



**Companies House**  
— for the record —

**AR01** (ef)

**Annual Return**



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**X152USYT**

*Company Name:* **LAB 21 LIMITED**

*Company Number:* **05382262**

*Date of this return:* **03/03/2012**

*SIC codes:* **74909**

*Company Type:* **Private company limited by shares**

*Situation of Registered Office:* **184 CAMBRIDGE SCIENCE PARK  
CAMBRIDGE  
CB4 0GA**

**Officers of the company**

## *Company Secretary* 1

*Type:* **Person**  
*Full forename(s):* **MRS SUSAN DAY**

*Surname:* **LOWTHER**

*Former names:* **DAY**

*Service Address recorded as Company's registered office*

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## *Company Director* 1

*Type:* **Person**  
*Full forename(s):* **DR BERWYN EWART**

*Surname:* **CLARKE**

*Former names:*

*Service Address:* **THE SWALLOWS  
WILLOW DRIVE, LONG THURLOW  
BURY ST EDMUNDS  
SUFFOLK  
IP31 3JQ**

*Country/State Usually Resident:* **UNITED KINGDOM**

*Date of Birth:* **21/09/1956** *Nationality:* **WELSH**  
*Occupation:* **SCIENTIST**

*Company Director* 2

*Type:* **Person**

*Full forename(s):* **DR KAI**

*Surname:* **DEUSCH**

*Former names:*

*Service Address:* **SCHLEDERLOH 14  
ICKING  
BAVARIA 82057  
GERMANY**

*Country/State Usually Resident:* **GERMANY**

*Date of Birth:* **19/03/1957** *Nationality:* **GERMAN**

*Occupation:* **PRIVATE EQUITY MANAGER**

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*Company Director*    **3**

*Type:*                                **Person**

*Full forename(s):*                **PROFESSOR CHRISTOPHER THOMAS**

*Surname:*                           **EVANS**

*Former names:*

*Service Address:*                **33 KING STREET  
ST JAMES'S  
LONDON  
SW1Y 6RJ**

*Country/State Usually Resident:*    **UNITED KINGDOM**

*Date of Birth:*    **29/11/1957**                                *Nationality:*    **BRITISH**

*Occupation:*    **VENTURE CAPITALIST**

*Company Director* 4

*Type:* **Person**  
*Full forename(s):* **MRS SUSAN DAY**

*Surname:* **LOWTHER**

*Former names:* **DAY**

*Service Address recorded as Company's registered office*

*Country/State Usually Resident:* **UNITED KINGDOM**

*Date of Birth:* **09/02/1960** *Nationality:* **BRITISH**

*Occupation:* **CHIEF FINANCIAL OFFICER**

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*Company Director*    **5**

*Type:*                            **Person**  
*Full forename(s):*            **MR GRAHAM DAVID**

*Surname:*                      **MULLIS**

*Former names:*

*Service Address:*            **8 BADGER WAY  
EWSHOT  
FARNHAM  
SURREY  
GU10 5TE**

*Country/State Usually Resident:*    **UNITED KINGDOM**

*Date of Birth:*    **19/10/1962**                            *Nationality:*    **BRITISH**  
*Occupation:*    **CEO**

*Company Director*    **6**

*Type:*                      **Person**

*Full forename(s):*        **DR EDWIN**

*Surname:*                **SNAPE**

*Former names:*

*Service Address:*        **73 CLUBHOUSE DRIVE  
HINGHAM MA 02043  
MASSACHUSETTS  
USA**

*Country/State Usually Resident:*    **USA**

*Date of Birth:*    **03/12/1939**

*Nationality:*    **AMERICAN**

*Occupation:*    **DIRECTOR**

*Company Director* 7

*Type:* **Person**

*Full forename(s):* **MR JAMES CHRISTOPHER**

*Surname:* **WAKEFIELD**

*Former names:*

*Service Address:* **33 KING STREET  
ST JAMES'S  
LONDON  
ENGLAND  
SW1Y 6RJ**

*Country/State Usually Resident:* **UNITED KINGDOM**

*Date of Birth:* **13/12/1966**

*Nationality:* **BRITISH**

*Occupation:* **DIRECTOR**



## Statement of Capital (Share Capital)

<b>Class of shares</b>	<b>ORDINARY</b>	<i>Number allotted</i>	<b>51500</b>
		<i>Aggregate nominal value</i>	<b>515</b>
<i>Currency</i>	<b>GBP</b>	<i>Amount paid per share</i>	<b>0.01</b>
		<i>Amount unpaid per share</i>	<b>0</b>

