

AR01
Annual Return

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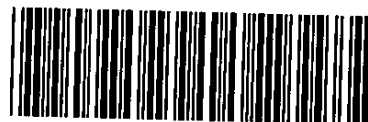
A fee is payable with this form
Please see 'How to pay' on the
last page

You can use the WebFiling service to file this form online
Please go to www.companieshouse.gov.uk

☒ **What this form is for**
You may use this form to confirm
that the company information is
correct as at the date of this return
You must file an Annual Return at
least once every year

☐ **What this form is NOT for**
You cannot use this form
notice of changes to the
officers, registered office
company type or informa
relating to the company

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COMPANIES HOUSE

Part 1 Company details

The section must be completed by all companies

→ **Filing in this form**
Please complete in typescript or in
bold black capitals

All fields are mandatory unless
specified or indicated by *

A1 Company details

Company number 0 5 8 0 7 5 9 9

Company name in full 1 Tullett Prebon plc

① **Company name change**
If your company has recently
changed its name, please provide
the company name as at the date of
this return

A2 Return date

Please give the annual return made up date The return date must not be a future
date The annual return must be delivered within 28 days of the date given below

Date of this return ② 0 5 0 5 2 0 1 1

② **Date of this return**
Your company's return date
is usually the anniversary of
incorporation or the anniversary
of the last annual return filed at
Companies House You may choose
an earlier return date but it must not
be a later date

A3 Principal business activity

Please show the trade classification code number(s) for the principal
activity or activities ①

Classification code 1 6 5 2 3

Classification code 2

Classification code 3

Classification code 4

If you cannot determine a code, please give a brief description of your
business activity below

Principal activity
description

① **Principal business activity**
You must provide a trade
classification code (SIC code) or a
description of your company's main
business in this section

A full list of the trade classification
codes are available on our website
www.companieshouse.gov.uk

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A4**Company type^①**

Please confirm your company type by ticking the appropriate box below (only one box must be ticked)

- ☒ Public limited company
☐ Private company limited by shares
☐ Private company limited by guarantee
☐ Private company limited by shares exempt under section 60
☐ Private company limited by guarantee exempt under section 60
☐ Private unlimited company with share capital
☐ Private unlimited company without share capital

① Company type

If you are unsure of your company type, please check your latest certificate of incorporation or our website
www.companieshouse.gov.uk

A5**Registered office address^②**

Building name/number

Street

Tower 42

Level 37, 25 Old Broad Street

Post town

London

County/Region

Postcode

E C 2 N 1 H Q

② Change of registered office

This must agree with the address that is held on the Companies House record at the date of this return

If the registered office address has changed, you should complete form AD01 and submit it together with this annual return

A6**Single alternative inspection location (SAIL) of the company records (if applicable)^③**

Building name/number

Street

Capita Registrars

Northern House Woodsome Park, Fenay Bridge

Post town

Huddersfield

County/Region

West Yorkshire

Postcode

H D 8 0 G A

③ SAIL address

This must agree with the address that is held on the Companies House record at the date of this return

If the address has changed, you should complete form AD02 and submit it together with this annual return

A7**Location of company records^④**

Please tick the appropriate box to indicate which records are kept at the SAIL address in Section A6

- ☒ Register of members
☐ Register of directors
☐ Directors' service contracts
☐ Directors' indemnities
☐ Register of secretaries
☐ Records of resolutions etc
☐ Contracts relating to purchase of own shares
☐ Documents relating to redemption or purchase of own share out of capital by private company
☐ Register of debenture holders
☐ Report to members of outcome of investigation by public company into interests in its shares
☒ Register of interests in shares disclosed to public company
☐ Instruments creating charges and register of charges England and Wales or Northern Ireland
☐ Instruments creating charges and register of charges Scotland

④ Location of company records

If the company records are held at the registered office address, do not tick any of the boxes in this section

Certain records must be kept by every company while other records are only kept by certain company types where appropriate

If the records are not kept at the SAIL address, they must be available at the registered office

If any of the company records have moved from the registered office to the address in Section A6 since the last annual return, you must complete form AD03 and submit it together with this annual return

Part 2 Officers of the company

This section should include details of the company at the date to which this annual return is made up

- For a **secretary** who is an individual, go to **Section B1**
- For a **corporate secretary**, go to **Section C1**
- For a **director** who is an individual, go to **Section D1**
- For a **corporate director**, go to **Section E1**

Continuation pages
Please use a continuation page if you need to enter more officer details

Secretary

B1

Secretary's details ^①

Please use this section to list all the secretaries of the company
For a corporate secretary, complete Section C1-C4

Title*	
Full forename(s)	Paul Richard
Surname	Mainwaring
Former name(s) ^②	

① Secretary appointments
You may not use this form to appoint a secretary. To do this, please complete form AP03 and submit it together with this annual return

