



000140/50

363a

Please complete in typescript, or in  
bold black capitals

## Annual Return

CHFP000

Company number

5594989

Company name in full

PUMA VCT III PLC

### Date of this return

The information in this return is made up to

Day	Month	Year
1	7	1
0	2	0
0	0	8

### Date of next return

If you wish to make your next return to a date  
earlier than the anniversary of this return  
please show that date here. Companies  
House will then send a form at the  
appropriate time

Day	Month	Year

### Registered Office

Show here the address at the date of  
this return

BOND STREET HOUSE ✓

14 CLIFFORD STREET

Any change of  
registered office  
must be notified  
on form 287

Post town

LONDON

County/Region

UK Postcode

W 1 S 4 J U

### Principal business activities

Show trade classification code number(s) for  
the principal activity or activities

7487 ✓

If the code number cannot be determined,



\*ADRO44Z9\*

A53 20/11/2008 26

COMPANIES HOUSE

A23 14/11/2008 48

COMPANIES HOUSE

When you have completed and signed the form please send it to the  
Registrar of Companies at  
Companies House, Crown Way, Cardiff, CF14 3UZ  
for companies registered in England or Wales or  
Companies House, 37 Castle Terrace, Edinburgh, EH1 2EB  
For companies registered in Scotland

DX 33050 Cardiff

DX ED235 Edinburgh 1  
or LP-4 Edinburgh 2THURSDAY  
F

07/08

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**Register of members**

If the register of members is not kept at the registered office, state here where it is kept

CAPITA REGISTRARS ✓

THE REGISTRY, 34 BECKENHAM ROAD

Post town

BECKENHAM

County/Region

KENT

UK Postcode

B R 3 4 T U

**Register of Debenture holders**

If there is a register of debenture holders, or a duplicate of any such register or part of it, which is not kept at the registered office, state here where it is kept

Post town

County/Region

UK Postcode

**Company type**

Public limited company

☒

Private company limited by shares

☐

Private company limited by guarantee without share capital

☐

Private company limited by shares exempt under section 30

☐

Private company limited by guarantee exempt under section 30

☐

Private unlimited company with share capital

☐

Private unlimited company without share capital

☐

Please tick the appropriate box

**Company Secretary**

Details of a new company secretary must be notified on form 288a

\* Voluntary details  
(Please photocopy  
this area to provide  
details of joint  
secretaries)

Name

\*Style/Title

Forename(s)

ELIOT CHARLES

Surname

KAYE

Address ††

33 HOLMFIELD AVENUE ✓

Post town

LONDON

County/Region

UK Postcode

N W 4 2 L P

Country

UK

†† Tick the box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985. Otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address

☐

If a partnership, give the names and addresses of the partners or the name of the partnership and office address

**Directors**

Please list the directors in alphabetical order

\* Voluntary details

In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name

Details of new directors must be notified on form 288a

<b>Name</b>	<b>*Style/Title</b>										
		Day		Month		Year					
<b>Date of birth</b>		2	7	/	0	4	/	1	9	5	6
<b>Forename(s)</b>	GRAHAM BARRY										
<b>Surname</b>	SHORE										
<b>Address ††</b>	BOND STREET HOUSE										
<input checked="" type="checkbox"/>	14 CLIFFORD STREET ✓										
<b>Post town</b>	LONDON										
<b>County/Region</b>											
<b>UK Postcode</b>	W	1	S			4	J	U			
<b>Country</b>	UK										
<b>Nationality</b>	BRITISH										
<b>Business occupation</b>	MANAGING DIRECTOR ✓										

†† Tick the box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985. Otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address

**Directors**

Please list the directors in alphabetical order

\* Voluntary details

In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name

Details of new directors must be notified on form 288a

<b>Name</b>	<b>*Style/Title</b>	SIR									
		Day		Month		Year					
<b>Date of birth</b>		2	9	/	0	1	/	1	9	5	2
<b>Forename(s)</b>	AUBREY										
<b>Surname</b>	BROCKLEBANK										
<b>Address ††</b>	HUNTERS LODGE ✓										
<input type="checkbox"/>	13 ST ANDREWS LANE										
<b>Post town</b>	TITCHMARSH										
<b>County/Region</b>	KETTERING										
<b>UK Postcode</b>	N	N	1	4		3	D	N			
<b>Country</b>	UK										
<b>Nationality</b>	BRITISH										
<b>Business occupation</b>	DIRECTOR ✓										

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**Issue share capital**

Enter details of all the shares in issue at the date of this return

Class (e.g.  
Ordinary/Preference)

Number of shares  
issued

Aggregate Nominal  
Value

(i.e. Number of shares  
issued multiplied by  
nominal value per share, or  
total amount of stock)

ORDINARY 1p

19,512,692

£195,126 92

Totals

19,512,692

£195,126 92

**Traded public companies**

A traded public company means a company any of whose shares are shares admitted to trading on a regulated market

Please tick this box if your company was a traded public company at any time during the period of this return



?

