

SH01

Return of allotment of shares



You can use the WebFiling service to file this form online.
Please go to www.companieshouse.gov.uk

☒ **What this form is for**
You may use this form to give
notice of shares allotted following
incorporation.

☐ **What this form is NOT for**
You cannot use this form to give
notice of shares taken by subscrip
on formation of the company or
for an allotment of a new class o
shares by an unlimited company.

FRIDAY



A42

27/11/2009

378

COMPANIES HOUSE

1 Company details

Company number 5 4 9 8 9 3 7
Company name in full HEARTWOOD WEALTH GROUP LIMITED

→ **Filling in this form**
Please complete in typescript or in
bold black capitals.

All fields are mandatory unless
specified or indicated by *

2 Allotment dates ①

From Date d 0 d 5 m 1 m 0 y 2 y 0 y 0 y 9
To Date d d m m y y y y

① **Allotment date**
If all shares were allotted on the
same day enter that date in the
'from date' box. If shares were
allotted over a period of time,
complete both 'from date' and 'to
date' boxes.

3 Shares allotted

Please give details of the shares allotted, including bonus shares.

② **Currency**
If currency details are not
completed we will assume currency
is in pound sterling.

Class of shares (E.g. Ordinary/Preference etc.)	Currency ②	Number of shares allotted	Nominal value of each share	Amount paid (including share premium)	Amount (if any) unpaid (including share premium)
B Ordinary Shares	£	135	0.10	13.50	nil
B Ordinary Shares	£	1800	0.10	9630.00	nil
B Ordinary Shares	£	1500	0.10	24750.00	nil

If the allotted shares are fully or partly paid up otherwise than in cash, please
state the consideration for which the shares were allotted.

Details of non-cash
consideration.

If a PLC, please attach
valuation report (if
appropriate)

SH01

Return of allotment of shares

Statement of capital

Section 4 (also Section 5 and Section 6, if appropriate) should reflect the company's issued capital at the date of this return.

4 Statement of capital (Share capital in pound sterling (£))

Please complete the table below to show each class of shares held in pound sterling. If all your issued capital is in sterling, only complete Section 4 and then go to Section 7.

Class of shares (E.g. Ordinary/Preference etc.)	Amount paid up on each share ①	Amount (if any) unpaid on each share ①	Number of shares ②	Aggregate nominal value ③
SEE CONTINUATION PAGES				£
				£
				£
				£
Totals				£

5 Statement of capital (Share capital in other currencies)

Please complete the table below to show any class of shares held in other currencies.
Please complete a separate table for each currency.

Currency				
Class of shares (E.g. Ordinary / Preference etc.)	Amount paid up on each share ①	Amount (if any) unpaid on each share ①	Number of shares ②	Aggregate nominal value ③
Totals				

Currency				
Class of shares (E.g. Ordinary/Preference etc.)	Amount paid up on each share ①	Amount (if any) unpaid on each share ①	Number of shares ②	Aggregate nominal value ③
Totals				

6 Statement of capital (Totals)

Please give the total number of shares and total aggregate nominal value of issued share capital.		④ Total aggregate nominal value Please list total aggregate values in different currencies separately. For example: £100 + €100 + \$10 etc.
Total number of shares	617668	
Total aggregate nominal value ④	£61,766.80	

① Including both the nominal value and any share premium.

③ E.g. Number of shares issued multiplied by nominal value of each share.

Continuation Pages

Please use a Statement of Capital continuation page if necessary.

② Total number of issued shares in this class.

SH01

Return of allotment of shares

7

Statement of capital (Prescribed particulars of rights attached to shares)

Please give the prescribed particulars of rights attached to shares for each class of share shown in the statement of capital share tables in **Section 4** and **Section 5**.

Class of share

SEE CONTINUATION PAGES

Prescribed particulars
①

Class of share

Prescribed particulars
①

Class of share

Prescribed particulars
①**① Prescribed particulars of rights attached to shares**

The particulars are:

- a particulars of any voting rights, including rights that arise only in certain circumstances;
- b particulars of any rights, as respects dividends, to participate in a distribution;
- c particulars of any rights, as respects capital, to participate in a distribution (including on winding up); and
- d whether the shares are to be redeemed or are liable to be redeemed at the option of the company or the shareholder and any terms or conditions relating to redemption of these shares.

A separate table must be used for each class of share.

Continuation page

Please use a Statement of Capital continuation page if necessary.

8

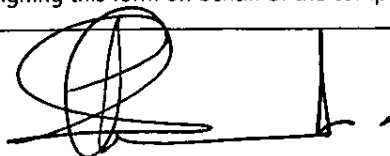
Signature

I am signing this form on behalf of the company.

Signature

Signature

X



X

This form may be signed by:

Director ②, Secretary, Person authorised ③, Administrator, Administrative receiver, Receiver, Receiver manager, CIC manager.

② Societas Europaea

If the form is being filed on behalf of a Societas Europaea (SE) please delete 'director' and insert details of which organ of the SE the person signing has membership.

③ Person authorised

Under either section 270 or 274 of the Companies Act 2006.

SH01

Return of allotment of shares



Presenter information

You do not have to give any contact information, but if you do it will help Companies House if there is a query on the form. The contact information you give will be visible to searchers of the public record.

Contact name **Melanie Romans**

Company name **Heartwood Wealth Group Ltd**

Address **77 Mount Ephraim**

Post town **Tunbridge Wells**

County/Region **Kent**

Postcode **T N 4 8 B S**

Country **England**

DX

Telephone **01892 701853**



Checklist

We may return the forms completed incorrectly or with information missing.

Please make sure you have remembered the following:

- ☐ The company name and number match the information held on the public Register.
- ☐ You have shown the date(s) of allotment in section 2.
- ☐ You have completed all appropriate share details in section 3.
- ☐ You have completed the appropriate sections of the Statement of Capital.
- ☐ You have signed the form.



