



**Companies House**  
— for the record —

**AR01** (ef)

**Annual Return**



Received for filing in Electronic Format on the: **03/05/2012**

**X185X3E8**

*Company Name:* **LCH.Clearnet Group Limited**

*Company Number:* **04743602**

*Date of this return:* **24/04/2012**

*SIC codes:* **70100**

*Company Type:* **Private company limited by shares**

*Situation of Registered Office:* **ALDGATE HOUSE 33 ALDGATE HIGH STREET  
LONDON  
UNITED KINGDOM  
EC3N 1EA**

**Officers of the company**

## *Company Secretary* 1

*Type:* **Person**  
*Full forename(s):* **DAVID CLIVE**

*Surname:* **WHITEHEAD**

*Former names:*

*Service Address recorded as Company's registered office*

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## *Company Director* 1

*Type:* **Person**  
*Full forename(s):* **MARTIN**

*Surname:* **ABBOTT**

*Former names:*

*Service Address:* **56 LEADENHALL STREET  
LONDON  
ENGLAND  
EC3A 2DX**

*Country/State Usually Resident:* **UNITED KINGDOM**

*Date of Birth:* **27/04/1960** *Nationality:* **BRITISH**  
*Occupation:* **CHIEF EXECUTIVE OFFICER**

## *Company Director* 2

*Type:* **Person**  
*Full forename(s):* **IAN BARRY**

*Surname:* **ABRAMS**

*Former names:*

*Service Address recorded as Company's registered office*

*Country/State Usually Resident:* **UNITED KINGDOM**

*Date of Birth:* **17/08/1950** *Nationality:* **BRITISH**

*Occupation:* **ACTUARY**

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## *Company Director* 3

*Type:* **Person**  
*Full forename(s):* **JACQUES**

*Surname:* **AIGRAIN**

*Former names:*

*Service Address recorded as Company's registered office*

*Country/State Usually Resident:* **SWITZERLAND**

*Date of Birth:* **15/08/1954** *Nationality:* **FRENCH & SWISS**

*Occupation:* **CHAIRMAN**

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*Company Director* 4

*Type:* **Person**  
*Full forename(s):* **IAN JOHN**

*Surname:* **AXE**

*Former names:*

*Service Address recorded as Company's registered office*

*Country/State Usually Resident:* **UNITED KINGDOM**

*Date of Birth:* **08/05/1971** *Nationality:* **BRITISH**

*Occupation:* **CHIEF EXECUTIVE**

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*Company Director*    **5**

*Type:*                                **Person**

*Full forename(s):*                **NAZIR AHMED**

*Surname:*                           **BADAT**

*Former names:*

*Service Address:*                **LEVEL 17 8 CANADA SQUARE  
LONDON  
UNITED KINGDOM  
E14 5HQ**

*Country/State Usually Resident:*   **UNITED KINGDOM**

*Date of Birth:*   **07/10/1961**

*Nationality:*   **BRITISH**

*Occupation:*    **BANKER**

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*Company Director* 6

*Type:* **Person**  
*Full forename(s):* **MR MICHAEL ROY ANDREW**

*Surname:* **BAGGULEY**

*Former names:*

*Service Address:* **BARCLAYS CAPITAL 5 THE NORTH COLONADE  
CANARY WHARF  
LONDON  
UNITED KINGDOM  
E14 4BB**

*Country/State Usually Resident:* **UNITED KINGDOM**

*Date of Birth:* **28/10/1966** *Nationality:* **BRITISH**

*Occupation:* **MANAGING DIRECTOR**

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*Company Director* 7

*Type:* **Person**

*Full forename(s):* **MR LAURENT**

*Surname:* **CURTAT**

*Former names:*

*Service Address:* **1 CABOT SQUARE  
LONDON  
UNITED KINGDOM  
E14 4QJ**

*Country/State Usually Resident:* **UNITED KINGDOM**

*Date of Birth:* **23/09/1966** *Nationality:* **FRENCH**

*Occupation:* **SENIOR EXECUTIVE**

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*Company Director* 8

*Type:* **Person**  
*Full forename(s):* **GERARD**

*Surname:* **HARTSINK**

*Former names:*

*Service Address:* **LIJSTERLAAN 28 HILVERSUM  
HOLLAND  
NETHERLANDS  
1412 LS**

