



Companies House

**AR01** (ef)

**Annual Return**



Received for filing in Electronic Format on the: **04/06/2014**

**X39D5XDK**

*Company Name:* **LCH.Clearnet Group Limited**

*Company Number:* **04743602**

*Date of this return:* **24/04/2014**

*SIC codes:* **70100**

*Company Type:* **Private company limited by shares**

*Situation of Registered Office:* **ALDGATE HOUSE 33 ALDGATE HIGH STREET  
LONDON  
UNITED KINGDOM  
EC3N 1EA**

**Officers of the company**

## *Company Secretary 1*

Type: **Person**  
Full forename(s): **MR ROBERT NORMAN CAREW**

Surname: **FRANKLIN**

Former names:

*Service Address recorded as Company's registered office*

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## *Company Director 1*

Type: **Person**  
Full forename(s): **IAN BARRY**

Surname: **ABRAMS**

Former names:

*Service Address recorded as Company's registered office*

Country/State Usually Resident: **UNITED KINGDOM**

Date of Birth: **17/08/1950**                      Nationality: **BRITISH**  
Occupation: **ACTUARY**

*Company Director* 2

*Type:* **Person**

*Full forename(s):* **JACQUES**

*Surname:* **AIGRAIN**

*Former names:*

*Service Address recorded as Company's registered office*

*Country/State Usually Resident:* **SWITZERLAND**

*Date of Birth:* **15/08/1954**

*Nationality:* **FRENCH & SWISS**

*Occupation:* **CHAIRMAN**

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*Company Director*    **3**

*Type:*                                **Person**  
*Full forename(s):*                **MR MICHAEL ROY ANDREW**

*Surname:*                           **BAGGULEY**

*Former names:*

*Service Address:*                **BARCLAYS CAPITAL 5 THE NORTH COLONADE  
CANARY WHARF  
LONDON  
UNITED KINGDOM  
E14 4BB**

*Country/State Usually Resident:*   **UNITED KINGDOM**

*Date of Birth:*   **28/10/1966**                                *Nationality:*   **BRITISH**  
*Occupation:*    **MANAGING DIRECTOR**

*Company Director*    **4**

Type:                                **Person**  
Full forename(s):                **MR SUNEEL**

Surname:                           **BAKHSHI**

Former names:

*Service Address recorded as Company's registered office*

*Country/State Usually Resident:*   **UNITED KINGDOM**

*Date of Birth:*   **05/09/1958**                                *Nationality:*   **BRITISH**

*Occupation:*     **CEO**

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*Company Director*    **5**

Type:                                **Person**  
Full forename(s):                **DOMINIQUE**

Surname:                           **CERUTTI**

Former names:

*Service Address recorded as Company's registered office*

*Country/State Usually Resident:*   **FRANCE**

*Date of Birth:*   **03/01/1961**                                *Nationality:*   **FRENCH**

*Occupation:*     **CEO**

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*Company Director*    **6**

*Type:*                                **Person**  
*Full forename(s):*                **MR LAURENT**

*Surname:*                            **CURTAT**

*Former names:*

*Service Address:*                **1 CABOT SQUARE  
LONDON  
UNITED KINGDOM  
E14 4QJ**

*Country/State Usually Resident:*    **UNITED KINGDOM**

*Date of Birth:*    **23/09/1966**                                *Nationality:*    **FRENCH**  
*Occupation:*    **SENIOR EXECUTIVE**

*Company Director* 7

*Type:* **Person**  
*Full forename(s):* **MR ROBERT**

*Surname:* **GREIFELD**

*Former names:*

*Service Address recorded as Company's registered office*

*Country/State Usually Resident:* **UNITED STATES**

*Date of Birth:* **18/07/1957** *Nationality:* **AMERICAN**

*Occupation:* **CHIEF EXECUTIVE**

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*Company Director* 8

*Type:* **Person**

*Full forename(s):* **DR LEONARD HUBERTUS**

*Surname:* **HOOGDUIN**

*Former names:*

*Service Address:* **ALDGATE HOUSE 33 ALDGATE HIGH STREET  
LONDON  
UNITED KINGDOM  
EC3N 1EA**

*Country/State Usually Resident:* **NETHERLANDS**

*Date of Birth:* **07/09/1956**

*Nationality:* **DUTCH**

*Occupation:* **BANKER**



## *Company Director*    **9**

*Type:*                                **Person**  
*Full forename(s):*                **ALEXANDER**

