

AR01

Annual Return

(For returns made up to a date on or after 1 October 2011)



Companies House

A fee is payable with this form
Please see 'How to pay' on the
last page.

You can use the WebFiling service to file this form online.
Please go to www.companieshouse.gov.uk

☒ **What this form is for**
You may use this form to confirm
that the company information is
correct as at the date of this return.
You must file an Annual Return at
least once every year

☐ **What this form is NOT for**
You cannot use this form to give
notice of changes to the company
officers, registered office address,
company type or information
relating to the company records

WEDNESDAY



A2M00GKG

A13

27/11/2013

#333

COMPANIES HOUSE

Part 1

Company details

The section must be completed by all companies.

→ **Filling in this form**
Please complete in typescript or in
bold black capitals

All fields are mandatory unless
specified or indicated by *

A1 Company details

Company number 0 5 6 1 3 0 6 0

Company name in full ¹ MAREX SPECTRON GROUP LIMITED

1 Company name change
If your company has recently
changed its name, please provide
the company name as at the date of
this return

A2 Return date

Please give the annual return made up date. The return date must not be a future
date. The annual return must be delivered within 28 days of the date given below.

If you would like the company's made up date to be earlier than 1 October 2011,
please complete the AR01 appropriate for earlier made up dates

Date of this return ² d 0 d 4 m 1 m 1 y 2 y 0 y 1 y 3

2 Date of this return
Your company's return date
is usually the anniversary of
incorporation or the anniversary
of the last annual return filed at
Companies House. You may choose
an earlier return date but it must not
be a later date

A3 Principal business activity

Please show the trade classification code number(s) for the principal
activity or activities ³

Classification code 1 6 4 9 9 9

Classification code 2

Classification code 3

Classification code 4

If you cannot determine a code, please give a brief description of your
business activity below

Principal activity
description

3 Principal business activity
You must provide a trade
classification code (SIC code 2007)
or a description of your company's
main business in this section

A full list of the trade classification
codes are available on our website
www.companieshouse.gov.uk

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Company type^①

Please confirm your company type by ticking the appropriate box below (only one box must be ticked)

- ☐ Public limited company
☒ Private company limited by shares
☐ Private company limited by guarantee
☐ Private company limited by shares exempt under section 60
☐ Private company limited by guarantee exempt under section 60
☐ Private unlimited company with share capital
☐ Private unlimited company without share capital

① Company type

If you are unsure of your company type, please check your latest certificate of incorporation or our website
www.companieshouse.gov.uk

A5

Registered office address^②

Building name/number 155

Street BISHOPSGATE

Post town LONDON

County/Region

Postcode E C 2 M 3 T Q

② Change of registered office

This must agree with the address that is held on the Companies House record at the date of this return

If the registered office address has changed, you should complete form AD01 and submit it together with this annual return

A6

Single alternative inspection location (SAIL) of the company records (if applicable)^③

Building name/number

Street

Post town

County/Region

Postcode

③ SAIL address

This must agree with the address that is held on the Companies House record at the date of this return

If the address has changed, you should complete form AD02 and submit it together with this annual return

A7

Location of company records^④

Please tick the appropriate box to indicate which records are kept at the SAIL address in Section A6

- ☐ Register of members
☐ Register of directors
☐ Directors' service contracts
☐ Directors' indemnities
☐ Register of secretaries
☐ Records of resolutions etc
☐ Contracts relating to purchase of own shares
☐ Documents relating to redemption or purchase of own share out of capital by private company
☐ Register of debenture holders
☐ Report to members of outcome of investigation by public company into interests in its shares
☐ Register of interests in shares disclosed to public company
☐ Instruments creating charges and register of charges England and Wales or Northern Ireland
☐ Instruments creating charges and register of charges Scotland

④ Location of company records

If the company records are held at the registered office address, do not tick any of the boxes in this section

Certain records must be kept by every company while other records are only kept by certain company types where appropriate

If the records are not kept at the SAIL address, they must be available at the registered office

If any of the company records have moved from the registered office to the address in Section A6 since the last annual return, you must complete form AD03 and submit it together with this annual return

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Part 2**Officers of the company**

This section should include details of the company at the date to which this annual return is made up

- For a **secretary** who is an individual, go to **Section B1**
- For a **corporate secretary**, go to **Section C1**
- For a **director** who is an individual, go to **Section D1**
- For a **corporate director**, go to **Section E1**

Continuation pages

Please use a continuation page if you need to enter more officer details

Secretary**B1****Secretary's details ^①**

Please use this section to list all the secretaries of the company
For a corporate secretary, complete Section C1-C4

Title*	
Full forename(s)	NIGEL RICHARD
Surname	EDWARDS
Former name(s) ^②	

① Secretary appointments

You may not use this form to appoint a secretary. To do this, please complete form AP03 and submit it together with this annual return.

Corporate details

Please use **Section C1-C4** to enter corporate secretary details.