*Country/State Usually Resident:* **NETHERLANDS**

*Date of Birth:* **08/10/1948** *Nationality:* **DUTCH**  
*Occupation:* **DIRECTOR**



*Company Director*    **9**

*Type:*                                **Person**

*Full forename(s):*                **GARRY PETER**

*Surname:*                           **JONES**

*Former names:*

*Service Address:*                **NYSE EURONEXT CANNON BRIDGE HOUSE  
1 COUSIN LANE  
LONDON  
UNITED KINGDOM  
EC4R 3XX**

*Country/State Usually Resident:*   **UNITED KINGDOM**

*Date of Birth:*   **12/07/1958**                                *Nationality:*   **BRITISH**

*Occupation:*    **GROUP EXECUTIVE VICE  
PRESIDENT**

*Company Director*    **10**

*Type:*                                **Person**  
*Full forename(s):*                **MR VIVIEN JOSEPH**

*Surname:*                            **LEVY-GARBOUA**

*Former names:*

*Service Address:*                **49-51 RUE JEAN DE LA FONTAINE  
PARIS  
FRANCE  
75016**

*Country/State Usually Resident:*    **FRANCE**

*Date of Birth:*    **15/11/1947**                                *Nationality:*    **FRENCH**  
*Occupation:*    **SENIOR ADVISER**

*Company Director* 11

*Type:* **Person**

*Full forename(s):* **MR EDWARD WILLIAM**

*Surname:* **MCALEER**

*Former names:*

*Service Address:* **20 BANK STREET  
CANARY WHARF  
LONDON  
ENGLAND  
ENGLAND  
E14 4AD**

*Country/State Usually Resident:* **UNITED KINGDOM**

*Date of Birth:* **31/01/1959**

*Nationality:* **AMERICAN**

*Occupation:* **MANAGING DIRECTOR**

*Company Director* 12

*Type:* **Person**  
*Full forename(s):* **MR OLIVIER**

*Surname:* **MOTTE**

*Former names:*

*Service Address:* **9 QUAI DU PRÉSIDENT PAUL DOUMER  
LA DÉFENSE CEDEX  
PARIS  
FRANCE  
92920**

*Country/State Usually Resident:* **FRANCE**

*Date of Birth:* **14/05/1955** *Nationality:* **FRENCH**  
*Occupation:* **SENIOR EXECUTIVE**

*Company Director* 13

*Type:* **Person**  
*Full forename(s):* **EDWARD**

*Surname:* **PLA**

*Former names:*

*Service Address:* **C/O UBS INVESTMENT BANK 677 WASHINGTON BOULEVARD  
STAMFORD  
CONNECTICUT  
USA  
06901**

*Country/State Usually Resident:* **UNITED STATES**

*Date of Birth:* **01/06/1963** *Nationality:* **AMERICAN**

*Occupation:* **MANAGING DIRECTOR**

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*Company Director*    **14**

*Type:*                                **Person**  
*Full forename(s):*                **BRUNO YVES JACKI**

*Surname:*                            **PRIGENT**

*Former names:*

*Service Address:*                **30 RUE GENEVIEVE COUTURIER  
RUEIL MALMAISON  
FRANCE  
92500**

*Country/State Usually Resident:*    **FRANCE**

*Date of Birth:*    **04/01/1956**                                *Nationality:*    **FRENCH**

*Occupation:*    **GLOBAL HEAD OF SECURITIES  
SERVICES**

*Company Director* 15

*Type:* **Person**  
*Full forename(s):* **MR MARTIN**

*Surname:* **RYAN**

*Former names:*

*Service Address:* **250 BISHOPSGATE  
LONDON  
ENGLAND  
EC2M 4AA**

*Country/State Usually Resident:* **ENGLAND**

*Date of Birth:* **12/10/1971** *Nationality:* **BRITISH**  
*Occupation:* **HEAD OF OPERATING CENTRES,  
OPS IT & CHANGE**

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*Company Director*    **16**

