



010588/30

363a

Please complete in typescript, or in
bold black capitals.

Annual Return

CHFP000

Company number

4963311

Company name in full

Carlton Financial Group Limited

Date of this return

The information in this return is made up to

Day

Month

Year

1 3 / 1 1 / 2 0 0 8

Date of next return

If you wish to make your next return to a date
earlier than the anniversary of this return
please show that date here. Companies
House will then send a form at the
appropriate time.

Day

Month

Year

/ /

Registered Office

Show here the address at the date of
this return

Fifth Floor, Berger House

38 Berkeley Square

Any change of
registered office
must be notified
on form 287

Post town

London

County/Region

UK Postcode

W 1 J 5 A E

Principal business activities

Show trade classification code number(s) for
the principal activity or activities

7415

If the code number cannot be determined



A63

AN2PD6DK

09/01/2009

341

COMPANIES HOUSE

A70

AUGUST

12/12/2008

403

COMPANIES HOUSE

When you have completed and signed the form please send it to the
Registrar of Companies at:
Companies House, Crown Way, Cardiff, CF14 3UZ
for companies registered in England or Wales or
Companies House, 37 Castle Terrace, Edinburgh, EH1 2EB
For companies registered in Scotland

DX 33050 Cardiff

DX ED235 Edinburgh 1
or LP-4 Edinburgh 2

Register of members

If the register of members is not kept at the registered office, state here where it is kept

Post town

County/Region

UK Postcode

Register of Debenture holders

If there is a register of debenture holders, or a duplicate of any such register or part of it, which is not kept at the registered office, state here where it is kept

Post town

County/Region

UK Postcode

Company type

Public limited company

Private company limited by shares

Private company limited by guarantee without share capital

Private company limited by shares exempt under section 30

Private company limited by guarantee exempt under section 30

Private unlimited company with share capital

Private unlimited company without share capital

<input type="checkbox"/>
<input checked="" type="checkbox"/>
<input type="checkbox"/>
<input type="checkbox"/>
<input type="checkbox"/>
<input type="checkbox"/>
<input type="checkbox"/>

Please tick the appropriate box

Company Secretary

Details of a new company secretary must be notified on form 288a

* Voluntary details
(Please photocopy this area to provide details of joint secretaries).

Name

*Style/Title

Mr

Forename(s)

Ian Robert

Surname

Molloy

Address ††

70 Cowley Road

Post town

Uxbridge

County/Region

Middlesex

UK Postcode

U B 8 2 L U

Country

England

†† Tick the box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985. Otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address.

If a partnership, give the names and addresses of the partners or the name of the partnership and office address

Directors

Please list the directors in alphabetical order

* Voluntary details

In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name

Details of new directors must be notified on form 288a

Name *Style/Title

Sir

Day

Month

Year

Date of birth

2 / 4 / 10 / 19 / 44

Forename(s)

Francis Henry

Surname

Mackay

Address ††

Rusthall House

Langton Road, Langton Green

Post town

Tunbridge Wells

County/Region

Kent

UK Postcode

TN 3 0 B B

Country

England

Nationality

British

Business occupation

Company Chairman

Directors

Please list the directors in alphabetical order

* Voluntary details

In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name

Details of new directors must be notified on form 288a

Name *Style/Title

Mr

Day

Month

Year

Date of birth

1 / 4 / 11 / 19 / 45

Forename(s)

George Morgan

Surname

Magan

Address ††

9 Cambridge Place

Post town

London

County/Region

UK Postcode

W 8 5 P B

Country

England

Nationality

British

Business occupation

Director

†† Tick the box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985. Otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address.

☐

†† Tick the box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985. Otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address.

☐

Directors

Please list the directors in alphabetical order

* Voluntary details

In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name

Name

*Style/Title

Mr

Day

Month

Year

Date of birth

1 6 / 0 3 / 1 9 6 1

Forename(s)

Ian Robert

Surname

Molloy

Address ††

70 Cowley Road

Post town

Uxbridge

County/Region

Middlesex

UK Postcode

U B 8 2 L U

Country

England

Nationality

British

Business occupation

~~Director~~ ACCOUNTANT

Details of new directors must be notified on form 288a

†† Tick the box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985. Otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address.