Corporate details
Please use Section C1-C4 to enter corporate secretary details

Secretary details
All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH03

② Former name(s)
Please provide any previous names which have been used for business purposes during the period of this return. Married women do not need to give former names unless previously used for business purposes

B2

Secretary's service address ^③

Building name/number									
Street	The Company's Registered Office								
Post town									
County/Region									
Postcode	<table><tr><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td></tr></table>								
Country									

③ Service address
If you have previously notified Companies House that the service address is at 'The Company's Registered Office', please state 'The Company's Registered Office' in the address

This information will appear on the public record

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Corporate secretary

C1	Corporate secretary's details ①	<p>① Corporate secretary appointments You cannot use this form to appoint a corporate secretary. To do this, please complete form AP04 and submit it together with this annual return.</p> <p>Corporate secretary details All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH04.</p> <p>This information will appear on the public record.</p>
	Please use this section to list all the corporate secretaries of the company	
Corporate body/firm name		
Building name/number		
Street		
Post town		
County/Region		
Postcode		
Country		
C2	Location of the registry of the corporate body or firm	
	Is the corporate secretary registered within the European Economic Area (EEA)? → Yes Complete Section C3 only → No Complete Section C4 only	
C3	EEA companies ②	<p>② EEA A full list of countries of the EEA can be found in our guidance www.companieshouse.gov.uk</p> <p>③ This is the register mentioned in Article 3 of the First Company Law Directive (68/151/EEC)</p>
	Please give details of the register where the company file is kept (including the relevant state) and the registration number in that register	
Where the company/firm is registered ③		
Registration number		
C4	Non-EEA companies	<p>④ Non-EEA Where you have provided details of the register (including state) where the company or firm is registered, you must also provide its number in that register.</p>
	Please give details of the legal form of the corporate body or firm and the law by which it is governed. If applicable, please also give details of the register in which it is entered (including the state) and its registration number in that register.	
Legal form of the corporate body or firm		
Governing law		
If applicable, where the company/firm is registered ④		
If applicable, the registration number		

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Director

D1	Director's details ^①	
	Please use this section to list all the directors of the company For a corporate director, complete Section E1-E4	
Title*		① Director appointments You cannot use this form to appoint a director. To do this, please complete form AP01 and submit it together with this annual return. Corporate details Please use Section E1-E4 to enter corporate director details. Director details All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH01. ② Former name(s) Please provide any previous names which have been used for business purposes during the period of this return. Married women do not need to give former names unless previously used for business purposes.
Full forename(s)	David Wincott	
Surname	Clark	
Former name(s) ^②		
Country/State of residence	England	
Nationality	British	
Date of birth	2 1 0 6 1 9 4 7	
Business occupation (if any)	Bank Director	

D2	Director's service address ^③	
Building name/number		③ Service address If you have previously notified Companies House that the service address is at 'The Company's Registered Office', please state 'The Company's Registered Office' in the address. This information will appear on the public record.
Street	The Company's Registered Office	
Post town		
County/Region		
Postcode		
Country		

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Director

D1	Director's details^①	
	Please use this section to list all the directors of the company For a corporate director, complete Section E1-E4	
Title*		
Full forename(s)	Michael Cathel	
Surname	Fallon	
Former name(s) ^②		
Country/State of residence	England	
Nationality	British	
Date of birth	1 4 0 5 1 9 5 2	
Business occupation (if any)	Company Director	

① Director appointments
You cannot use this form to appoint a director. To do this, please complete form AP01 and submit it together with this annual return.

Corporate details
Please use Section E1-E4 to enter corporate director details.

Director details
All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH01.

② Former name(s)
Please provide any previous names which have been used for business purposes during the period of this return. Married women do not need to give former names unless previously used for business purposes.

D2	Director's service address^③	
Building name/number		
Street	The Company's Registered Office	
Post town		
County/Region		
Postcode		
Country		

③ Service address
If you have previously notified Companies House that the service address is at 'The Company's Registered Office', please state 'The Company's Registered Office' in the address.

This information will appear on the public record.

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Director

D1	Director's details ^①	
	Please use this section to list all the directors of the company For a corporate director, complete Section E1-E4	
Title*		
Full forename(s)	Keith	
Surname	Hamill	
Former name(s) ^②		
Country/State of residence	England	
Nationality	British	
Date of birth	0 7 1 2 1 9 5 2	
Business occupation (if any)	Accountant	

① Director appointments
You cannot use this form to appoint a director. To do this, please complete form AP01 and submit it together with this annual return.

Corporate details
Please use Section E1-E4 to enter corporate director details.

Director details
All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH01.

② Former name(s)
Please provide any previous names which have been used for business purposes during the period of this return. Married women do not need to give former names unless previously used for business purposes.