### *Prescribed particulars*

VOTING RIGHTS: ONE VOTE ON A SHOW OF HANDS PER SHAREHOLDER. NO VOTES DURING DEFAULT PERIOD AS SET OUT IN ARTICLE 4.2 ANY PROFITS RESOLVED TO BE DISTRIBUTED IN ANY FINANCIAL YEAR OR PERIOD SHALL BE DISTRIBUTED AMONGST ALL THE SHAREHOLDERS, PARI PASSU AS IF THE SAME ARE ONE AND THE SAME CLASS OF SHARE, PRO RATA TO THE NUMBER OF SHARE RESPECTIVELY HELD BY THEM.

<b>Class of shares</b>	<b>ORDINARY A</b>	<i>Number allotted</i>	<b>1476088</b>
		<i>Aggregate nominal value</i>	<b>14760.88</b>
<i>Currency</i>	<b>GBP</b>	<i>Amount paid per share</i>	<b>0.01</b>
		<i>Amount unpaid per share</i>	<b>0</b>

### *Prescribed particulars*

VOTING RIGHTS: ONE VOTE ON A SHOW OF HANDS PER SHAREHOLDER. ENTITLED TO VOTE DURING THE DEFAULT PERIOD AS SET OUT IN ARTICLE 4.2 ANY PROFITS RESOLVED TO BE DISTRIBUTED IN ANY FINANCIAL YEAR OR PERIOD SHALL BE DISTRIBUTED AMONGST ALL THE SHAREHOLDERS, PARI PASSU AS IF THE SAME ARE ONE AND THE SAME CLASS OF SHARE, PRO RATA TO THE NUMBER OF EQUITY SHARES RESPECTIVELY HELD BY THEM.

## Statement of Capital (Totals)

<i>Currency</i>	<b>GBP</b>	<i>Total number of shares</i>	<b>1527588</b>
		<i>Total aggregate nominal value</i>	<b>15275.88</b>

## *Full Details of Shareholders*

The details below relate to individuals / corporate bodies that were shareholders as at 03/03/2012 or that had ceased to be shareholders since the made up date of the previous Annual Return

*A full list of shareholders for the company are shown below*

*Shareholding 1* : 13750 ORDINARY shares held as at the date of this return  
13750 shares transferred on 2006-12-11

*Name:* LAB 21 HOLDINGS LIMITED

*Shareholding 2* : 552940 ORDINARY A shares held as at the date of this return

*Name:* MERLIN GENERAL PARTNER III LIMITED AS GENERAL PARTNER OF MERLIN BIOSCIENCES FUND III LP

*Shareholding 3* : 0 ORDINARY shares held as at the date of this return

*Name:* **VIOGEN LIMITED**

*Shareholding 4* : **103034 ORDINARY A shares held as at the date of this return**  
*Name:* **MERLIN GENERAL PARTNER III LTD AS GENERAL PARTNER OF MERLIN BIOSCIENCES FUND III 2007 LP**

*Shareholding 5* : **4 ORDINARY A shares held as at the date of this return**  
*Name:* **CALDERWOOD HAN INT LTD**

*Shareholding 6* : **15407 ORDINARY A shares held as at the date of this return**  
**8333 shares transferred on 2011-06-07**  
*Name:* **IGM DALGLEISH**

*Shareholding 7* : **2217 ORDINARY A shares held as at the date of this return**  
*Name:* **IGM DALGLEISH AND TURCAN CONNELL (TRUSTEES) LTD**

*Shareholding 8* : **1308 ORDINARY A shares held as at the date of this return**  
*Name:* **SP DENYER**