*Type:*                                **Person**

*Full forename(s):*                **HERVE**

*Surname:*                                **SAINT-SAUVEUR**

*Former names:*

*Service Address recorded as Company's registered office*

*Country/State Usually Resident:*    **FRANCE**

*Date of Birth:*    **09/12/1944**

*Nationality:*    **FRENCH**

*Occupation:*    **DIRECTOR**

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*Company Director* 17

*Type:* **Person**

*Full forename(s):* **MR LAWRENCE**

*Surname:* **SHAW**

*Former names:*

*Service Address:* **C/O DEUTSCHE BANK WINCHESTER HOUSE  
1 GREAT WINCHESTER STREET  
LONDON  
UNITED KINGDOM  
EC2N 2DB**

*Country/State Usually Resident:* **UNITED KINGDOM**

*Date of Birth:* **03/02/1964**

*Nationality:* **BRITISH**

*Occupation:* **BANKER**

*Company Director* 18

*Type:* **Person**  
*Full forename(s):* **MR NAVEED**

*Surname:* **SULTAN**

*Former names:*

*Service Address:* **CITI CENTRE 25-33 CANADA SQUARE  
CANARY WHARF  
LONDON  
UNITED KINGDOM  
E14 5LB**

*Country/State Usually Resident:* **UNITED KINGDOM**

*Date of Birth:* **06/11/1958** *Nationality:* **BRITSH**  
*Occupation:* **BANKER**

*Company Director*    **19**

*Type:*                                **Person**  
*Full forename(s):*                **JOHN COUPE**

*Surname:*                            **TOWNEND**

*Former names:*

*Service Address recorded as Company's registered office*

*Country/State Usually Resident:*    **UNITED KINGDOM**

*Date of Birth:*    **24/08/1947**                                *Nationality:*    **BRITISH**

*Occupation:*    **RETIRED**

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*Company Director*    **20**

*Type:*                      **Person**

*Full forename(s):*        **MR CHRISTOPHER PAUL**

*Surname:*                **WILLCOX**

*Former names:*

*Service Address:*        **5TH FLOOR  
125 LONDON WALL  
LONDON  
UNITED KINGDOM  
EC2Y 5AJ**

*Country/State Usually Resident:*    **UNITED KINGDOM**

*Date of Birth:*    **25/02/1968**                      *Nationality:*    **BRITISH**

*Occupation:*    **MANAGING DIRECTOR**

*Company Director* 21

*Type:* **Person**  
*Full forename(s):* **DENISE ANNE**

*Surname:* **WYLLIE**

*Former names:*

*Service Address:* **PETERBOROUGH COURT 133 FLEET STREET  
LONDON  
UNITED KINGDOM  
EC4A 2BB**

*Country/State Usually Resident:* **UNITED KINGDOM**

*Date of Birth:* **08/12/1964** *Nationality:* **BRITISH**  
*Occupation:* **INVESTMENT BANKER**

## Statement of Capital (Share Capital)

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<b>Class of shares</b>	<b>NON-VOTING ORDINARY</b>	<i>Number allotted</i>	<b>3</b>
		<i>Aggregate nominal value</i>	<b>3</b>
<i>Currency</i>	<b>EUR</b>	<i>Amount paid per share</i>	<b>1</b>
		<i>Amount unpaid per share</i>	<b>0</b>

### *Prescribed particulars*

THE NON-VOTING SHARES HAVE ATTACHED TO THEM CAPITAL DISTRIBUTION (INCLUDING ON WINDING UP) RIGHTS IN ACCORDANCE WITH ARTICLES 4.3.8 AND 4.3.9 AND THE RIGHT TO RECEIVE NOTICE OF EVERY MEETING IN ACCORDANCE WITH ARTICLE 14 (BUT SHALL NOT CONFER ANY RIGHT TO PARTICIPATE). THE HOLDER OF THE NON-VOTING SHARES SHALL HAVE NO RIGHT TO ATTEND, SPEAK OR VOTE AT ANY GENERAL MEETING OF THE COMPANY OR ANY MEETING OF A CLASS OF SHAREHOLDERS OF THE COMPANY. ADDITIONALLY, THE NON-VOTING SHARES DO NOT CONFER ANY RIGHTS OF DIVIDEND OR REDEMPTION.

