



**Companies House**

— for the record —

Company Name  
**HEARTWOOD WEALTH GROUP  
LIMITED**

## 363s Annual Return

507455/30

- > Please check the details printed in blue on this statement.
- > If any details are wrong, strike them through and write the correct details in the "Amended details" column.
- > Please use black pen and write in capitals.

Company Type  
**Private Company Limited By  
Shares**

Company Number  
**5498937**

Information extracted from  
Companies House records on  
30th June 2006

### Section 1: Company details



Ref: 5498937/01/01

#### Current details

#### Amended details

- > Registered Office  
Address  
*If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column.*

**77 Mount Ephraim  
Tunbridge Wells  
Kent  
TN4 8BS**

Address

UK Postcode

- > Register of Members  
*If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column.*

**Address where the Register is held**  
  
**At Registered Office**

Address

UK Postcode

- > Register of Debenture  
Holders  
*If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column.*

**Not Applicable**

Address

UK Postcode

- > Principal Business  
Activities  
*Please enter principal activity code(s) in "Amended details" column. See notes for guidance for list of activity codes. Please use the most appropriate code in the list, or write a short description of your company's activities.*

*None held, please enter SIC code or description in the amended details column.*

**SIC CODE Description**

**6712 SECURITY BROKING &  
FUND MANAGEMENT**

## Section 2: Details of Officers of the Company

	Current details	Amended details
<b>&gt; Company Secretary</b> <i>If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>    <i>Particulars of a new Company Secretary must be notified on form 288a.</i>	<b>Name</b> Simon Roderick CLARK  <b>Address</b> Burtons Crook Road Castle Hill Brenchley Kent TN12 7BU	<b>Name</b>  <input type="checkbox"/> Tick this box if this address is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985. <b>Address</b>    UK Postcode    _ _ _ _ _ Date of change    _ _ / _ _ / _ _ _ _ Date Simon Roderick CLARK ceased to be secretary (if applicable) _ _ / _ _ / _ _ _ _
<b>&gt; Director</b> <i>If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>    <i>Particulars of a new Director must be notified on form 288a.</i>	<b>Name</b> Simon Roderick CLARK  <b>Address</b> Burtons Crook Road Castle Hill Brenchley Kent TN12 7BU  <b>Date of birth</b> 05/07/1965  <b>Nationality</b> British  <b>Occupation</b> Director & Cfo	<b>Name</b>  <input type="checkbox"/> Tick this box if this address is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985. <b>Address</b>    UK Postcode    _ _ _ _ _ Date of birth      _ _ / _ _ / _ _ _ _ Nationality        _ _ _ _ _ Occupation        _ _ _ _ _ Date of change    _ _ / _ _ / _ _ _ _ Date Simon Roderick CLARK ceased to be director (if applicable) _ _ / _ _ / _ _ _ _

	Current details	Amended details
<b>&gt; Director</b> <i>If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>  <i>Particulars of a new Director must be notified on form 288a.</i>	<b>Name</b> David John GAMBLE  <b>Address</b> Riverside House Middle Wallop Stockbridge Hampshire SO20 8HN  <b>Date of birth</b> 20/02/1944  <b>Nationality</b> British  <b>Occupation</b> Retired	<b>Name</b>  <input type="checkbox"/> Tick this box if this address is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985.  <b>Address</b>  UK Postcode _____ Date of birth ____ / ____ / ____ Nationality _____ Occupation _____ Date of change ____ / ____ / ____ Date David John GAMBLE ceased to be director (if applicable) ____ / ____ / ____
<b>&gt; Director</b> <i>If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>  <i>Particulars of a new Director must be notified on form 288a.</i>	<b>Name</b> John Errington HESKETT  <b>Address</b> Maresfield Park Uckfield Sussex TN22 2HD  <b>Date of birth</b> 20/08/1946  <b>Nationality</b> British  <b>Occupation</b> Retired Banker	<b>Name</b>  <input type="checkbox"/> Tick this box if this address is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985.  <b>Address</b> <u>THE DAIRY HOUSE, MARESFIELD</u> <u>PARK, UCKFIELD SUSSEX</u>  UK Postcode <u>TN22 2HD</u> Date of birth ____ / ____ / ____ Nationality _____ Occupation _____ Date of change ____ / ____ / ____ Date John Errington HESKETT ceased to be director (if applicable) ____ / ____ / ____