Secretary details

All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH03.

② Former name(s)

Please provide any previous names which have been used for business purposes during the period of this return. Married women do not need to give former names unless previously used for business purposes.

B2**Secretary's service address ^③**

Building name/number	THE COMPANY'S REGISTERED ADDRESS									
Street										
Post town										
County/Region										
Postcode										
Country										

③ Service address

If you have previously notified Companies House that the service address is at 'The Company's Registered Office', please state 'The Company's Registered Office' in the address.

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Corporate secretary

C1 Corporate secretary's details ^①

Please use this section to list all the corporate secretaries of the company

Corporate body/firm
name

Building name/number

Street

Post town

County/Region

Postcode

Country

① Corporate secretary appointments

You cannot use this form to appoint a corporate secretary. To do this, please complete form AP04 and submit it together with this annual return.

Corporate secretary details

All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH04.

This information will appear on the public record.

C2 Location of the registry of the corporate body or firm

Is the corporate secretary registered within the European Economic Area (EEA)?

→ Yes Complete **Section C3 only**→ No Complete **Section C4 only****C3** EEA companies ^②

Please give details of the register where the company file is kept (including the relevant state) and the registration number in that register.

Where the company/
firm is registered ^③

Registration number

② EEA

A full list of countries of the EEA can be found in our guidance www.companieshouse.gov.uk

③ This is the register mentioned in Article 3 of the First Company Law Directive (68/151/EEC)

C4 Non-EEA companies

Please give details of the legal form of the corporate body or firm and the law by which it is governed. If applicable, please also give details of the register in which it is entered (including the state) and its registration number in that register.

Legal form of the
corporate body
or firm

Governing law

If applicable, where
the company/firm is
registered ^④If applicable, the
registration number**④ Non-EEA**

Where you have provided details of the register (including state) where the company or firm is registered, you must also provide its number in that register.

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Director

D1

Director's details ^①

Please use this section to list all the directors of the company
For a corporate director, complete Section E1-E4.

Title*	
Full forename(s)	VITTORI PIGNATTI-MORANO
Surname	CAMPORI
Former name(s) ^②	
Country/State of residence	UNITED KINGDOM
Nationality	ITALIAN
Date of birth	d1 d4 m0 m9 y1 y9 y5 y7
Business occupation (if any)	DIRECTOR

^① Director appointments

You cannot use this form to appoint a director. To do this, please complete form AP01 and submit it together with this annual return.

Corporate details

Please use Section E1-E4 to enter corporate director details.

Director details

All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH01.

^② Former name(s)

Please provide any previous names which have been used for business purposes during the period of this return. Married women do not need to give former names unless previously used for business purposes.

D2

Director's service address ^③

Building name/number	THE COMPANY'S REGISTERED ADDRESS
Street	
Post town	
County/Region	
Postcode	
Country	

^③ Service address

If you have previously notified Companies House that the service address is at 'The Company's Registered Office', please state 'The Company's Registered Office' in the address.

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Director**D1****Director's details ^①**

	Please use this section to list all the directors of the company For a corporate director, complete Section E1-E4															
Title*																
Full forename(s)	JOSEPH CHARLES															
Surname	COHEN															
Former name(s) ^②																
Country/State of residence	UNITED KINGDOM															
Nationality	BRITISH															
Date of birth	d	0	d	8	m	0	m	4	y	1	y	9	y	6	y	7
Business occupation (if any)	DIRECTOR															

① Director appointments

You cannot use this form to appoint a director. To do this, please complete form AP01 and submit it together with this annual return.

Corporate details

Please use Section E1-E4 to enter corporate director details.

Director details

All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH01.

② Former name(s)

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D2**Director's service address ^③**

Building name/number	THE COMPANY'S REGISTERED ADDRESS															
Street																
Post town																
County/Region																
Postcode																
Country																

③ Service address

If you have previously notified Companies House that the service address is at 'The Company's Registered Office', please state 'The Company's Registered Office' in the address.

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Director

D1	Director's details ^①	
	Please use this section to list all the directors of the company For a corporate director, complete Section E1-E4	
Title*		
Full forename(s)	STANLEY	
Surname	FINK	
Former name(s) ^②		
Country/State of residence	UNITED KINGDOM	
Nationality	BRITISH	
Date of birth	d1 d5 m0 m9 y1 y9 y5 y7	
Business occupation (if any)	CEO	

① Director appointments
You cannot use this form to appoint a director. To do this, please complete form AP01 and submit it together with this annual return.

Corporate details
Please use Section E1-E4 to enter corporate director details.

Director details
All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH01.

② Former name(s)
Please provide any previous names which have been used for business purposes during the period of this return. Married women do not need to give former names unless previously used for business purposes.

