

CS01

Confirmation statement

101368/40



**Diligent
Entities**



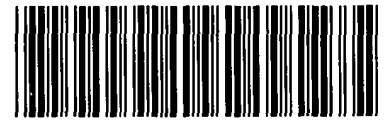
Go online to file this information
www.gov.uk/companieshouse

A fee may be payable with this form
Please see 'How to pay' on the last page.

✓ **What this form is for**
You may use this form to confirm
that the company has filed up to
date. You must file a confirmation
statement at least once every year.

✗ **What this form is NOT for**
You cannot use this form to
report changes to the company
people with significant control
(PSC), registered office address
or single alternative inspection
address (SAIL) information.

WEDNESDAY



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A11

03/02/2021

#252

COMPANIES HOUSE

Before you start

You can check your company details for free on our online service:

<https://beta.companieshouse.gov.uk>

Change to your company information

If you need to make any changes to:

- **Part 1** Principal business activities or standard industrial classification (SIC)
- **Part 2** Statement of capital
- **Part 3** Trading status of shares and exemption from keeping a register of people with significant control (PSC)
- **Part 4** Shareholder information

Use the additional parts of this form to do this.

Other changes

If you need to make any
changes to:

- registered office address
- single alternative inspection address (SAIL) and company records
- officer appointments
- information about people with significant control

You must do this separately
before or at the same time as this
confirmation statement.

1 Company details

Company number 05613060

Company name in full Marex Spectron Group Limited

→ Filling in this form

Please complete in typescript or in
bold black capitals.

2 Confirmation date

Please give the confirmation statement date. You must deliver this form within
14 days of this date. Please check your company records for the date of your
confirmation period.

Confirmation date 1 31 12 2020

① Check when your confirmation statement is due

To check your confirmation
statement date:
<https://beta.companieshouse.gov.uk>

You can make a statement at
any time during the confirmation
period. This will change your next
confirmation date.

3 Confirmation statement

I confirm that all information required to be delivered by the company pursuant
to section 853A(1)(a) of the Companies Act 2006 in relation to the confirmation
period ending on the confirmation date above either has been delivered or is
being delivered with this statement.

Signature

Signature

X

X

This form may be signed by:

~~Director ②, Secretary, Person authorised ③, Charity commission receiver and
manager, CIC manager, Judicial factor.~~

② Societas Europaea

If the form is being filed on behalf
of a Societas Europaea (SE) please
delete 'director' and insert details
of which organ of the SE the person
signing has membership.

③ Person authorised

Under either section 270 or 274 of
the Companies Act 2006.

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Part 2

Statement of capital change

Complete this part in full if there has been any change to your share capital or prescribed particulars since the last statement of capital was delivered.

✓ **This part must be sent at the same time as your confirmation statement.**

✗ **Not required for companies without share capital.**

For further information, please refer to our guidance at www.gov.uk/companieshouse

You must complete both sections B1 and B2.

B1

Share capital

Complete the table(s) below to show the issued share capital.

Complete a separate table for each currency (if appropriate). For example, add pound sterling in 'Currency table A' and Euros in 'Currency table B'.

Continuation pages
Use a statement of capital continuation page if necessary.

Currency Complete a separate table for each currency	Class of shares E.g. Ordinary/Preference etc.	Number of shares	Aggregate nominal value (£, €, \$, etc) Number of shares issued multiplied by nominal value	Total aggregate amount unpaid, if any (£, €, \$, etc) Including both the nominal value and any share premium
Currency table A				
USD	See attached schedule			
Totals				

Currency table B				
Totals				

Currency table C				
Totals				

	Total number of shares	Total aggregate nominal value ①	Total aggregate amount unpaid ①
Totals (including continuation pages)	244, 573, 505	US\$176, 240, 320.40 8185	US\$0.00

① Please list total aggregate values in different currencies separately.
For example: £100 + €100 + \$10 etc.

Complete the table below to show the issued share capital. Complete a separate table for each currency.

CHFP010
03/19 Version 3.0

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B2

Prescribed particulars

Please give the prescribed particulars of rights attached to each class of share shown in the 'share capital' tables in **Section B1**.