D2	Director's service address ^③	
Building name/number		
Street	The Company's Registered Office	
Post town		
County/Region		
Postcode		
Country		

③ Service address
If you have previously notified Companies House that the service address is at 'The Company's Registered Office', please state 'The Company's Registered Office' in the address.

This information will appear on the public record.

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Director

D1		Director's details ①
		Please use this section to list all the directors of the company For a corporate director, complete Section E1-E4
Title*		
Full forename(s)	Richard Philip	
Surname	Kilsby	
Former name(s)②		
Country/State of residence	England	
Nationality	British	
Date of birth	2 6 1 2 1 9 5 1	
Business occupation (if any)	Director	

① Director appointments
You cannot use this form to appoint a director. To do this, please complete form AP01 and submit it together with this annual return.

Corporate details
Please use Section E1-E4 to enter corporate director details.

Director details
All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH01.

② Former name(s)
Please provide any previous names which have been used for business purposes during the period of this return. Married women do not need to give former names unless previously used for business purposes.

D2		Director's service address ③
Building name/number		
Street	The Company's Registered Office	
Post town		
County/Region		
Postcode		
Country		

③ Service address
If you have previously notified Companies House that the service address is at 'The Company's Registered Office', please state 'The Company's Registered Office' in the address.

This information will appear on the public record.

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Director

D1	Director's details ^①	
	Please use this section to list all the directors of the company For a corporate director, complete Section E1-E4	
Title*		
Full forename(s)	Paul Richard	
Surname	Mainwaring	
Former name(s) ^②		
Country/State of residence	England	
Nationality	British	
Date of birth	1 0 0 7 1 9 6 3	
Business occupation (if any)	Finance Director	

① Director appointments
You cannot use this form to appoint a director. To do this, please complete form AP01 and submit it together with this annual return.

Corporate details
Please use Section E1-E4 to enter corporate director details.

Director details
All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH01.

② Former name(s)
Please provide any previous names which have been used for business purposes during the period of this return. Married women do not need to give former names unless previously used for business purposes.

D2	Director's service address ^①	
Building name/number		
Street	The Company's Registered Office	
Post town		
County/Region		
Postcode		
Country		

① Service address
If you have previously notified Companies House that the service address is at 'The Company's Registered Office', please state 'The Company's Registered Office' in the address.

This information will appear on the public record.

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Director

D1 Director's details ^①	
Please use this section to list all the directors of the company For a corporate director, complete Section E1-E4	
Title*	
Full forename(s)	Rupert Hugo Wynne
Surname	Robson
Former name(s) ^②	
Country/State of residence	
Nationality	British
Date of birth	1 1 0 2 1 9 6 1
Business occupation (if any)	Company Director

① Director appointments
You cannot use this form to appoint a director. To do this, please complete form AP01 and submit it together with this annual return.

Corporate details
Please use Section E1-E4 to enter corporate director details.

Director details
All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH01.

② Former name(s)
Please provide any previous names which have been used for business purposes during the period of this return. Married women do not need to give former names unless previously used for business purposes.

D2 Director's service address ^③	
Building name/number	
Street	The Company's Registered Office
Post town	
County/Region	
Postcode	
Country	

③ Service address
If you have previously notified Companies House that the service address is at 'The Company's Registered Office', please state 'The Company's Registered Office' in the address.

This information will appear on the public record.

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Director

D1 Director's details ^①	
Please use this section to list all the directors of the company For a corporate director, complete Section E1-E4	
Title*	
Full forename(s)	Terence Charles
Surname	Smith
Former name(s) ^②	
Country/State of residence	England
Nationality	British
Date of birth	1 5 0 5 1 9 5 3
Business occupation (if any)	Chief Executive Officer

① Director appointments
You cannot use this form to appoint a director. To do this, please complete form AP01 and submit it together with this annual return.

Corporate details
Please use Section E1-E4 to enter corporate director details.

Director details
All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH01.

② Former name(s)
Please provide any previous names which have been used for business purposes during the period of this return. Married women do not need to give former names unless previously used for business purposes.

D2 Director's service address ^③	
Building name/number	
Street	The Company's Registered Office
Post town	
County/Region	
Postcode	
Country	

③ Service address
If you have previously notified Companies House that the service address is at 'The Company's Registered Office', please state 'The Company's Registered Office' in the address.

This information will appear on the public record.