	Current details	Amended details
<b>&gt; Director</b> <i>If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>	<b>Name</b> <b>David Anthony Bisset LOUGH</b>	<b>Name</b> <hr/>
	<b>Address</b> <b>South Park Stables</b> <b>Penshurst</b> <b>Tonbridge</b> <b>Kent</b> <b>TN11 8EA</b>	<input type="checkbox"/> Tick this box if this address is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985. <b>Address</b> <hr/> <hr/>
	<b>Date of birth</b> 07/09/1950	<b>UK Postcode</b> _ _ _ _ _ <b>Date of birth</b> _ _ / _ _ / _ _ _ _ <b>Nationality</b> _____ <b>Occupation</b> _____ <b>Date of change</b> _ _ / _ _ / _ _ _ _ <b>Date</b> David Anthony Bisset LOUGH ceased to be director (if applicable) _ _ / _ _ / _ _ _ _
<i>Particulars of a new Director must be notified on form 288a.</i>	<b>Nationality</b> British <b>Occupation</b> Director	
<b>&gt; Director</b> <i>If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>	<b>Name</b> <b>Simon Nicholas LOUGH</b>	<b>Name</b> <hr/>
	<b>Address</b> <b>18 Perrymead Street</b> <b>London</b> <b>SW6 3SP</b>	<input type="checkbox"/> Tick this box if this address is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985. <b>Address</b> <hr/> <hr/>
	<b>Date of birth</b> 05/08/1962	<b>UK Postcode</b> _ _ _ _ _ <b>Date of birth</b> _ _ / _ _ / _ _ _ _ <b>Nationality</b> _____ <b>Occupation</b> _____ <b>Date of change</b> _ _ / _ _ / _ _ _ _ <b>Date</b> Simon Nicholas LOUGH ceased to be director (if applicable) _ _ / _ _ / _ _ _ _
<i>Particulars of a new Director must be notified on form 288a.</i>	<b>Nationality</b> British <b>Occupation</b> Investment Advisor	

> **Director**

If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.

Particulars of a new Director must be notified on form 288a.

**Current details**

**Name**  
Gary ROGERSON

**Address**  
Sherrald House  
The Street  
Seddlescombe  
East Sussex  
TN33 0QE

**Date of birth** 13/08/1960

**Nationality** British

**Occupation** Tax Advisor

**Amended details**

**Name**

☐ Tick this box if this address is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985.

**Address**

**UK Postcode** \_ \_ \_ \_ \_

**Date of birth** \_ \_ / \_ \_ / \_ \_ \_ \_

**Nationality** \_ \_ \_ \_ \_

**Occupation** \_ \_ \_ \_ \_

**Date of change** \_ \_ / \_ \_ / \_ \_ \_ \_

**Date** Gary ROGERSON ceased to be director (if applicable)

\_ \_ / \_ \_ / \_ \_ \_ \_

**Section 3: Total Issued Share Capital****(B)**

> Please enter the details of the company's total share capital in the space provided below.

**Issued share capital details**

> Please fill in the details of total share capital by class (eg. ordinary, preference etc) that has been issued to the company's shareholders.

Class of Share

Number of shares issued

A SHARES569,616

Aggregate Nominal Value of issued shares

£56,961.60

Class of Share

Number of shares issued

B SHARES19,248

Aggregate Nominal Value of issued shares

1,924.80

Class of Share

Number of shares issued

Aggregate Nominal Value of issued shares

Class of Share

Number of shares issued

Aggregate Nominal Value of issued shares

> Please fill in the total number of issued shares and their total nominal value.

Number of shares issued

Aggregate Nominal Value of issued shares

> Please send a full list of shareholders with this annual return. Use Sections 4A (and 4B if appropriate) to give the details. You must name all the shareholders.