Prescribed particulars of rights attached to shares

The particulars are:

- particulars of any voting rights, including rights that arise only in certain circumstances;
- particulars of any rights, as respects dividends, to participate in a distribution;
- particulars of any rights, as respects capital, to participate in a distribution (including on winding up); and
- whether the shares are to be redeemed or are liable to be redeemed at the option of the company or the shareholder.

A separate table must be used for each class of share.

Please use a prescribed particulars continuation page if necessary.

Class of share

Deferred

Prescribed particulars

These shares carry no voting rights or rights as to participation in dividends or distributions. On a return of capital on a winding up or otherwise, the assets of the company available for distribution to its members shall be applied in paying a sum equal to £1 to the holders of the Deferred Shares pro-rata according to the number of Deferred Shares held by them (rounded to the nearest £0.01, but such that the total paid in aggregate to all the holders shall in no event exceed £1). They carry no right of redemption.

Class of share

Growth

Prescribed particulars

Shares carry no voting rights, no rights as to participation in dividends and no entitlement to return of capital (including on winding up). Shares may be redeemed by the company on a specified redemption date and at a redemption price to be calculated by reference to the formula contained in the Articles of Association.

Class of share

Ordinary

Prescribed particulars

Each Ordinary Share carries the right to vote, rights as to participation in dividends or distributions pro rata with the Non-Voting Ordinary Shares, entitlement to return of capital (including on Winding Up) pro rata with the Non-Voting Ordinary Shares. There is no right of redemption.

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B2

Prescribed particulars

Please give the prescribed particulars of rights attached to shares for each class of share shown in the statement of capital share tables in **Section B1**.

Class of share

Ordinary Non-Voting

Prescribed particulars
①

These shares do not carry voting rights. They carry rights as to participation in dividends pro rata with the ordinary shares and entitlement to return of capital (including on Winding Up) pro rata with the Ordinary Shares. They carry no right of redemption.

① Prescribed particulars of rights attached to shares

The particulars are:

- a. particulars of any voting rights, including rights that arise only in certain circumstances;
- b. particulars of any rights, as respects dividends, to participate in a distribution;
- c. particulars of any rights, as respects capital, to participate in a distribution (including on winding up); and
- d. whether the shares are to be redeemed or are liable to be redeemed at the option of the company or the shareholder.

A separate table must be used for each class of share.

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Part 4

Shareholder information change

Only use this Part to tell us of a change to shareholder information since the company last delivered this information.

☒ If completed this Part must be sent at the same time as your confirmation statement.

☒ Not required for companies without share capital or DTR5 companies.

For further information, please refer to our guidance at www.gov.uk/companieshouse

D1

Shareholder information for a non-traded company

How is the list of shareholders enclosed. Please tick the appropriate box below:

☒ The list of shareholders is enclosed on paper.

☐ The list of shareholders is enclosed in another format.

Further shareholders

Please use a Shareholder information (for a non-traded company) continuation page if necessary.

Show any information that has changed for each person.

Please list the company shareholders in alphabetical order. Joint shareholders should be listed consecutively.

Shareholder's Name (Address not required)	Class of share	Shares held at confirmation date	Shares transferred (if appropriate)	
		Number of shares	Number of shares	Date of registration of transfer
Amphitryon Limited	Deferred	77,890,071		/ /
Stephen Roger Brown	Deferred	10		/ /
Mark Hanney	Deferred	5		/ /
James Richard Hearn	Deferred	2		/ /
Philip Richard Hobcraft	Deferred	10		/ /
Intertrust Employee Benefit Trustee Limited	Deferred	3,436,098		/ /
Intertrust Employee Benefit Trustee Limited a/c 2019	Deferred	111		/ /
Oliver Jones	Deferred	19		/ /

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D1

Shareholder information for a non-traded company

Show any information that has changed for each person.

Please list the company shareholders in alphabetical order. Joint shareholders should be listed consecutively.

Shareholder's Name (Address not required)	Class of share	Shares held at confirmation date	Shares transferred (if appropriate)	
		Number of shares	Number of shares	Date of registration of transfer
Florent Henri Lambert	Deferred	10		/ /
Charles Max Lesser	Deferred	3		/ /
Ocean Ring Jersey Co Limited	Deferred	25,471,983		/ /
Shaun Owen	Deferred	10		/ /
Gavin Hamilton Prentice	Deferred	178		/ /
Stephen Howard Sparke	Deferred	19		/ /
Trust for Holders of Entitlements to Fractions of Deferred Shares	Deferred	9		/ /
				/ /
				/ /
				/ /
				/ /
				/ /

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D1

Shareholder information for a non-traded company

Show any information that has changed for each person.