*Surname:*                         **JUSTHAM**

*Former names:*

*Service Address recorded as Company's registered office*

*Country/State Usually Resident:*   **UNITED KINGDOM**

*Date of Birth:*   **02/01/1968**                                *Nationality:*   **BRITISH**

*Occupation:*     **CEO**

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## *Company Director*    **10**

*Type:*                                **Person**  
*Full forename(s):*                **MR ASHOK**

*Surname:*                         **KRISHNAN**

*Former names:*

*Service Address recorded as Company's registered office*

*Country/State Usually Resident:*   **UNITED KINGDOM**

*Date of Birth:*   **09/11/1970**                                *Nationality:*   **BRITISH**

*Occupation:*     **BANKER**

*Company Director* 11

*Type:* **Person**

*Full forename(s):* **MR VIVIEN JOSEPH**

*Surname:* **LEVY-GARBOUA**

*Former names:*

*Service Address:* **49-51 RUE JEAN DE LA FONTAINE  
PARIS  
FRANCE  
75016**

*Country/State Usually Resident:* **FRANCE**

*Date of Birth:* **15/11/1947** *Nationality:* **FRENCH**

*Occupation:* **SENIOR ADVISER**

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*Company Director*    **12**

*Type:*                                **Person**

*Full forename(s):*                **YVES**

*Surname:*                         **PERRIER**

*Former names:*

*Service Address recorded as Company's registered office*

*Country/State Usually Resident:*   **FRANCE**

*Date of Birth:*   **26/10/1954**

*Nationality:*   **FRENCH**

*Occupation:*     **CEO**

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*Company Director*    **13**

*Type:*                                **Person**

*Full forename(s):*                **MR XAVIER ROBERT**

*Surname:*                         **ROLET**

*Former names:*

*Service Address recorded as Company's registered office*

*Country/State Usually Resident:*   **UNITED KINGDOM**

*Date of Birth:*   **12/11/1959**

*Nationality:*   **FRENCH**

*Occupation:*     **CHIEF EXECUTIVE**

*Company Director* 14

*Type:* **Person**

*Full forename(s):* **NEIL**

*Surname:* **WALKER**

*Former names:*

*Service Address:* **21 BELSIZE CRESCENT  
LONDON  
UNITED KINGDOM  
NW3 5QY**

*Country/State Usually Resident:* **UNITED KINGDOM**

*Date of Birth:* **02/07/1969** *Nationality:* **BRITISH**

*Occupation:* **COMPANY DIRECTOR**

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*Company Director* 15

*Type:* **Person**

*Full forename(s):* **MR DAVID**

*Surname:* **WARREN**

*Former names:*

*Service Address recorded as Company's registered office*

*Country/State Usually Resident:* **UNITED KINGDOM**

*Date of Birth:* **18/03/1954** *Nationality:* **AMERICAN**

*Occupation:* **CHIEF FINANCIAL OFFICER**

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*Company Director*    **16**

*Type:*                            **Person**

*Full forename(s):*            **DENISE ANNE**

*Surname:*                      **WYLLIE**

*Former names:*

*Service Address:*            **PETERBOROUGH COURT 133 FLEET STREET  
LONDON  
UNITED KINGDOM  
EC4A 2BB**

*Country/State Usually Resident:*    **UNITED KINGDOM**

*Date of Birth:*    **08/12/1964**                            *Nationality:*    **BRITISH**