<b>Class of shares</b>	<b>ORDINARY</b>	<i>Number allotted</i>	<b>40632640</b>
		<i>Aggregate nominal value</i>	<b>40632640</b>
<i>Currency</i>	<b>EUR</b>	<i>Amount paid per share</i>	<b>1</b>
		<i>Amount unpaid per share</i>	<b>0</b>

### *Prescribed particulars*

THE ORDINARY SHARES HAVE ATTACHED TO THEM FULL VOTING RIGHTS SUBJECT TO ARTICLES 4.1.6 AND 16.3, DIVIDEND RIGHTS IN ACCORDANCE WITH ARTICLE 29 AND CAPITAL DISTRIBUTION (INCLUDING ON WINDING UP) RIGHTS IN ACCORDANCE WITH ARTICLES 4.3.8, 4.3.9 AND 4.2.2. ADDITIONALLY, THE HOLDER OF THE ORDINARY SHARES DOES NOT CONFER FULL RIGHTS OF REDEMPTION; SUBJECT TO ARTICLE 4.1.3 THE HOLDER WILL BE ELIGIBLE FOR REDEMPTION AS DESCRIBED IN ARTICLE 4.1.4.

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## Statement of Capital (Totals)

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<i>Currency</i>	<b>EUR</b>	<i>Total number of shares</i>	<b>40632643</b>
		<i>Total aggregate nominal value</i>	<b>40632643</b>

## *Full Details of Shareholders*

The details below relate to individuals / corporate bodies that were shareholders as at 24/04/2012 or that had ceased to be shareholders since the made up date of the previous Annual Return

*A full list of shareholders for the company are shown below*

<i>Shareholding 1</i>	<b>: 0 NON-VOTING ORDINARY shares held as at the date of this return</b>
	<b>3 shares transferred on 2012-02-28</b>
<i>Name:</i>	<b>CHRISTOPHER DOUKAKI</b>
<i>Shareholding 2</i>	<b>: 0 NON-VOTING ORDINARY shares held as at the date of this return</b>
	<b>3 shares transferred on 2011-11-22</b>
<i>Name:</i>	<b>STEPHAN GIRAUD-PRINCE</b>
<i>Shareholding 3</i>	<b>: 3 NON-VOTING ORDINARY shares held as at the date of this return</b>
<i>Name:</i>	<b>DAVID CLIVE WHITEHEAD</b>
<i>Shareholding 4</i>	<b>: 659393 ORDINARY shares held as at the date of this return</b>
<i>Name:</i>	<b>ABN AMRO CLEARING BANK N.V</b>
<i>Shareholding 5</i>	<b>: 250000 ORDINARY shares held as at the date of this return</b>
<i>Name:</i>	<b>ADM INVESTOR SERVICES INTERNATIONAL LIMITED</b>
<i>Shareholding 6</i>	<b>: 5185 ORDINARY shares held as at the date of this return</b>
<i>Name:</i>	<b>AMALGAMATED METAL TRADING LIMITED</b>
<i>Shareholding 7</i>	<b>: 495892 ORDINARY shares held as at the date of this return</b>
<i>Name:</i>	<b>BANC OF AMERICA SECURITIES LIMITED</b>
<i>Shareholding 8</i>	<b>: 516079 ORDINARY shares held as at the date of this return</b>
<i>Name:</i>	<b>BANCA IMI S.P.A</b>
<i>Shareholding 9</i>	<b>: 540201 ORDINARY shares held as at the date of this return</b>
<i>Name:</i>	<b>BANK OF AMERICA, N.A.</b>
<i>Shareholding 10</i>	<b>: 362903 ORDINARY shares held as at the date of this return</b>
<i>Name:</i>	<b>BANK OF SCOTLAND PLC</b>
<i>Shareholding 11</i>	<b>: 631376 ORDINARY shares held as at the date of this return</b>
<i>Name:</i>	<b>BARCLAYS BANK PLC</b>
<i>Shareholding 12</i>	<b>: 362903 ORDINARY shares held as at the date of this return</b>
<i>Name:</i>	<b>BGC INTERNATIONAL</b>

*Shareholding 13* : 478440 ORDINARY shares held as at the date of this return  
*Name:* BNP PARIBAS

*Shareholding 14* : 478438 ORDINARY shares held as at the date of this return  
*Name:* BNP PARIBAS COMMODITY FUTURES LTD