Please list the company shareholders in alphabetical order. Joint shareholders should be listed consecutively.

Shareholder's Name (Address not required)	Class of share	Shares held at confirmation date	Shares transferred (if appropriate)	
		Number of shares	Number of shares	Date of registration of transfer
Jeremy Adrian Amias	Growth	350,068		/ /
John Hunter Baldwin	Growth	0	200,000	28 / 12 / 2020
Gordon Scott Bennett	Growth	0	534,022	14 / 08 / 2020
Jeremy Keith Douglas Elliott	Growth	200,000		/ /
Stanley Fink	Growth	111,668		/ /
Simon John Newton Heale	Growth	55,834		/ /
James Richard Hearn	Growth	800,000		/ /
Intertrust Employee Benefit Trustee Limited a/c 2019	Growth	14,050,000		/ /
Jamie Lawson	Growth	606,719		/ /
Ian Theo Lowitt	Growth	2,632,667		/ /
John Scott Lowrey	Growth	356,015		/ /
Farooq Muzammal	Growth	285,866		/ /

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Shareholder information for a non-traded company

Show any information that has changed for each person.

Please list the company shareholders in alphabetical order. Joint shareholders should be listed consecutively.

Shareholder's Name (Address not required)	Class of share	Shares held at confirmation date	Shares transferred (if appropriate)	
		Number of shares	Number of shares	Date of registration of transfer
Kevin David Charles Nutt	Growth	656,378		/ /
John Patrick Phizackerley	Growth	55,834		/ /
Gavin Hamilton Prentice	Growth	1,897,436		/ /
Marcus Adrian Scarlett	Growth	404,862		/ /
Matthew Sims	Growth	606,719		/ /
Mark Andrew Slade	Growth	1,245,292		/ /
Stephen Howard Sparke	Growth	817,367		/ /
Carla Rosaline Stent	Growth	38,668		/ /
Simon James van den Born	Growth	200,000		/ /
John Pius Wall	Growth	1,925,610		/ /
				/ /
				/ /

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Shareholder information for a non-traded company

Show any information that has changed for each person.
Please list the company shareholders in alphabetical order. Joint shareholders
should be listed consecutively.

Shareholder's Name (Address not required)	Class of share	Shares held at confirmation date	Shares transferred (if appropriate)	
		Number of shares	Number of shares	Date of registration of transfer
Amphitryon Limited	Ordinary	76,810,373		/ /
Ocean Ring Jersey Co Limited	Ordinary	29,681,215		/ /
				/ /
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Shareholder information for a non-traded company

Show any information that has changed for each person.

Please list the company shareholders in alphabetical order. Joint shareholders should be listed consecutively.

Shareholder's Name (Address not required)	Class of share	Shares held at confirmation date	Shares transferred (if appropriate)	
		Number of shares	Number of shares	Date of registration of transfer
Amphitryon Limited	Ordinary Non-Voting	43,401		/ /
Ola Strand Andersen	Ordinary Non-Voting	0	31,000	14 / 08 / 2020
James Ash	Ordinary Non-Voting	25,000	,	/ /
Stephen Roger Brown	Ordinary Non-Voting	0	28,183	14 / 08 / 2020
Charles Max Lesser and Isabelle Marie Lesser	Ordinary Non-Voting	311,368		/ /
Hessam Dabiri-Rad	Ordinary Non-Voting	5,000		/ /
Graham Walter Earley	Ordinary Non-Voting	10,000		/ /
Dominic Michael Elliott	Ordinary Non-Voting	10,000		/ /
Erlend Eidissen Engelstad	Ordinary Non-Voting	5,250		/ /
Richard Michael Frape	Ordinary Non-Voting	5,000		/ /
Joshua Geraghty	Ordinary Non-Voting	1,333		/ /
Mark Hanney	Ordinary Non-Voting	0	13,707	14 / 08 / 2020

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Show any information that has changed for each person.

Please list the company shareholders in alphabetical order. Joint shareholders should be listed consecutively.