D2	Director's service address ^①	
Building name/number	THE COMPANY'S REGISTERED ADDRESS	
Street		
Post town		
County/Region		
Postcode		
Country		

① Service address
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Director

D1	Director's details ^①	
	Please use this section to list all the directors of the company For a corporate director, complete Section E1-E4	
Title*		
Full forename(s)	DANIEL ARTHUR	
Surname	HALLGARTEN	
Former name(s) ^②		
Country/State of residence	UNITED KINGDOM	
Nationality	BRITISH	
Date of birth	d2 d5 m1 m1 y1 y9 y6 y1	
Business occupation (if any)	DIRECTOR, HGT MANAGEMENT	

① Director appointments
You cannot use this form to appoint a director. To do this, please complete form AP01 and submit it together with this annual return.

Corporate details
Please use Section E1-E4 to enter corporate director details.

Director details
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② Former name(s)
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D2	Director's service address ^①	
Building name/number	THE COMPANY'S REGISTERED ADDRESS	
Street		
Post town		
County/Region		
Postcode		
Country		

① Service address
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Director

D1	Director's details ^①	
	Please use this section to list all the directors of the company For a corporate director, complete Section E1-E4.	
Title*		
Full forename(s)	SIMON JOHN NEWTON	
Surname	HEALE	
Former name(s) ^②		
Country/State of residence	UNITED KINGDOM	
Nationality	BRITISH	
Date of birth	d2 d7 m0 m4 y1 y9 y5 y3	
Business occupation (if any)	DIRECTOR	

① Director appointments
You cannot use this form to appoint a director. To do this, please complete form AP01 and submit it together with this annual return.

Corporate details
Please use Section E1-E4 to enter corporate director details.

Director details
All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH01.

② Former name(s)
Please provide any previous names which have been used for business purposes during the period of this return. Married women do not need to give former names unless previously used for business purposes.

D2	Director's service address ^③	
Building name/number	THE COMPANY'S REGISTERED ADDRESS	
Street		
Post town		
County/Region		
Postcode		
Country		

③ Service address
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Director

D1	Director's details ^①	
	Please use this section to list all the directors of the company For a corporate director, complete Section E1-E4.	
Title*		
Full forename(s)	JEREMY MICHAEL	
Surname	ISAACS	
Former name(s) ^②		
Country/State of residence	UNITED KINGDOM	
Nationality	BRITISH	
Date of birth	d0 d1 m0 m3 y1 y9 y6 y4	
Business occupation (if any)	PARTNER, JRJ GROUP	

① Director appointments
You cannot use this form to appoint a director. To do this, please complete form AP01 and submit it together with this annual return.

Corporate details
Please use Section E1-E4 to enter corporate director details.

Director details
All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH01.

② Former name(s)
Please provide any previous names which have been used for business purposes during the period of this return. Married women do not need to give former names unless previously used for business purposes.

D2	Director's service address ^①	
Building name/number	THE COMPANY'S REGISTERED ADDRESS	
Street		
Post town		
County/Region		
Postcode		
Country		

① Service address
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Director

D1

Director's details ^①

Please use this section to list all the directors of the company
For a corporate director, complete Section E1-E4.

Title*	
Full forename(s)	PETER
Surname	KADAS
Former name(s) ^②	
Country/State of residence	UNITED KINGDOM
Nationality	CANADIAN
Date of birth	d0 d7 m0 m2 y1 y9 y6 y2
Business occupation (if any)	PRINCIPAL

① Director appointments
You cannot use this form to appoint a director. To do this, please complete form AP01 and submit it together with this annual return.

Corporate details
Please use Section E1-E4 to enter corporate director details.

Director details
All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH01.

② Former name(s)
Please provide any previous names which have been used for business purposes during the period of this return. Married women do not need to give former names unless previously used for business purposes.

D2

Director's service address ^③

Building name/number	THE COMPANY'S REGISTERED ADDRESS
Street	
Post town	
County/Region	
Postcode	
Country	

③ Service address
If you have previously notified Companies House that the service address is at 'The Company's Registered Office', please state 'The Company's Registered Office' in the address.

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Director

D1	Director's details ^①	
	Please use this section to list all the directors of the company For a corporate director, complete Section E1-E4	
Title*		
Full forename(s)	IAN THEO	
Surname	LOWITT	
Former name(s) ^②		
Country/State of residence	UNITED STATES	
Nationality	AMERICAN	
Date of birth	d1 d8 m0 m2 y1 y9 y6 y4	
Business occupation (if any)	DIRECTOR	

① Director appointments
You cannot use this form to appoint a director. To do this, please complete form AP01 and submit it together with this annual return.

Corporate details
Please use Section E1-E4 to enter corporate director details.

Director details
All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH01.

② Former name(s)
Please provide any previous names which have been used for business purposes during the period of this return. Married women do not need to give former names unless previously used for business purposes.

D2	Director's service address ^③	
Building name/number	THE COMPANY'S REGISTERED ADDRESS	
Street		
Post town		
County/Region		
Postcode		
Country		

③ Service address
If you have previously notified Companies House that the service address is at 'The Company's Registered Office', please state 'The Company's Registered Office' in the address.