*Shareholding 15* : 483623 ORDINARY shares held as at the date of this return  
*Name:* BNP PARIBAS SECURITIES SERVICES

*Shareholding 16* : 196674 ORDINARY shares held as at the date of this return  
*Name:* CACEIS BANK

*Shareholding 17* : 5185 ORDINARY shares held as at the date of this return  
*Name:* CADBURY INTERNATIONAL LIMITED

*Shareholding 18* : 362903 ORDINARY shares held as at the date of this return  
*Name:* CANTOR FITZGERALD EUROPE

*Shareholding 19* : 5185 ORDINARY shares held as at the date of this return  
*Name:* CATER ALLEN INTERNATIONAL LIMITED

*Shareholding 20* : 644281 ORDINARY shares held as at the date of this return  
*Name:* CITIBANK INTERNATIONAL PLC

*Shareholding 21* : 503592 ORDINARY shares held as at the date of this return  
*Name:* CITIBANK, N.A.

*Shareholding 22* : 503592 ORDINARY shares held as at the date of this return  
*Name:* CITIGROUP GLOBAL MARKETS LIMITED

*Shareholding 23* : 362903 ORDINARY shares held as at the date of this return  
*Name:* COMMERZBANK AG

*Shareholding 24* : 5185 ORDINARY shares held as at the date of this return  
*Name:* COMPAGNIE FINANCIERE TRADITION

*Shareholding 25* : 362903 ORDINARY shares held as at the date of this return  
*Name:* COOPERATIEVE CENTRALE RAIFFEISEN-BOERENLEENBANK B.A.

*Shareholding 26* : 1431432 ORDINARY shares held as at the date of this return  
*Name:* CREDIT AGRICOLE CIB HOLDINGS LIMITED

*Shareholding 27* : 362903 ORDINARY shares held as at the date of this return  
*Name:* CREDIT AGRICOLE INDOSUEZ CHEUVREUX



*Shareholding 28* : 833918 ORDINARY shares held as at the date of this return  
*Name:* CREDIT SUISSE INTERNATIONAL

*Shareholding 29* : 833918 ORDINARY shares held as at the date of this return  
*Name:* CREDIT SUISSE SECURITIES (EUROPE) LIMITED

*Shareholding 30* : 362903 ORDINARY shares held as at the date of this return  
*Name:* DAIWA CAPITAL MARKETS EUROPE LIMITED

*Shareholding 31* : 362903 ORDINARY shares held as at the date of this return  
*Name:* DANSKE BANK A/S

*Shareholding 32* : 388828 ORDINARY shares held as at the date of this return  
*Name:* DB UK HOLDINGS LTD

*Shareholding 33* : 362903 ORDINARY shares held as at the date of this return  
*Name:* DEUTSCHE BANK AG

*Shareholding 34* : 5185 ORDINARY shares held as at the date of this return  
*Name:* DEXIA BANK BELGIUM

*Shareholding 35* : 0 ORDINARY shares held as at the date of this return  
1 shares transferred on 2012-02-28  
*Name:* CHRISTOPHER DOUKAKI

*Shareholding 36* : 705626 ORDINARY shares held as at the date of this return  
*Name:* DRESDNER BANK AG LONDON BRANCH

*Shareholding 37* : 5185 ORDINARY shares held as at the date of this return  
*Name:* ENGELHARD INTERNATIONAL LTD

*Shareholding 38* : 3696631 ORDINARY shares held as at the date of this return  
*Name:* EURONEXT PARIS S.A.

*Shareholding 39* : 250000 ORDINARY shares held as at the date of this return  
*Name:* FCT EUROPE LIMITED

*Shareholding 40* : 508444 ORDINARY shares held as at the date of this return  
*Name:* FORTIS BANK NV/SA

*Shareholding 41* : 447015 ORDINARY shares held as at the date of this return  
*Name:* G H FINANCIALS LIMITED

*Shareholding 42* : 0 ORDINARY shares held as at the date of this return  
1 shares transferred on 2011-11-22

*Name:* **STEPHAN GIRAUD-PRINCE**

*Shareholding 43* : **362903 ORDINARY shares held as at the date of this return**  
*Name:* **GOLDMAN SACHS BANK USA**