*Shareholding 9* : **29 ORDINARY A shares held as at the date of this return**  
*Name:* **MICHAEL HODGSON-HESS**

*Shareholding 10* : **2 ORDINARY A shares held as at the date of this return**  
*Name:* **W HYDE**

*Shareholding 11* : **33 ORDINARY A shares held as at the date of this return**  
*Name:* **NINA JENNINGS**

*Shareholding 12* : **1580 ORDINARY A shares held as at the date of this return**  
*Name:* **ERIC F KOHN**

*Shareholding 13* : **886 ORDINARY A shares held as at the date of this return**  
*Name:* **T W O C MASKELL**

*Shareholding 14* : **90230 ORDINARY A shares held as at the date of this return**  
*Name:* **MEDICIS CAPITAL GMBH**

*Shareholding 15* : **150358 ORDINARY A shares held as at the date of this return**  
*Name:* **NEXUS CAPITAL PARTNERS**

*Shareholding 16* : **429 ORDINARY A shares held as at the date of this return**  
*Name:* **KEITH THOMSON**

*Shareholding 17* : **6709 ORDINARY A shares held as at the date of this return**  
*Name:* **RONALD TURNER**

*Shareholding 18* : **5 ORDINARY A shares held as at the date of this return**  
*Name:* **ULRIKE UHLMANN**

*Name:* **ERNST UHLMANN**

*Shareholding 19* : **6237 ORDINARY A shares held as at the date of this return**  
*Name:* **ROY CHINWE**

*Shareholding 20* : **125 ORDINARY A shares held as at the date of this return**  
*Name:* **STEVEN POWELL**

*Shareholding 21* : **108 ORDINARY A shares held as at the date of this return**  
*Name:* **DAVID WADE**

*Shareholding 22* : **0 ORDINARY A shares held as at the date of this return**  
**3964 shares transferred on 2011-08-19**  
*Name:* **XENA OVERSEAS LTD**

*Shareholding 23* : **793 ORDINARY A shares held as at the date of this return**  
*Name:* **CHARLES YOUNG**

*Shareholding 24* : **216 ORDINARY A shares held as at the date of this return**  
*Name:* **ROSEMARY JANE DESFORGES**

*Shareholding 25* : **4 ORDINARY A shares held as at the date of this return**  
*Name:* **SABAH JASSIM**

*Shareholding 26* : **2 ORDINARY A shares held as at the date of this return**  
*Name:* **MARTIN LOESSNER**

*Shareholding 27* : **44 ORDINARY A shares held as at the date of this return**  
*Name:* **NOTICE LIMITED**

*Shareholding 28* : **859 ORDINARY A shares held as at the date of this return**  
*Name:* **LUCIAN POLLINGTON**

*Shareholding 29* : **38 ORDINARY A shares held as at the date of this return**  
*Name:* **SIEGFRIED SCHERER**

*Shareholding 30* : **35 ORDINARY A shares held as at the date of this return**  
*Name:* **L STEWART**

*Shareholding 31* : **33 ORDINARY A shares held as at the date of this return**  
*Name:* **UNIVERSITY OF BRIGHTON TRADING LIMITED**

*Shareholding 32* : 6000 ORDINARY A shares held as at the date of this return  
*Name:* JONATHAN LAUGHTON

*Shareholding 33* : 23333 ORDINARY A shares held as at the date of this return  
*Name:* AXIS-SHIELD LABORATORY PRODUCTS LIMITED

*Shareholding 34* : 1011 ORDINARY A shares held as at the date of this return  
*Name:* RICHARD D ADAMS

*Shareholding 35* : 1214 ORDINARY A shares held as at the date of this return  
*Name:* CEPHEUS HOLDINGS LLC

*Shareholding 36* : 2022 ORDINARY A shares held as at the date of this return  
*Name:* CAROLYN W CHAPMAN

*Shareholding 37* : 1011 ORDINARY A shares held as at the date of this return  
*Name:* VINCENT A CRISCUOLI  
  