*Occupation:*    **INVESTMENT BANKER**

## Statement of Capital (Share Capital)

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<b>Class of shares</b>	<b>NON-VOTING ORDINARY</b>	<i>Number allotted</i>	<b>3</b>
		<i>Aggregate nominal value</i>	<b>3</b>
<i>Currency</i>	<b>EUR</b>	<i>Amount paid per share</i>	<b>1</b>
		<i>Amount unpaid per share</i>	<b>0</b>

### *Prescribed particulars*

THE NON-VOTING SHARES HAVE ATTACHED TO THEM CAPITAL DISTRIBUTION (INCLUDING ON WINDING UP) RIGHTS IN ACCORDANCE WITH ARTICLES 4.3.8 AND 4.3.9 AND THE RIGHT TO RECEIVE NOTICE OF EVERY MEETING IN ACCORDANCE WITH ARTICLE 14 (BUT SHALL NOT CONFER ANY RIGHT TO PARTICIPATE). THE HOLDER OF THE NON-VOTING SHARES SHALL HAVE NO RIGHT TO ATTEND, SPEAK OR VOTE AT ANY GENERAL MEETING OF THE COMPANY OR ANY MEETING OF A CLASS OF SHAREHOLDERS OF THE COMPANY. ADDITIONALLY, THE NON-VOTING SHARES DO NOT CONFER ANY RIGHTS OF DIVIDEND OR REDEMPTION.

<b>Class of shares</b>	<b>ORDINARY</b>	<i>Number allotted</i>	<b>74193811</b>
		<i>Aggregate nominal value</i>	<b>74193811</b>
<i>Currency</i>	<b>EUR</b>	<i>Amount paid per share</i>	<b>1</b>
		<i>Amount unpaid per share</i>	<b>0</b>

### *Prescribed particulars*

THE ORDINARY SHARES HAVE ATTACHED TO THEM FULL VOTING RIGHTS SUBJECT TO ARTICLES 4.1.6 AND 16.3, DIVIDEND RIGHTS IN ACCORDANCE WITH ARTICLE 29 AND CAPITAL DISTRIBUTION (INCLUDING ON WINDING UP) RIGHTS IN ACCORDANCE WITH ARTICLES 4.3.8, 4.3.9 AND 4.2.2. ADDITIONALLY, THE HOLDER OF THE ORDINARY SHARES DOES NOT CONFER FULL RIGHTS OF REDEMPTION; SUBJECT TO ARTICLE 4.1.3 THE HOLDER WILL BE ELIGIBLE FOR REDEMPTION AS DESCRIBED IN ARTICLE 4.1.4.

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## Statement of Capital (Totals)

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<i>Currency</i>	<b>EUR</b>	<i>Total number of shares</i>	<b>74193814</b>
		<i>Total aggregate nominal value</i>	<b>74193814</b>

## *Full Details of Shareholders*

The details below relate to individuals / corporate bodies that were shareholders as at 24/04/2014 or that had ceased to be shareholders since the made up date of the previous Annual Return

*A full list of shareholders for the company are shown below*

*Shareholding 1* : **3 NON-VOTING ORDINARY shares held as at the date of this return**  
*Name:* **DAVID CLIVE WHITEHEAD**

*Shareholding 2* : **599300 ORDINARY shares held as at the date of this return**  
**354262 shares transferred on 2013-05-03**  
*Name:* **ABN AMRO CLEARING BANK N.V**

*Shareholding 3* : **0 ORDINARY shares held as at the date of this return**  
**250000 shares transferred on 2013-05-03**  
*Name:* **ADM INVESTOR SERVICES INTERNATIONAL LIMITED**

*Shareholding 4* : **0 ORDINARY shares held as at the date of this return**  
**5185 shares transferred on 2013-05-03**  
*Name:* **AMALGAMATED METAL TRADING LIMITED**

*Shareholding 5* : **0 ORDINARY shares held as at the date of this return**  
**495892 shares transferred on 2013-05-03**  
*Name:* **BANC OF AMERICA SECURITIES LIMITED**

*Shareholding 6* : **409833 ORDINARY shares held as at the date of this return**  
**274867 shares transferred on 2013-05-03**  
*Name:* **BANCA IMI S.P.A**