*Shareholding 44* : **362903 ORDINARY shares held as at the date of this return**  
*Name:* **GOLDMAN SACHS INTERNATIONAL**

*Shareholding 45* : **705626 ORDINARY shares held as at the date of this return**  
*Name:* **HSBC BANK PLC**

*Shareholding 46* : **5185 ORDINARY shares held as at the date of this return**  
*Name:* **HUNTER DOUGLAS N.V.**

*Shareholding 47* : **362903 ORDINARY shares held as at the date of this return**  
*Name:* **HYDRO ALUMINIUM AS.**

*Shareholding 48* : **436645 ORDINARY shares held as at the date of this return**  
*Name:* **ING BANK N.V.**

*Shareholding 49* : **362903 ORDINARY shares held as at the date of this return**  
*Name:* **INTERACTIVE BROKERS (UK) LIMITED**

*Shareholding 50* : **5185 ORDINARY shares held as at the date of this return**  
*Name:* **INTESA SANPAOLO S.P.A.**

*Shareholding 51* : **250000 ORDINARY shares held as at the date of this return**  
*Name:* **INVESTEC BANK PLC**

*Shareholding 52* : **362903 ORDINARY shares held as at the date of this return**  
*Name:* **J.P. MORGAN CHASE BANK, NATIONAL ASSOCIATION**

*Shareholding 53* : **362903 ORDINARY shares held as at the date of this return**  
*Name:* **J.P. MORGAN MARKETS LIMITED**

*Shareholding 54* : **510894 ORDINARY shares held as at the date of this return**  
*Name:* **J.P. MORGAN METALS LIMITED**

*Shareholding 55* : **1167836 ORDINARY shares held as at the date of this return**  
*Name:* **J.P. MORGAN SECURITIES LTD**

*Shareholding 56* : **372249 ORDINARY shares held as at the date of this return**  
*Name:* **KAS BANK N.V.**

*Shareholding 57* : **153176 ORDINARY shares held as at the date of this return**

*Name:* KBC BANK N.V

*Shareholding 58* : 153176 ORDINARY shares held as at the date of this return  
*Name:* KBC CLEARING N.V

*Shareholding 59* : 5185 ORDINARY shares held as at the date of this return  
*Name:* KBC PEEL HUNT

*Shareholding 60* : 362903 ORDINARY shares held as at the date of this return  
*Name:* KOCH METALS TRADING LTD.

*Shareholding 61* : 5185 ORDINARY shares held as at the date of this return  
*Name:* LANDESBANK HESSEN-THURINGEN GIROZENTRALE

*Shareholding 62* : 5185 ORDINARY shares held as at the date of this return  
*Name:* LEHMAN BROTHERS INTERNATIONAL (EUROPE)

*Shareholding 63* : 5185 ORDINARY shares held as at the date of this return  
*Name:* LOUIS DREYFUS TRADING LIMITED

*Shareholding 64* : 362903 ORDINARY shares held as at the date of this return  
*Name:* MACQUARIE BANK LIMITED

*Shareholding 65* : 78927 ORDINARY shares held as at the date of this return  
*Name:* MADOFF SECURITIES INTERNATIONAL LIMITED

*Shareholding 66* : 226200 ORDINARY shares held as at the date of this return  
*Name:* MAREX FINANCIAL LIMITED

*Shareholding 67* : 5185 ORDINARY shares held as at the date of this return  
*Name:* MARS CHOCOLATE UK LIMITED

*Shareholding 68* : 398313 ORDINARY shares held as at the date of this return  
*Name:* MERRILL LYNCH INTERNATIONAL

*Shareholding 69* : 398313 ORDINARY shares held as at the date of this return  
*Name:* MERRILL LYNCH INTERNATIONAL BANK LIMITED

*Shareholding 70* : 5185 ORDINARY shares held as at the date of this return  
*Name:* METDIST TRADING LIMITED

*Shareholding 71* : 970656 ORDINARY shares held as at the date of this return  
*Name:* MF GLOBAL UK LIMITED