*Name:* JILL O CRISCUOLI

*Shareholding 38* : 2022 ORDINARY A shares held as at the date of this return  
*Name:* DAVID A CROCKETT

*Shareholding 39* : 3095 ORDINARY A shares held as at the date of this return  
*Name:* BILL DIXON  
  
*Name:* MARLA DIXON

*Shareholding 40* : 203 ORDINARY A shares held as at the date of this return  
*Name:* B DUSTER & COMPANY LLC

*Shareholding 41* : 2002 ORDINARY A shares held as at the date of this return  
*Name:* GEF LLC

*Shareholding 42* : 2002 ORDINARY A shares held as at the date of this return  
*Name:* NANCY P GIGUERE

*Shareholding 43* : 1011 ORDINARY A shares held as at the date of this return  
*Name:* WILLIAM W HORN  
  
*Name:* PAMELA M HORN

*Shareholding 44* : 1011 ORDINARY A shares held as at the date of this return  
*Name:* JIMMY JACKSON

*Name:* FRANCES JACKSON

*Shareholding 45* : 1011 ORDINARY A shares held as at the date of this return  
*Name:* JOHN W MCALHANY

*Shareholding 46* : 24564 ORDINARY A shares held as at the date of this return  
*Name:* MICHAEL BOLICK

*Shareholding 47* : 1122 ORDINARY A shares held as at the date of this return  
*Name:* UNIVERSITY OF SOUTH CAROLINA EDUCATIONAL FOUNDATION

*Shareholding 48* : 4246 ORDINARY A shares held as at the date of this return  
*Name:* MCCALL FAMILY INVESTMENTS LLC

*Shareholding 49* : 2022 ORDINARY A shares held as at the date of this return  
*Name:* FRED M MCCLURE JR

*Shareholding 50* : 1011 ORDINARY A shares held as at the date of this return  
*Name:* ASHLEY D SHERMAN

*Shareholding 51* : 1011 ORDINARY A shares held as at the date of this return  
*Name:* SMALL GP LLC

*Shareholding 52* : 2022 ORDINARY A shares held as at the date of this return  
*Name:* ROBERT P STRAZZELLA

*Shareholding 53* : 2164 ORDINARY A shares held as at the date of this return  
*Name:* LIVING TRUST OF ELIZABETH S THOMPSON

*Shareholding 54* : 0 ORDINARY A shares held as at the date of this return  
1517 shares transferred on 2011-07-18  
*Name:* TRIDOX LLC

*Shareholding 55* : 2063 ORDINARY A shares held as at the date of this return  
*Name:* PETER B WALDSCHMIDT

*Shareholding 56* : 203 ORDINARY A shares held as at the date of this return  
*Name:* WATERMARK HOLDINGS INC

*Shareholding 57* : 1011 ORDINARY A shares held as at the date of this return  
*Name:* C T WYCHE

*Shareholding 58* : 1112 ORDINARY A shares held as at the date of this return  
*Name:* A METTERS

*Shareholding 59* : 0 ORDINARY shares held as at the date of this return  
*Name:* ANTHONY ATKINSON

*Shareholding 60* : 6667 ORDINARY A shares held as at the date of this return  
*Name:* AMBER COMMERCIAL

*Shareholding 61* : 467 ORDINARY A shares held as at the date of this return  
*Name:* MICHAEL ANNABLE

*Shareholding 62* : 1042 ORDINARY A shares held as at the date of this return  
*Name:* GERALD CHRIS BAILEY

*Shareholding 63* : 3657 ORDINARY A shares held as at the date of this return  
*Name:* JONATHAN BEATSON-HIRD

*Shareholding 64* : 1000 ORDINARY A shares held as at the date of this return  
*Name:* GILLIAN CLARKE

*Shareholding 65* : 1042 ORDINARY A shares held as at the date of this return  
*Name:* VINCENT COMERCI

*Shareholding 66* : 1442 ORDINARY A shares held as at the date of this return  
*Name:* WILLIAM J EDENFIELD

*Shareholding 67* : 1471 ORDINARY A shares held as at the date of this return  
*Name:* PETER ELLIS