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Corporate director

E1	Corporate director's details ①	<p>① Corporate director appointments You cannot use this form to appoint a corporate director. To do this, please complete form AP02 and submit it together with this annual return.</p> <p>Corporate director details All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH02.</p> <p>This information will appear on the public record.</p>
	Please use this section to list all the corporate director's of the company	
Corporate body/firm name		
Building name/number		
Street		
Post town		
County/Region		
Postcode		
Country		

E2	Location of the registry of the corporate body or firm
	Is the corporate director registered within the European Economic Area (EEA)? → Yes Complete Section E3 only → No Complete Section E4 only

E3	EEA companies ②	<p>② EEA A full list of countries of the EEA can be found in our guidance www.companieshouse.gov.uk</p> <p>③ This is the register mentioned in Article 3 of the First Company Law Directive (68/151/EEC)</p>
	Please give details of the register where the company file is kept (including the relevant state) and the registration number in that register	
Where the company/firm is registered ③		
Registration number		

E4	Non-EEA companies	<p>④ Non-EEA Where you have provided details of the register (including state) where the company or firm is registered, you must also provide its number in that register.</p>
	Please give details of the legal form of the corporate body or firm and the law by which it is governed. If applicable, please also give details of the register in which it is entered (including the state) and its registration number in that register	
Legal form of the corporate body or firm		
Governing law		
If applicable, where the company/firm is registered ④		
If applicable, the registration number		

Part 3 Statement of capital ¹

Does your company have share capital?

- Yes Complete the sections below and the following Part 4
→ No Go to Part 5 (Signature)

¹ This should reflect the company's capital status at the made up date of this annual return

F1 Share capital in pound sterling (£)

Please complete the table below to show each class of shares held in pound sterling
If all your issued capital is in sterling, only complete Section F1 and then go to Section F4

Class of shares (E g Ordinary/Preference etc)	Amount paid up on each share ²	Amount (if any) unpaid on each share ²	Number of shares ³	Aggregate nominal value ⁴
Ordinary shares of 25p each	0 25	0 00	213,051,388	£ 53,262,847 00
Ordinary shares of 25p each	4 65	0 00	2,262,196	£ 565,549 00
				£
				£
Totals			215,313,584	£ 53,828,396 00

F2 Share capital in other currencies

Please complete the table below to show any class of shares held in other currencies
Please complete a separate table for each currency

Currency				
Class of shares (E g Ordinary/Preference etc)	Amount paid up on each share ②	Amount (if any) unpaid on each share ②	Number of shares ③	Aggregate nominal value ④
Totals				

Currency				
Class of shares (E g Ordinary/Preference etc)	Amount paid up on each share ②	Amount (if any) unpaid on each share ②	Number of shares ③	Aggregate nominal value ④
Totals				

F3 Totals

Please give the total number of shares and total aggregate nominal value of issued share capital

Total number of shares 215,313,584 00

Total aggregate nominal value ⁵ £53,828,396 00

⁵ Total aggregate nominal value
Please list total aggregate values in different currencies separately For example £100 + €100 + \$10 etc

² Including both the nominal value and any share premium

³ Number of shares issued multiplied by nominal value of each share

⁴ Total number of issued shares in this class

Continuation Pages
Please use a Statement of Capital continuation page if necessary

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F4

Statement of capital (Voting rights)

Please give the prescribed particulars of rights attached to shares for each class of share shown in the statement of capital share tables in **Sections F1 and F2**

Class of share

Ordinary shares of 25p each

Voting rights

Each ordinary shareholder shall have one vote on a show of hands and one vote per ordinary share on a poll

Class of share

Voting rights

Class of share

Voting rights

Class of share

Voting rights

Part 4 Shareholders

Does your company have share capital?
→ Yes Complete the sections below
→ No Go to Part 5 (Signature)

① This should reflect the shareholder details at the made up date of this annual return

G1 Traded public companies ②

☒ Please tick the box if your company was a traded public company at any time during the period of this return

② Traded company definition
A traded company means a company any of whose shares are admitted to trading on a regulated market

G2 List of past and present shareholders

Private and non-traded public companies are required to provide a 'full list' if one was not included with either of the last two returns

Traded public companies are required to provide a list of shareholders who held at least 5% of the issued shares of any share class if a list was not provided with either of the last two returns

Please tick the appropriate box below

- ☐ A full list of shareholders for a private or non-traded public company is enclosed Please complete **Section G3**, or
- ☒ A list of shareholders holding at least 5% of the issued shares of any share class for a traded public company is enclosed Please complete **Section G4**
- ☐ A list of shareholder changes is enclosed
→ For private or non-traded public companies, please complete **Section G3**
→ For traded public companies, please complete **Section G4**
- ☐ There were no shareholder changes in this period
→ Go to **Part 5 (Signature)**

Please tick the appropriate box below to indicate the format of your shareholder details

- ☐ The list of shareholders is enclosed on paper
- ☒ The list of shareholders is enclosed in another format

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G3

This section should only be completed by companies that have not traded on a regulated market at any time during the period of this return

Changes during this period to shareholders' particulars or details of the amount of stock or shares transferred must be completed each year

You must provide a 'full list' of all company shareholders on

- The company's first annual return following incorporation,
- Every third annual return after a full list has been provided

Please list the company shareholders in alphabetical order

Joint shareholders should be listed consecutively

Further shareholders

Please use a 'Private or non-traded public companies – list of past and present shareholders' continuation page if necessary

[illegible]

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G4

Traded public companies – list of past and present shareholders

This section should show the shareholders that hold at least 5% of any class of share(s) of the company at the date of this return. It should only be completed by public companies that have traded on a regulated market at any time during the period of this return.