*Shareholding 7* : **540201 ORDINARY shares held as at the date of this return**  
*Name:* **BANK OF AMERICA, N.A.**

*Shareholding 8* : **0 ORDINARY shares held as at the date of this return**  
**362903 shares transferred on 2013-05-03**  
*Name:* **BANK OF SCOTLAND PLC**

*Shareholding 9* : **1240068 ORDINARY shares held as at the date of this return**  
*Name:* **BARCLAYS BANK PLC**

*Shareholding 10* : **0 ORDINARY shares held as at the date of this return**  
**5185 shares transferred on 2013-05-03**  
*Name:* **BASF METAL FORWARDS LIMITED**



*Shareholding 11* : 0 ORDINARY shares held as at the date of this return  
5185 shares transferred on 2013-05-03  
*Name:* BELFIUS BANK

*Shareholding 12* : 791594 ORDINARY shares held as at the date of this return  
*Name:* BGC INTERNATIONAL

*Shareholding 13* : 1347902 ORDINARY shares held as at the date of this return  
*Name:* BNP PARIBAS

*Shareholding 14* : 423424 ORDINARY shares held as at the date of this return  
55014 shares transferred on 2013-05-03  
*Name:* BNP PARIBAS COMMODITY FUTURES LTD

*Shareholding 15* : 0 ORDINARY shares held as at the date of this return  
508444 shares transferred on 2013-05-03  
*Name:* BNP PARIBAS FORTIS

*Shareholding 16* : 0 ORDINARY shares held as at the date of this return  
483623 shares transferred on 2013-05-03  
*Name:* BNP PARIBAS SECURITIES SERVICES

*Shareholding 17* : 0 ORDINARY shares held as at the date of this return  
196674 shares transferred on 2013-05-03  
*Name:* CACEIS BANK

*Shareholding 18* : 0 ORDINARY shares held as at the date of this return  
5185 shares transferred on 2013-05-03  
*Name:* CADBURY INTERNATIONAL LIMITED

*Shareholding 19* : 499594 ORDINARY shares held as at the date of this return  
292000 shares transferred on 2014-02-10  
*Name:* CANTOR FITZGERALD EUROPE

*Shareholding 20* : 0 ORDINARY shares held as at the date of this return  
5185 shares transferred on 2013-05-03  
*Name:* CATER ALLEN INTERNATIONAL LIMITED

*Shareholding 21* : 292000 ORDINARY shares held as at the date of this return  
*Name:* CF&CO HOLDINGS, L.P.

*Shareholding 22* : 1000886 ORDINARY shares held as at the date of this return  
458574 shares transferred on 2013-05-03

*Name:* CITIBANK INTERNATIONAL PLC

*Shareholding 23* : 74908 ORDINARY shares held as at the date of this return  
428684 shares transferred on 2013-05-03

*Name:* CITIBANK, N.A.

*Shareholding 24* : 503592 ORDINARY shares held as at the date of this return

*Name:* CITIGROUP GLOBAL MARKETS LIMITED

*Shareholding 25* : 544456 ORDINARY shares held as at the date of this return  
574073 shares transferred on 2013-05-03

*Name:* COMMERZBANK AG

*Shareholding 26* : 105185 ORDINARY shares held as at the date of this return

*Name:* COMPAGNIE FINANCIERE TRADITION

*Shareholding 27* : 0 ORDINARY shares held as at the date of this return  
362903 shares transferred on 2013-05-03

*Name:* COOPERATIEVE CENTRALE RAIFFEISEN-BOERENLEENBANK B.A.