Important information

Please note that all information on this form will appear on the public record.



Where to send

You may return this form to any Companies House address, however for expediency we advise you to return it to the appropriate address below:

For companies registered in England and Wales:
The Registrar of Companies, Companies House,
Crown Way, Cardiff, Wales, CF14 3UZ.
DX 33050 Cardiff.

For companies registered in Scotland:
The Registrar of Companies, Companies House,
Fourth floor, Edinburgh Quay 2,
139 Fountainbridge, Edinburgh, Scotland, EH3 9FF.
DX ED235 Edinburgh 1
or LP - 4 Edinburgh 2 (Legal Post).

For companies registered in Northern Ireland:
The Registrar of Companies, Companies House,
First Floor, Waterfront Plaza, 8 Laganbank Road,
Belfast, Northern Ireland, BT1 3BS.
DX 481 N.R. Belfast 1.



Further information

For further information please see the guidance notes on the website at www.companieshouse.gov.uk or email enquiries@companieshouse.gov.uk

This form is available in an alternative format. Please visit the forms page on the website at www.companieshouse.gov.uk

SH01 - continuation page

Return of allotment of shares

Statement of capital

Please complete the table below to show any class of shares held in other currencies. Please complete a separate table for each currency.

Currency				
Class of shares (E.g. Ordinary/preference etc.)	Amount paid up on each share ❶	Amount (if any) unpaid on each share ❶	Number of shares ❷	Aggregate nominal value ❸
A Ordinary	12.60	nil	569492	56959.60
A Ordinary	24.33	nil	1591	159.10
A Ordinary	0.20	nil	20	2.00
		A Ord Total:	571103	57110.30
B Ordinary	3.79	nil	8789	878.90
B Ordinary	5.35	nil	17709	1770.90
B Ordinary	3.99	nil	7750	775.00
B Ordinary	0.10	nil	7713	771.30
B Ordinary	16.50	nil	3500	350.00
B Ordinary	7.66	nil	1000	100.00
B Ordinary	12.60	nil	104	10.40
		B Ord Total:	46565	4656.50
Totals			617668	61766.80

❶ Including both the nominal value and any share premium.

❷ E.g. Number of shares issued multiplied by nominal value of each share.

❸ Total number of issued shares in this class.

SH01 - continuation page

Return of allotment of shares

7 Statement of capital (Prescribed particulars of rights attached to shares)

Class of share	A ORDINARY	
Prescribed particulars	<p>Voting Each holder of A Ordinary Shares shall be entitled to receive notice of, and to attend and vote at, general meetings of the Company and on a show of hands every holder of A Ordinary Shares who (being an individual) is present in person or by proxy or (being a corporation) is present by a duly authorised representative or by proxy shall have one vote and on a poll every holder of A Ordinary Shares so present shall have one vote for each A Ordinary Share held by them.</p> <p>Income (a) The profits of the Company available for distribution shall be distributed to the holders of the A Ordinary Shares and the B Ordinary Shares (as if they constituted one class of Shares) in such amount as the Board may determine from time to time. (b) Every dividend shall be paid in cash net of tax and shall be distributed to the Members (as relevant) pro rata to the numbers of A Ordinary Shares and B Ordinary Shares held by them, subject to such shares being fully paid.</p> <p>Capital There are no particular rights, in respect of capital, to participate in a distribution (including on winding up) attached to the A Ordinary Shares and B Ordinary Shares.</p> <p>Redemption There are no particular rights of redemption attached to the A Ordinary Shares or B Ordinary Shares.</p>	

SH02 - continuation page

Notice of consolidation, sub-division, redemption of shares or
re-conversion of stock into shares

10 Statement of capital (Prescribed particulars of rights attached to shares)^①

Class of share	B ORDINARY	① Prescribed particulars of rights attached to shares
Prescribed particulars	<p>Voting</p> <p>(a) Each holder of B Ordinary Shares shall be entitled to receive notice of, and to attend and vote at, general meetings of the Company and on a show of hands every holder of B Ordinary Shares who (being an individual) is present in person or by proxy or (being a corporation) is present by a duly authorised representative or by proxy shall have one vote and on a poll every holder of B Ordinary Shares so present shall have one vote for each B Ordinary Share held by them.</p> <p>(b) Non-Participating Shares (being B Ordinary Shares held by directors/employees of the Company who leave in certain circumstances) shall not entitle the holders to receive notice of or attend or vote at any general meeting of the Company.</p> <p>Income</p> <p>(c) The profits of the Company available for distribution shall be distributed to the holders of the A Ordinary Shares and the B Ordinary Shares (as if they constituted one class of Shares) in such amount as the Board may determine from time to time.</p> <p>(d) Every dividend shall be paid in cash net of tax and shall be distributed to the Members (as relevant) pro rata to the numbers of A Ordinary Shares and B Ordinary Shares held by them, subject to such shares being fully paid.</p> <p>Capital</p> <p>There are no particular rights, in respect of capital, to participate in a distribution (including on winding up) attached to the A Ordinary Shares and B Ordinary Shares.</p> <p>Redemption</p> <p>There are no particular rights of redemption attached to the A Ordinary Shares or B Ordinary Shares.</p>	<p>The particulars are:</p> <ol style="list-style-type: none"> particulars of any voting rights, including rights that arise only in certain circumstances; particulars of any rights, as respects dividends, to participate in a distribution; particulars of any rights, as respects capital, to participate in a distribution (including on winding up); and whether the shares are to be redeemed or are liable to be redeemed at the option of the company or the shareholder and any terms or conditions relating to redemption of these shares. <p>A separate table must be used for each class of share.</p>