Changes during this period to shareholders' particulars or details of the amount of stock or shares transferred must be completed each year.

You must provide a 'full list' of all company shareholders on

- The company's first annual return following incorporation,
- Every third annual return after a full list has been provided.

Please list the company shareholders in alphabetical order.

Joint shareholders should be listed consecutively.

Further shareholders

Please use a 'Traded public companies – list of past and present shareholders' continuation page if necessary.

Shareholder's details	Class of share	Shares or stock currently held	Shares or stock transferred (if appropriate)	
		Number of shares or amount of stock	Number of shares or amount of stock	Date of registration of transfer
Name Enclosed in another format				/ /
Address				/ /
				/ /
Name				/ /
Address				/ /
				/ /
Name				/ /
Address				/ /
				/ /
Name				/ /
Address				/ /
				/ /

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Part 5 **Signature**

This must be completed by all companies	
I am signing this form on behalf of the company	
Signature	<div>Signature</div> <div>X <i>Paul Mannering</i> X</div> <div>This form may be signed by Director¹, Secretary, Person authorised², Charity commission receiver and manager, CIC manager, Judicial factor</div>
<div>1 Societas Europaea If the form is being filed on behalf of a Societas Europaea (SE) please delete 'director' and insert details of which organ of the SE the person signing has membership</div> <div>2 Person authorised Under either section 270 or 274 of the Companies Act 2006</div>	

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Presenter information

You do not have to give any contact information, but if you do it will help Companies House if there is a query on the form. The contact information you give will be visible to searchers of the public record

Contact name **The Company Secretary**

Company name **Tullett Prebon plc**

Address

Tower 42

Level 37, 25 Old Broad Street

Post town **London**

County/Region

Postcode **E C 2 N 1 H Q**

Country **England**

DX

Telephone **020 7200 7000**

Checklist

We may return forms completed incorrectly or with information missing.

Please make sure you have remembered the following

- ☐ The company name and number match the information held on the public Register
- ☐ You have completed your principal business activity
- ☐ You have not used this form to make changes to the registered office address
- ☐ You have not used this form to make changes to secretary and director details
- ☐ You have fully completed the Statement of capital (if applicable)
- ☐ You have signed the form
- ☐ You have enclosed the correct fee

Important information

Please note that all information on this form will appear on the public record

How to pay

A fee of £30 is payable to Companies House in respect of an Annual Return

Make cheques or postal orders payable to 'Companies House'

Where to send

You may return this form to any Companies House address, however for expediency we advise you to return it to the appropriate address below.

For companies registered in England and Wales.
The Registrar of Companies, Companies House,
Crown Way, Cardiff, Wales, CF14 3UZ
DX 33050 Cardiff

For companies registered in Scotland
The Registrar of Companies, Companies House,
Fourth floor, Edinburgh Quay 2,
139 Fountainbridge, Edinburgh, Scotland, EH3 9FF
DX ED235 Edinburgh 1
or LP - 4 Edinburgh 2 (Legal Post)

For companies registered in Northern Ireland
The Registrar of Companies, Companies House,
First Floor, Waterfront Plaza, 8 Laganbank Road,
Belfast, Northern Ireland, BT1 3BS
DX 481 N R Belfast 1

Further information

For further information, please see the guidance notes on the website at www.companieshouse.gov.uk or email enquiries@companieshouse.gov.uk

This form is available in an alternative format. Please visit the forms page on the website at www.companieshouse.gov.uk

Run Date 06-MAY-2011 12 48PM
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Ref RS2801 V4 1n
Company Code N189

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TULLETT PREBON PLC

R U N P A R A M E T E R S

Totals Report Filename

[]N1892801_TOT REP

R e p o r t F o r m a t S e l e c

t i o n

Format:

- accounts
- 1 - Name, Address & Holdings, all
- 2 - Name, Address & Holdings, accounts
- with 5% and over
- 3 - Name & Holdings, all accounts
- Option 2