*Shareholding 68* : 5 ORDINARY A shares held as at the date of this return  
*Name:* KAREN EVANS

*Name:* PETER EVANS

*Shareholding 69* : 1042 ORDINARY A shares held as at the date of this return  
*Name:* TRACY HARDAWAY

*Shareholding 70* : 9999 ORDINARY A shares held as at the date of this return  
*Name:* HELMEX INVEST SARL

*Shareholding 71* : 521 ORDINARY A shares held as at the date of this return  
*Name:* HAYNE HIPPI

*Shareholding 72* : 39844 ORDINARY A shares held as at the date of this return  
*Name:* HYPOSWISS PRIVATE BANK

*Shareholding 73* : 4000 ORDINARY A shares held as at the date of this return

*Name:* MALCOLM JOHN JENNINGS

*Shareholding 74* : 667 ORDINARY A shares held as at the date of this return  
*Name:* DR W G JOHN

*Shareholding 75* : 32000 ORDINARY A shares held as at the date of this return  
*Name:* LELIS INC

*Shareholding 76* : 1500 ORDINARY A shares held as at the date of this return  
*Name:* KENNETH LEVINE

*Shareholding 77* : 1042 ORDINARY A shares held as at the date of this return  
*Name:* WILLIAM H MCCAULEY III

*Shareholding 78* : 833 ORDINARY A shares held as at the date of this return  
*Name:* MOFO NOMINEES LTD

*Shareholding 79* : 1333 ORDINARY A shares held as at the date of this return  
*Name:* GRAHAM D MULLIS

*Shareholding 80* : 1100 ORDINARY A shares held as at the date of this return  
*Name:* MARK ALLEN O'ROURKE

*Shareholding 81* : 10000 ORDINARY A shares held as at the date of this return  
*Name:* P & P CAPITAL MANAGEMENT

*Shareholding 82* : 5000 ORDINARY A shares held as at the date of this return  
*Name:* JEAN PERRETTE

*Shareholding 83* : 13386 ORDINARY A shares held as at the date of this return  
*Name:* SAMISA INVESTMENT

*Shareholding 84* : 841 ORDINARY A shares held as at the date of this return  
*Name:* ST HELEN'S PRIVATE EQUITY

*Shareholding 85* : 2 ORDINARY A shares held as at the date of this return  
*Name:* SYSTEMS DATA TECHNOLOGIES

*Shareholding 86* : 56667 ORDINARY A shares held as at the date of this return  
*Name:* JOHN M TILNEY

*Shareholding 87* : 10487 ORDINARY A shares held as at the date of this return  
*Name:* UCAN LAB 21 INVESTMENT LLC