☐**Directors**

Please list the directors in alphabetical order

* Voluntary details

In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name

Name

*Style/Title

Mr

Day

Month

Year

Date of birth

1 5 / 0 9 / 1 9 5 7

Forename(s)

Andrew Stuart

Surname

Tae

Address ††

Dial House

Post town

Northcroft Road, Englefield Green

County/Region

Surrey

UK Postcode

T W 2 0 0 D U

Country

England

Nationality

British

Business occupation

~~Director~~ COMPANY CHAIRMAN

Details of new directors must be notified on form 288a

†† Tick the box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985. Otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address.

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Directors

Please list the directors in alphabetical order

* Voluntary details

In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name

Details of new directors must be notified on form 288a

Name

*Style/Title

Mr

Day

Month

Year

Date of birth

2 / 3 / 05 / 11 / 19 / 3 / 8

Forename(s)

Alan Edward

Surname

Wheatley

Address ††

5 Plymouth Park

Post town

Sevenoaks

County/Region

Kent

UK Postcode

TN 13 3 R R

Country

England

Nationality

British

Business occupation

Director

†† Tick the box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985. Otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address.

☐**Directors**

Please list the directors in alphabetical order

* Voluntary details

In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name

Details of new directors must be notified on form 288a

Name

*Style/Title

Mr

Day

Month

Year

Date of birth

02 / 11 / 05 / 19 / 5 / 4

Forename(s)

Stephen Mark

Surname

Willis

Address ††

48 Westbourne Park Road

Post town

London

County/Region

UK Postcode

W 2 5 P H

Country

England

Nationality

British

Business occupation

Director INVESTMENT BANKER

†† Tick the box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985. Otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address.

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Issue share capital

Enter details of all the shares in issue at the date of this return

Class (e.g. Ordinary/Preference)

Number of shares issued

Aggregate Nominal Value

(i.e. Number of shares issued multiplied by nominal value per share, or total amount of stock)

ORDINARY £1.00

357,812

£357,812

Totals

Traded public companies

A traded public company means a company any of whose shares are shares admitted to trading on a regulated market

Please tick this box if your company was a traded public company at any time during the period of this return

☐
List of past and present shareholders

(use attached schedule where appropriate)

Please tick the appropriate box below:

On paper

In another format

Private or non-traded public companies are required to provide a "full list" if one was not included with either of the last two returns.

A full list of shareholders for a private or non-traded public company is enclosed. **Please complete Schedule A.**

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Traded public companies are required to provide a list of shareholders who held at least 5% of the issued shares of any share class if a list was not provided with either of the last two returns.

A list of shareholders holding at least 5% of the issued shares of any share class for a traded public company is enclosed. **Please complete Schedule B.**

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A list containing shareholder changes is enclosed

☒
☐

→ For private or non-traded public companies, **please complete Schedule A**

→ For traded public companies, **please complete Schedule B**

There were no shareholder changes in this period

☐
Certificate

I certify that the information given in this return is true to the best of my knowledge and belief

Signed

[Signature]

Date

10/12/08

* Please delete as appropriate

When you have signed the return, send it with the fee to the Registrar of Companies. Make cheques payable to Companies House.

*(director / secretary)

This return includes

1

continuation sheets

(enter number)

You do not have to give any contact information in the box opposite but if you do, it will help Companies House to contact you if there is a query on the form. The contact information that you give will be visible to searchers of the public record.

IAN MOLLOY, CARLTON PARTNERS LLP, 5th FLOOR

BERGER HOUSE, 38 BERKELEY SQUARE,

LONDON W1J 5AE

DX number

DX exchange

This must only be completed by private and public limited companies that have not traded on a regulated market

Schedule A

for private or non-traded public companies

List of past and present shareholders

(Please use a continuation sheet if required)

Company number 4963311

Company name in full CARLTON FINANCIAL GROUP LIMITED

- Changes to shareholders' particulars or details of the amount of stock or shares transferred must be completed each year
- You must provide a "full list" of all company shareholders on:
 - The company's first annual return following incorporation
 - Every third annual return after a full list has been provided
- List the company shareholders in alphabetical order or provide an index
- List joint shareholders consecutively

Do not give shareholder address information

Shareholder's name only	Class and number of shares or amount of stock held	Shares or amount of stock transferred (if appropriate)	
		Class and number of shares or amount of stock transferred	Date of registration of transfer
Name <u>UBERIOR EQUITY LTD</u>	ORDINARY 37,681		
Name <u>WALBROOK TRUSTEES (JERSEY) LTD</u>	ORDINARY 27,459		
Name <u>KITANO CAPITAL</u>			
Name <u>FLMM LTD</u>	ORDINARY 35,660		
Name -----			
Name -----			
Name -----			
Name -----			
Name -----			