D a t a S e l e c t i o n

Sortkey Start

End

List individual transactions Y

Earliest Transaction Date 06-MAY-2010

Latest Transaction Date 05-MAY-2011

Class

Class

03 ORD 25P

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Annual Return

TULLETT PREBON PLC

Registered Number 05807599
MAY-2011

Annual return for Period 06-MAY-2010 TO 05-

Class	Name and Address of Shareholder	Holding	Movement	Date	
CHASE NOMINEES LIMITED LEND ACCT	P O BOX 18171				ORD
25P		9,703,153	-71,575	07-MAY-10	
	125 LONDON WALL				
-214,726				10-MAY-10	
	LONDON EC2Y 5AJ				
100,000				14-MAY-10	
11,459				17-MAY-10	
-72,482				17-MAY-10	
-25,026				17-MAY-10	
368,541				17-MAY-10	
314,047				19-MAY-10	
85,953				19-MAY-10	
174				26-MAY-10	
74,803				26-MAY-10	
277,820				26-MAY-10	
167,892				27-MAY-10	
4,169				27-MAY-10	
45,518				27-MAY-10	
26,271				27-MAY-10	
609				28-MAY-10	
-910				03-JUN-10	
229,665				04-JUN-10	
460,000				07-JUN-10	
448,558				07-JUN-10	
26,017				07-JUN-10	
5,600				08-JUN-10	
1,235,039				08-JUN-10	

5,390	09-JUN-10
16,199	10-JUN-10
-35,451	10-JUN-10
-12,240	10-JUN-10
300,000	10-JUN-10
145,000	11-JUN-10
-37,908	11-JUN-10
-1,320	11-JUN-10
60,000	11-JUN-10
1,846	18-JUN-10
115,149	18-JUN-10
97,165	18-JUN-10
3,951	22-JUN-10
350,000	30-JUN-10
-96,404	01-JUL-10
-44,518	01-JUL-10
-4,603	05-JUL-10
-2,125	05-JUL-10
12,341	07-JUL-10
55,724	16-JUL-10
99,865	19-JUL-10
-3,621	21-JUL-10
4,949	22-JUL-10
4,779	22-JUL-10
-25,000	23-JUL-10
25,039	26-JUL-10
24,776	30-JUL-10
118,000	03-AUG-10

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Annual Return

TULLETT PREBON PLC

Registered Number 05807599
MAY-2011

Annual return for Period 06-MAY-2010 TO 05-

Class	Name and Address of Shareholder	Holding	Movement	Date
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Continued..

44,542		05-AUG-10		
134,979		05-AUG-10		
797		06-AUG-10		
-22,080		09-AUG-10		
19,316		18-AUG-10		
-29,016		19-AUG-10		
-10,997		24-AUG-10		
-7,778		25-AUG-10		
-3,397		26-AUG-10		
120,000		26-AUG-10		
-14,381		27-AUG-10		
19,784		27-AUG-10		
2,939		27-AUG-10		
34,396		01-SEP-10		
34,396		02-SEP-10		
34,052		03-SEP-10		
-19,100		03-SEP-10		
-2,316		03-SEP-10		
34,568		06-SEP-10		
34,569		07-SEP-10		
11,643		13-SEP-10		
5,823		13-SEP-10		
1,751		22-SEP-10		
-3,227		04-OCT-10		

1,311 05-OCT-10
6,842 05-OCT-10
-215,000 08-OCT-10
-780 08-OCT-10
3,774 08-OCT-10
10,969 08-OCT-10
9,053 08-OCT-10
-325,000 11-OCT-10
13,123 11-OCT-10
10,201 11-OCT-10
-12,341 11-OCT-10
-60,000 12-OCT-10
2,359 15-OCT-10
949 15-OCT-10
761 15-OCT-10
1,271 20-OCT-10
1,006 20-OCT-10
1,871 21-OCT-10
1,782 22-OCT-10
1,872 22-OCT-10
19,404 22-OCT-10
6,114 25-OCT-10
37,000 27-OCT-10
21,037 28-OCT-10
8,963 29-OCT-10
2,153 01-NOV-10
1,386 01-NOV-10

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TULLETT PREBON PLC

Registered Number 05807599
MAY-2011

Annual return for Period 06-MAY-2010 TO 05-

Class	Name and Address of Shareholder	Holding	Movement	Date
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Continued. .

2,187 04-NOV-10

-400,000 10-NOV-10

-25,000 10-NOV-10

15,377 16-NOV-10

4,353 17-NOV-10

-195,458 17-NOV-10

-82,256 17-NOV-10

-49,354 17-NOV-10

-34,722 17-NOV-10

-941 17-NOV-10

-396 17-NOV-10

-238 17-NOV-10

-66 17-NOV-10

-33,564 18-NOV-10

-162 18-NOV-10

75,000 18-NOV-10

50,000 18-NOV-10

136,791 18-NOV-10

-24,847 19-NOV-10

-18,663 19-NOV-10

-14,574 19-NOV-10

-120 19-NOV-10

-90 19-NOV-10

-70 19-NOV-10

-35,422 22-NOV-10
-170 22-NOV-10
2,348 24-NOV-10
297,227 24-NOV-10
2,027 25-NOV-10
-30,191 29-NOV-10
255,500 29-NOV-10
-32,183 30-NOV-10
-167,000 30-NOV-10
2,604 30-NOV-10
-36,396 01-DEC-10
-22,920 02-DEC-10
-23,463 03-DEC-10
-18,228 03-DEC-10
-17,854 03-DEC-10
-95,630 06-DEC-10
-93,671 06-DEC-10
-19,524 06-DEC-10
-19,123 06-DEC-10
-6,258 06-DEC-10
-24,982 07-DEC-10
-24,470 07-DEC-10
-86,067 08-DEC-10
-84,304 08-DEC-10
-23,337 08-DEC-10
-22,859 08-DEC-10
599,049 08-DEC-10