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Director

D1	Director's details ^①									
	Please use this section to list all the directors of the company For a corporate director, complete Section E1-E4									
Title*										
Full forename(s)	ROGER BENJAMIN									
Surname	NAGIOFF									
Former name(s) ^②										
Country/State of residence	UNITED KINGDOM									
Nationality	BRITISH									
Date of birth	<table><tr><td>0</td><td>9</td><td>0</td><td>5</td><td>1</td><td>9</td><td>6</td><td>4</td></tr></table>	0	9	0	5	1	9	6	4	
0	9	0	5	1	9	6	4			
Business occupation (if any)	PARTNER, JRJ GROUP									

① Director appointments
You cannot use this form to appoint a director. To do this, please complete form AP01 and submit it together with this annual return.

Corporate details
Please use Section E1-E4 to enter corporate director details.

Director details
All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH01.

② Former name(s)
Please provide any previous names which have been used for business purposes during the period of this return. Married women do not need to give former names unless previously used for business purposes.

D2	Director's service address ^①	
Building name/number	THE COMPANY'S REGISTERED ADDRESS	
Street		
Post town		
County/Region		
Postcode		
Country		

① Service address
If you have previously notified Companies House that the service address is at 'The Company's Registered Office', please state 'The Company's Registered Office' in the address.

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(For returns made up to a date on or after 1 October 2011)

Director

D1	Director's details ^①	
	Please use this section to list all the directors of the company For a corporate director, complete Section E1-E4	
Title*		
Full forename(s)	JOHN PATRICK	
Surname	PHIZACKERLY	
Former name(s) ^②		
Country/State of residence	UNITED KINGDOM	
Nationality	BRITISH	
Date of birth	d3 d0 m0 m1 y1 y9 y6 y2	
Business occupation (if any)	CO-CAO NOMURA EUROPE, CEO NOMURA BANK INTL	

① Director appointments
You cannot use this form to appoint a director. To do this, please complete form AP01 and submit it together with this annual return.

Corporate details
Please use Section E1-E4 to enter corporate director details.

Director details
All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH01.

② Former name(s)
Please provide any previous names which have been used for business purposes during the period of this return. Married women do not need to give former names unless previously used for business purposes.

D2	Director's service address ^①	
Building name/number	THE COMPANY'S REGISTERED ADDRESS	
Street		
Post town		
County/Region		
Postcode		
Country		

① Service address
If you have previously notified Companies House that the service address is at 'The Company's Registered Office', please state 'The Company's Registered Office' in the address.

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Director

D1	Director's details ^①	
	Please use this section to list all the directors of the company For a corporate director, complete Section E1-E4	
Title*		
Full forename(s)	STEPHEN HOWARD	
Surname	SPARKE	
Former name(s) ^②		
Country/State of residence	UNITED KINGDOM	
Nationality	BRITISH	
Date of birth	0 3 0 3 1 9 5 8	
Business occupation (if any)	DIRECTOR	

① Director appointments
You cannot use this form to appoint a director. To do this, please complete form AP01 and submit it together with this annual return.

Corporate details
Please use Section E1-E4 to enter corporate director details.

Director details
All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH01.

② Former name(s)
Please provide any previous names which have been used for business purposes during the period of this return. Married women do not need to give former names unless previously used for business purposes.

D2	Director's service address ^①	
Building name/number	THE COMPANY'S REGISTERED ADDRESS	
Street		
Post town		
County/Region		
Postcode		
Country		

① Service address
If you have previously notified Companies House that the service address is at 'The Company's Registered Office', please state 'The Company's Registered Office' in the address.

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Director

D1	Director's details ^①	
	Please use this section to list all the directors of the company For a corporate director, complete Section E1-E4.	
Title*		
Full forename(s)	PETER MICHAEL	
Surname	SUGARMAN	
Former name(s) ^②		
Country/State of residence	UNITED KINGDOM	
Nationality	BRITISH	
Date of birth	0 7 0 1 1 9 6 0	
Business occupation (if any)	PARTNER, JRJ GROUP	

① Director appointments
You cannot use this form to appoint a director. To do this, please complete form AP01 and submit it together with this annual return.

Corporate details
Please use Section E1-E4 to enter corporate director details.

Director details
All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH01.

② Former name(s)
Please provide any previous names which have been used for business purposes during the period of this return. Married women do not need to give former names unless previously used for business purposes.

D2	Director's service address ^③	
Building name/number	THE COMPANY'S REGISTERED ADDRESS	
Street		
Post town		
County/Region		
Postcode		
Country		

③ Service address
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Director

D1	Director's details ①	
	Please use this section to list all the directors of the company For a corporate director, complete Section E1-E4.	
Title*		
Full forename(s)	JOHN PIUS	
Surname	WALL	
Former name(s)②		
Country/State of residence	UNITED KINGDOM	
Nationality	IRISH	
Date of birth	d2 d4 m0 m4 y1 y9 y6 y1	
Business occupation (if any)		

① Director appointments
You cannot use this form to appoint a director. To do this, please complete form AP01 and submit it together with this annual return.