*Shareholding 28* : 1666329 ORDINARY shares held as at the date of this return  
873006 shares transferred on 2013-05-03

*Name:* CREDIT AGRICOLE CIB HOLDINGS LIMITED

*Shareholding 29* : 757918 ORDINARY shares held as at the date of this return  
448027 shares transferred on 2013-05-03

*Name:* CREDIT SUISSE INTERNATIONAL

*Shareholding 30* : 757918 ORDINARY shares held as at the date of this return  
448027 shares transferred on 2013-05-03

*Name:* CREDIT SUISSE SECURITIES (EUROPE) LIMITED

*Shareholding 31* : 0 ORDINARY shares held as at the date of this return  
362903 shares transferred on 2013-05-03

*Name:* DAIWA CAPITAL MARKETS EUROPE LIMITED

*Shareholding 32* : 0 ORDINARY shares held as at the date of this return  
362903 shares transferred on 2013-05-03

*Name:* DANSKE BANK A/S

*Shareholding 33* : 591035 ORDINARY shares held as at the date of this return  
40968 shares transferred on 2013-05-03

*Name:* DB UK HOLDINGS LTD

*Shareholding 34* : 0 ORDINARY shares held as at the date of this return  
362903 shares transferred on 2013-05-03  
*Name:* DEUTSCHE BANK AG

*Shareholding 35* : 1710597 ORDINARY shares held as at the date of this return  
1986034 shares transferred on 2013-05-03  
*Name:* EURONEXT PARIS S.A.

*Shareholding 36* : 0 ORDINARY shares held as at the date of this return  
250000 shares transferred on 2013-05-03  
*Name:* FCT EUROPE LIMITED

*Shareholding 37* : 0 ORDINARY shares held as at the date of this return  
447015 shares transferred on 2013-05-03  
*Name:* G H FINANCIALS LIMITED

*Shareholding 38* : 0 ORDINARY shares held as at the date of this return  
362903 shares transferred on 2013-05-03  
*Name:* GOLDMAN SACHS BANK USA

*Shareholding 39* : 659658 ORDINARY shares held as at the date of this return  
27040 shares transferred on 2013-05-03  
*Name:* GOLDMAN SACHS INTERNATIONAL

*Shareholding 40* : 695863 ORDINARY shares held as at the date of this return  
369763 shares transferred on 2013-05-03  
*Name:* HSBC BANK PLC

*Shareholding 41* : 0 ORDINARY shares held as at the date of this return  
5185 shares transferred on 2013-05-03  
*Name:* HUNTER DOUGLAS N.V.

*Shareholding 42* : 0 ORDINARY shares held as at the date of this return  
362903 shares transferred on 2013-05-03  
*Name:* HYDRO ALUMINIUM AS.

*Shareholding 43* : 252055 ORDINARY shares held as at the date of this return  
234590 shares transferred on 2013-05-03  
*Name:* ING BANK N.V.

*Shareholding 44* : 0 ORDINARY shares held as at the date of this return  
362903 shares transferred on 2013-05-03  
*Name:* INTERACTIVE BROKERS (UK) LIMITED

*Shareholding 45* : 0 ORDINARY shares held as at the date of this return  
5185 shares transferred on 2013-05-03  
*Name:* INTESA SANPAOLO S.P.A.

*Shareholding 46* : 0 ORDINARY shares held as at the date of this return  
250000 shares transferred on 2013-05-03  
*Name:* INVESTEC BANK PLC

*Shareholding 47* : 312819 ORDINARY shares held as at the date of this return  
194972 shares transferred on 2013-05-03  
*Name:* J.P. MORGAN CHASE BANK, NATIONAL ASSOCIATION

*Shareholding 48* : 312819 ORDINARY shares held as at the date of this return  
194971 shares transferred on 2013-05-03  
*Name:* J.P. MORGAN MARKETS LIMITED

*Shareholding 49* : 440386 ORDINARY shares held as at the date of this return  
274480 shares transferred on 2013-05-03  
*Name:* J.P. MORGAN METALS LIMITED

*Shareholding 50* : 1006663 ORDINARY shares held as at the date of this return  
627426 shares transferred on 2013-05-03  
*Name:* J.P. MORGAN SECURITIES PLC

*Shareholding 51* : 0 ORDINARY shares held as at the date of this return  
372249 shares transferred on 2013-05-03  
*Name:* KAS BANK N.V.