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Annual Return

TULLETT PREBON PLC

Registered Number 05807599
MAY-2011

Annual return for Period : 06-MAY-2010 TO 05-

Class	Name and Address of Shareholder	Holding	Movement	Date
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Continued ..

4,026 09-DEC-10

951 09-DEC-10

-26,378 09-DEC-10

-25,838 09-DEC-10

58,735 09-DEC-10

25,269 09-DEC-10

-26,717 10-DEC-10

-26,169 10-DEC-10

243,719 10-DEC-10

65,996 10-DEC-10

-25,452 13-DEC-10

-24,930 13-DEC-10

-226,767 14-DEC-10

-30,629 14-DEC-10

-30,002 14-DEC-10

3,204 14-DEC-10

-6,258 15-DEC-10

-6,130 15-DEC-10

-1 16-DEC-10

200,000 21-DEC-10

7,179 21-DEC-10

-53,553 12-JAN-11

-44,996 12-JAN-11

-3,150 14-JAN-11

-1,350 14-JAN-11
-26,390 17-JAN-11
4,536 17-JAN-11
-35,000 18-JAN-11
50,000 18-JAN-11
50,000 18-JAN-11
20,000 18-JAN-11
-76,029 19-JAN-11
-21,585 19-JAN-11
736 19-JAN-11
2,016 20-JAN-11
4,727 24-JAN-11
1,054 25-JAN-11
55,605 26-JAN-11
24,984 26-JAN-11
3,555 26-JAN-11
37,727 26-JAN-11
93,642 27-JAN-11
3,129 27-JAN-11
-1,300 31-JAN-11
172,535 01-FEB-11
714,100 02-FEB-11
118,453 02-FEB-11
1,114 02-FEB-11
766 02-FEB-11
2,298 03-FEB-11
359 03-FEB-11

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Annual Return

TULLETT PREBON PLC

Registered Number 05807599
MAY-2011

Annual return for Period : 06-MAY-2010 TO 05-

Class	Name and Address of Shareholder	Holding	Movement	Date
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Continued.. .

736 03-FEB-11

2,631 04-FEB-11

-19,609 10-FEB-11

4,959 11-FEB-11

3,912 15-FEB-11

826 15-FEB-11

178 17-FEB-11

-500 18-FEB-11

100,000 18-FEB-11

-43,000 24-FEB-11

-10,218 25-FEB-11

-33,000 28-FEB-11

9,182 28-FEB-11

-5,700 01-MAR-11

1,554 01-MAR-11

41,976 01-MAR-11

8,500 02-MAR-11

4,314 03-MAR-11

24,500 04-MAR-11

34,500 04-MAR-11

-16,800 07-MAR-11

-1,350 10-MAR-11

-104,000 11-MAR-11

-150,000 14-MAR-11

-174,905 15-MAR-11
-132,029 15-MAR-11
-125,000 15-MAR-11
8,500 15-MAR-11
16,800 15-MAR-11
-137,000 15-MAR-11
-38,513 16-MAR-11
-58,612 17-MAR-11
-26,956 17-MAR-11
-200,000 18-MAR-11
-43,000 18-MAR-11
-44,000 22-MAR-11
-19,000 24-MAR-11
-50,000 28-MAR-11
-20,000 28-MAR-11
-19,000 28-MAR-11
-63,000 31-MAR-11
14,122 31-MAR-11
-74,369 31-MAR-11
-36,800 07-APR-11
-33,600 11-APR-11
15,100 12-APR-11
51,702 13-APR-11
12,502 14-APR-11
15,411 15-APR-11
-99,380 20-APR-11
-8,000 20-APR-11

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TULLETT PREBON PLC

Registered Number 05807599
MAY-2011

Annual return for Period : 06-MAY-2010 TO 05-

Class	Name and Address of Shareholder	Holding	Movement	Date
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Continued. ..