Corporate details
Please use Section E1-E4 to enter corporate director details.

Director details
All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH01.

② Former name(s)
Please provide any previous names which have been used for business purposes during the period of this return. Married women do not need to give former names unless previously used for business purposes.

D2	Director's service address ③	
Building name/number	THE COMPANY'S REGISTERED ADDRESS	
Street		
Post town		
County/Region		
Postcode		
Country		

③ Service address
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(For returns made up to a date on or after 1 October 2011)

Corporate director**E1 Corporate director's details ¹**

Please use this section to list all the corporate director's of the company

Corporate body/firm
name

Building name/number

Street

Post town

County/Region

Postcode

Country

¹ Corporate director appointments

You cannot use this form to appoint a corporate director. To do this, please complete form AP02 and submit it together with this annual return.

Corporate director details

All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH02.

This information will appear on the public record.

E2 Location of the registry of the corporate body or firm

Is the corporate director registered within the European Economic Area (EEA)?

→ Yes Complete **Section E3 only**→ No Complete **Section E4 only****E3 EEA companies ²**

Please give details of the register where the company file is kept (including the relevant state) and the registration number in that register.

Where the company/
firm is registered ³

Registration number

² EEA

A full list of countries of the EEA can be found in our guidance www.companieshouse.gov.uk

³ This is the register mentioned in Article 3 of the First Company Law Directive (68/151/EEC)

E4 Non-EEA companies

Please give details of the legal form of the corporate body or firm and the law by which it is governed. If applicable, please also give details of the register in which it is entered (including the state) and its registration number in that register.

Legal form of the
corporate body
or firm

Governing law

If applicable, where
the company/firm is
registered ⁴If applicable, the
registration number**⁴ Non-EEA**

Where you have provided details of the register (including state) where the company or firm is registered, you must also provide its number in that register.

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Annual Return

(For returns made up to a date on or after 1 October 2011)

Part 3 Statement of capital^o

Does your company have share capital?

→ Yes Complete the sections below and the following Part 4

→ No Go to Part 5 (Signature)

^o This should reflect the company's capital status at the made up date of this annual return**F1 Share capital in pound sterling (£)**Please complete the table below to show each class of shares held in pound sterling
If all your issued capital is in sterling, only complete Section F1 and then go to Section F4

Class of shares (E g Ordinary/Preference etc)	Amount paid up on each share ²	Amount (if any) unpaid on each share ²	Number of shares ³	Aggregate nominal value ⁴
				£
				£
				£
				£
Totals				£

F2 Share capital in other currenciesPlease complete the table below to show any class of shares held in other currencies
Please complete a separate table for each currency

Currency				
Class of shares (E g Ordinary/Preference etc)	Amount paid up on each share ²	Amount (if any) unpaid on each share ²	Number of shares ³	Aggregate nominal value ⁴
ORDINARY	\$0 000165		75,516,874	\$12 460 28
ORDINARY	\$4 078602		30,974,714	\$5,110 83
Totals			CONTINUED	CONTINUED

Currency				
Class of shares (E g Ordinary/Preference etc)	Amount paid up on each share ²	Amount (if any) unpaid on each share ²	Number of shares ³	Aggregate nominal value ⁴
Totals				

F3 Totals

Please give the total number of shares and total aggregate nominal value of issued share capital

Total number of shares	232,295,026
Total aggregate nominal value ⁵	\$176,238,111 64

⁵ Total aggregate nominal value
Please list total aggregate values in different currencies separately For example £100 + €100 + \$10 etc² Including both the nominal value and any share premium³ Number of shares issued multiplied by nominal value of each share**Continuation Pages**
Please use a Statement of Capital continuation page if necessary³ Total number of issued shares in this class

Statement of capital ^o

Please complete the table below to show each class of shares held in other currencies. Please complete a separate table for each currency.

① This should reflect the company's capital status at the made up date of this annual return

Currency	US DOLLARS			
Class of shares (E g Ordinary/Preference etc)	Amount paid up on each share ②	Amount (if any) unpaid on each share ②	Number of shares ①	Aggregate nominal value ④
NON VOTING ORDINARY	\$0 000165		3,680,624	\$607 30
NON VOTING ORDINARY	\$4 078602		179,794	\$29 67
NON VOTING ORDINARY	\$3 20		128,458	\$21 20
DEFERRED	\$1 65		106,798,427	\$176,217,404 72
GROWTH	\$0 000165		15,016,135	\$2,477 64
Totals			232,295,026	\$176,238,111 64

② Including both the nominal value and any share premium

④ Number of shares issued multiplied by nominal value of each share

① Total number of issued shares in this class

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Annual Return

(For returns made up to a date on or after 1 October 2011)