*Shareholding 52* : 0 ORDINARY shares held as at the date of this return  
306352 shares transferred on 2013-05-03  
*Name:* KBC BANK N.V

*Shareholding 53* : 0 ORDINARY shares held as at the date of this return  
5185 shares transferred on 2013-05-03  
*Name:* KBC PEEL HUNT

*Shareholding 54* : 0 ORDINARY shares held as at the date of this return  
362903 shares transferred on 2013-05-03  
*Name:* KOCH METALS TRADING LTD.

*Shareholding 55* : 0 ORDINARY shares held as at the date of this return  
5185 shares transferred on 2013-05-03  
*Name:* LANDESBANK HESSEN-THURINGEN GIROZENTRALE

*Shareholding 56* : 0 ORDINARY shares held as at the date of this return  
5185 shares transferred on 2013-05-03  
*Name:* LEHMAN BROTHERS INTERNATIONAL (EUROPE)

*Shareholding 57* : 42869180 ORDINARY shares held as at the date of this return  
*Name:* LONDON STOCK EXCHANGE (C) LIMITED

*Shareholding 58* : 1513176 ORDINARY shares held as at the date of this return  
1756824 shares transferred on 2013-05-03  
*Name:* LONDON METAL EXCHANGE

*Shareholding 59* : 5185 ORDINARY shares held as at the date of this return  
*Name:* LOUIS DREYFUS TRADING LIMITED

*Shareholding 60* : 0 ORDINARY shares held as at the date of this return  
362903 shares transferred on 2013-05-03  
*Name:* MACQUARIE BANK LIMITED

*Shareholding 61* : 0 ORDINARY shares held as at the date of this return  
78927 shares transferred on 2013-05-03  
*Name:* MADOFF SECURITIES INTERNATIONAL LIMITED

*Shareholding 62* : 0 ORDINARY shares held as at the date of this return  
226200 shares transferred on 2013-05-03  
*Name:* MAREX FINANCIAL LIMITED

*Shareholding 63* : 0 ORDINARY shares held as at the date of this return  
5185 shares transferred on 2013-05-03  
*Name:* MARS CHOCOLATE UK LIMITED

*Shareholding 64* : 904648 ORDINARY shares held as at the date of this return  
398313 shares transferred on 2013-05-03  
*Name:* MERRILL LYNCH INTERNATIONAL

*Shareholding 65* : 307880 ORDINARY shares held as at the date of this return  
90433 shares transferred on 2013-05-03  
*Name:* MERRILL LYNCH INTERNATIONAL BANK LIMITED

*Shareholding 66* : 0 ORDINARY shares held as at the date of this return  
5185 shares transferred on 2013-05-03  
*Name:* METDIST TRADING LIMITED

*Shareholding 67* : 0 ORDINARY shares held as at the date of this return  
78927 shares transferred on 2013-05-03

*Name:* MITSUBISHI UFJ SECURITIES INTERNATIONAL PLC

*Shareholding 68* : 0 ORDINARY shares held as at the date of this return  
78927 shares transferred on 2013-05-03

*Name:* MIZUHO INTERNATIONAL PLC

*Shareholding 69* : 0 ORDINARY shares held as at the date of this return  
5185 shares transferred on 2013-05-03

*Name:* MIZUHO SECURITIES USA INC

*Shareholding 70* : 1461886 ORDINARY shares held as at the date of this return  
400407 shares transferred on 2013-05-03

*Name:* MORGAN STANLEY & CO. INTERNATIONAL PLC

*Shareholding 71* : 0 ORDINARY shares held as at the date of this return  
436645 shares transferred on 2013-05-03

*Name:* MORGAN STANLEY SECURITIES LIMITED

*Shareholding 72* : 1 ORDINARY shares held as at the date of this return  
1561171 shares transferred on 2013-05-17

*Name:* NASDAQ OMX GROUP, INC.

