>
<i>Company Number:</i>	<b>05822706</b>
<i>Date of this return:</i>	<b>19/05/2012</b>
<i>SIC codes:</i>	<b>72110</b>
<i>Company Type:</i>	<b>Private company limited by shares</b>
<i>Situation of Registered Office:</i>	<b>THE MAGDALEN CENTRE ROBERT ROBINSON AVENUE OXFORD SCIENCE PARK OXFORD OXFORDSHIRE ENGLAND OX4 4GA</b>

**Officers of the company**

## *Company Secretary 1*

*Type:* **Person**  
*Full forename(s):* **MR CHARLES STUART WEBB**

*Surname:* **SWINGLAND**

*Former names:*

*Service Address:* **THE MAGDALEN CENTRE  
OXFORD SCIENCE PARK  
OXFORD  
OXFORDSHIRE  
OX4 4GA**

*Company Director*    ***1***

*Type:*                                **Person**

*Full forename(s):*                **DR TIMOTHY HENRY**

*Surname:*                         **CORN**

*Former names:*

*Service Address:*                **THE MAGDALEN CENTRE  
OXFORD SCIENCE PARK  
OXFORD  
OXFORDSHIRE  
OX4 4GA**

*Country/State Usually Resident:*    **UNITED KINGDOM**

*Date of Birth:*    **28/03/1951**

*Nationality:*    **BRITISH**

*Occupation:*    **DOCTOR**

*Company Director* 2

*Type:* **Person**  
*Full forename(s):* **RUSSELL**

*Surname:* **CUMMINGS**

*Former names:*

*Service Address:* **IMPERIAL INNOVATIONS  
IMPERIAL COLLEGE EXHIBITION ROAD  
LONDON  
SW7 2AZ**

*Country/State Usually Resident:* **UNITED KINGDOM**

*Date of Birth:* **06/06/1964** *Nationality:* **BRITISH**  
*Occupation:* **DIRECTOR**

*Company Director*    **3**

*Type:*                                **Person**  
*Full forename(s):*                **MR RODERICK PETER**

*Surname:*                           **HAFNER**

*Former names:*

*Service Address:*                **MAGDALEN CENTRE OXFORD SCIENCE PARK**  
   **OXFORD**  
   **OXFORDSHIRE**  
   **OX4 4GA**

*Country/State Usually Resident:*   **UNITED KINGDOM**

*Date of Birth:*   **18/09/1965**                                *Nationality:*   **BRITISH**  
*Occupation:*    **R&D BIOTECHNOLOGY**

*Company Director* 4

*Type:* **Person**

*Full forename(s):* **STEVEN CHARLES ANDREW**

*Surname:* **HARRIS**

*Former names:*

*Service Address:* **CIRCASSIA HOLDINGS LIMITED  
MAGDALEN CENTRE, ROBERT ROBINSON AVENUE.  
OXFORD SCIENCE PARK  
OXFORD OX4 4GA  
SG1 2BT**

*Country/State Usually Resident:* **UNITED KINGDOM**

*Date of Birth:* **29/06/1966**

*Nationality:* **BRITISH**

*Occupation:* **CEO**

*Company Director*    **5**

*Type:*                            **Person**  
*Full forename(s):*            **MS. CATHRIN**

*Surname:*                      **PETTY**

*Former names:*

*Service Address recorded as Company's registered office*

*Country/State Usually Resident:*    **UNITED KINGDOM**

*Date of Birth:*    **21/05/1973**                            *Nationality:*    **BRITISH**

*Occupation:*    **DIRECTOR**

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*Company Director*    **6**

*Type:*                                **Person**  
*Full forename(s):*                **MR CHARLES STUART WEBB**

*Surname:*                                **SWINGLAND**

*Former names:*

*Service Address:*                        **THE MAGDALEN CENTRE  
OXFORD SCIENCE PARK  
OXFORD  
OXFORDSHIRE  
OX4 4GA**

*Country/State Usually Resident:*   **ENGLAND**

*Date of Birth:*   **24/08/1952**                                *Nationality:*   **BRITISH**  
*Occupation:*    **SOLICITOR**



*Company Director*    7

*Type:*                                **Person**  
*Full forename(s):*                **SIR RICHARD BROOK**

*Surname:*                            **SYKES**

*Former names:*

*Service Address:*                **170 QUEENS GATE**  
   **LONDON**  
   **SW7 5HF**