F4

Statement of capital (Voting rights)

Please give the prescribed particulars of rights attached to shares for each class of share shown in the statement of capital share tables in Sections F1 and F2

Class of share	ORDINARY
Voting rights	EACH ORDINARY SHARE CARRIES - THE RIGHT TO VOTE - RIGHTS AS TO PARTICIPATION IN DIVIDENDS OR DISTRIBUTIONS PRO RATA WITH THE NON-VOTING ORDINARY SHARES - ENTITLEMENT TO RETURN OF CAPITAL (INCLUDING ON WINDING UP) PRO RATE WITH THE NON-VOTING ORDINARY SHARES - NO RIGHT OF REDEMPTION
Class of share	NON VOTING ORDINARY
Voting rights	EACH NON-VOTING ORDINARY SHARE CARRIES - NO VOTING RIGHTS - RIGHTS AS TO PARTICIPATION IN DIVIDENDS OR DISTRIBUTIONS PRO RATA WITH THE ORDINARY SHARES - ENTITLEMENT TO RETURN OF CAPITAL (INCLUDING ON WINDING UP) PRO RATA WITH THE ORDINARY SHARES - NO RIGHT OF REDEMPTION
Class of share	DEFERRED
Voting rights	EACH DEFERRED SHARE CARRIES - NO VOTING RIGHTS - NO RIGHTS AS TO PARTICIPATING IN DIVIDENDS OR DISTRIBUTIONS - ENTITLEMENT TO RETURN OF CAPITAL (INCLUDING ON WINDING UP) AFTER HOLDERS OF ORDINARY SHARES AND NON-VOTING ORDINARY SHARES HAVE RECEIVED A RETURN OF CAPITAL IN EXCESS OF £50 BILLION - NO RIGHT OF REDEMPTION
Class of share	GROWTH
Voting rights	EACH GROWTH SHARE CARRIES - NO VOTING RIGHTS - NO RIGHTS AS TO PARTICIPATING IN DIVIDENDS OR DISTRIBUTIONS - ENTITLEMENT TO RETURN OF CAPITAL (INCLUDING ON WINDING UP) - SHARES MAY BE REDEEMED BY THE COMPANY ON A SPECIFIED REDEMPTION DATE AND AT A REDEMPTION PRICE TO BE CALCULATED BY REFERENCE TO A FORMULA CONTAINED IN THE ARTICLES OF ASSOCIATION

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Annual Return

(For returns made up to a date on or after 1 October 2011)

Part 4 Shareholders

Does your company have share capital?

→ Yes go to **Section G1** 'Companies with share capital'→ No Go to **Part 5 (Signature)****G1 Companies with share capital**

Question 1

Were any of the company's shares admitted to trading on a market at any time during this return period? Please tick the appropriate box below ^①☒ No go to **Section G2** 'Past and present shareholders'☐ Yes go to **Question 2**.

Question 2

Please only refer to Question 2 below if you have answered 'Yes' to Question 1. If you answered 'No', please go to **Section G2** 'Past and present shareholders'Did the company, throughout the return period, have any shares admitted to trading on a relevant market and was it, throughout the return period, an issuer to which DTR5 applies? Please tick the appropriate box below ^②☐ No go to **Section G4** 'Shareholders who hold at least 5% of any class of shares of the company as at the made up date of the return'☐ Yes go to **Part 5 'Signature'**

^① A market is one established under the rules of a UK recognised investment exchange or any other regulated markets in or outside of the UK, or any other market outside of the UK. The current UK recognised investment exchanges and regulated markets can be found at www.fsa.gov.uk/register/exchanges.do

^② DTR5 refers to the Vote Holder and Issuer Notification Rules contained in Chapter 5 of the Disclosure and Transparency Rules source book issued by the Financial Services Authority. Notification is required when the percentage acquisition of a shareholder in the company has reached a certain threshold (starting at 3%)

G2 List of past and present shareholders ^③

The company is required to provide a full list of past and present shareholders if one was not included with either of the last two returns. Please tick the appropriate box below

☐ There were no shareholder changes in this period. Go to **Part 5 (Signature)**☒ A full list of shareholders is enclosed☐ A list of shareholder changes is enclosed

How is the list of shareholders enclosed? Please tick the appropriate box below

☒ The list of shareholders is enclosed on paper. Go to **Section G3** 'List of past and present shareholders'☐ The list of shareholders is enclosed in another format. Go to **Part 5 (Signature)**.