*Shareholding 72* : 78927 ORDINARY shares held as at the date of this return

*Name:* MITSUBISHI UFJ SECURITIES INTERNATIONAL PLC

*Shareholding 73* : 78927 ORDINARY shares held as at the date of this return

*Name:* MIZUHO INTERNATIONAL PLC

*Shareholding 74* : 5185 ORDINARY shares held as at the date of this return

*Name:* MIZUHO SECURITIES USA INC

*Shareholding 75* : 708075 ORDINARY shares held as at the date of this return

*Name:* MORGAN STANLEY & CO. INTERNATIONAL PLC

*Shareholding 76* : 436645 ORDINARY shares held as at the date of this return

*Name:* MORGAN STANLEY CAPITAL SERVICES INC

*Shareholding 77* : 436645 ORDINARY shares held as at the date of this return

*Name:* MORGAN STANLEY SECURITIES LIMITED

*Shareholding 78* : 153176 ORDINARY shares held as at the date of this return

*Name:* NATIONAL AUSTRALIA BANK LIMITED

*Shareholding 79* : 362903 ORDINARY shares held as at the date of this return

*Name:* NATIXIS

*Shareholding 80* : 362903 ORDINARY shares held as at the date of this return

*Name:* NATIXIS COMMODITY MARKETS LIMITED

*Shareholding 81* : 799548 ORDINARY shares held as at the date of this return

*Name:* NOMURA INTERNATIONAL PLC

*Shareholding 82* : 5185 ORDINARY shares held as at the date of this return

*Name:* PB FINANCIAL SERVICES INC

*Shareholding 83* : 5185 ORDINARY shares held as at the date of this return

*Name:* PERSHING LIMITED

*Shareholding 84* : 362903 ORDINARY shares held as at the date of this return

*Name:* PHIBRO LIMITED

*Shareholding 85* : 5185 ORDINARY shares held as at the date of this return

*Name:* SKANDINAVISKA ENSKILDA BANKEN AB

*Shareholding 86* : 1070471 ORDINARY shares held as at the date of this return

*Name:* SOCIETE GENERALE

*Shareholding 87* : 362903 ORDINARY shares held as at the date of this return

*Name:* **SOCIETE GENERALE**

*Shareholding 88* : **5185 ORDINARY shares held as at the date of this return**  
*Name:* **STANDARD BANK PLC**

*Shareholding 89* : **5185 ORDINARY shares held as at the date of this return**  
*Name:* **SUCDEN FINANCIAL LIMITED**

*Shareholding 90* : **250000 ORDINARY shares held as at the date of this return**  
*Name:* **THE BANK OF NOVA SCOTIA**

*Shareholding 91* : **362903 ORDINARY shares held as at the date of this return**  
*Name:* **THE BANK OF TOKYO-MITSUBISHI UFJ LTD**

*Shareholding 92* : **3270000 ORDINARY shares held as at the date of this return**  
*Name:* **THE LONDON METAL EXCHANGE LIMITED**

*Shareholding 93* : **1144720 ORDINARY shares held as at the date of this return**  
*Name:* **THE ROYAL BANK OF SCOTLAND N.V.**

*Shareholding 94* : **705626 ORDINARY shares held as at the date of this return**  
*Name:* **THE ROYAL BANK OF SCOTLAND PLC**

*Shareholding 95* : **250000 ORDINARY shares held as at the date of this return**  
*Name:* **TOYOTA TSUSHO METALS LIMITED**

*Shareholding 96* : **250000 ORDINARY shares held as at the date of this return**  
*Name:* **TRILAND METALS LIMITED**

*Shareholding 97* : **5185 ORDINARY shares held as at the date of this return**  
*Name:* **TRX FUTURES LIMITED**

*Shareholding 98* : **1239636 ORDINARY shares held as at the date of this return**  
*Name:* **UBS AG**

*Shareholding 99* : **362903 ORDINARY shares held as at the date of this return**  
*Name:* **UBS LIMITED**

*Shareholding 100* : **5185 ORDINARY shares held as at the date of this return**  
*Name:* **VIEL & CIE**

*Shareholding 101* : **1 ORDINARY shares held as at the date of this return**  
*Name:* **DAVID CLIVE WHITEHEAD**

## *Authorisation*

*Authenticated*

*This form was authorised by one of the following:*

Director, Secretary, Person Authorised, Charity Commission Receiver and Manager, CIC Manager, Judicial Factor.