*Country/State Usually Resident:*    **UNITED KINGDOM**

*Date of Birth:*    **07/08/1942**                                *Nationality:*    **BRITISH**

*Occupation:*    **COMPANY DIRECTOR**

## Statement of Capital (Share Capital)

<b>Class of shares</b>	<b>ORDINARY</b>	<i>Number allotted</i>	<b>109702</b>
		<i>Aggregate nominal value</i>	<b>10970.2</b>
<i>Currency</i>	<b>GBP</b>	<i>Amount paid per share</i>	<b>0.1</b>
		<i>Amount unpaid per share</i>	<b>0</b>

### *Prescribed particulars*

THE HOLDERS OF ORDINARY SHARES ARE ENTITLED TO VOTE AT GENERAL MEETINGS UPON A SHOW OF HANDS OR A POLL AND RANK EQUALLY FOR DIVIDENDS WITH 'A' PREFERRED SHARES AND 'B' PREFERRED SHARES.

<b>Class of shares</b>	<b>A PREFERRED</b>	<i>Number allotted</i>	<b>147931</b>
		<i>Aggregate nominal value</i>	<b>14793.1</b>
<i>Currency</i>	<b>GBP</b>	<i>Amount paid per share</i>	<b>0.1</b>
		<i>Amount unpaid per share</i>	<b>0</b>

### *Prescribed particulars*

THE HOLDERS OF 'A' PREFERRED SHARES ARE ENTITLED TO VOTE AT GENERAL MEETINGS UPON A SHOW OF HANDS OR A POLL AND RANK EQUALLY FOR DIVIDENDS WITH 'B' PREFERRED SHARES AND ORDINARY SHARES. UPON AN 'EXIT', AS DEFINED IN THE ARTICLES, HOLDERS OF 'A' PREFERRED SHARES ARE ENTITLED TO A 1 TIME LIQUIDATION PREFERENCE.

<b>Class of shares</b>	<b>B PREFERRED</b>	<i>Number allotted</i>	<b>366967</b>
		<i>Aggregate nominal value</i>	<b>36696.7</b>
<i>Currency</i>	<b>GBP</b>	<i>Amount paid per share</i>	<b>0.1</b>
		<i>Amount unpaid per share</i>	<b>0</b>

### *Prescribed particulars*

THE HOLDERS OF 'B' PREFERRED SHARES ARE ENTITLED TO VOTE AT GENERAL MEETINGS UPON A SHOW OF HANDS OR A POLL AND RANK EQUALLY FOR DIVIDENDS WITH 'A' PREFERRED SHARES AND ORDINARY SHARES. UPON AN 'EXIT' AS DEFINED IN THE ARTICLES HOLDERS OF 'B' PREFERRED SHARES ARE ENTITLED TO A 1.25 TIMES LIQUIDATION PREFERENCE.

## Statement of Capital (Totals)

<i>Currency</i>	<b>GBP</b>	<i>Total number of shares</i>	<b>624600</b>
		<i>Total aggregate nominal value</i>	<b>62460</b>

## *Full Details of Shareholders*

The details below relate to individuals / corporate bodies that were shareholders as at 19/05/2012 or that had ceased to be shareholders since the made up date of the previous Annual Return

*A full list of shareholders for the company are shown below*

<i>Shareholding 1</i> <i>Name:</i>	: <b>3165 A PREFERRED shares held as at the date of this return</b> <b>RICHARD BROOK SYKES</b>
<i>Shareholding 2</i> <i>Name:</i>	: <b>47875 ORDINARY shares held as at the date of this return</b> <b>CHARLES SWINGLAND</b>
<i>Shareholding 3</i> <i>Name:</i>	: <b>1583 A PREFERRED shares held as at the date of this return</b> <b>CHARLES SWINGLAND</b>
<i>Shareholding 4</i> <i>Name:</i>	: <b>47875 ORDINARY shares held as at the date of this return</b> <b>STEVEN HARRIS</b>
<i>Shareholding 5</i> <i>Name:</i>	: <b>1582 A PREFERRED shares held as at the date of this return</b> <b>STEVEN HARRIS</b>
<i>Shareholding 6</i> <i>Name:</i>	: <b>2250 ORDINARY shares held as at the date of this return</b> <b>RODERICK PETER HAFNER</b>
<i>Shareholding 7</i> <i>Name:</i>	: <b>2625 ORDINARY shares held as at the date of this return</b> <b>PROF MARK LARCHE</b>
<i>Shareholding 8</i> <i>Name:</i>	: <b>2625 ORDINARY shares held as at the date of this return</b> <b>PROF A. BARRY KAY</b>
<i>Shareholding 9</i> <i>Name:</i>	: <b>500 ORDINARY shares held as at the date of this return</b> <b>DR. TIM CORN</b>
<i>Shareholding 10</i> <i>Name:</i>	: <b>3092 ORDINARY shares held as at the date of this return</b> <b>LAFFERTY LIMITED</b>
<i>Shareholding 11</i> <i>Name:</i>	: <b>35761 A PREFERRED shares held as at the date of this return</b> <b>IMPERIAL INNOVATIONS BUSINESSES LLP</b>
<i>Shareholding 12</i> <i>Name:</i>	: <b>10 ORDINARY shares held as at the date of this return</b> <b>IMPERIAL INNOVATIONS BUSINESSES LLP</b>
<i>Shareholding 13</i>	: <b>0 A PREFERRED shares held as at the date of this return</b> <b>1853 shares transferred on 2012-04-02</b>