HEARTWOOD WEALTH GROUP - COMPANY NUMBER 5498837 DETAILS OF CURRENT SHAREHOLDERS FOR ANNUAL RETURN AS AT 5 JULY 2006										Section 4A	
A Shares	B shares	Title	Forenames	Surname	Address 1	Address 2	Address 3	Address 4	Address 5	Postcode	
207,436	6,428	Mr	David	Lough	South Park Stables		Penshurst		Kent	TN11 8EA	
10		Mr	David	Lough	South Park Stables		Penshurst		Kent	TN11 8EA	
144,091		Mr	Simon	Lough	18 Perrymead Street	The Street			London	SW6 3SP	
22,695	5,197	Mr	Gary	Rogerson	Sherrald House		Ponts Green	Sedlescombe	East Sussex	TN33 OQE	
13,627	3,225	Mr	Simon	Dixon	Reedlands Farmhouse			Ashburnham	East Sussex	TN33 9PD	
7,937	401	Mr	Martin	Perry	6 Newland Street		Maresfield	Worthing	West Sussex	BN11 1JU	
5,555	2,000	Mr	John	Heskett	Dairy House	Maresfield Park		Uckfield	Kent	TN22 2HD	
3,968		Mr	Peter	McCree	46 First Street		Langton Green	Tunbridge Wells	Kent	TN3 OEU	
3,968		Mr	Richard	Mencner	Carpenters	Parsonage Lane		Westfield	East Sussex	TN35 4SH	
2,777	100	Mr	Graham	Siddle	Cotswold Cottage	Brightling Road	Robertsbridge		East Sussex	TN32 5EH	
2,380		Mr	Darren	Tickner	1 Sparrows Green Cottages		Sparrows Green	Wadhurst	East Sussex	TN5 6SR	
793		Mr	Julian	Menges	2 Threshersfield Cottages	Leatherhead	Chiddingstone	Near Edenbridge	Kent	TN8 7ND	
2,777		Mr	Clive	Meek	2 Maplehurst				Surrey	KT22 9NB	
1,984		Mr	Lawrence	Hearman	4 Broad Grove		Broadwater Down	Tunbridge Wells,	Kent	TN2 5NQ	
1,984		Mr	Alan	Nicholson	45 Court Meadow			Rotherfield	East Sussex	TN6 3LQ	
1,984		Mr	Jonathan	Towers	140 St Johns Road			Tunbridge Wells	Kent	TN4 9UT	
1,587		Mr	Kevin	Divall	3 Clinton Crescent			St Leonards on Sea	East Sussex	TN38 ORN	
1,190		Mr	Jonathan	Howard	11 Stephenson Drive			East Grinstead	West Sussex	RH19 4AP	
793		Mr	Ian	Porter	1 Larch Close			Heathfield	East Sussex	TN21 8YW	
1,000		Mrs	Rosalind	Hendley	59 Brassey Avenue			Eastbourne	East Sussex	BN22 9QH	
793		Mrs	Carole	Swift	1 Hither Chantlers			Tunbridge Wells,	Kent	TN3 OBJ	
396		Mrs	Beverley	Nemet	Hamsell Farm	Coopers Lane	Langton Green	Tonbridge	Kent	TN11 8AT	
595		Mrs	Simon	Welghell	98 Douglas Road		Penshurst	Tonbridge	Kent	TN9 2UE	
1,190		Mrs	Louise	Kingston	3 Hillgarth			Tonbridge	Kent	TN4 ODJ	
396		Mrs	Sarah	Child	81 Farmcombe Road			Tunbridge Wells	Kent	TN2 5DQ	
317		Mrs	Diane	Jenner	64 Stockett Lane			Near Maidstone	Kent	ME17 4PY	
198		Mr	Anthony	Slocombe	6 Rushey Close	Ringmer			East Sussex	BN8 5JJ	
238		Mr	Stephen	Shepherd	15 Carnation Crescent			West Malling	Kent	ME19 6HB	
79		Mr	Mark	Barrett	9 Collier Mews			Crowborough	East Sussex	TN6 3BW	
79		Mr	Mark	Wilton	27 Glebelands			Tunbridge Wells	Kent	TN3 OUQ	
11,904		Mrs	Jennie	Holland	2 Stubby Grove Cottages			Tunbridge Wells	Kent	TN3 9BG	
396		Mr	Alastair	Briggs	Danmore Lands House	Leggs Lane		Speldhurst	Kent	TN3 OQZ	
9,091		Mr	Matthew	Searle	Ashburnham	36 Lewes Road		Ditchling	East Sussex	BN6 8TU	
1,909		Mr	Chris	Orchard	Fiat 4 Sevenoaks House	Dartford Road		Kent	East Sussex	TN13 1ST	
10		Mr	Ken	Taylor	Eagles Rest	9 Jefferies Way	Sevenoaks		East Sussex	TN6 2UH	
		Mr	Ken	Taylor	Eagles Rest	9 Jefferies Way		Crowborough	East Sussex	TN6 2UH	
			Experta Trustees Jersey Ltd as Trustees of The Heathwilde Settlement								
3,174			Experta Trustees Jersey Ltd as Trustees of The Heathwilde Settlement								
4,600		Mr	Richard	Penticost	PO Box 300		2-6 Church Street	St. Hellier	Jersey	JE4 8YL	
1,200		Mr	Charles	Broadie	Knowle Park			Mayfield	East Sussex	TN20 6DY	
4,600		Mr	Andrew	Fermor	10 Byng Road			Tunbridge Wells	Kent	TN4 8EJ	
4,600		Mr	Jonathan	Denny	Old Milk Lodge			Rotherfield	East Sussex	TN6 3LA	
1,000		Mr	Michael	Stevens	Meadow Lodge	Merriments Lane		Hurst Green	East Sussex	TN19 7RA	
4,600		Mr	Michael	Vos	Stitches Farm			Tunbridge Wells	Kent	TN3 9JB	
1,000		Mr	Peter	Ashford	Ramsaye Farmhouse	Erldge Road		Tunbridge Wells	Kent	TN4 8LX	
4,600		Mr	Simon	Lenny	16 Huntleys Park			Tunbridge Wells	Kent	TN4 9TD	
7,000		Mr	Peter	Scott	Chillington	Cross in Hand		Tunbridge Wells	East Sussex	TN21 OSR	
					76 Bayham Road			Sevenoaks	Kent	TN13 3XA	
			David Lough and Simin Dixon as Trustees of the Heartwood Share Incentive Plan								
16,062			Heartwood SPV Limited								
51,085			Clark								
6,000		Mr	Simon		77 Mount Ephraim			Tunbridge Wells	Kent	TN4 8BS	
					Templar House			St. Hellier	Jersey	JE4 8WH	
					Burtons, Crook Road			Kent		TN12 7BU	
569,616	19,248						Brenchley				