200 20-APR-11

12,000 20-APR-11

-23,000 21-APR-11

1,062 21-APR-11

950 26-APR-11

218 26-APR-11

-18,000 27-APR-11

911 27-APR-11

3,336 27-APR-11

1,947 27-APR-11

1,555 28-APR-11

38,513 04-MAY-11

-846,041 04-MAY-11

26,956 04-MAY-11

-700 05-MAY-11

NORTRUST NOMINEES LIMITED TDS ACCT	50 BANK STREET	
25P	16,921,264	32,000 19-MAY-10
	CANARY WHARF	

ORD

500,000 21-MAY-10

LONDON E14 5NT

1,377 27-MAY-10

4,671 27-MAY-10

44,681 28-MAY-10

44,681 28-MAY-10

7,996 01-JUN-10

16,050 01-JUN-10

10,165 02-JUN-10
13,490 03-JUN-10
9,600 18-JUN-10
675,909 18-JUN-10
1,591 21-JUN-10
140,000 21-JUN-10
-8,400 25-JUN-10
8,607 01-JUL-10
66,239 09-JUL-10
45,400 14-JUL-10
226,126 16-JUL-10
-6,400 23-JUL-10
85,000 26-JUL-10
70,000 29-JUL-10
128,732 30-JUL-10
18,136 02-AUG-10
19,612 03-AUG-10
-13,377 04-AUG-10
-187,277 05-AUG-10
-47,484 05-AUG-10
9,000 06-AUG-10
17,000 06-AUG-10
1,121 13-AUG-10
5,588 13-AUG-10
2,905 13-AUG-10
2,380 13-AUG-10
848 13-AUG-10

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Company Code: N189

Annual Return

TULLETT PREBON PLC

Registered Number 05807599
MAY-2011

Annual return for Period 06-MAY-2010 TO 05-

Class	Name and Address of Shareholder	Holding	Movement	Date
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Continued. .

7,047 13-AUG-10

5,965 16-AUG-10

5,381 16-AUG-10

10,145 16-AUG-10

-180,008 19-AUG-10

30,253 24-AUG-10

141,176 25-AUG-10

24,423 25-AUG-10

-4,442 26-AUG-10

229,791 02-SEP-10

88,642 07-SEP-10

9,400 07-SEP-10

20,000 01-OCT-10

-30,924 11-OCT-10

-30,660 12-OCT-10

-3,718 14-OCT-10

4,295 15-OCT-10

2,080 22-OCT-10

1,625 22-OCT-10

-2,215 25-OCT-10

-1,356 25-OCT-10

-3,853 27-OCT-10

8,000 01-NOV-10

10,000 03-NOV-10

69,448 03-NOV-10
40 03-NOV-10
134,052 04-NOV-10
1,483,117 04-NOV-10
651,776 04-NOV-10
235,000 04-NOV-10
150,554 04-NOV-10
-116,705 04-NOV-10
512 04-NOV-10
-33,849 05-NOV-10
430,000 05-NOV-10
-114,872 09-NOV-10
-5,800 15-NOV-10
-146,266 16-NOV-10
-14,169 16-NOV-10
100,000 17-NOV-10
-30,000 17-NOV-10
-24,000 26-NOV-10
-4,819 26-NOV-10
-2,979 29-NOV-10
9,724 10-DEC-10
10,000 24-DEC-10
-28,000 30-DEC-10
-65,000 12-JAN-11
35,000 17-JAN-11
-25,475 17-JAN-11
-3,696 17-JAN-11

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Annual Return

TULLETT PREBON PLC

Registered Number 05807599
MAY-2011

Annual return for Period : 06-MAY-2010 TO 05-

Class	Name and Address of Shareholder Holding	Movement	Date
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Continued.. .

-16,084 18-JAN-11

-14,525 18-JAN-11

1,298 24-JAN-11

1,947 24-JAN-11

9,558 24-JAN-11

12,977 24-JAN-11

1,298 24-JAN-11

-163,083 04-FEB-11

-3,144 11-FEB-11

108,831 17-FEB-11

-6,800 18-FEB-11

200,000 22-FEB-11

130,519 24-FEB-11

51,632 24-FEB-11

45,330 24-FEB-11

9,385 24-FEB-11

100,000 09-MAR-11

50,000 11-MAR-11

47,332 11-MAR-11

2,668 11-MAR-11

4,656 15-MAR-11

2,523 15-MAR-11

100,000 15-MAR-11

7,722 16-MAR-11

479 17-MAR-11
70,000 18-MAR-11
243,590 18-MAR-11
6,400 18-MAR-11
10 21-MAR-11
-1,274 23-MAR-11
-70,000 29-MAR-11
-6,402 30-MAR-11
20,891 11-APR-11
-1,042 27-APR-11
-29,918 28-APR-11
4,402 28-APR-11
-20,891 03-MAY-11
660 03-MAY-11
2,938 04-MAY-11
-26,322 05-MAY-11

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TULLETT PREBON PLC

Registered Number 05807599
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Annual Return for Period 06-MAY-2010 to 05-

Total Number of Shareholder Accounts printed 2

Summary By Class:

Class	Name	Holding
03	ORD 25P	26,624,417

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