^③ This section only applies to companies answering 'No' in **Section G1**

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Annual Return

(For returns made up to a date on or after 1 October 2011)

G3**List of past and present shareholders¹**

Changes during this period to shareholders' particulars or details of the amount of stock or shares transferred must be completed each year

You must provide a 'full list' of all company shareholders on

- The company's first annual return following incorporation,
- Every third annual return after a full list has been provided

¹ Please list the company shareholders in alphabetical order

Joint shareholders should be listed consecutively

Further shareholders

Please use a 'List of past and present shareholders' continuation page if necessary

This section only applies to companies answering 'No' to Question 1 in Section G1

Shareholder's Name (Address not required)	Class of share	Shares or stock currently held	Shares or stock transferred (if appropriate)	
		Number of shares or amount of stock	Number of shares or amount of stock	Date of registration of transfer
FULL LIST APPENDED				/ /
				/ /
				/ /
				/ /
				/ /
				/ /
				/ /
				/ /
				/ /
				/ /
				/ /
				/ /
				/ /
				/ /
				/ /

shareholders name (address not required)	Class of share	Shares or stock currently held	Shares or stock transferred (if appropriate)	
		number of shares or amount of stock	number of shares or amount of stock	date of registration of transfer
Amphitryon Ltd	Ordinary \$0 000165	76,810,373		
Amphitryon Ltd	Ordinary \$0 000165 Non Voting	43,401		
Amphitryon Ltd	Deferred \$1 65	77,890,068		
Jeremy Adrian Amlas	Growth \$0 000165	350,068	525,103	03/07/2013
Ola Strand Andersen	Ordinary \$0 000165 Non Voting	31,000		
James Ash	Ordinary \$0 000165 Non Voting	25,000		
Gordon Scott Bennett	Growth \$0 000165	890,037		
Stephen Roger Brown	Ordinary \$0 000165 Non Voting	28,183		
Stephen Roger Brown	Deferred \$1 65	10		
Hessam Dabiri-Rad	Ordinary \$0 000165 Non Voting	5,000		
Graham Walter Earley	Ordinary \$0 000165 Non Voting	10,000		
Dominic Michael Elliot	Ordinary \$0 000165 Non Voting	10,000		
Erlend Eidissen Englestad	Ordinary \$0 000165 Non Voting	5,250	1,750	03/07/2013
Stanley Fink	Growth \$0 000165	111,668		
Richard Micheal Frape	Ordinary \$0 000165 Non Voting	5,000		
Joshua Shawn Geraghty	Ordinary \$0 000165 Non Voting	1,333		
Mark Hanney	Ordinary \$0 000165 Non Voting	13,707		
Mark Hanney	Deferred \$1.65	5		
Simon John Newton Heale	Growth \$0 000165	55,834		
James Richard Hearn	Ordinary \$0 000165 Non Voting	230,141		
James Richard Hearn	Deferred \$1.65	2		
James Richard Hearn	Growth \$0 000165	800,000		
Philip Richard Hobcraft	Ordinary \$0 000165 Non Voting	28,182		
	Deferred \$1 65	10		
Oliver Jones	Ordinary \$0 000165 Non Voting	59,830		
	Deferred \$1 65	19		
Florent Lambert	Ordinary \$0 000165 Non Voting	28,183		
	Deferred \$1 65	10		
Charles Max Lesser	Ordinary \$0 000165 Non Voting	311,368		
	Deferred \$1 65	3		
Jamie lawson	Growth \$0 000165	606,719		
Ian Theo Lowit	Growth \$0 000165	2,132,667		
John Scott Lowrey	Growth \$0 000165	890,037		
Matthew Robert McGlynn	Ordinary \$0 000165 Non Voting	4,000		
Peter Verney Mckee	Ordinary \$0 000165 Non Voting	5,000		
Sarah Louise McCombie	Ordinary \$0 000165 Non Voting	1,875	625	03/07/2013
Thomas Mischkewitz	Ordinary \$0 000165 Non Voting	5,000		
Farooq Muzammal	Ordinary \$0 000165 Non Voting	33,384		
Farooq Muzammal	Deferred \$1 65			
Farooq Muzammal	Growth \$0 000165	285,866		
Kevin David Charles Nutt	Growth \$0 000165	656,378		
Ocean Ring Jersey Co Ltd	Ordinary \$0 000165	29,681,215		
Ocean Ring Jersey Co Ltd	Deferred \$1 65	25,471,983		