*Shareholding 73* : 0 ORDINARY shares held as at the date of this return  
153176 shares transferred on 2013-05-03

*Name:* NATIONAL AUSTRALIA BANK LIMITED

*Shareholding 74* : 335863 ORDINARY shares held as at the date of this return  
389943 shares transferred on 2013-05-03

*Name:* NATIXIS - LONDON BRANCH

*Shareholding 75* : 726681 ORDINARY shares held as at the date of this return  
429561 shares transferred on 2013-05-03

*Name:* NOMURA INTERNATIONAL PLC

*Shareholding 76* : 3709690 ORDINARY shares held as at the date of this return  
1 shares transferred on 2013-05-17

*Name:* OMX TREASURY AB

*Shareholding 77* : 0 ORDINARY shares held as at the date of this return  
5185 shares transferred on 2013-05-03

*Name:* PB FINANCIAL SERVICES INC

*Shareholding 78* : 0 ORDINARY shares held as at the date of this return  
5185 shares transferred on 2013-05-03

*Name:*                    **PERSHING LIMITED**

*Shareholding 79*    : **0 ORDINARY shares held as at the date of this return**  
                                  **362903 shares transferred on 2013-05-03**

*Name:*                    **PHIBRO LIMITED**

*Shareholding 80*    : **0 ORDINARY shares held as at the date of this return**  
                                  **5185 shares transferred on 2013-05-03**

*Name:*                    **SKANDINAVISKA ENSKILDA BANKEN AB**

*Shareholding 81*    : **1216325 ORDINARY shares held as at the date of this return**  
                                  **770088 shares transferred on 2013-05-03**

*Name:*                    **SOCIETE GENERALE**

*Shareholding 82*    : **0 ORDINARY shares held as at the date of this return**  
                                  **5185 shares transferred on 2013-05-03**

*Name:*                    **STANDARD BANK PLC**

*Shareholding 83*    : **0 ORDINARY shares held as at the date of this return**  
                                  **5185 shares transferred on 2013-05-03**

*Name:*                    **SUCDEN FINANCIAL LIMITED**

*Shareholding 84*    : **0 ORDINARY shares held as at the date of this return**  
                                  **250000 shares transferred on 2013-05-03**

*Name:*                    **THE BANK OF NOVA SCOTIA**

*Shareholding 85*    : **362903 ORDINARY shares held as at the date of this return**  
*Name:*                    **THE BANK OF TOKYO-MITSUBISHI UFJ LTD**

*Shareholding 86*    : **150612 ORDINARY shares held as at the date of this return**  
                                  **994108 shares transferred on 2013-05-03**

*Name:*                    **THE ROYAL BANK OF SCOTLAND N.V.**

*Shareholding 87*    : **1531101 ORDINARY shares held as at the date of this return**  
*Name:*                    **THE ROYAL BANK OF SCOTLAND PLC**

*Shareholding 88*    : **0 ORDINARY shares held as at the date of this return**  
                                  **250000 shares transferred on 2013-05-03**

*Name:*                    **TOYOTA TSUSHO METALS LIMITED**

*Shareholding 89*    : **0 ORDINARY shares held as at the date of this return**  
                                  **250000 shares transferred on 2013-05-03**

*Name:*                    **TRILAND METALS LIMITED**

*Shareholding 90* : 0 ORDINARY shares held as at the date of this return

5185 shares transferred on 2013-05-03

*Name:* TRX FUTURES LIMITED

*Shareholding 91* : 1456491 ORDINARY shares held as at the date of this return

498069 shares transferred on 2013-05-03

*Name:* UBS AG

*Shareholding 92* : 0 ORDINARY shares held as at the date of this return

362903 shares transferred on 2013-05-03

*Name:* UBS LIMITED

*Shareholding 93* : 105185 ORDINARY shares held as at the date of this return

*Name:* VIEL & CIE

*Shareholding 94* : 1 ORDINARY shares held as at the date of this return

*Name:* DAVID CLIVE WHITEHEAD

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### *Authorisation*

*Authenticated*

*This form was authorised by one of the following:*

Director, Secretary, Person Authorised, Charity Commission Receiver and Manager, CIC Manager, Judicial Factor.