**List of past and present shareholders**

(use attached schedule where appropriate)

Please tick the appropriate box below

On paper

In another format

Private or non-traded public companies are required to provide a "full list" if one was not included with either of the last two returns

A full list of shareholders for a private or non-traded public company is enclosed **Please complete Schedule A.**

☐☐

Traded public companies are required to provide a list of shareholders who held at least 5% of the issued shares of any share class if a list was not provided with either of the last two returns

A list of shareholders holding at least 5% of the issued shares of any share class for a traded public company is enclosed **Please complete Schedule B**

☒☐

See note on Schedule B

A list containing shareholder changes is enclosed

☐☐

→ For private or non-traded public companies, please complete **Schedule A**

→ For traded public companies, please complete **Schedule B**

There were no shareholder changes in this period

☐**Certificate**

I certify that the information given in this return is true to the best of my knowledge and belief

Signed

*Quikly* ✓

Date

13/11/08

\* Please delete as appropriate

(director / secretary)

When you have signed the return, send it with the fee to the Registrar of Companies. Make cheques payable to Companies House

This return includes

☐

continuation sheets

(enter number)

You do not have to give any contact information in the box opposite but if you do, it will help Companies House to contact you if there is a query on the form. The contact information that you give will be visible to searchers of the public record

DX number

DX exchange

**Directors**

Please list the directors in alphabetical order

\* Voluntary details

In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name

**Details of new directors must be notified on form 288a**

<b>Name</b>	<b>*Style/Title</b>										
		Day		Month		Year					
<b>Date of birth</b>		0	5	/	1	0	/	1	9	4	9
<b>Forename(s)</b>	DAVID MICHAEL										
<b>Surname</b>	BROCK										
<b>Address</b> ††	WINDYRIDGE ✓										
	44 BEECHWOOD AVENUE										
<b>Post town</b>	AMERSHAM										
<b>County/Region</b>	BUCKINGHAMSHIRE										
<b>UK Postcode</b>	H	P	6		6	P	N				
<b>Country</b>	UK										
<b>Nationality</b>	BRITISH										
<b>Business occupation</b>	DIRECTOR ✓										

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☐**Directors**

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**Details of new directors must be notified on form 288a**

<b>Name</b>	<b>*Style/Title</b>										
		Day		Month		Year					
<b>Date of birth</b>				/		/					
<b>Forename(s)</b>											
<b>Surname</b>											
<b>Address</b> ††											
<b>Post town</b>											
<b>County/Region</b>											
<b>UK Postcode</b>											
<b>Country</b>											
<b>Nationality</b>											
<b>Business occupation</b>											

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Please list the directors in alphabetical order

\* Voluntary details

In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name

Details of new directors must be notified on form 288a

Name \*Style/Title \_\_\_\_\_

Day Month Year

Date of birth   /   /    

Forename(s) \_\_\_\_\_

Surname \_\_\_\_\_

Address ☐ ☐ \_\_\_\_\_

Post town \_\_\_\_\_

County/Region \_\_\_\_\_

UK Postcode       

Country \_\_\_\_\_

Nationality \_\_\_\_\_

Business occupation \_\_\_\_\_

☐ Tick the box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985. Otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address

**Directors**

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In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name

Details of new directors must be notified on form 288a

Name \*Style/Title \_\_\_\_\_

Day Month Year

Date of birth   /   /    

Forename(s) \_\_\_\_\_

Surname \_\_\_\_\_

Address ☐ ☐ \_\_\_\_\_

Post town \_\_\_\_\_

County/Region \_\_\_\_\_

UK Postcode       

Country \_\_\_\_\_

Nationality \_\_\_\_\_

Business occupation \_\_\_\_\_

☐ Tick the box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985. Otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address

This must only be completed by companies that have traded on a regulated market and must show the details of shareholders who held at least 5% of the issued shares of any class of the company at any time during the period

**Schedule B**  
**for traded public companies**  
**List of past and present shareholders**

Company number

Company name in full

- Changes to shareholders particulars or details of the amount of stock or shares transferred must be completed each year
- You must provide a list of all company shareholders who held at least 5% of the issued shares of any class of the company on:
  - The company's first annual return following incorporation
  - Every third annual return after a full list has been provided
- List the company shareholders in alphabetical order or provide an index
- List joint shareholders consecutively

Shareholder's name and address	Class and number of shares or amount of stock held	Shares or amount of stock transferred (if appropriate)	
		Class and number of shares or amount of stock transferred	Date of registration of transfer
Name ----- Address ----- ----- ----- UK Postcode L L L L L L L L			
Name ----- Address ----- ----- ----- UK Postcode L L L L L L L L			
Name ----- Address ----- ----- ----- UK Postcode L L L L L L L L			
Name ----- Address ----- ----- ----- UK Postcode L L L L L L L L			

**Schedule B**  
**for traded public companies**  
(continuation sheet)  
**List of past and present shareholders**

Company number

5594989

Shareholder's name and address	Class and number of shares or amount of stock held	Shares or amount of stock transferred (if appropriate) Class and number of shares or amount of stock transferred	Date of registration of transfer
Name ----- Address ----- ----- ----- UK Postcode L L L L L L L L	THERE ARE NO SHAREHOLDERS THAT HAVE HELD MORE		
Name ----- Address ----- ----- ----- UK Postcode L L L L L L L L			
Name ----- Address ----- ----- ----- UK Postcode L L L L L L L L			
Name ----- Address ----- ----- ----- UK Postcode L L L L L L L L			
Name ----- Address ----- ----- ----- UK Postcode L L L L L L L L			