**Section 4: Details of Current Shareholders****(A)**

- > Please fill in the details of any persons or corporate bodies who are shareholders at the date of this return.
- > For jointly held shares please list those joint shareholders consecutively on the form. If a joint shareholder also holds shares in their own right, list that holding separately.
- > Please use Section 4B to give details of any persons or corporate bodies who have ceased to be shareholders since the last annual return or, in the case of a first return, since the incorporation of the company.
- > Please copy this page if there is not enough space to enter all the company's current shareholders.

Shareholders details	Class and number of shares or amount of stock held	Class and number of shares or amount of stock transferred (If appropriate)	Date of registration of transfer (If appropriate)
Name _____ Address _____ _____ _____ UK Postcode    _ _ _ _ _	SEE ATTACHED SCHEDULE		
Name _____ Address _____ _____ _____ UK Postcode    _ _ _ _ _			
Name _____ Address _____ _____ _____ UK Postcode    _ _ _ _ _			
Name _____ Address _____ _____ _____ UK Postcode    _ _ _ _ _			



**Section 4B: Details of Former Shareholders**

- > Please fill in details of any persons or corporate bodies who have ceased to be shareholders at the date of this return. Also, please give the dates that their shares were transferred.
- > Please copy this page if there is not enough space to enter all the company's former shareholders.

Former shareholders details	Class and number of shares or amount of stock transferred	Date of registration of transfer
Name _____ Address _____ _____ _____ UK Postcode    _ _ _ _ _	N/A	
Name _____ Address _____ _____ _____ UK Postcode    _ _ _ _ _		
Name _____ Address _____ _____ _____ UK Postcode    _ _ _ _ _		
Name _____ Address _____ _____ _____ UK Postcode    _ _ _ _ _		
Name _____ Address _____ _____ _____ UK Postcode    _ _ _ _ _		



Companies House

— for the record —

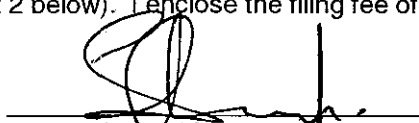
## 363s Annual Return Declaration

- > When you have checked all the sections of this form, please complete this page and sign the declaration below.
- > If you want to change the made up date of this annual return, please complete 2 below.

### 1. Declaration

- ☒ I confirm that the details in this annual return are correct as at the made-up-date (shown at 2 below). I enclose the filing fee of £30.

Signature

  
(Director / Secretary)

Date

31 / 07 / 2006

*This date must not be earlier than the return date at 2 below*

#### What to do now

Complete this page then send the whole of the Annual Return and the declaration to the address shown at 4 below.

### 2. Date of this return

- ☒ This AR is made up to 5/7/2006

If you are making this return up to an earlier date, please give the date here

— / — / —

*Note: The form must be delivered to CH within 28 days of this date*

### 3. Date of next return

- ☐ If you wish to change your next return to a date earlier than **5th July 2007** please give the new date here:

— / — / —

### 4. Where to send this form

- ☐ Please return this form to:

Registrar of Companies  
Companies House  
Crown Way  
Cardiff CF14 3UZ

OR

For members of the Hays Document  
Exchange service  
DX 33050 Cardiff

**Have you enclosed the filing fee with the company number written on the reverse of the cheque?**

### Contact Address

You do not have to give any contact information below, but if you do, it will help Companies House to contact you if there is a query on the form. The contact information that you give will be visible to searchers of the public record.

Contact Name

SAMANTHA BALDWIN

Telephone number *inc code*

01892 701799

Address

77 MOUNT EPHRAIM  
TURNBIDGE WELLS  
KENT

DX number *if applicable*

— — — — —

DX exchange

Postcode

TN4 8BS