*Name:* LANSDOWNE UK EQUITY FUND LP

*Shareholding 14* : 0 A PREFERRED shares held as at the date of this return  
33908 shares transferred on 2012-04-02

*Name:* LANSDOWNE UK EQUITY FUND LIMITED

*Shareholding 15* : 18626 A PREFERRED shares held as at the date of this return

*Name:* LOCHSIDE (INTERNATIONAL) LIMITED

*Shareholding 16* : 14921 A PREFERRED shares held as at the date of this return

*Name:* GOLDMAN SACHS STRATEGIC INVESMENTS (U.K.) LIMITED

*Shareholding 17* : 12658 A PREFERRED shares held as at the date of this return

*Name:* TUDOR BVI GLOBAL PORTFOLIO LP

*Shareholding 18* : 23874 A PREFERRED shares held as at the date of this return

*Name:* BANK OF NEW YORK NOMINEES LIMITED

*Shareholding 19* : 600 ORDINARY shares held as at the date of this return

*Name:* CATHRIN PETTY

*Shareholding 20* : 527 B PREFERRED shares held as at the date of this return

*Name:* CATHRIN PETTY

*Shareholding 21* : 91062 B PREFERRED shares held as at the date of this return

*Name:* IMPERIAL INNOVATIONS BUSINESSES LLP

*Shareholding 22* : 218615 B PREFERRED shares held as at the date of this return

*Name:* BANK OF NEW YORK (NOMINEES) LIMITED

*Shareholding 23* : 0 B PREFERRED shares held as at the date of this return  
3024 shares transferred on 2012-04-02

*Name:* LANSDOWNE UK EQUITY FUND LP

*Shareholding 24* : 0 B PREFERRED shares held as at the date of this return  
18887 shares transferred on 2012-04-02

*Name:* LANSDOWNE UK EQUITY FUND LIMITED

*Shareholding 25* : 33814 B PREFERRED shares held as at the date of this return

*Name:* LOCHSIDE (INTERNATIONAL) LIMITED

*Shareholding 26* : 877 B PREFERRED shares held as at the date of this return

*Name:* LAFFERTY LIMITED

*Shareholding 27* : 500 ORDINARY shares held as at the date of this return

*Name:* **SIMON BALL**

*Shareholding 28* : **750 ORDINARY shares held as at the date of this return**

*Name:* **UNIVERSITY OF BIRMINGHAM**

*Shareholding 29* : **46 B PREFERRED shares held as at the date of this return**

*Name:* **PROF. A.B. KAY**

*Shareholding 30* : **1000 ORDINARY shares held as at the date of this return**

*Name:* **PAUL LAIDLER**

*Shareholding 31* : **115 B PREFERRED shares held as at the date of this return**

*Name:* **PROF. MARK LARCHE**

*Shareholding 32* : **35761 A PREFERRED shares held as at the date of this return**

*Name:* **LANSDOWNE DEVELOPED MARKETS MASTER FUND LIMITED**

*Shareholding 33* : **21911 B PREFERRED shares held as at the date of this return**

*Name:* **LANSDOWNE DEVELOPED MARKETS MASTER FUND LIMITED**

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### *Authorisation*

*Authenticated*

*This form was authorised by one of the following:*

Director, Secretary, Person Authorised, Charity Commission Receiver and Manager, CIC Manager, Judicial Factor.