Shareholder's Name (Address not required)	Class of share	Shares held at confirmation date	Shares transferred (if appropriate)	
		Number of shares	Number of shares	Date of registration of transfer
James Richard Hearn	Ordinary Non-Voting	230,141		/ /
Philip Richard Hobcraft	Ordinary Non-Voting	28,182		/ /
Intertrust Employee Benefit Trustee Limited	Ordinary Non-Voting	2,311,271		/ /
Intertrust Employee Benefit Trustee Limited a/c 2019	Ordinary Non-Voting	108,572		/ /
Oliver Jones	Ordinary Non-Voting	59,830		/ /
Florent Henri Lambert	Ordinary Non-Voting	28,183		/ /
Charles Max Lesser	Ordinary Non-Voting	0	311,368	02 / 06 / 2020
Sarah Louise McCombie	Ordinary Non-Voting	1,875		/ /
Matthew Robert McGlynn	Ordinary Non-Voting	4,000		/ /
Peter Verney McKee	Ordinary Non-Voting	5,000		/ /
Thomas Mischkewitz	Ordinary Non-Voting	5,000		/ /
Farooq Muzammal	Ordinary Non-Voting	33,384		/ /

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Shareholder information for a non-traded company

Show any information that has changed for each person.

Please list the company shareholders in alphabetical order. Joint shareholders should be listed consecutively.

Shareholder's Name (Address not required)	Class of share	Shares held at confirmation date	Shares transferred (if appropriate)	
		Number of shares	Number of shares	Date of registration of transfer
Shaun Owen	Ordinary Non-Voting	0	28,182	14 / 08 / 2020
Darren John Potter	Ordinary Non-Voting	0	7,500	14 / 08 / 2020
Gavin Hamilton Prentice	Ordinary Non-Voting	596,894		/ /
Stephen Howard Sparke	Ordinary Non-Voting	47,692		/ /
Luke Alexander Turpin	Ordinary Non-Voting	100,000		/ /
James Arthur Ward	Ordinary Non-Voting	10,000		/ /
				/ /
				/ /
				/ /
				/ /
				/ /
				/ /

**Presenter information**

You do not have to give any contact information, but if you do it will help Companies House if there is a query on the form. The contact information you give will be visible to searchers of the public record.

Contact name

Company name

The Company Secretary

Address

Level Five

155 Bishopsgate

Post town

London

County/Region

Postcode

E

C

2

M

3

T

Q

Country

United Kingdom

DX

Telephone

**Checklist**

We may return forms completed incorrectly or with information missing.

Please make sure you have remembered the following:

- ☐ The company name and number match the information held on the public Register.
- ☐ You have checked the company information that we hold.
- ☐ You have shown any relevant changes made to your information on the additional parts to this form or filed the appropriate form before or at the same time as this confirmation statement.
- ☐ You have signed the form.
- ☐ You have enclosed the correct fee if appropriate.

**How to pay**

You must include a £40 fee with the first Confirmation Statement you file each year. Further Confirmation Statements made in the same year don't require a fee.

Make cheques or postal orders payable to 'Companies House.'

**Important information**

All information on this form will appear on the public record.

**Where to send**

You may return this form to any Companies House address, however for expediency we advise you to return it to the appropriate address below:

For companies registered in England and Wales:

The Registrar of Companies, Companies House,
Crown Way, Cardiff, Wales, CF14 3UZ.
DX 33050 Cardiff.

For companies registered in Scotland:

The Registrar of Companies, Companies House,
Fourth floor, Edinburgh Quay 2,
139 Fountainbridge, Edinburgh, Scotland, EH3 9FF.
DX ED235 Edinburgh 1
or LP - 4 Edinburgh 2 (Legal Post).

For companies registered in Northern Ireland:

The Registrar of Companies, Companies House,
Second Floor, The Linenhall, 32-38 Linenhall Street,
Belfast, Northern Ireland, BT2 8BG.
DX 481 N.R. Belfast 1.

**Further information**

For further information, please see the guidance notes on the website at www.gov.uk/companieshouse or email enquiries@companieshouse.gov.uk

This form is available in an alternative format. Please visit the forms page on the website at www.gov.uk/companieshouse



Companies House

COMPANY NAME: MAREX SPECTRON GROUP LIMITED

COMPANY NUMBER: 05613060

A second filed CS01(Statement of Capital and Shareholder Information) was registered on 06.04.2021.