*Shareholding 88* : 66667 ORDINARY A shares held as at the date of this return

*Name:* VALIANCE SPECIAL OPPORTUNITIES CO-INVESTMENT MASTER FUND LP

*Shareholding 89* : 1442 ORDINARY A shares held as at the date of this return

*Name:* BARBARA J EVERY

*Name:* DENNIS J VAN EVERY

*Shareholding 90* : 1500 ORDINARY A shares held as at the date of this return

*Name:* H WILLIAMS

*Shareholding 91* : 1563 ORDINARY A shares held as at the date of this return

*Name:* MICHAEL ZURENKO

*Shareholding 92* : 10688 ORDINARY shares held as at the date of this return

*Name:* BERWYN E CLARKE

*Shareholding 93* : 1125 ORDINARY shares held as at the date of this return

*Name:* ROBERT NEIL HANLEY

*Shareholding 94* : 10687 ORDINARY shares held as at the date of this return

*Name:* ALBERT STANLEY TYMS

*Shareholding 95* : 1500 ORDINARY shares held as at the date of this return

*Name:* WALLDER CAPITAL LIMITED

*Shareholding 96* : 2900 ORDINARY A shares held as at the date of this return

*Name:* DR V BISCHOFF

*Shareholding 97* : 13750 ORDINARY shares held as at the date of this return

*Name:* BIOTRADE AG

*Shareholding 98* : 3964 ORDINARY A shares held as at the date of this return

*Name:* WILKSDON LIMITED

*Shareholding 99* : 253 ORDINARY A shares held as at the date of this return

*Name:* DEBORAH C COLEMAN

*Shareholding 100* : 253 ORDINARY A shares held as at the date of this return

*Name:* WILLIAM F FLANAGAN

*Shareholding 101* : 1011 ORDINARY A shares held as at the date of this return

*Name:* A J THOMPSON JR

*Shareholding 102* : 16667 ORDINARY A shares held as at the date of this return



*Name:* NATHAN INTERNATIONAL HOLDINGS LTD

*Shareholding 103 :* 45796 ORDINARY A shares held as at the date of this return  
*Name:* SARUM INVESTMENT SICAV PLC RE SARUM ONCOLOGY FUND SUB FUND

*Shareholding 104 :* 37594 ORDINARY A shares held as at the date of this return  
*Name:* MTIP NOMINEES LTD RE UPIP PREMIER FUND LP

*Shareholding 105 :* 1580 ORDINARY A shares held as at the date of this return  
*Name:* MEDAC GMBH

*Shareholding 106 :* 9205 ORDINARY A shares held as at the date of this return  
*Name:* ROTHSCHILD MANAGEMENT CORP

*Shareholding 107 :* 2918 ORDINARY A shares held as at the date of this return  
*Name:* AMPHION INNOVATIONS PLC

*Shareholding 108 :* 571 ORDINARY A shares held as at the date of this return  
*Name:* SPENCER KERRY

*Shareholding 109 :* 715 ORDINARY A shares held as at the date of this return  
*Name:* SESTRIA LTD

*Shareholding 110 :* 39 ORDINARY A shares held as at the date of this return  
*Name:* RICHARD GOWER

*Shareholding 111 :* 393 ORDINARY A shares held as at the date of this return  
*Name:* CONCORDE BANK

*Shareholding 112 :* 157 ORDINARY A shares held as at the date of this return  
*Name:* MOHAMMAD JAAFAR BEHBEHANI

*Shareholding 113 :* 43 ORDINARY A shares held as at the date of this return  
*Name:* RICHARD MORGAN

*Shareholding 114 :* 313 ORDINARY A shares held as at the date of this return  
*Name:* FATIMAH JABER AL-ALI AL-SABAH

*Shareholding 115 :* 2755 ORDINARY A shares held as at the date of this return  
*Name:* SECURITIES SERVICES NOMINEES A/C 2060007 (INNOVEN PARTENAIRES)

*Shareholding 116 :* 395 ORDINARY A shares held as at the date of this return  
*Name:* GREYSTONE OVERSEAS HOLDINGS LTD

*Shareholding 117 :* 236 ORDINARY A shares held as at the date of this return

*Name:* THE NORTHWEST BUSINESS INVESTMENT SCHEME

*Shareholding 118* : 4096 ORDINARY A shares held as at the date of this return

*Name:* M E ATKINSON

*Shareholding 119* : 2542 ORDINARY A shares held as at the date of this return

*Name:* SUMMA CORP

*Shareholding 120* : 1980 ORDINARY A shares held as at the date of this return

*Name:* GARY CARLISLE

*Shareholding 121* : 16500 ORDINARY A shares held as at the date of this return

*Name:* STEPHEN CHANDLER

*Shareholding 122* : 1652 ORDINARY A shares held as at the date of this return

*Name:* ANTHONY CLAY

*Shareholding 123* : 1650 ORDINARY A shares held as at the date of this return

*Name:* JONATHAN COWAN

*Shareholding 124* : 7850 ORDINARY A shares held as at the date of this return

*Name:* THE SIPP TRUSTEE PROVIDER LTD AS TRUSTEE OF THE LIFETIME SIPP RE MR J LAUGHTON

*Shareholding 125* : 10667 ORDINARY A shares held as at the date of this return

*Name:* THE ISAMBARD FUND

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### *Authorisation*

*Authenticated*

*This form was authorised by one of the following:*

Director, Secretary, Person Authorised, Charity Commission Receiver and Manager, CIC Manager, Judicial Factor.