shareholders name (address not required)	Class of share	Shares or stock currently held	Shares or stock transferred (if appropriate)	
		number of shares or amount of stock	number of shares or amount of stock	date of registration of transfer
Ogier Employee benefit Trustee Ltd	Ordinary \$0.000165 Non Voting	2,311,271		
Ogier Employee benefit Trustee Ltd	Deferred \$1 65	3,436,098		
Shaun Owen	Ordinary \$0 000165 Non Voting	28,182		
Shaun Owen	Deferred \$1 65	10		
John Patrick Phizackerly	Growth \$0 000165	55,834		
Darren John Potter	Ordinary \$0 000165 Non Voting	10,000		
Gavin Hamilton Prentice	Ordinary \$0.000165 Non Voting	596,894		
Gavin Hamilton Prentice	Deferred \$1 65	178		
Gavin Hamilton Prentice	Growth \$0 000165	1,897,436	400,000	03/07/2013
Marcus Adrian Scarlett	Growth \$0.000165	404,862		
Matthew Sims	Growth \$0 000165	606,719		
Mark Andrew Slade	Growth \$0 000165	1,245,292		
Stephen Howard Sparke	Ordinary \$0 000165 Non Voting	47,692		
Stephen Howard Sparke	Deferred \$1 65	19		
Stephen Howard Sparke	Growth \$0 000165	817,367		
Luke Alexander Turpin	Ordinary \$0.000165 Non Voting	100,000		
Lohn Pius Wall	Growth \$0 000165	3,209,351		
James Arthur Ward	Ordinary \$0 000165 Non Voting	10,000		
Stephen Roger Brown	}	}		
Mark Hanney	}	}		
James Richard Hearn	}	}		
Philip Richard Hobcraft	}	}		
Oliver Jones	}	JOINTLY }		
Florent Lambert	Deferred \$1 65	HELD 12		
Charles Max Lesser	}	}		
Ocean Ring Jersey Co Ltd	}	}		
Ogier Employee benefit Trustee Ltd	}	}		
Shaun Owen	}	}		
Gavin Hamilton Prentice	}	}		
Stephen Howard Sparke	}	}		

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Annual Return

(For returns made up to a date on or **after 1 October 2011**)

G4

Shareholders who hold at least 5% of any class of share(s) of the company as at the made up date of this return

This section should show only the shareholders that hold at least 5% of any class of share(s) of the company at the date of this return

It should only be completed by companies that have answered 'Yes' to Question 1 in Section G1, and 'No' to Question 2 in Section G1

If there were no shareholders holding at least 5% of any class of share(s) at the date of this return, this section may be left blank

→ Go to Part 5 (Signature)

This section only applies to companies answering 'No' to Question 2 in Section G1

Please list the company shareholders in alphabetical order

Joint shareholders should be listed consecutively

Further shareholders

Please use a 'Shareholders who hold at least 5% of any class of share(s) of the company as at the made up date of this return' continuation page if necessary

Shares or stock currently held

Shareholder's name	Shareholder's address	Class of share	Number of shares or amount of stock

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Annual Return

(For returns made up to a date on or after 1 October 2011)

Part 5

Signature

This must be completed by all companies

I am signing this form on behalf of the company

Signature

Signature

X  X

This form may be signed by

Director¹, Secretary, Person authorised², Charity commission receiver and
manager, CIC manager, Judicial factor

1 Societas Europaea

If the form is being filed on behalf of a Societas Europaea (SE) please delete 'director' and insert details of which organ of the SE the person signing has membership

2 Person authorised

Under either section 270 or 274 of the Companies Act 2006

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Annual Return

(For returns made up to a date on or after 1 October 2011)



Presenter information

You do not have to give any contact information, but if you do it will help Companies House if there is a query on the form. The contact information you give will be visible to searchers of the public record.

Contact name
NIGEL EDWARDS

Company name
Marex Spectron Group Limited

Address
155 BISHOPSGATE

Post town
LONDON

County/Region

Postcode
E C 2 M 3 T Q

Country

DX

Telephone
+ 44 0207 655 6000



Checklist

We may return forms completed incorrectly or with information missing

Please make sure you have remembered the following

- ☐ That if the made up date of the return is any earlier than 1 October 2011, you must complete the appropriate form AR01
- ☐ The company name and number match the information held on the public Register
- ☐ You have completed your principal business activity
- ☐ You have not used this form to make changes to the registered office address
- ☐ You have not used this form to make changes to secretary and director details
- ☐ You have fully completed the Statement of capital (if applicable)
- ☐ You have signed the form
- ☐ You have enclosed the correct fee



Important information

Please note that all information on this form will appear on the public record.



How to pay

A fee of £40 is payable to Companies House in respect of an Annual Return

Make cheques or postal orders payable to 'Companies House'



Where to send

You may return this form to any Companies House address, however for expediency we advise you to return it to the appropriate address below

For companies registered in England and Wales

The Registrar of Companies, Companies House,
Crown Way, Cardiff, Wales, CF14 3UZ
DX 33050 Cardiff

For companies registered in Scotland

The Registrar of Companies, Companies House,
Fourth floor, Edinburgh Quay 2,
139 Fountainbridge, Edinburgh, Scotland, EH3 9FF
DX ED235 Edinburgh 1
or LP - 4 Edinburgh 2 (Legal Post)

For companies registered in Northern Ireland

The Registrar of Companies, Companies House,
Second Floor, The Linenhall, 32-38 Linenhall Street,
Belfast, Northern Ireland, BT2 8BG
DX 481 N R Belfast 1



Further information

For further information, please see the guidance notes on the website at www.companieshouse.gov.uk or email enquiries@companieshouse.gov.uk

This form is available in an alternative format. Please visit the forms page on the website at www.companieshouse.gov.uk